

# **INFORMATION HANDOUT**

**For Contract No. 06-0N9604**

**At 06-Ker-204-5.4/6.7**

**Identified by**

**Project ID 0612000026**

## **PERMITS**

California Department of Fish and Wildlife

Stream Alteration Agreement No. 2005-0097-R4  
1602 Agreement 2005-0097-R4(Extended)

## **MATERIALS INFORMATION**

Asbestos Survey Report

Alternative Flared Terminal System



**DISTRICT 6 ENVIRONMENTAL  
1602 AGREEMENT 2005-0097-R4 (Extended)  
MAINTENANCE NOTIFICATION  
VERIFICATION REQUEST FORM (VRF)**

 ORIGINAL REQUEST

 REVISION NO. 1

Current fee per Location is \$122.75

Number of Locations: 2 **Total fee due: \$245.50**

**Date of Request**

**July 21, 2015**

**Name of Requestor: Primavera Parker**

**Cost Center: EA 06-0N960**

**Maintenance Region: Fresno District 06**

**Phone Number: (559) 445-5502**

**Cell Number/ Pager:**

**E-Mail: primavera.parker@dot.ca.gov**

**Project Start Date: August 2015**

**Project End Date: August 2016**

Location of Work (County/Route/Postmile): Kern/ SR 204/ PM 5.61 and PM 5.90

Name of Stream: Kern River and Calloway Canal

Nearest landmark, crossroad or other identifier: PM 5.61/Bridge No.50-0033  
and PM 5.90/Bridge No. 50-0209

Latitude/Longitude (in Degrees and Minutes)

Calloway Canal 35.397214/-119.033062, Kern River 35.395368/-119.030573,

### Maintenance Activities

#### Type of Work

- Sediment Removal (Amount of material to remove below obvious flow line  (cubic yards))
- Sediment will be removed with Vactor
- Equipment can work off bank
- Equipment must work from within stream channel
- Hand removal of vegetation
- Tree Thinning
- Tree Removal (By Hand)
- Vegetation removal will include removal of roots
- Herbicide to stump
- Minor Bridge work (cleaning, painting etc.)
- Repair of damaged RSP
- Work will occur in live channel
- Other:

#### Routine Work Requiring Variance

- Work will occur outside RMA Dates
- Access to be created down to waterway
- Other:

#### Equipment

- Chainsaw/Clippers
- Backhoe/ grade-all
- Excavator
- Vactor
- Other: Scaffolding equipment  
Tree trimming for access

### Description of Proposed Maintenance Work

Caltrans is proposing to clean and paint at 2 bridge locations (PM 5.61 @ Kern River and PM 5.90 @ Calloway Canal) in Kern County on State Route 204. This work will require scaffolding to be placed under the 2 bridge structures, which will be done by accessing the channel below the bridge. Equipment will need to be down in the 2 waterways in order to put up and remove scaffolding. The waterways will be dry during set up and removal. No trees will be removed, but some tree branches and vegetation may need to be trimmed for access. No roots will be removed. Once the scaffolding is in place, cleaning and painting equipment can be parked within the staging area and all the painting and cleaning work can be done by accessing the channel within the staging area identified on the maps. All materials will be fully contained.

## Section 2: Biological Information

Area Biologist: Primavera Parker  
 Phone: 559-445-5502  
 E-Mail: primavera.parker@dot.ca.gov

Field Review Conducted By: Angela Gallardo and Primavera Parker

Date of Biological Field Review: May 2013 and December 2014

Need CESA/FESA Consultation/ Species:

Known Sensitive Resources:

Restrictions on Work:

Habitat Classification: Riparian habitat at the Kern River. The Calloway Canal is an irrigation canal.

**Comments:** The work area will be completely sealed to contain all sand blasted materials and/or water from pressure washing and removed paint. All sand blasting materials, waste water and paint residues will be captured in small barrels and disposed of at an appropriate off-site location.

- Routine Work 10 day Notice  
 Urgent – minimum 2 day notice (describe urgency below)  
 Emergency – work to begin immediately (describe emergency below)

**Comments:**

## Section 3: CDFW Approval

*In accordance with Section II of the Agreement between the California Department of Fish and Wildlife (CDFW) and the California Department of Transportation (Caltrans) for Routine Maintenance in waterways within/adjacent to State Right of Way for the purposes of protecting and maintaining the state highway system. Caltrans hereby notifies CDFW of its intent to perform routine maintenance work within a waterway covered in the Agreement.*

### CDFW Contact Information

Name: Laura Peterson-Diaz

Phone Number: (559)243-4014 x225

E-Mail: laura.peterson-diaz@wildlife.ca.gov

Date Submitted to CDFW by Caltrans: **7-21-15**

Date CDFW Responded to Caltrans: **7-22-15**

PDF Signature will be place below if approved:

*Laura Peterson-Diaz*

Notice of Concurrence

Work can begin as scheduled

Work can begin immediately

Notice of concurrence with conditions (See CDFW comments below)

Work can begin as scheduled

Work can begin immediately

Notice of non-concurrence with comments (See CDFW Comments below)

**Comments:** Due to the prolonged schedule of the project a new general biological survey will need to be done prior to entering the channel each time (both set up and removal) and at each location. All access to the channel will be done when the channel is naturally dry. Work from bridge deck may be done when water is flowing below since all materials shall be fully contained. Equipment may be stored in the staging areas indicated in the attached aerial maps provided drip pans are positioned to catch any potential leakage of fuel and/or lubricants. All fuel and lubricant containers stored on site will be kept as far from the channel as possible at the staging area east of the Kern River.

# Callaway Canal

Write a description for your map.

## Legend

 Staging



# Kern River

**Legend**  
Staging





DEPARTMENT OF FISH AND GAME

<http://www.dfg.ca.gov>

Central Region  
1234 East Shaw Avenue  
Fresno, CA 93710  
(559) 243-4005



September 10, 2008

Zachary Parker  
District 6  
2015 East Shields Avenue, Suite 100  
Fresno, California 93726

Subject: Stream Alteration Agreement No. 2005-0097-R4  
Routine Maintenance Activities in Streams, Rivers, Ponds, Lakes, or Reservoirs –  
Madera, Fresno, Kings, Tulare, and Kern Counties

Dear Mr. Parker:

The Department of Fish and Game (Department) has determined that your Project described in Stream Alteration Agreement No. 2005-0097-R4 is exempt from the California Environmental Quality Act (CEQA), and will file a Notice of Exemption for your Project. The Notice of Exemption will be filed with the Office of Planning and Research, in accordance with CEQA.

Your copy of the signed agreement is enclosed. This completes the Department's agreement process. You may proceed with your Project according to the terms and provisions of your Stream Alteration Agreement if you have obtained all other permits required by local, other State, and Federal agencies. The Department's determination that your Project is exempt from CEQA may be legally challenged within 35 days following the filing of the Notice of Exemption. As a result, you may wish, but are not required, to delay commencement of your Project until after the 35-day period expires.

If you have any questions regarding this matter, please contact Laura Peterson-Diaz, Environmental Scientist, at the above letterhead address or by telephone at (559) 243-4014, extension 225. Thank you for your cooperation.

Sincerely,

*Andrew G. Gordus, PhD*

*for* W. E. Loudermilk  
Regional Manager

Enclosure

# AGREEMENT



**Stream Alteration Agreement–Routine Maintenance  
California Fish and Game Code Section 1602  
Stream Alteration Agreement No. 2005-0097-R4  
California Department of Transportation District 6  
Routine Maintenance Activities in Streams,  
Rivers, Ponds, Lakes, or Reservoirs -  
Madera, Fresno, Kings, Tulare, and Kern Counties**

**Parties: California Department of Fish and Game**  
Central Region  
1234 East Shaw Avenue  
Fresno, California 93710

**California Department of Transportation**  
Mr. Zachary Parker  
District 6  
2015 East Shields Avenue, Suite 100  
Fresno, California 93726

1 **PURPOSE:**

2  
3 This Stream Alteration Agreement–Routine Maintenance is by and between the  
4 **California Department of Fish and Game**, herein after called the "Department", and  
5 the **California Department of Transportation**, hereinafter called "Caltrans", for the  
6 purpose of delineating and defining routine maintenance activities within streams and  
7 ponds in Madera, Fresno, Kings, Tulare, and Kern counties of Caltrans' District 6. This  
8 Stream Alteration Agreement–Routine Maintenance identifies general and site-specific  
9 provisions and restrictions on Caltrans activities, to prevent any substantial adverse  
10 impacts to fish and wildlife resources while permitting required maintenance work to  
11 proceed

12  
13 **WHEREAS:**

14  
15 The California Department of Transportation (referred to as "Caltrans") on July 27,  
16 2005, notified ("Notification" No. 2005-0097-R4) the Department of Fish and Game  
17 (Department) of their intent to divert or obstruct the natural flow of, or change the bed or  
18 banks of, or use materials from various streams, rivers, ponds, lakes, or reservoirs in  
19 Madera, Fresno, Kings, Tulare, and Kern counties, waters over which the Department  
20 asserts jurisdiction pursuant to Division 2, Chapter 6 of the California Fish and Game  
21 Code.

