

# Agenda

**April 17, 2012**  
**10:30 am – 12:30 pm**

Caltrans  
 1120 N Street  
 Division of Budgets, Room 3555 (3rd  
 Floor)  
 Sacramento, CA 95814

Contact: Abhijit Bagde  
 (916) 654-3638

**Telephone Number: (712) 432-1438**  
**Participant Access Code: 932832#**

**Meeting called by:** Muhaned Aljabiry  
**Facilitator:** Abhijit Bagde  
**Recorder:** Bruce Abanathie

**Agenda Topics**

| Item | Description   | Time  | Presenter        |
|------|---|-------|------------------|
| 1    | Agenda  | 10:30 | Abhijit Bagde    |
| 2    | Ground Rules  | 10:35 | Abhijit Bagde    |
| 3    | Approval of 12/13/2011 meeting minutes  | 10:40 | Abhijit Bagde    |
| 4    | <b>Announcements and updates:</b> <ol style="list-style-type: none"> <li>1. CT Federal Programming Office staffing – (Handout No. 1) Muhaned Aljabiry</li> <li>1. 2012 STIP and 2012 SHOPP approvals – Lilibeth Green</li> <li>2. HBP, HSIP, HR3 and SRTS Project Listings for 2013 FTIPs – Abhijit Bagde</li> <li>3. 2013 FSTIP Resources – Caltrans Federal Programming’s Website – Lima Huy</li> </ol> | 10:45 | All              |
| 5    | <b>Follow-Up Items from last meeting:</b> <ol style="list-style-type: none"> <li>1. Stew Sonnenberg and Mike Brady to consult EPA on AQ exemption for Road Diets</li> </ol>   | 10:55 | Abhijit Bagde    |
| 6    | 2013 FTIP/FSTIP Development: <ol style="list-style-type: none"> <li>2. Schedule (Handout No. 2)</li> <li>3. Public Review for Draft FTIPs</li> <li>4. Amendments to 2013 FTIPs</li> </ol>   | 11:00 | Muhaned Aljabiry |
| 7    | Moving Ahead for Progress in the 21st Century (MAP-21) - Update   | 11:10 | Dan McKell       |
| 8    | CTIPS Development - Update  | 11:35 | Dennis Jacobs    |
| 9    | Division of Mass Transportation (DMT) Update: <ol style="list-style-type: none"> <li>1. DMT to complete the 5307 Lapse Fund report and forward a copy to Abhijit Bagde for distribution to CFPG</li> <li>2. DMT to complete the 5307 Governor’s Apportionment report, and forward a</li> </ol>  | 11:45 | Michael Lange    |

|    |   |       |                  |
|----|---|-------|------------------|
|    | copy to Abhijit Bagde, for distribution to CFPG   |       |                  |
| 10 | Use of Toll Credit for the STIP Projects from 2011 FTIPs (Handout No. 3)  | 11:55 | Abhijit Bagde    |
| 11 | CMAQ Project Eligibility Protocol   | 12:05 | Muhaned Aljabiry |
| 12 | <ul style="list-style-type: none"> <li>• Follow-Up Items</li> <li>• Open Forum</li> <li>• Future Agenda Items</li> </ul>  | 12:15 | All              |
| 13 | <b>Future meeting dates and locations:</b> <ul style="list-style-type: none"> <li>• June 5, 2012 MTC, Oakland (10:30 am – 12:30 pm)</li> <li>• July 17, 2012 SACOG, Sacramento (10:30 am – 12:30 pm)</li> </ul> | 12:25 | All              |

## California Federal Programming Group (CFPG)

Minutes for April 17, 2012:

### 1. Agenda:

Abhijit Bagde (CT Federal Programming Office) led the introduction of participants and reviewed the agenda

### 2. Ground Rules:

- Since there are phone participants, everyone who speaks should state his/her name and agency.
- Keep comments as brief as possible.
- Stick to the current agenda item. Additional items not in the agenda will be added to the end and will be discussed if time permits.
- Turn off cell phones and limit interruptions.
- This is a forum to hear everyone's concerns, comments and suggestions. Please make sure your voice is heard.
- Facilitator to ask before moving on to the next item if anyone on the phone has any additional comments on the item, then pause for a few seconds.
- Respond to follow-up items and meeting notices by the deadlines.
- Except for follow-up items, the minutes will include discussions that take place during the meeting only. If you do not want what you say during the meeting included in the minutes, state "off the record."
- **When not speaking, phone participants to keep their phones on mute if possible.**
- **Do not place conference call on hold. Please hang up and redial if you must take another call.**
- Meeting minutes to be distributed to the group with 10 days after the meeting.

**Bolded items were emphasized by Abhijit.**

### 3. Minutes of the Last Meeting: Abhijit Bagde

The final minutes of the December 13, 2011 meeting were provided via email prior to the meeting. There was a clarification on Item 11, CT delegation to MPO Executive Director for approving administrative modifications for the FSTIP."

