State of California
DEPARTMENT OF TRANSPORTATION

California State Transportation Agency

Memorandum

Tab 21

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: October 8, 2014

Reference No.: 3.10
Information Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: James E. Davis, Chief
Division of Project Management

Subject: **FY 2013-14 FOURTH QUARTER PROJECT DELIVERY REPORT**

Attached is the California Department of Transportation's Fiscal Year 2013-14 Fourth Quarter Project Delivery Report.

Attachment

CALIFORNIA DEPARTMENT OF TRANSPORTATION

End - of - Year Fourth Quarter Fiscal Year 2013-14 Project Delivery Report

Quarterly Report to the California Transportation Commission

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The Project Delivery Report is prepared quarterly in December, March, June, and September pursuant to California Transportation Commission (CTC) Resolution G-92–12. The Department of Transportation (Caltrans) staff prepares this report. The purpose of this report is to monitor and track the progress of project delivery during the year and to compare against past years.

Note 1: All costs shown are in $1,000's unless noted otherwise.
Note 2: Abbreviations and acronyms are listed in the appendix.

Executive Summary

Fourth Quarter - FY 2013-14

FY 2013-14 Contract for Delivery

For fiscal year (FY) 2013-14, the dollar value of projects in the Contract for Delivery was $2,524 million. Through the end of the fourth quarter, FY 2013-14, Caltrans delivered a total of 214 (98 percent of annual plan) highway construction contracts with an estimated value at ready to list of $2,071 million.

Program Delivery Summary

Through the end of the fourth quarter, FY 2013-14, Caltrans has delivered:

- A total of 521 projects valued at $2,712 million from all funding programs.
- A total of 21 (95 percent of annual plan) programmed State Transportation Improvement Program (STIP) highway construction contracts valued at $292 million (97 percent of annual plan).
- A total of 209 (99 percent of annual plan) programmed State Highway Operations and Protection Program (SHOPP) highway construction contracts valued at $1,664 million (94 percent of annual plan).

Past Years' Contracts for Delivery Award Status

Through the end of the fourth quarter, FY 2013-14, Caltrans has awarded:

- 164 projects out of 170 (96 percent) from the FY 2012-13 Contract for Delivery.
- 278 projects out of 279 (99 percent) from the FY 2011-12 Contract for Delivery.

Environmental Document Milestones

In FY 2013-14, the planned total number of environmental document milestones was 262. Caltrans delivered 184 (90 percent of annual plan) final environmental documents and 45 (79 percent of annual plan) draft environmental documents.

Right of Way Program

In FY 2013-14, Caltrans received a right-of-way allocation of $195 million dollars. The plan was subsequently reduced to $172 million. Through the end of the fourth quarter, Caltrans expended $172 million (100 percent of revised annual plan).

Construction Program

There are 684 on-going construction contracts valued at $10,960 million.

Report on Completed Projects

Through the end of the fourth quarter, FY 2013-14, Caltrans has completed:

- A total of 42 STIP projects. The total amount of State funds that were approved by the commission for these projects was $1,359 million. The actual cost of the projects completed was $1,233 million which is 91 percent of the approved funds.
- A total of 208 SHOPP projects. The total amount of State funds that were approved by the commission for these projects was $2,241 million. The actual cost of the projects completed was $1,797 million which is 80 percent of the approved funds.

FY 2013-14 Contract for Delivery

Each year, the Caltrans Director signs a contract with each of the Caltrans' 12 district directors committing ready-to-list (RTL) milestones for delivery by quarter.

The Contract for Delivery is Caltrans' FY plan and includes programmed projects and projects funded from other sources including toll bridge and partnership projects. The contract is not subject to change, so it does not include program amendments, emergency, or minor program projects.

The total estimated value at the “Ready To List” delivery milestone for all 219 projects in the FY 2013-14 Contract for Delivery was $2,524 million.

214 out of 219 projects planned in the fiscal year were delivered.

The reason 5 projects that were not delivered as planned in the fiscal year are as follows:

- Securing coastal commission permit.
- Design complications as a result of survey data.
- Additional time needed to secure right of way including resolutions of necessity.
- Late decision to combine SHOPP scope work to a STIP project.
- Buy America utility issue.