Agreement No. 2005-0097-R4  
Department of Transportation District 6  
Routine Maintenance Activities in Streams and Ponds-  
Madera, Fresno, Kings Tulare, and Kern Counties

1 1. Section 1601 of the Fish and Game Code (FGC§) allows the Department to  
2 propose reasonable modifications to certain maintenance and construction projects as  
3 would allow for the protection and continuance of existing fish and wildlife resources  
4 that may be substantially adversely affected by that maintenance activity.

5  
6 2. With regard to any Project that involves routine maintenance and operation of  
7 water supply, drainage, flood control, or waste treatment and disposal facilities, notice  
8 to, and agreement with, the Department is not required subsequent to the initial  
9 notification agreement, unless the work as described in the agreement is substantially  
10 changed or conditions affecting fish and wildlife resources change, and the resources  
11 are adversely affected by the activity conducted under the agreement.

12  
13 3. It is essential that Caltrans perform routine maintenance within miscellaneous  
14 streams and lakes in Caltrans' District 6 to maintain the designed capacity of the  
15 channel(s), to protect the State Highway System, and to prevent loss of life and  
16 property.

17  
18 4. Consistent with the policies of FGC§ 1600 et seq., the protection and conservation  
19 of the fish and wildlife resources of California are of utmost public interest, and fish and  
20 wildlife conservation is a proper responsibility of the State.

21  
22 5. In order to avoid future conflicts, it is mutually beneficial to delineate and define  
23 routine maintenance, to establish procedures to expedite maintenance activities, and to  
24 provide for the protection and continuance of the existing fish or wildlife resources  
25 during such maintenance activities.

26  
27 6. This Stream Alteration Agreement–Routine Maintenance is not intended to affect  
28 Caltrans right under FGC§ 1601 (f) to undertake emergency work necessary to protect  
29 life or property.

30  
31 **NOW THEREFORE, IT IS AGREED THAT:**

32  
33 1. The receipt of this document ("Agreement"), by Caltrans, satisfies the  
34 Department's requirement to notify Caltrans of the existence of an existing fish and  
35 wildlife resource that may be substantially adversely affected by the Project that is  
36 described in the Notification.

37  
38 2. The contents of this Agreement constitute the Department's proposals as to  
39 measures necessary to protect fish and wildlife resources, and satisfy the Department's  
40 requirement to submit these proposals to Caltrans.

41  
42 3. The signature of Caltrans' representative on this Agreement constitutes Caltrans'  
43 commitment to incorporate the Department's proposals into the Project that is described  
44 in the Notification.

Agreement No. 2005-0097-R4  
Department of Transportation District 6  
Routine Maintenance Activities in Streams and Ponds-  
Madera, Fresno, Kings, Tulare, and Kern Counties

1 4. This Agreement does not exempt Caltrans from complying with all other applicable  
2 local, State and Federal law, or other legal obligations.

3  
4 5. This Agreement, alone, does not constitute or imply the approval or endorsement  
5 of a Project, or of specific Project features, by the Department of Fish and Game,  
6 beyond the Department's limited scope of responsibility, established by Code Sections  
7 1600 et seq. This Agreement does not therefore assure concurrence, by the  
8 Department, with the issuance of permits from this or any other agency. Independent  
9 review and recommendations shall be provided by the Department as appropriate on  
10 those maintenance projects where local, State or Federal permits or environmental  
11 reports are required.

12  
13 6. This Agreement does not authorize the "take" as defined by Fish and Game Code  
14 Section 86 as (hunt, pursue, catch, capture, kill, or attempt to do so) of State-listed  
15 threatened or endangered species. If Caltrans, in the performance of the agreed work,  
16 discovers the presence of a listed species in the Project work area, work shall stop  
17 immediately. Caltrans shall not resume activities authorized by this Agreement until  
18 such time as valid "take" permits are obtained from the Department pursuant to Fish  
19 and Game Code Section 2081(b) and additional California Environmental Quality Act  
20 (CEQA) analysis is complete.

21  
22 7. To the extent that the Provisions of this Agreement provide for the diversion of  
23 water, they are agreed to with the understanding that Caltrans possesses the legal right  
24 to so divert such water.

25  
26 8. To the extent that the Provisions of this Agreement provide for activities that  
27 require Caltrans to trespass on another owner's property, they are agreed to with the  
28 understanding that Caltrans possesses the legal right to so trespass.

29  
30 9. To the extent that the Provisions of this Agreement provide for activities that are  
31 subject to the authority of other public agencies, said activities are agreed to with the  
32 understanding that all appropriate permits and authorizations shall be obtained prior to  
33 commencing agreed activities.

34  
35 10. All Provisions of this Agreement remain in force throughout the term of the  
36 Agreement. Any Provision of the Agreement may be amended at any time, provided  
37 such amendment is agreed to in writing by both parties. Mutually approved  
38 amendments become part of the original Agreement and are subject to all previously  
39 negotiated Provisions. The Agreement may be terminated by either party, subject to  
40 30 days written notification.

41  
42 11. Caltrans shall provide a copy of the Agreement to the Project supervisors and all  
43 contractors and subcontractors. Copies of the Agreement shall be available at work  
44 sites during all periods of active work and shall be presented to Department personnel  
45 upon demand.

1 12. Caltrans agrees to provide the Department access to the Project site at any time to  
2 ensure compliance with the terms, conditions, and Provisions of this Agreement.

3  
4 13. Caltrans and any contractor or subcontractor, working on activities covered by this  
5 Agreement, are jointly and separately liable for compliance with the Provisions of this  
6 Agreement. Any violation of the Provisions of this Agreement is cause to stop all work  
7 immediately until the problem is reconciled. Failure to comply with the Provisions and  
8 requirements of this Agreement may result in prosecution.

9  
10 14. Caltrans assumes responsibility for the restoration of any fish and wildlife habitat  
11 which may be impaired or damaged either directly or, incidental to the Project, as a  
12 result of failure to properly implement or complete the mitigation features of this  
13 Agreement, or from activities which were not included in the Caltrans' Notification.

14  
15 15. It is understood that the Department enters into this Agreement for purposes of  
16 establishing protective features for fish and wildlife, in the event that a Project is  
17 implemented. The decision to proceed with each Maintenance Project is the sole  
18 responsibility of Caltrans, and is not required by this Agreement. It is agreed that all  
19 liability and/or incurred costs, related to or arising out of Caltrans' Project and the fish  
20 and wildlife protective conditions of this Agreement, remain the sole responsibility of  
21 Caltrans. Caltrans agrees to hold harmless and defend the Department of Fish and  
22 Game against any related claim made by any party or parties for personal injury or  
23 other damage.

24  
25 16. The terms, conditions, and Provisions contained herein constitute the limit of  
26 activities agreed to and resolved by this Agreement. The signing of this Agreement  
27 does not imply that Caltrans is precluded from doing other activities at the site.  
28 However, activities not specifically agreed to and resolved by this Agreement are  
29 subject to separate notification pursuant to Fish and Game Code Sections 1600 et seq.

30  
31 **California Environmental Quality Act (CEQA) Compliance:** In approving this  
32 Agreement, the Department is independently required to assess the applicability of  
33 CEQA. The features of this Agreement shall be considered as part of the overall  
34 Project description. Caltrans' concurrence signature on this Agreement serves as  
35 confirmation to the Department that the activities that shall be conducted under the  
36 terms of this Agreement are consistent with the Project described in Notification  
37 No. 2005-0097-R4. Caltrans submitted a Categorical Exemption signed June 5, 2007.

38  
39 **Project Location:** The work authorized by this Agreement will occur in Madera,  
40 Fresno, Kings, Tulare, and Kern counties. A list of all possible bridges and culverts  
41 within these counties where work might occur was submitted with the notification.  
42 Caltrans shall submit a Maintenance Notification Verification Request Form (VRF)  
43 Exhibit 1 before work begins at any of these locations. The VRF shall include a map of  
44 the location and photos when possible as well as a description of the work to be done  
45 at that location.