The minutes stated that "*MPOs who are in process to obtain delegation from their MPO board shall include language in their board resolution to authorize MPO Executive Director to approve administrative modifications to FTIP and the FSTIP.*" Muhaned clarified that the MPO Boards need not delegate the authority to the Executive Director, but that was an option based on agency internal policy.

The intent of the minute entry was to state that the resolution needed to specify the "FSTIP" as opposed to the "FTIP" as the level of document being modified.

*\*Abhijit will make this modification and post the revised minutes on the CFPG Website. With no additional comments made, the minutes were accepted as corrected.*

#### **4. Announcements and Updates:**

a. CT Federal Programming Office staffing – Muhaned Aljabiry

Handout (#1) of the new staffing assignments was provided.

First, Gwyn Reese has filled the position vacated with the transfer of Kang Tang to District 4. Gwyn came from the Aeronautics Division. Gwyn will be concentrating on the apportionment and financial constraint tasks initially, will be learning the amendment and modification review process with other programming staff, and then will begin working with some MPOs.

Rachel Falsetti, Division Chief of Transportation Programming, has been temporarily assigned as the District 5 Director. Kurt Scherzinger will be the acting Chief during Rachel's absence. Kurt's position as Chief of Capital Programs will also be temporarily assigned.

Wade Hobbs, FHWA, announced that the Director of Planning and Air Quality position, vacated by the retirement of Sue Kiser, has been filled by Jermaine Hannon. Jermaine's position will also be filled on an acting basis until further notice.

Michael Lange introduced the new Chief for Mass Transit Grants Office, Mark Codey (replacing Kimberly Gayle).

b. 2012 STIP and 2012 SHOPP Approvals – Lilibeth Green

Lilibeth informed the group that the 2012 STIP and SHOPP had been approved by the CTC and that the STIP is available in CTIPS. The 2012 SHOPP is uploaded, but the reports are not quite complete. The reports will be available shortly.

The Programming Office will also be sending out the SHOPP programming information in May that should be used to develop the 2013 FTIP.

Jose-Luis Caceras (SACOG) mentioned that in District 3, there are SHOPP projects that are programmed in the later years of the FTIP, but the District wants to start on the preliminary engineering (PE) phase this year. Abhijit noted that this is also the case in other districts. Caltrans is working on a process through the project management division and the districts to begin the support of the PE phase of the projects by adding them to the capital outlay financial support. This inclusion will be the financial constraint information the regions need to advance the support costs of the SHOPP projects into the current FTIP, while leaving the capital construction costs in their approved year.

Sri Srinivasan (MTC) asked if the project management would be submitting a revised SHOPP backup list. Sri noted that we have an established system and the more that we remain consistent with that system, the less likely we are to create an error in programming. Abhijit noted that not all of the projects in a region would be affected by this issue, that they are still working on the process, but that they are going to rely on the Districts to communicate the changes approved by the project management office to the regions

c. HBP, HSIP, HR3, and SRTS Project Listings for 2013 FTIPs – Abhijit Bagde

All of the safety programming information has been provided. Only the HBP must be amended into the 2011 FTIP as well as the 2013 FTIP, the remaining lists need only be programmed into the 2013 FTIP. Yin Ping Lee (Ct-DLA) noted that they will be announcing a call-for-projects for the HSIP and HR3 with applications due in July and a new list for beyond 2013 available by the fall.

Sam Kaur (SJCOG) noted that they want to amend their HBP backup list in the 2011 FTIP and asked if it was possible to move projects into the current year for obligation even though the funding is not available until a later year. Yin Ping stated that this was possible, that the safety programs were operating under a first come first served basis. Although the state does not have the full year's apportionment, they are still trying to obligate projects submitted until the apportionment runs out.

d. 2013 FSTIP Resources – Caltrans Federal Programming's Website – Lima Huy

A number of items that will be useful to the regions in developing the 2013 FTIP are available on the programming website (i.e. timeline, financial constraint, checklist). Also, MPOs that change the web location of their amendments and modifications need to notify their FTIP Coordinator of the change and give them the site.

**5. Follow-up Items from Last Meeting – Abhijit Bagde**

a. Mike Brady (CT) and Stew Sonnenberg to consult with EPA an AQ Exemption for Road Diets.

Mike and Wade noted that the information to answer this issue is still not available. Mike noted that the classification of the roadway, the modeling criteria, and whether or not the project would be considered regionally significant will affect the response by EPA.