STATUS AS OF JUNE 30, 2014

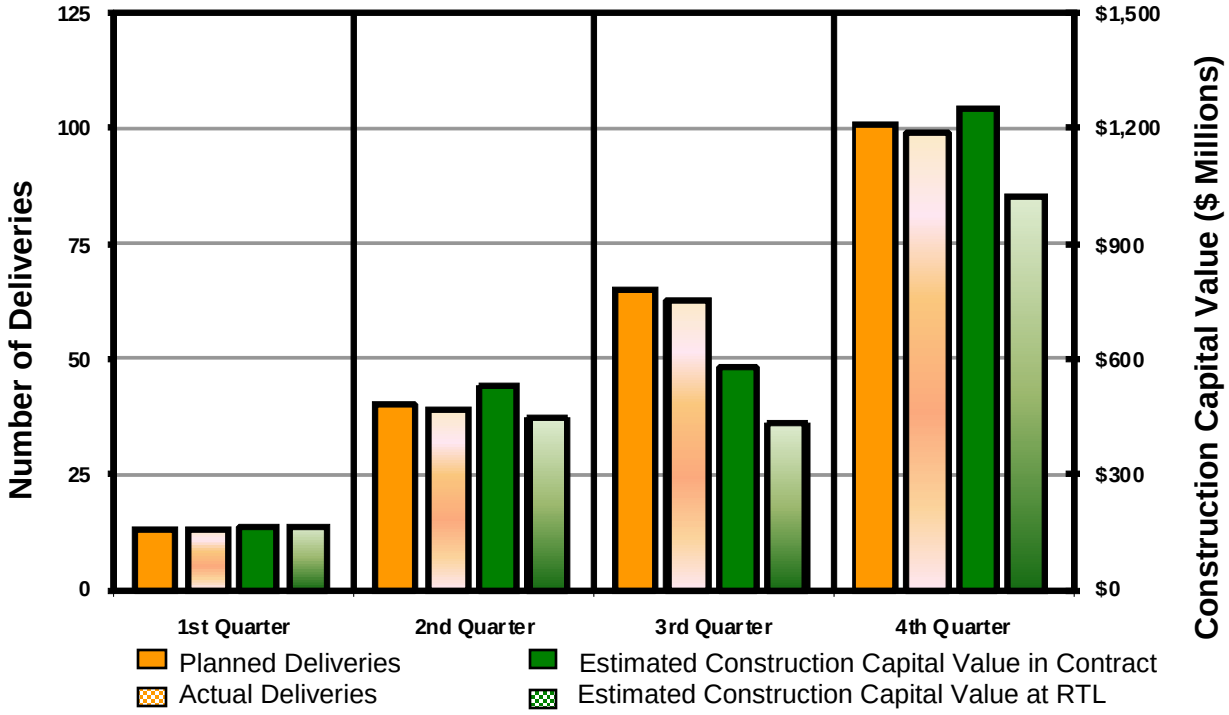
STATEWIDE

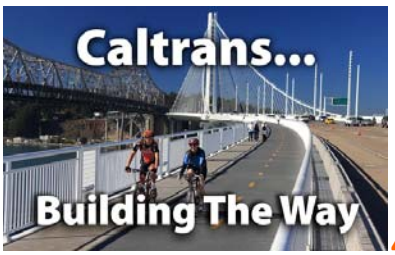
Contract for Delivery

FY 2013-14

Ready to List (RTL) Milestone Delivery

Description	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
NUMBER OF DELIVERIES					
Planned	13	40	65	101	219
Actual	13	39	63	99	214
CONSTRUCTION CAPITAL VALUE ($ MILLIONS)					
Estimate in Contract	$ 163.3	$ 528.7	$ 578.2	$ 1,254.0	$ 2,524.2
Estimated at RTL	$ 166.9	$ 446.0	$ 432.5	$ 1,025.5	$ 2,071.0