Agreement No. 2005-0097-R4  
Department of Transportation District 6  
Routine Maintenance Activities in Streams and Ponds-  
Madera, Fresno, Kings, Tulare, and Kern Counties

1 **Project Description:** Caltrans' Notification includes Fish and Game Notification Form  
2 FG2023 and lists of the bridges and culverts on State routes in District 6. The  
3 Notification comprises Caltrans' Project description, and it is used as the basis for  
4 establishing the protective Provisions that are included in this Agreement. Any changes  
5 or additions to the Project as described in the Notification shall require additional  
6 consultation and protective Provisions. The Department's concurrence with Caltrans'  
7 CEQA Determination is based upon Caltrans' commitment to full implementation of the  
8 Provisions of this Agreement. Caltrans has proposed the following scope of work. The  
9 bulleted items comprise the activities authorized by this Agreement.

10  
11 • Removal of Debris or Obstructions: Caltrans may clear existing facilities of trash,  
12 rubbish, flood-deposited woody debris, beaver dams, and material in clear danger  
13 of falling into the channel to the extent that these obstructions significantly reduce  
14 channel capacity, impede storm flow, accelerate erosion and/or cause damage to  
15 man-made structures. These activities shall be conducted only when streams are  
16 dry or outside of the active channel, with the exception of flood-deposited woody  
17 debris immediately following a storm event, which may be removed while water is  
18 present.

19  
20 • Removal of Silt, Sand, or Sediment: Caltrans may clear existing facilities of silt,  
21 sand, or sediment which reduces or obstructs flow. This agreement does not  
22 authorize Caltrans to remove silt, sand, sediment, or other material beyond a  
23 facilities current design flow or beyond 50 feet from the facility. These activities  
24 shall be conducted only when streams are dry.

25  
26 • Vegetation Control: Caltrans may control herbaceous vegetation in a facility that  
27 restricts normal flow under or through it, except that Caltrans may not remove  
28 trees greater than four (4) inches in diameter at breast height (DBH) without prior  
29 approval from the Department. Vegetation removal shall be limited to 50 feet from  
30 the existing facility to facilitate stream flow. These activities shall be conducted  
31 only when streams are dry, or outside of the active channel.

32  
33 • Minor Repair of Existing Facilities: Caltrans may make minor repairs to existing  
34 facilities in dry stream channels; including flap gates and water control structures,  
35 provided that bank stability is not degraded.

36  
37 • Rip Rap Replenishment: Caltrans may replenish damaged rip rap with clean rock  
38 within the existing footprint of previously rip rapped areas provided the stream is  
39 dry and, with prior Department approval, old rip rap that may have fallen into the  
40 channel is removed. Placement shall be done without heavy equipment entering  
41 the streambed or channel. If the replacement requires any vegetation removal,  
42 prior written approval from the Department is required.

- 1 • Culverts: Caltrans may maintain or replace culverts in stream channels associated  
2 with roadways and install energy dissipaters, headwalls, and wingwalls on existing  
3 culverts. Larger culverts may be installed provided the new culvert will not expand  
4 of the use of the roadway where the culvert is being replaced. These activities  
5 shall be conducted only when streams are dry.  
6
- 7 • Test Boring: Caltrans may obtain core samples and conduct other minor  
8 geotechnical sampling, for the purpose of determining bridge foundation stability or  
9 for cultural investigations provided such work does not cause an adverse impact to  
10 fish and wildlife resources. These activities shall be conducted only when streams  
11 are dry, or outside of the active channel.  
12

13 The specific type and extent of work to be done, specifications (if applicable), biological  
14 resources in the vicinity and date work is scheduled shall be submitted with Caltrans'  
15 Maintenance Notification VRF.  
16

17 **Plant and Animal Species of Concern:** This Agreement is intended to specify  
18 measures to avoid adverse impacts to the fish and wildlife resources that occupy the  
19 waterways where maintenance work will occur and the adjacent areas. Species that  
20 could potentially be impacted are birds, mammals, reptiles, amphibians, invertebrates,  
21 and plants that comprise the local ecosystem. Departmental files and Caltrans' records  
22 contain lists of species that could occur in the Project vicinity.  
23

## 24 **PROVISIONS:**

### 25 General

26  
27  
28 1. The Notification, together with all supporting documents, including the  
29 Maintenance Notification VRF's (Exhibit 1), is hereby incorporated into this Agreement  
30 to describe the location and features of the proposed maintenance projects. Caltrans  
31 agrees that all work shall be done as described in the Notification and supporting  
32 documents, incorporating all wildlife resource protection features, mitigation measures,  
33 and provisions as described in this Agreement.  
34

35 2. Two (2) weeks prior to beginning work, Caltrans shall submit a Maintenance  
36 Notification VRF, to the Department (fax to Laura Peterson-Diaz, Environmental  
37 Scientist, at (559) 243-4020 or e-mail to [lpdiaz@dfg.ca.gov](mailto:lpdiaz@dfg.ca.gov) ). Upon receipt of a VRF,  
38 the Department shall determine if the maintenance work may proceed as scheduled,  
39 and that it meets the required avoidance measures and limited scope of projects  
40 allowed in this agreement, or may not be executed under the Maintenance Agreement  
41 and requires separate 1602 notification and CEQA analysis. Caltrans shall also notify  
42 the Department upon the completion of the maintenance activities.  
43

44 3. Projects with a footprint larger than 2,000 square feet or any Project over 50 feet in  
45 length, or adjacent projects that total over 50 feet in length within three (3) years shall

1 require consultation with the Department, and will require a separate 1602 notification  
2 and CEQA analysis.

3  
4 4. Before the start of maintenance activities covered under this Agreement, Caltrans  
5 shall provide training and a copy of this Programmatic Stream Maintenance Agreement  
6 to all contractors, subcontractors and Caltrans Project supervisors. All workers shall  
7 have received training from Caltrans' staff, or approved alternate trainer, on the content  
8 of this Agreement, the resources at stake, and the legal consequences of non-  
9 compliance.

10  
11 5. Copies of this Programmatic Stream Maintenance Agreement shall be available at  
12 the Project site during all periods of active work and must be presented to the  
13 Department personnel upon demand.

14  
15 6. Agreed activities within the bed, bank or channel may commence after the  
16 Department has given its concurrence to the VRF. This Agreement shall remain in  
17 effect for five (5) years beginning on the date signed by the Department.

#### 18 19 Flagging/Fencing

20  
21 7. The upstream and downstream limits of the work areas, access routes, and all  
22 Environmentally Sensitive Area (ESA) boundaries shall be identified with brightly  
23 colored flagging. All other areas within the riparian corridor shall be avoided. The work  
24 area limits shall not extend beyond Caltrans' Right of Way (ROW) and/or temporary  
25 easements, and shall be confined to the minimal area needed to accomplish the  
26 proposed work. Flagging shall be maintained in good repair.

#### 27 28 Wildlife

29  
30 8. A Caltrans biologist shall review all maintenance activities and shall issue a  
31 standard Caltrans report for subject maintenance. The biologist shall conduct any  
32 general wildlife surveys needed to confirm that there will not be any adverse impacts to  
33 fish and wildlife resources due to the maintenance work. Caltrans shall forward this  
34 clearance to the Department as part of the VRF.

35  
36 9. Maintenance activities that may disturb avian nesting habitat or will take place  
37 during the February 15 through September 1 nesting season, shall only be approved  
38 after a qualified Caltrans biologist conducts surveys for actively nesting birds. Survey  
39 results shall be sent to the Department for review if nesting activity is evident, work may  
40 not proceed without written approval from the Department. Evidence of nest building,  
41 egg laying or chick rearing may cause work delays until nesting activities have finished.

42  
43 10. Swallows – Caltrans shall avoid work on structures when it would disturb nesting  
44 swallows (February 15 - September 1). If such a condition cannot be met, then prior to  
45 February 1, of each year, Caltrans shall remove all existing nests which would be

1 destroyed by the maintenance activities. Caltrans shall continue to discourage new  
2 nest building in places where they would be disturbed, using methods developed in  
3 consultation with the Caltrans District biologist and the Department. Nest removal and  
4 hazing must be repeated at least weekly until construction begins, or until a swallow  
5 exclusion device (netting with visual warning for the birds to prevent entanglement) is  
6 installed. Swallows may be allowed to nest on portions of the structure where conflicts  
7 during maintenance activities are not anticipated.

8  
9 11. Access/egress and disposal site locations shall be selected in areas that do not  
10 have animal burrows or provide habitat for listed/sensitive species.

11  
12 12. All work shall be completed during daylight hours.

13  
14 13. If any wildlife is encountered during the course of routine maintenance work, said  
15 wildlife shall be allowed to freely leave the area unharmed.