*\*Mike will add this item to the next Conformity Working Group Agenda and Abhijit will send out the MTC information so that other regions may also ask the question of EPA.*

**6. The 2013 FTIP/FSTIP Development – Muhaned Aljabiry**

a. Schedule (Handout No. 2)

Muhaned discussed the 2013 FTIP schedule. We are currently ahead of schedule in providing the resources for the 2013 FTIP development. Public Review for Draft FTIPs Muhaned emphasized the need for the MPOs to send a copy of the draft FTIP when it is released for public review.

b. Amendments to 2013 FTIPs

It is also necessary to submit any amendments to Caltrans and have all information entered into CTIPS by the October 1, 2012 FTIP submission date.

**7. Moving Ahead for Progress in the 21st Century (MAP-21) - Update – Dan McKell**

Dan McKell (Caltrans Federal Liaison) noted that MAP 21 was passed by the Senate, but cannot be enacted because it is a revenue bill and revenue bills can only be enacted by the House of Representatives. The best function for this bill is to become part of a conference committee for negotiation toward a final transportation reauthorization.

The house bill has had several hundred amendments recommended, but is pretty much in disarray. The house passed a clean extension of SAFETEA-LU to June 30, 2012.

Today a bill is being addressed at the rules committee that would extend SAFETEA-LU to the end of the FY and to act as a vehicle to initiate the conference committee. The content of this bill will be the only issues to be part of the negotiations. HR 7 (the House transportation bill) would not be part of the negotiations.

Dan stated that CA is looking at what new legislation will be needed to meet the dictates, manage, and implement the federal act. Caltrans and CTC are looking at what changes need to be made to meet status quo – the least of the evils.

Muhaned asked about discussion groups and other opportunities for additional input. Caltrans wants to work with the larger transportation community after the initial legislative reviews and assessments are done. Abhijit asked about any schedule for the completion of this work. Dan stated that there was no specific schedule and the goal seems to be a moving target with the Senate and House changing their direction and priorities during the process.

Caltrans may have further opportunity to recommend changes to the legislation during the conference phase. The recommendations will be based on the 2009 statewide principles developed through the consensus group and approved by the Governor.

Bruce asked about the risk of transit and CMAQ funding being modified to the HR 7 segregation. Dan stated that there is not indication of how this would work out. Bruce also asked about the potential membership to the conference committee, because we have a central valley representative that is on the T&I Committee in the House.

Muhaned asked that the programming office be involved in any of the conversations.

#### **8. CTIPS Development Update – Dennis Jacobs**

For regions that enter data directly into CTIPS. The data can be entered without changing the 2011 FTIP information and make the amending of the 2011 FTIP much easier than in the past. Once FHWA approves the 2013 FSTIP, the draft will become the official FSTIP. The next step is to develop a tool that will generate the financial constraint document for the FTIP.

#### **9. Division of Mass Transportation (DMT) Update – Michael Lange**

Michael Lange (Div. of Mass Trans) discussed (and provided a handout of) the lapsed 5307 funds; funds that have not been obligated by regions and returned to the Governor for redistribution throughout the state. Nothing is currently at risk of permanent loss. Michael is working on establishing what agencies the funds came from.

Sam Kaur asked about the longevity of the funds; some have lapse dates of 2065. Wade noted that like other federal funds that the funds in question are good for the year of apportionment and the three subsequent years. With that Wade supposed that these are probably obligated but not used. Bruce noted that even with obligation they still have a shelf life of only ten years. Michael stated that these must be other than the usual 5311, 5307, etc fund types. Jose-Luis offered that these may have originated as flex fund transfers that met their FHWA shelf life by being transferred to FTA, but then were not used and became “stale” and are now part of this list. Although the funds are technically returned to the Governor, they are still in the FTA bank.

Muhaned asked if there was a process to follow to utilize these funds. Michael stated that they will identify the fund types, the source of the funds (region), and try to use the funds for their intended purpose within transit projects.

Sri asked about assigning the funds to projects. Michael stated that the funds can be assigned to existing FTA grants and projects prior to their year of lapse.

#### **10. Use of Toll Credit for the STIP Projects from 2011 FTIPs (Handout No. 3) – Abhijit Bagde**

Abhijit stated that Caltrans has provided direction that toll credits can be used for the development of the 2013 FTIP in the same way that they are used for the 2011 FTIP. The Programming Office has been notified by the Division of Local Assistance that they would be obligating STIP projects that have a ROW or CON cost of more than \$1.0 million at 100% federal funds using toll credits. This information was provided to the regions in a letter (March 21, 2012) that requested the MPOs to process an administrative modification to their 2011 FTIP to change the funding of the projects to STIP-AC to reflect this update.

Sam asked if the STIP office would use the corrected fund type in preparing the projects for the 2013 FTIP. Abhijit stated that the STIP office has not stated that they would do so, that it would be the programmers' responsibility to make this change. MPOs must look at each STIP project and decide if the fund type needs to be changed. Abhijit referred everyone to the guidance provided at the December CFPG meeting. Muhaned clarified that MPOs should only change projects that are eligible for federal funding and are over the threshold. Also note that toll credits are being used in the project.