16  
17 Listed/Sensitive Species (Including both plant and animal species)

18  
19 14. Areas in Caltrans District 6 have been identified as areas that are potentially  
20 inhabited by State- or Federal-listed/sensitive species. This Stream Alteration  
21 Agreement-Routine Maintenance does not allow for the "take," or "incidental take," of  
22 any State- or Federal-listed threatened or endangered species, Fully Protected  
23 Species, or California Species of Special Concern. Liability for any "take," or "incidental  
24 take," of such listed/sensitive species remains the responsibility of Caltrans for the  
25 duration of maintenance activities. Any "take" of such listed/sensitive species may  
26 result in prosecution and shall nullify this Stream Alteration Agreement-Routine  
27 Maintenance.

28  
29 15. In areas that may support State- or Federal-listed/sensitive species, a qualified  
30 Caltrans biologist shall conduct Department and United States Fish and Wildlife Service  
31 (USFWS) approved surveys for appropriate potential rare, threatened, and endangered  
32 species. Caltrans shall not begin work until the Department concurs with the biologist's  
33 determination that maintenance activities will avoid impacts to any listed species. If any  
34 State- or Federal-listed Threatened or Endangered species are found within the  
35 proposed work area or could be impacted by the work proposed, a new Agreement will  
36 be necessary, a new CEQA analysis will need to be conducted, and a 2081(b)  
37 Incidental Take Permit may be required.

38  
39 16. A qualified biologist shall be present during any maintenance activities in areas  
40 where State- or Federal-listed, threatened and or endangered species are known to be  
41 present to ensure no impacts occur to the species. This environmental monitor shall  
42 have the authority to suspend maintenance activities that may result in "take" of a  
43 State- or Federal-listed species.

1 Vegetation

2  
3 17. Vegetation removal shall be completed by hand where possible and practical. Use  
4 of heavy equipment or chemicals shall not be allowed without written permission from  
5 the Department. The disturbance or removal of vegetation within the agreed work area  
6 shall not exceed the minimum necessary to complete operations and ensure proper  
7 operation of the culvert, structure, seep or pond. Precautions shall be taken to avoid  
8 any damage to non-target vegetation by people or equipment. Where appropriate,  
9 roots and stumps shall be left intact to facilitate regrowth.

10  
11 18. No living, native, trees or woody shrubs over four (4) inches DBH or branches  
12 greater than three (3) inches shall be removed without prior Department approval.

13  
14 19. Elderberry bushes shall only be trimmed or removed in accordance with USFWS  
15 protocol and applicable permits.

16  
17 20. Caltrans may manually remove non-native vegetation [such as tamarisk, giant  
18 reed (*arundo*), tree tobacco, castor bean, pampas grass, eucalyptus, and acacia],  
19 including stumps and roots from all jurisdictional areas. Work shall be done outside the  
20 bird nesting period (February 15 - August 15) unless surveys are done to determine that  
21 no nesting birds will be impacted. Herbicide application will require specific written  
22 Department approval in the concurrence to the VRF.

23  
24 21. Emergent vegetation in streams and ponds shall be removed only when work area  
25 is dry, using the following protocol.

26  
27 Ponds and Seeps: Maintenance activities shall be limited to the amount  
28 necessary to maintain drainage and storage capacity in their original location and  
29 configuration. Only 50 percent of a pond or seep shall be maintained in any one  
30 (1) year. Areas cleared shall not be cleared again for a minimum of two (2) years.

31  
32 Streams: No native vegetation shall be disturbed on the upper half (1/2) of the  
33 bank slope or on the top of the bank, except that Caltrans may prune vegetation  
34 (except elderberry bushes unless the USFWS has given approval to do so) to the  
35 point where operation of a structure and/or the original line of sight for  
36 driveways/roadways entering a State highway is restored to original or safe  
37 conditions.

38  
39 22. Cleared or trimmed vegetation and woody debris shall be disposed of in a legal  
40 manner. With the Department's written approval specific to each incident, fallen trees,  
41 tree limbs, and other woody debris may be used as part of a bio-technical bank  
42 stabilization technique or used to enhance wildlife habitat.

1 Vehicles

2  
3 23. Construction vehicles and equipment required for this Project shall be described in  
4 the VFR. Vehicle access to streams and wetlands shall be limited to a predetermined  
5 ingress and egress corridor on existing roads. If new access to streams or wetlands is  
6 necessary, no more than one (1) vehicle route with a maximum width of 12 feet shall be  
7 constructed. Vehicle corridors shall be flagged. No equipment shall be allowed to  
8 operate in a stream channel that contains water. All other natural areas adjacent to the  
9 work site shall be considered an ESA and shall remain off-limits to vehicles and  
10 construction equipment.

11  
12 24. Only the bucket of the excavator/backhoe may operate in water covered portions  
13 of the stream to remove flood-deposited woody debris. At no time shall the main body  
14 of the excavator/backhoe enter water covered portions of the stream or pond.

15  
16 Pollution

17  
18 25. Caltrans and all contractors and subcontractors shall be subject to the pollution  
19 protective and other features of Department of Transportation Standard Specifications  
20 Section 7-1.01G and Fish and Game Code Sections 5650 and 12015. In addition, all  
21 Project-generated debris, building materials, and rubbish shall be removed from the  
22 stream and from areas where such materials could be washed into the stream.

23  
24 26. Staging and storage areas for equipment, materials, fuels, lubricants, and solvents  
25 shall be located outside of the stream channel and banks. Any equipment or vehicles  
26 driven and/or operated within or adjacent to the stream shall be checked and  
27 maintained daily to prevent leaks of materials that, if introduced to water, could be  
28 deleterious to aquatic life. If a spill should occur, cleanup shall begin immediately. The  
29 Department shall be notified as soon as possible by Caltrans and shall be consulted  
30 regarding further cleanup procedures. To the extent possible, building materials and  
31 construction equipment shall be stored in unvegetated or previously disturbed areas.

32  
33 27. Raw cement, concrete or washings thereof, asphalt, paint or other coating  
34 material, oil or other petroleum products, or any other substances which could be  
35 hazardous to fish or wildlife resulting from Project-related activities, shall be prevented  
36 from entering the "Waters of the State" and/or contaminating the soil. Use of sacked  
37 concrete, asphalt pieces or asphalt containing pavement grindings on the  
38 embankments is prohibited.

39  
40 28. For test boring, Caltrans shall have a contingency plan and the appropriate  
41 equipment at the Project site to handle the unlikely event of a frac-out during the drilling  
42 process. A means of containment and clean-up shall remain on standby throughout the  
43 drilling process.

1 Siltation/Erosion

2  
3 29. Maintenance activities within the Project area shall be completed when the area is  
4 dry.

5  
6 30. Caltrans ability to minimize turbidity, siltation, and erosion shall be the subject to  
7 conditions of the California Regional Water Quality Control Board Basin Plan.

8  
9 31. Removal of living and dead vegetation, sediment, and debris in the defined area  
10 shall be performed to the extent necessary to ensure proper operation of the culvert,  
11 structure, seep or pond. After living and dead vegetation, sediment, and debris are  
12 removed the area topography and drainage patterns shall be return to its designed or  
13 natural condition.

14  
15 32. Erosion control work includes repair of failed rock, sacked concrete, gabions, rip-  
16 rap or other such devices. Maintenance activities shall be confined to the failed section  
17 and a maximum of 20 linear feet, up and downstream, of the failed area. Repairs shall  
18 not exceed the original specifications.

19  
20 33. All disturbed soils shall be stabilized to reduce erosion potential, both during and  
21 following construction. Erosion control Best Management Practices (BMP's) shall be  
22 applied to all disturbed areas.

23  
24 Debris Removal/Spoils

25  
26 34. Sand, silt, sediment and emergent vegetation removal shall be limited to the  
27 stream bottom and no more than 50 linear feet up or downstream of the structure.  
28 Sediment removal shall not be deeper than six inches below the existing culvert apron.

29  
30 35. Sand, silt, sediment and emergent vegetation removal shall be limited to periods  
31 when the stream channel or pond is dry.

32  
33 36. All cleared debris shall be removed from the normal high water areas of stream or  
34 channel and shall not be redeposited within the flood plain. Spoil sites shall not be  
35 located within a stream or wetland, where spoil could be washed back into a stream, or  
36 where it covers aquatic or riparian vegetation.

37  
38 Fill

39  
40 37. Rock, gravel, and/or other materials shall not be imported into or moved within the  
41 stream, except as otherwise addressed in this Agreement. Only on-site materials and  
42 clean imported fill shall be used to complete the Project. Placement of materials shall  
43 be done without operating heavy equipment in the streambed. Fill length, width, and  
44 height dimensions shall not exceed those of the original installation or the original  
45 naturally occurring topography, contour, and elevation.