Jose-Luis requested clarification between advancing STIP projects and the fund type STIP-AC. Abhijit explained that the STIP-AC is a federal fund source and requesting early allocation of a STIP project is simply an allocation.

Sam asked for clarification on the financial entry of the federalized projects (STIP vs STIP-AC). Abhijit stated that the funding for these projects would be listed as "STIP" on the financial pages. Sam asked about a project that has a SB 184 approval (advanced construction approval). Lilibeth Green noted that the website has a description of all of the fund types and how to categorize funding within the categories.

Eddie Wendt (TCAG) asked for further clarification on the actual memo from March 21, 2012. Abhijit clarified that the description of the process should cover all affected projects. If there are questions about specific projects – please your contact FTIP Coordinator from Caltrans Federal Programming Office.

#### **11. CMAQ Project Eligibility Protocol – Muhaned Aljabiry**

Muhaned asked for the help of the MPOs in the eligibility determination process. MPOs are the first line in the process for determining eligibility of a potential CMAQ project. If the MPO has a question, Caltrans Programming Staff is the second line of clarification. However, lately, local agencies and Caltrans District staffs have been going directly to FHWA with eligibility questions. Muhaned requests that the MPOs contact their respective local agencies and let them know that the MPO is the first line of clarification for CMAQ eligibility and encourage them to go through the MPO rather than going to FHWA or even Caltrans.

Bruce asked if the Programming Office was communicating with the affected MPOs in these events. Muhaned stated that they send the question to the appropriate MPO and offer any

help with clarification that the MPO cannot provide to the local agency.

### **12a. Follow up Items**

3. *Abhijit will make this modification and post the revised minutes on the CFPG Website*
5. *Mike will add this item to the next Conformity Working Group Agenda and Abhijit will send out the MTC information so that other regions may also ask the question of EPA.*
9. *Division of Mass Trans will continue to follow up and clarify the information for the lapsed transit funding.*
9. *Abhijit will send the DMT spreadsheet discussed during the meeting.*

### **12b. Open Forum**

Janette Fabella (StanCOG) noted problems with the Buy America issue that is affecting obligations. Everyone in the room agreed that this is a problem state wide. Bruce noted the information that we received from Jermaine at the RTPA meeting. Jermaine noted that the CA Division cannot help with this issue; the change was made at the DOT level. FHWA has made it clear also that they will not provide a categorical exclusion for vehicle purchases – even though they know that no AFV meets the Buy America requirements.

Ivan Garcia (BCAG) stated that Butte is looking to borrow \$6 million in CMAQ funds. They prefer either 11-12 or 12-13 funds.

Mike Brady (Caltrans Env) announced that Imperial County would almost certainly be under sanctions in August. Practically all Title 23 funding will be cut off. There is a big working group to try to resolve this issue. Abhijit asked that the Programming Office be included in the process. Sam asked what triggered the projects to be on the list. Mike noted that the project exemptions are different than those for conformity.

Yin Ping (DLA) noted that they are going to a call-for-projects for safety programs and asked about the project selection for Imperial County.

Jose-Luis asked about the term on sanctions. Mike stated that there is no exact time that the time it takes to satisfy the SIP will determine the time of sanctions.

Bruce noted that the RSTP exchange was being planned for 75% of the funds due to the federally limited OA available. We would request that DLA hold back for one week while we see if Congress passes the proposed 90 day extension that would release the remaining 25% OA. If not movement at the federal level happens within one week then DLA should go forward with the exchange. Ivan also asked if there could be an option for an exchange with the caveat that if an extension is passed after the agreements, that we could add the 25% to the exchange without another full agreement process.

Bruce also noted that there has been some confusion with post-programming changes. Some Districts are referring local agencies and MPOs to the programming office and asking if there is an amendment to support the fund change. We are asking that the Programming Office and the DLA have some communication about where programming and post programming separate.

An additional discussion was held about the requirement to carry prior year projects in order to have unpaid invoices approved in future years. Abhijit and Muhaned will have conversations within Caltrans and Wade will continue to work with FHWA financial offices.

Ted Matley noted the difference in FTA maintenance/fuel projects.

**12c. Future Agenda Items**

**13. Future Meeting Dates**

July 17, 2012 @ SACOG

**Handout No. 1 for Item No. 4-1**



**Division of Transportation Programming  
Office of Federal Transportation Management Program**

**Staff Assignment as of April 2, 2012**

|                         |                     |
|-------------------------|---------------------|
| <b>Muhaned Aljabiry</b> | <b>Office Chief</b> |
|-------------------------|---------------------|

916-654-2983  
muhaned\_aljabiry@dot.ca.gov

|                      |                                |
|----------------------|--------------------------------|
| <b>Abhijit Bagde</b> | <b>FTIP/FSTIP Coordinator:</b> |
|----------------------|--------------------------------|

916-654-3638  
Abhijit\_Bagde@dot.ca.gov

- AMBAG (Association of Monterey Bay Area Governments)  
(SCRTC, TAMC, SBCOG)
- MTC (Metropolitan Transportation Commission)
- StanCOG (Stanislaus Council of Governments)
- SCAG (Southern California Association of Governments)  
(SBCTC, RCTC, IVAG, OCTA, LAMTA, VCTC)
- TMPO (Tahoe Metropolitan Planning Organization)
- California Federal Programming Group (CFPG) Coordinator
- State Managed Programs Coordinator

|                       |                                |
|-----------------------|--------------------------------|
| <b>Lilibeth Green</b> | <b>FTIP/FSTIP Coordinator:</b> |
|-----------------------|--------------------------------|

916-654-3521  
Lilibeth\_green@dot.ca.gov

- Rural Non-MPO Counties (Amador, Alpine, Tuolumne, Mariposa, Del Norte, Lassen, Modoc, Plumas, Siskiyou, Tehama, Colusa, Glenn, Nevada, Sierra, Trinity, Mono and Inyo LTCs Humboldt CAG, Lake, Calaveras, and Mendocino.
- Air Quality Coordinator

|                      |                                |
|----------------------|--------------------------------|
| <b>Dennis Jacobs</b> | <b>FTIP/FSTIP Coordinator:</b> |
|----------------------|--------------------------------|

916-654-4447  
dennis\_jacobs@dot.ca.gov

- BCAG ( Butte County Area of Governments)
- Fresno COG (Fresno Council of Governments)
- KCOG (Kern Council of Governments)
- SANDAG ( San Diego Association of Governments)
- SACOG (Sacramento Area Council of Governments)  
El Dorado and Placer LTCs
- SLOCOG (San Luis Obispo Council of Governments)
- TCAG (Tulare Council of Governments)
- FSTIP Database Project Coordinator
- CMAQ Project Eligibility ( BCAG, KCOG, FCOG, SACOG, SANDAG, STANCOG, TCAG)

|                 |                                |
|-----------------|--------------------------------|
| <b>Lima Huy</b> | <b>FTIP/FSTIP Coordinator:</b> |
|-----------------|--------------------------------|

916-651-7411  
Lima\_huy@dot.ca.gov

- KCAG (Kings County Association of Governments)
- MCAG (Merced County Association of Governments)
- MCTC (Madera County Transportation Commission)
- SBCAG (Santa Barbara Council of Governments)
- SCRTPA (Shasta)
- SJCOG ( San Joaquin Council of Governments)
- FSTIP Public Participation Process Coordinator
- CMAQ Program Administrator
- CMAQ Project Eligibility (KCAG, MCAG, MCTC, MTC, SCAG, SJCOG, TMPO, Rural Non-MPO)

|                   |                                |
|-------------------|--------------------------------|
| <b>Gwyn Reese</b> | <b>FTIP/FSTIP Coordinator:</b> |
|-------------------|--------------------------------|

916-654-5521  
gwyn\_reese@dot.ca.gov

- Apportionments Distributions
- Annual Reports
- FSTIP Financial Constraint

Recent changes are highlighted in yellow

## **Handout No. 2 for Item No. 6-2**

2013 Federal Transportation Improvement Program (FTIP)/  
Federal Statewide Transportation Improvement Program (FSTIP)  
Development Schedule