1 38. Fill shall be limited to the minimal amount necessary to accomplish the agreed  
2 activities. Clean imported fill shall be stored only on previously disturbed ground, and  
3 shall not cover riparian vegetation. Excess fill material shall be moved off-site at Project  
4 completion.

5  
6 Restoration

7  
8 39. Excess material, including construction debris, must be removed from the Project  
9 site pursuant to Department of Transportation Standard Specifications Section 7-1.13.

10  
11 40. Caltrans shall make the final contour of the site match the adjacent slope of the  
12 land and provide the appropriate surface water drainage. All areas subject to  
13 temporary ground disturbance, including storage and staging areas, temporary roads,  
14 pipeline corridors, etc., shall be recontoured, if necessary, and revegetated to promote  
15 restoration of the area.

16  
17 41. Caltrans assumes responsibility for the restoration of any fish and wildlife habitat  
18 which may be impaired or damaged either directly or incidental to the maintenance  
19 activities as a result of failure to properly implement or complete the protective features  
20 of this Programmatic Stream Maintenance Agreement, or from activities which were not  
21 included in Caltrans notification.

22  
23 42. Any alteration of existing channel morphology not addressed in this Programmatic  
24 Stream Maintenance Agreement shall be subject to Department approval. Detailed  
25 plans shall be submitted to the Department for approval and processing.

26  
27 43. Structures and associated materials not designed to withstand high seasonal flows  
28 shall be removed to areas above the high water mark before such flows occur.

29  
30 **RENEWAL:**

31  
32 This 1602 Agreement shall expire five (5) years after the date that it is signed by both  
33 Caltrans and the Department. If requested by Caltrans prior to expiration, the  
34 Department will review the 1602 and determine at its own discretion, whether to renew  
35 the Agreement as is, make minor amendments deemed necessary by the Department  
36 or requested by Caltrans, or if significant changes are required, develop a new  
37 Agreement.

38  
39 **AMENDMENT AND TERMINATION:**

40  
41 This Stream Alteration Agreement-Routine Maintenance cannot be amended or  
42 modified in any way except by a written agreement duly executed by the Department  
43 and Caltrans. Any proposal for amendment or modifications must be delivered for  
44 review and approval by the Department. At the discretion of the Department,  
45 modifications may be deemed minor, requiring an amendment to this Agreement, or

1 substantial requiring the submission of a new notification application. If the later is the  
2 case, this Agreement becomes null and void. Failure to notify the Department of  
3 changes or subsequent amendments to this Agreement may result in the Department  
4 suspending or canceling this Agreement. If Caltrans gives notice to and obtains the  
5 agreement of the Department to maintain additional specific facilities on channels or  
6 ponds, any such additional facilities shall be added by written amendment to this  
7 Stream Alteration Agreement-Routine Maintenance, and all provisions of this Stream  
8 Alteration Agreement-Routine Maintenance shall apply.

9  
10 This Stream Alteration Agreement-Routine Maintenance may be terminated by either  
11 party. Said termination shall become effective 30 days after the party not initiating the  
12 termination has been duly notified in writing. This Stream Alteration Agreement-Routine  
13 Maintenance expires five (5) years after being signed. The Stream Alteration  
14 Agreement-Routine Maintenance shall be renewed automatically by the Department at  
15 the expiration of its term unless the Department determines that there has been a  
16 significant change in conditions FGC§ 1601(g).

17  
18 The Department reserves the right to suspend and/or revoke this Stream Alteration  
19 Agreement-Routine Maintenance if the Department determines that the circumstances  
20 warrant. The circumstances that could require a reevaluation include, but are not  
21 limited to, the following: a) Failure to comply with the terms/conditions of this Stream  
22 Alteration Agreement-Routine Maintenance; b) The information provided by Caltrans in  
23 support of the Stream Alteration Agreement-Routine Maintenance is determined by the  
24 Department to be incomplete, or inaccurate; c) When new information becomes  
25 available to the Department representative(s) that was not known when preparing the  
26 original terms/conditions of this Stream Alteration Agreement-Routine Maintenance;  
27 and d) The activities as described in the notification/ Stream Alteration Agreement-  
28 Routine Maintenance has changed, or conditions affecting fish and wildlife resources  
29 change.

30  
31 **FEES:**

32  
33 California Code of regulations, Title 14 (CCR 14), Section 699.5 establishes fees for  
34 projects subject to Fish and Game Code 1601. Fees shall be assessed as described in  
35 the Fees for *Lake and Streambed Agreement* 1602 Routine Maintenance Activities  
36 (public agencies) if performed under the Stream Alteration Agreement-Routine  
37 Maintenance with the Department of Fish and Game. **Fees shall be due annually at**  
38 **the end of the fiscal year.**

39  
40 Renewal fees shall be based upon the fee schedule (CCR14 699.5(f) that is prevailing  
41 at the time of payment. Renewal fees will be charged once every five (5) years,  
42 coincident with the Stream Alteration Agreement-Routine Maintenance renewal process  
43 described in "Change on Conditions". Amendment fees are based upon 50 percent of  
44 the original fee (CCR 14 699 5(g)).

1 **ENTIRE AGREEMENT:**

2  
3 This Stream Alteration Agreement-Routine Maintenance, along with the exhibits  
4 attached hereto, constitutes the entire agreement and understanding between the  
5 Department and Caltrans for routine maintenance activities. This Stream Alteration  
6 Agreement-Routine Maintenance supersedes all prior and contemporaneous routine  
7 activity agreements, representation, understanding, if any, whether oral or written.  
8

9 **OTHER ENVIRONMENTAL LAWS, STATUTES, OR REGULATIONS:**

10  
11 This Stream Alteration Agreement-Routine Maintenance does not constitute any form of  
12 authorization, permit, biological opinion, or compliance with the requirements and  
13 provisions of any other statute, regulation, requirement, or ordinance respecting the  
14 protection or conservation of fish and wildlife resources. Those statutes include, but  
15 are not limited to, the CEQA, the California Endangered Species Act (CESA), or the  
16 Federal Endangered Species Act.  
17

18 CEQA Compliance: In approving this Stream Alteration Agreement-Routine  
19 Maintenance, the Department is independently required to assess the applicability of  
20 CEQA. The features of this Stream Alteration Agreement-Routine Maintenance shall  
21 be considered as part of the overall Project description.  
22

23 As a CEQA Lead Agency the Department shall be responsible for preparing and  
24 circulating the appropriate document (Negative Declaration/Environmental Impact  
25 Report) and shall make findings and submit a Notice of Determination to the State  
26 Clearinghouse. Alternatively, the Department may determine that the Project, as  
27 mitigated by the Provisions of this Stream Alteration Agreement-Routine Maintenance,  
28 is Exempt from CEQA, in which case, a Notice of Exemption shall be filed, or the  
29 Department, as a CEQA Responsible Agency, shall make findings and submit a Notice  
30 of Determination to the State Clearinghouse upon signing this Agreement.  
31

32 **MONITORING AND REPORTING PROGRAM (MRP):**

33  
34 PURPOSE

35  
36 The purpose of the MRP is to ensure that the protective measures required by the  
37 Department are properly implemented, and to monitor the effectiveness of those  
38 measures.  
39

40 OBLIGATIONS OF THE OPERATOR

41  
42 Caltrans shall have primary responsibility for monitoring compliance with all protective  
43 measures included in this Stream Alteration Agreement-Routine Maintenance.  
44 Protective measures must be implemented within the time periods indicated in the  
45 Agreement and the program described below. Caltrans' designee shall ensure the  
46 implementation of all protective measures of the Stream Alteration Agreement-Routine

1 **CONCURRENCE:**  
2  
3  
4  
5

6 **APPROVED BY THE CALIFORNIA DEPARTMENT OF FISH AND GAME**  
7  
8 on 9/12, 2008.

9  
10  
11 *for* Andrew G. Gordus, Ph.D.  
12 W. E. Loudermilk, Regional Manager  
13 Central Region  
14  
15  
16  
17

18 **ACKNOWLEDGMENT**

19  
20 The undersigned acknowledges receipt of this Agreement and, by signing, accepts and  
21 agrees to comply with all terms and conditions contained herein. The undersigned also  
22 acknowledges that adequate funding shall be made available to implement the  
23 measures required by this Agreement.  
24

25  
26  
27  
28  
29 By: *goh y z*  
30 California Department of Transportation

Date: 9-9-08

1 Maintenance, and shall monitor the effectiveness of these measures.

2  
3 Caltrans shall submit the following Reports to the Department:

- 4
- 5 • Two (2) weeks prior to scheduled work - Maintenance Notification VRF (Exhibit 1),  
6 including Caltrans biological review and general wildlife surveys for each  
7 Maintenance Project.
  - 8
  - 9 • If required by the Department as a condition for concurrence- Surveys results and  
10 techniques for birds, fish, and/or threatened and endangered species.  
11
    - 12 ➤ At the end of each State fiscal year (July 1) - Annual Report summarizing all  
13 maintenance activities, including any problems relating to the protective  
14 measures of this Agreement, and before and after photographs of all work  
15 areas (if not previously submitted). An Annual Report shall be submitted  
16 whether or not maintenance work was conducted in that year.

17  
18 In addition to the above monitoring and reporting requirements, the Department  
19 requires as part of this MRP that Caltrans:

- 20
- 21 • Immediately notify the Department in writing if monitoring reveals that any of the  
22 protective measures were not implemented during the period indicated in this  
23 program, or if it anticipates that measures will not be implemented within the time  
24 period specified.
  - 25
  - 26 • Immediately notify the Department if any of the protective measures are not  
27 providing the level of protection that is appropriate for the impact that is occurring,  
28 and recommendations, if any, for alternative protective measures.

29  
30 **VERIFICATION OF COMPLIANCE:**

31  
32 The Department shall verify compliance with protective measures to ensure the  
33 accuracy of Caltrans' monitoring and reporting efforts. The Department may, at its sole  
34 discretion, review relevant Project documents maintained by Caltrans, interview  
35 Caltrans' employees and agents, inspect the Project area, and take other actions to  
36 assess compliance with or effectiveness of protective measures for the Project.

1  
2  
3  
4

# Exhibit 1

## Maintenance Notification VRF

Agreement No 2005-0097-R4  
Department of Transportation District 6  
Routine Maintenance Activities in Streams and Ponds-  
Madera, Fresno, Kings, Tulare, and Kern Counties



**DISTRICT 6 ENVIRONMENTAL  
1602 AGREEMENT  
MAINTENANCE NOTIFICATION  
VERIFICATION REQUEST FORM (VRF)**

<input type="checkbox"/> ORIGINAL REQUEST  <input type="checkbox"/> REVISION NO. _____
Date of Request

Name of Requestor: Cost Center (EA): Maintenance Region:	Phone Number: Cell Number/ Pager: E-Mail:
Project Start Date:	Project End Date:

Location of Work (County/Route/Postmile):

Name of Stream:

Nearest landmark, crossroad or other identifier:

Latitude/Longitude (in Degrees and Minutes):

Maintenance Activities	
<p style="text-align: center;"><u>Type of Work</u></p> <input type="checkbox"/> Sediment Removal (Amount of material to remove below obvious flow line <input type="checkbox"/> (cubic yards) <input type="checkbox"/> Sediment will be removed with Vactor <input type="checkbox"/> Equipment can work off bank <input type="checkbox"/> Equipment must work from within stream channel <input type="checkbox"/> Hand removal of vegetation <input type="checkbox"/> Tree Thinning <input type="checkbox"/> Tree Removal (By Hand) <input type="checkbox"/> Vegetation removal will include removal of roots <input type="checkbox"/> Herbicide to stump <input type="checkbox"/> Minor Bridge work (cleaning, painting etc.) <input type="checkbox"/> Repair of damaged RSP <input type="checkbox"/> Work will occur in live channel <input type="checkbox"/> Other:	<p style="text-align: center;"><u>Routine Work Requiring Variance</u></p> <input type="checkbox"/> Work will occur outside RMA Dates <input type="checkbox"/> Access to be created down to waterway <input type="checkbox"/> Other:
	<p><u>Equipment</u></p> <input type="checkbox"/> Chainsaw/Clippers <input type="checkbox"/> Backhoe/ grade-all <input type="checkbox"/> Excavator <input type="checkbox"/> Vactor <input type="checkbox"/> Other: Bobcat loader.

**Description of Proposed Maintenance Work**

**Section 2: Biological Information**

Area Biologist:  
Phone:  
E-Mail:

Field Review Conducted By:  
Date(s) of Biological Field Review:  
 Need CESA/FESA Consultation/ Species:  
 Known Sensitive Resources:  
 Restrictions on Work:  
Habitat Classification:

Comments:

Routine Work 10 days Notice  
 Urgent – minimum 2 day notice (describe urgency below)  
 Emergency – work to begin immediately (describe emergency below)  
Comments:

**Section 3: DFG Approval**

*In accordance with Provisions 1 and 2 of the Agreement between the California Department of Fish and Game (DFG) and the California Department of Transportation (Caltrans) for Routine Maintenance in waterways within/adjacent to State Right of Way for the purposes of protecting and maintaining the state highway system. Caltrans hereby notifies DFG of its intent to perform routine maintenance work within a waterway covered in the Agreement.*

**DFG Contact Information**  
Name: Laura Peterson-Diaz  
Phone Number: (559)243-4014 x225  
E-Mail: lpdiaz@dfg.ca.gov

Date Submitted to DFG by Caltrans:  
Date DFG Responded to Caltrans:

Notice of Concurrence  
 Work can begin as scheduled  
 Work can begin immediately  
 Notice of concurrence with conditions (See DFG comments below)  
 Work can begin as scheduled  
 Work can begin immediately  
 Notice of non-concurrence with comments (See DFG Comments below)

1  
2  
3  
4  
5

## **Exhibit 2, 3, 4, 5, 6, and 7**

### **Illustrations of Specific Exhibits as Described in the Stream Alteration Maintenance Agreement**

Agreement No. 2005-0097-R4  
Department of Transportation District 6  
Routine Maintenance Activities in Streams and Ponds-  
Madera, Fresno, Kings, Tulare, and Kern Counties

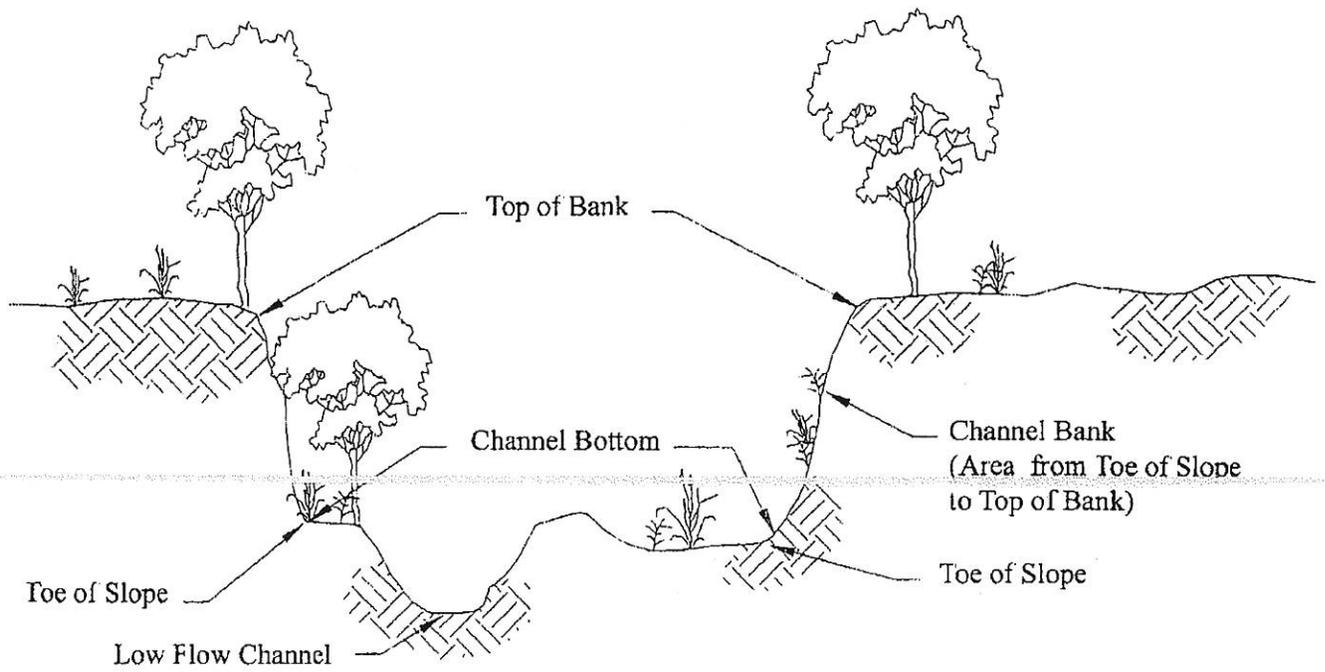


Exhibit 2 : Terms Defining Channel Structure  
(Cross-sectional view)