| Activity # | MAJOR TASKS   | Who                      | Target Completion Date | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Comments   |
|------------|---|--------------------------|------------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|--|
| 1          | FTIP/FSTIP Development  | Caltrans/MPO             | 11/15/2012             |     |     |     |     |     |     |     |     |     |     |     |     |  |
| 2          | Submit 2012 SHOPP to CTC  | Caltrans                 | 1/15/2012              |     |     |     |     |     |     |     |     |     |     |     |     | Estimated date per SHOPP Program   |
| 3          | FTIP/FSTIP Workshop   | Caltrans, MPOs, FHWA/FTA | 1/18/2012              |     |     |     |     |     |     |     |     |     |     |     |     | Scheduled for Jan 17-18, 2012 per 2012 STIP Schedule   |
| 4          | CTC Staff Recommendations for 2012 STIP                                       | CTC                      | 3/9/2012               |     |     |     |     |     |     |     |     |     |     |     |     | per 2012 STIP Schedule   |
| 5          | CTC Adoption of 2012 STIP   | CTC                      | 3/28/2012              |     |     |     |     |     |     |     |     |     |     |     |     | per March 28, 2012 CTC Meeting Agenda  |
| 6          | CTC Approval of 2012 SHOPP  | CTC                      | 3/28/2012              |     |     |     |     |     |     |     |     |     |     |     |     | Estimated date per STIP Program  |
| 7          | 2012 STIP Available in CTIPS  | Caltrans                 | 5/1/2012               |     |     |     |     |     |     |     |     |     |     |     |     | Estimated date per SHOPP Program   |
| 8          | 2012 SHOPP Available in CTIPS   | Caltrans                 | 5/1/2012               |     |     |     |     |     |     |     |     |     |     |     |     |  |
| 9          | DRAFT 2013 FTIPs to Caltrans  | MPOs                     | 9/3/2012               |     |     |     |     |     |     |     |     |     |     |     |     | 9/3/2012 - final deadline per 2013 FTIP/FSTIP Schedule<br>Note: Dates vary by MPO. Draft FTIPs should be submitted to State/Feds at the start of the FTIPs public review period. |
| 10         | Submit 2013 FTIP Amendments to Caltrans                                       | MPOs                     | 10/1/2012              |     |     |     |     |     |     |     |     |     |     |     |     | Amendments submitted by this date will be included in the Draft 2013 FSTIP   |
| 11         | Submit 2013 FTIP Financial Summary Tables                                     | MPOs                     | 10/1/2012              |     |     |     |     |     |     |     |     |     |     |     |     | MPO must submit in Excel format.   |
| 12         | Final 2013 FTIPs to Caltrans  | MPOs                     | 10/1/2012              |     |     |     |     |     |     |     |     |     |     |     |     | Per 2013 FTIP/FSTIP Schedule   |
| 13         | Final 2013 FTIP (including Amendments) loaded in CTIPS 2012 Draft FTIP Module | MPOs                     | 10/1/2012              |     |     |     |     |     |     |     |     |     |     |     |     | MPO must approve their 2013 FTIP (plus amendments) in DRAFT FTIP module only.  |
| 14         | Post Final 2013 FTIP on MPO's website   | MPOs                     | 10/5/2012              |     |     |     |     |     |     |     |     |     |     |     |     | Must be posted before the FSTIP PP schedule  |
| 15         | Start of DRAFT 2013 FSTIP Public Participation                                | Caltrans                 | 10/8/2012              |     |     |     |     |     |     |     |     |     |     |     |     | 21-day public participation - based on 2013 FTIP/FSTIP schedule  |
| 16         | End of DRAFT 2013 FSTIP Public Participation                                  | Caltrans                 | 10/29/2012             |     |     |     |     |     |     |     |     |     |     |     |     | Per 2013 FTIP/FSTIP Schedule   |
| 17         | Address Public Comments   | Caltrans                 | 11/12/2012             |     |     |     |     |     |     |     |     |     |     |     |     | Per 2013 FTIP/FSTIP Schedule   |
| 18         | FSTIP Submittal to FHWA/FTA   | Caltrans                 | 11/15/2012             |     |     |     |     |     |     |     |     |     |     |     |     | Per 2013 FTIP/FSTIP Schedule   |
| 19         | FSTIP Federal Approval  | FHWA/FTA                 | 12/17/2012             |     |     |     |     |     |     |     |     |     |     |     |     | Per 2013 FTIP/FSTIP Schedule   |
| 20         | 2013 FSTIP Available in CTIPS   | Caltrans                 | 12/17/2012             |     |     |     |     |     |     |     |     |     |     |     |     | CTIPS Transfer from Draft FTIP to Current FTIP   |

CALTRANS  
CTC  
MPO  
FHWA/FTA

## **Handout No. 3 for Item No. 10**

Abhijit  
Bagde/HQ/Caltrans/CAGov  
03/21/2012 01:43 PM

To ajensen@co.shasta.ca.us, AYALA@scag.ca.gov,  
BPatel@ambag.org, Bruce.Abanathie@co.kings.ca.us,  
derek@maderactc.org, Ewendt@co.tulare.ca.us,  
cc Bill Sandoval/HQ/Caltrans/CAGov@DOT, Susan  
Harrington/HQ/Caltrans/CAGov@DOT, Daniel  
Burke/HQ/Caltrans/CAGov@DOT, Fardad  
bcc  
Subject Use of Toll Credit for the STIP Projects from 2011 FTIPs

Dear MPO FTIP Coordinator,

At the December 13, 2011 CFPG meeting, Caltrans presented guidelines for using Toll Credits (TCs) for various federal and state programs. It was also stated that TCs can be used for the current FFY 2011/12, and also for the development of the 2013 FTIP for the eligible projects/programs.

As indicated in the note below, Caltrans Local Assistance will be authorizing eligible STIP projects at 100% federal (for the federally participating costs) using TCs. Using the Guidelines below, please process an administrative modification (to the current 2011 FTIP) at your earliest to program eligible STIP projects with 100 % federal funding using TCs . Also note in the project description if TCs are used.