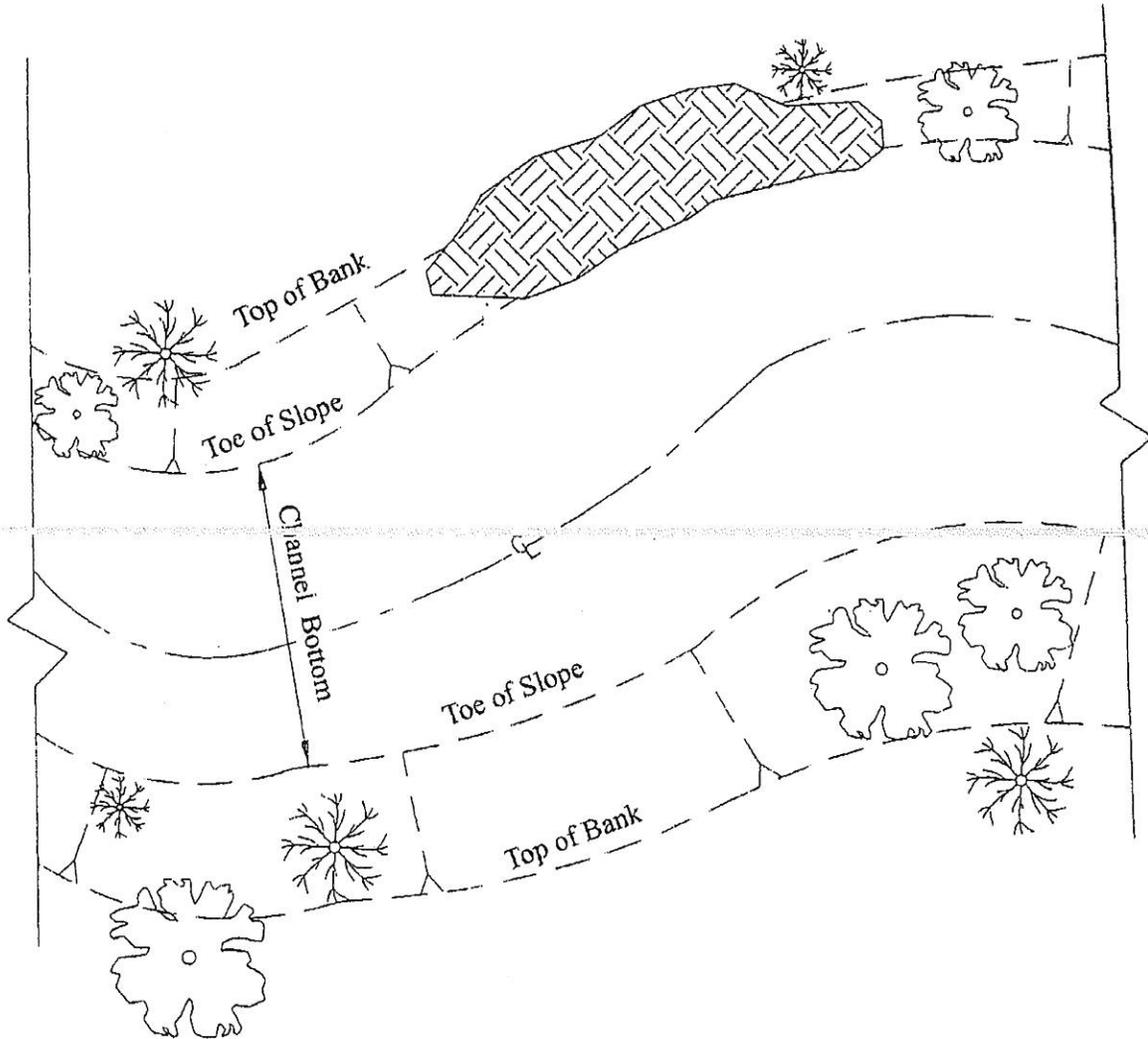


Exhibit 3: Terms Defining Channel Structure (Aerial view)

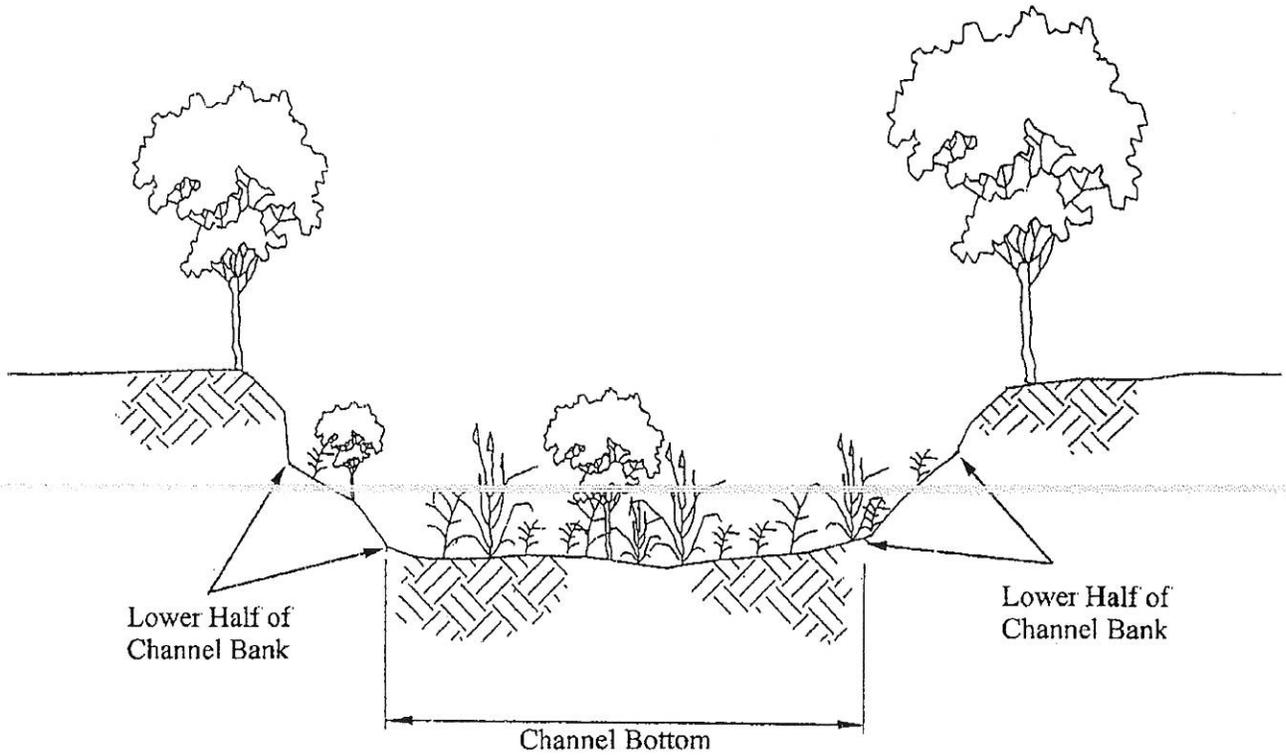


Exhibit 4: Control and Removal of Native Vegetation  
Limits of Work are Channel Bottom and Lower Half of Banks