Thank you.

**Guidelines :**

1. In general, STIP projects with the construction cost (excluding support costs) and/or the right of way cost (excluding support costs) greater than \$1 million are eligible to receive TCs. However, TCs shall not be used if the non-federal matching requirement has already been met with other non-federal funds.
2. For projects eligible to receive TCs, STIP - IIP or RIP funding portion of the project (including all support and capital costs) shall be programmed with 100% "STIP-AC" fund type (flexible federal fund type) shown below using TCs. Any non STIP project funding (e.g. Prop 1 B, Local Funds) shall be programmed in accordance with the STIP funding details per CTIPS.
3. Projects with the construction cost (excluding support costs) and/or the right of way cost (excluding support costs) less than \$1 million, all STIP - IIP or RIP funding portion of the project shall be programmed with 100% "STATE CASH" fund type shown below. (Unless the project is multi-funded with STIP and other federally eligible Local Assistance funding such as CMAQ, DEMO, etc..., in which case all STIP funds shall be programmed with 100% "STIP-AC").
4. TE projects (RIP and IIP) can be programmed as 100% federally funded projects using TCs.
5. Use the fund types below.
  1. STIP AC:

Fund Table Manager

Edit Fund Type

Fund Type Details

|   |                           |   |
|---|---------------------------|---|
| Fund ID                                     | Fund Name                 | Detailed Fund Description   |
| STIP-AC                                     | STIP Advance Construction | Fund type to be used for funded with federal fund FEDERAL PARTICIPATI |
| <input type="checkbox"/> Blended Fund Type  |                           | Type  |
| Match %                                     | Match Fund                | <input checked="" type="radio"/> Federal                              |
| ?   |                           | <input type="radio"/> State   |
|   |                           | <input type="radio"/> Local   |
| <input type="checkbox"/> Archived Fund Type |                           | <input type="checkbox"/> State Highway Account                        |

Program Category(s) Containing this Fund Type

|           |  |
|-----------|--|
| IIP       | Select Category to Add   |
| IPP Prior |  |
| RIP       |  |
| RIP Prior |  |
|           | <input type="button" value="Add"/> <input type="button" value="Delete"/> |

MPO Filter

- AMBAG
- MTC
- Shasta
- Kings

Delete Fund Type

Add Fund Type

---

State\_overdue

Record: 1/46

Exclusive

2. STATE CASH:

Fund Table Manager

Edit Fund Type

Fund Type Details

| Fund ID | Fund Name  | Detailed Fund Description  |
|---------|------------|--|
| ST-CASH | State Cash | State fuel tax funds. Co (Match %: 11.47%). Cal approval is granted (ie. State cash can also use narrow circumstances. |

Blended Fund Type

| Match % | Match Fund |
|---------|------------|
| ?       | 0.00%      |

Type

- Federal
- State
- Local

Archived Fund Type     State Highway Account

Program Category(s) Containing this Fund Type

|                             |   |
|-----------------------------|---|
| CT Minor Pgm.               | Select Category to Add<br><input type="text"/><br>Add    Delete |
| Calculated State Match      |   |
| GF IIP                      |   |
| GF IIP Prior                |   |
| GF RIP                      |   |
| GF RIP Prior                |   |
| Highway Bridge Program - St |   |
| Highway Maintence           |   |
| IIP                         |   |

MPO Filter

- AMBAG
- MTC
- Shasta
- Kings

Delete Fund Type

Add Fund Type

State\_overdue

Record: 1/46

Exclusive

3. TE:

Fund Table Manager

Edit Fund Type

Fund Type Details

|   |                          |   |  |
|---|--------------------------|---|--|
| Fund ID                                     | Fund Name                | Detailed Fund Description   |  |
| STPE-S                                      | STP Enhancement          | Surface Transportation Enhancement Activities funds, it is 100% federal local funds to provide th |  |
| <input type="checkbox"/> Blended Fund Type  |                          | See <a href="http://www.fhwa.dot.gov">http://www.fhwa.dot.gov</a>                                 |  |
| <input type="checkbox"/> ?                  | Match % 0.00% Match Fund |   | Type   |
|   |                          |   | <input checked="" type="radio"/> Federal<br><input type="radio"/> State<br><input type="radio"/> Local |
| <input type="checkbox"/> Archived Fund Type |                          | <input checked="" type="checkbox"/> State Highway Account   |  |

Program Category(s) Containing this Fund Type

|  |  |
|--|--|
| GF IIP Prior<br>GF RIP<br>GF RIP Prior<br>IIP<br>IPP Prior<br>Other State<br>RIP<br>RIP Prior<br>SHOPP - TEA | Select Category to Add<br><input type="text"/><br>Add Delete |
|--|--|

MPO Filter

- AMBAG
- MTC
- Shasta
- Kings

Delete Fund Type

Add Fund Type

State\_overdue

Record: 1/46

Exclusive

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----- Forwarded by Abhijit Bagde/HQ/Caltrans/CAGov on 03/21/2012 01:34 PM -----

Rihui  
Zhang/HQ/Caltrans/CAGov  
03/20/2012 09:17 PM

To AreaEngineers, Muhaned  
Aljabiry/D04/Caltrans/CAGov@DOT  
cc Abhijit Bagde/HQ/Caltrans/CAGov@DOT, Bill  
Sandoval/HQ/Caltrans/CAGov@DOT, Susan  
Harrington/HQ/Caltrans/CAGov@DOT, Daniel  
Burke/HQ/Caltrans/CAGov@DOT, DLAEs, Assistant AEs,  
Fardad Falakfarsa/HQ/Caltrans/CAGov@DOT, Kimberlee  
Handren/HQ/Caltrans/CAGov@DOT  
Subject Use of Toll Credit for STIP Projects and FTIP/FSTIP  
Administrative Modifications of STIP Projects

Area Engineers:

Effective immediately, please use toll credit when requesting allocations of federalized STIP projects so that they will be funded at 100% federal share for federally participating cost. I understand that some MPOs did not program their STIP projects as using toll credit in the FTIP. In our discussion with Transportation Programming, Muhaned Aljabiry, Chief of Federal Programming, agreed to require MPOs to process necessary administrative modifications to reflect 100% federal and the use of toll credit.

Please let me know if you have any questions.

Muhaned:

Please communicate with MPOs on this matter regarding FTIP programming of STIP projects. Please let me know if I missed anything in my above statements.

Thank you.

Ray

## **Handout No. 4 for Item No. 9-1**

State of California FTA Remaining Balances FFY 2012

DRAFT

| UZA Name   | UZA   | ACC           | Program    | ffy  | app | sec | lim | LapseYr | UnObBal   |
|------------|-------|---------------|------------|------|-----|-----|-----|---------|-----------|
| CALIFORNIA | 60000 | 2000.21.90.SX | STP        | 2000 | 21  | 90  | SX  | 2050    | 600       |
| CALIFORNIA | 60000 | 2001.21.90.CX | CMAQ       | 2001 | 21  | 90  | CX  | 2050    | 291,013   |
| CALIFORNIA | 60000 | 2001.21.90.SX | STP        | 2001 | 21  | 90  | SX  | 2050    | 124       |
| CALIFORNIA | 60000 | 2001.23.81.MM | Metro Plan | 2001 | 23  | 81  | MM  | 2061    | 77        |
| CALIFORNIA | 60000 | 2002.21.90.CX | CMAQ       | 2002 | 21  | 90  | CX  | 2050    | 190,549   |
| CALIFORNIA | 60000 | 2002.21.90.SX | STP        | 2002 | 21  | 90  | SX  | 2050    | 569       |
| CALIFORNIA | 60000 | 2003.21.90.CX | CMAQ       | 2003 | 21  | 90  | CX  | 2057    | 222,400   |
| CALIFORNIA | 60000 | 2003.21.90.SX | STP        | 2003 | 21  | 90  | SX  | 2057    | 6,048     |
| CALIFORNIA | 60000 | 2004.21.90.CX | CMAQ       | 2004 | 21  | 90  | CX  | 2058    | 1,087,653 |
| CALIFORNIA | 60000 | 2004.21.90.SX | STP        | 2004 | 21  | 90  | SX  | 2058    | 524,460   |
| CALIFORNIA | 60000 | 2005.21.90.CX | CMAQ       | 2005 | 21  | 90  | CX  | 2059    | 221,216   |
| CALIFORNIA | 60000 | 2005.21.90.SX | STP        | 2005 | 21  | 90  | SX  | 2059    | 4,537,001 |
| CALIFORNIA | 60000 | 2006.25.90.CX |            | 2006 | 25  | 90  | CX  | 2060    | 7,492     |
| CALIFORNIA | 60000 | 2008.45.95.CX | CMAQ       | 2008 | 45  | 95  | CX  | 2062    | 860,335   |
| CALIFORNIA | 60000 | 2008.45.95.SX | STP        | 2008 | 45  | 95  | SX  | 2062    | 1,200,000 |
| CALIFORNIA | 60000 | 2010.45.85.CX |            | 2010 | 45  | 85  | CX  | 2063    | 94,000    |
| CALIFORNIA | 60000 | 2011.25.81.SS |            | 2011 | 25  | 81  | SS  | 2061    | 663,851   |
| CALIFORNIA | 60000 | 2011.45.85.CX |            | 2011 | 45  | 85  | CX  | 2064    | 220,000   |
| CALIFORNIA | 60000 | 2011.45.95.CX |            | 2011 | 45  | 95  | CX  | 2065    | 2,919,951 |