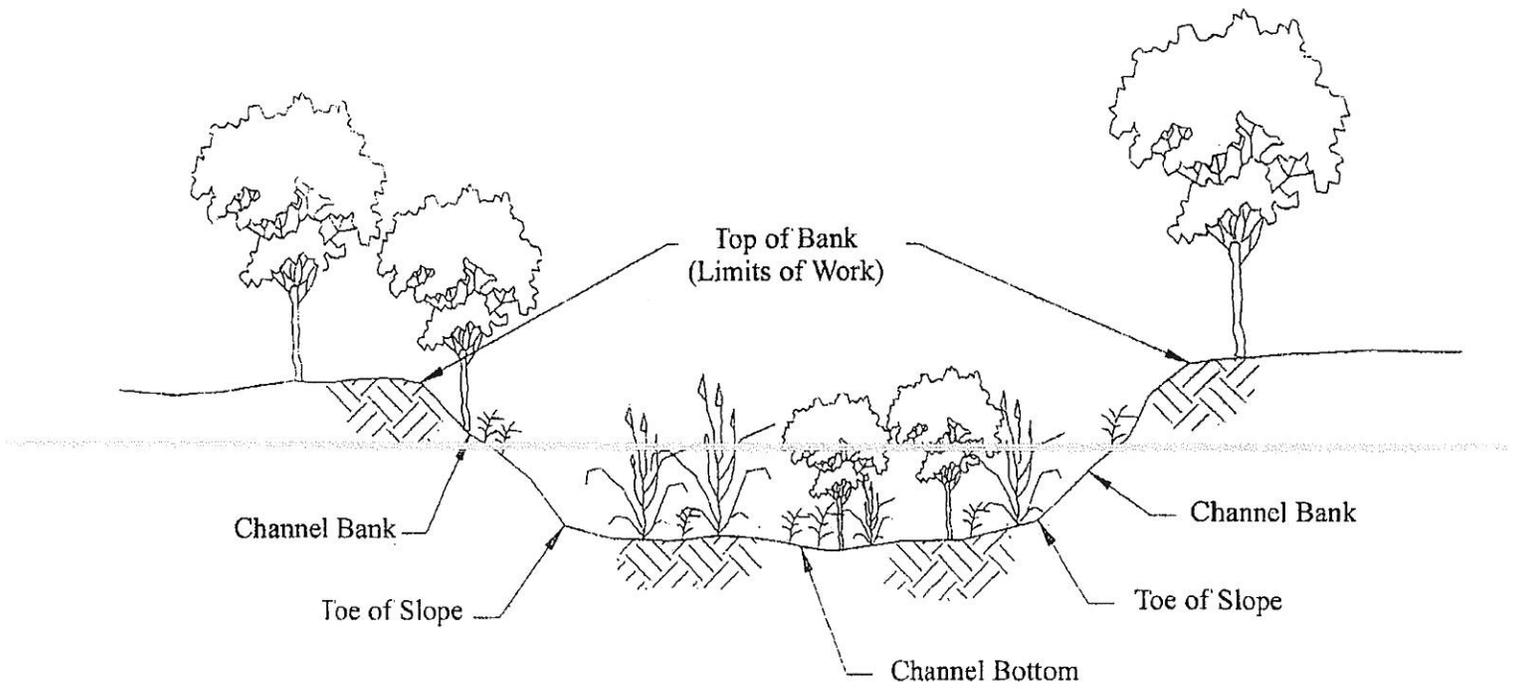


Exhibit 5: Control and Removal of Non-Native Vegetation

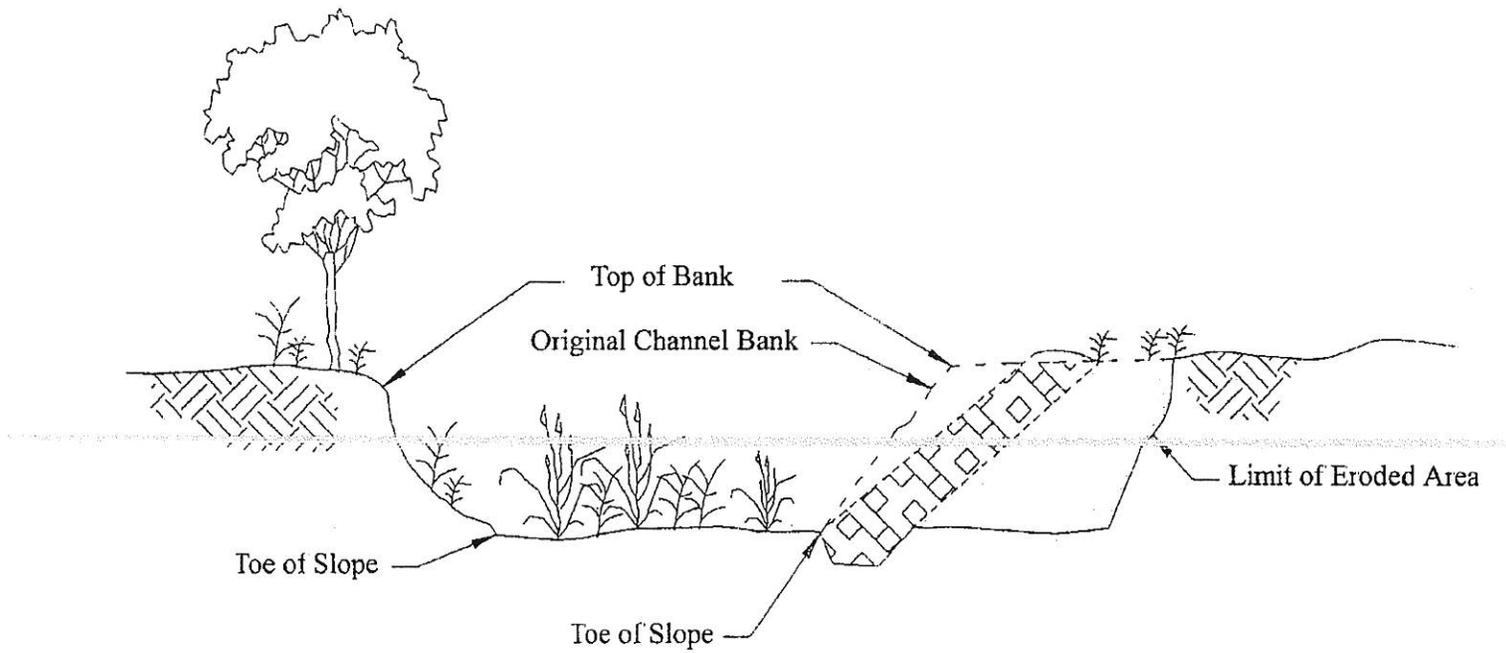


Exhibit 6: Minor Erosion Control Work

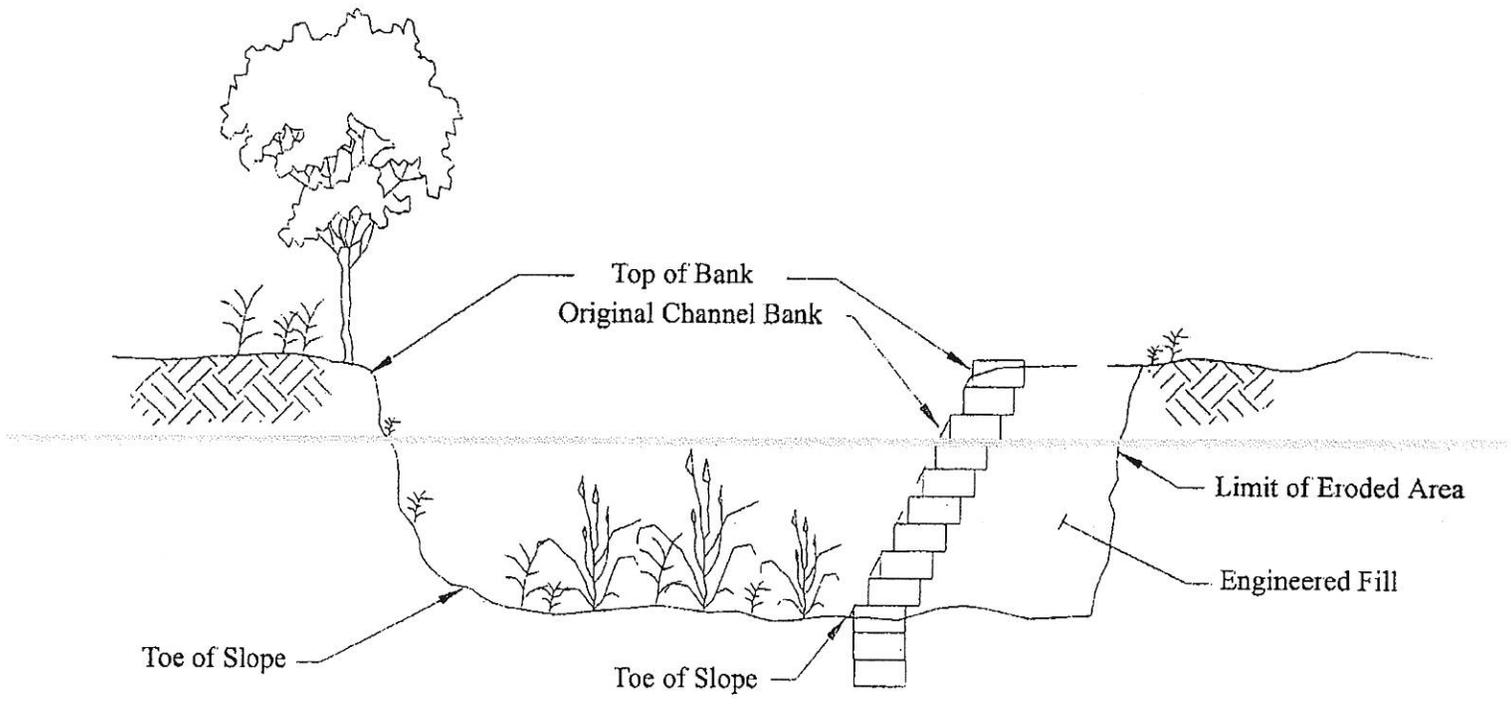


Exhibit 7: Minor Erosion Control Work