The California Department of Transportation Contract for Delivery! FY 2013/2014

4th Quarter Delivery Report 101 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (`1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
1	0C350	SHOPP	LAK	29	$4,161	$437	$427	PAVEMENT PRESERVATION (CAP-M)		★	AADD		09/01/14
1	0C460	SHOPP	HUM	299	$5,082	$534	$258	PAVEMENT PRESERVATION (CAP-M)		★	AADD		08/01/14
1	36291	SHOPP	MEN	101	$12,456	$1,258	$591	PAVEMENT PRESERVATION (CAP-M)		★	AADD		09/01/14
1	41540	SHOPP	MEN	101	$5,755	$587	$212	PAVEMENT PRESERVATION (CAP-M)		★	AADD		09/01/14
1	45930	SHOPP	MEN	101	$3,478	$353	$153	PAVEMENT PRESERVATION (CAP-M)		★	AADD		07/01/14
2	2E740	SHOPP	SHA	299	$2,417	$588	$812	CURVE IMPROVEMENT.		★	AADD		09/15/14
2	3E410	SHOPP	SHA	299	$25,000	$4,540	$3,393	CURVE IMPROVEMENT.		★	AADD		11/12/14
2	4E430	SHOPP	SHA	44	$5,765	$740	$457	REHABILITATE PAVEMENT.			AADD		10/15/14
2	4E811	SHOPP	VAR	VAR	$3,300	$1,380	$156	ROADSIDE SAFETY IMPROVEMENTS		★	AADD		09/10/14
2	4G480	SHOPP	SHA	299	$1,995	$610	$891	ADA IMPROVEMENTS	★		AADD		10/22/14
3	1A843	SHOPP	ED	89	$13,318	$5,017	$5,033	STORM WATER QUALITY IMPROVEMENTS.			AADD		10/15/14
3	1A845	SHOPP	ED	89	$10,772	$4,356	$4,057	STORM WATER MITIGATION.			AADD		10/01/14
3	1F260	STIP	SIE	89	$2,055	$330	$596	CONSTRUCT TWO UNDERCROSSINGS AND WILDLIFE BARRIERS.	★		AADD		08/01/14
3	2F290	SHOPP	PLA	267	$4,000	$758	$551	PAVEMENT REHAB AND WIDEN SHOULDERS		★	AADD		09/01/14
3	2F990	SHOPP	SAC	160	$7,100	$568	$584	HMA OVERLAY	★	★	AADD	★	10/15/14
3	3E120	SHOPP	BUT	70	$2,328	$955	$1,171	SEISMIC RETROFIT.			AADD		10/22/14
3	3F000	SHOPP	YUB	20	$6,619	$977	$515	HMA OVERLAY			AADD	★	01/01/15
3	3F040	SHOPP	SAC	5	$2,091	$626	$604	REPLACE ALL PUMP HOUSE COMPONENTS		★	AADD		10/15/14
3	3F650	SHOPP	NEV	89	$5,175	$501	$93	PAVEMENT OVERLAY			AADD		09/01/14
3	3F660	SHOPP	SAC	160	$3,053	$627	$292	HMA OVERLAY	★	★	AADD		12/01/14
3	3F670	SHOPP	ED	49	$6,210	$873	$533	PAVEMENT OVERLAY			AADD		10/01/14
4	04100	OTHER TOLL	SM	92	$40,000	$2,000	$2,953	RESURFACE ORTHOTROPIC DECK		★	AADD		08/15/14

FOURTH QUARTER APRIL 1 - JUNE 30 **Status as of 6/30/2014**

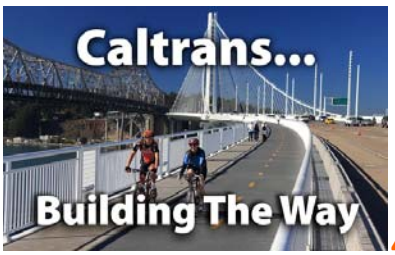
AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
HM - b -	Highway Maintenance - bridge	STIPP -	State Transportation Improvement Program
HM - d -	Highway Maintenance - drainage	TCIP -	Trade Corridors Improvement Program
HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed
Completed Ahead of Schedul
Behind Schedule
To Be Completed/Awarded

Awarded
Awarded Ahead of Schedul
Awarded Behind Schedule

PE Support <= 80% of Budget
PE Support >= 120% of Budge

PE Support Within Budget
Future RTL Status Date



The California Department of Transportation Contract for Delivery! FY 2013/2014

4th Quarter Delivery Report 101 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (`1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
4	0G221	SHOPP	ALA	VAR	$1,425	$1,729	$514	CONSTRUCT CURB RAMPS AND PASSAGEWAYS			AADD		09/03/14
4	0G222	SHOPP	SM	VAR	$1,975	$883	$486	CONSTRUCT CURB RAMPS AND PASSAGEWAYS	★	★	AADD		09/03/14
4	0G710	SHOPP	SM	280	$1,320	$430	$1,262	BRIDGE SEISMIC RESTORATION		★	AADD	★	08/01/14
4	1SS02	SHOPP	ALA	13	$3,625	$1,192	$1,991	INSTALL TIEBACK RETAINING WALL.		★	AADD	★	12/08/14
4	1SS22	SHOPP	SON	128	$1,700	$1,115	$917	CONSTRUCT A RETAINING WALL AT PM 2.4 AND INSTALL AN ANCHORED WIRE MESH		★	AADD		12/08/14
4	25941	SHOPP	NAP	29	$19,155	$9,750	$11,091	REHABILITATE ROADWAY.			AADD		12/09/14
4	27204	SHOPP	SCL	280	$6,624	$781	$692	REHABILITATE ROADWAY.	★		AADD	★	04/06/15
4	2G870	SHOPP	MRN	1	$702	$560	$928	PLACE ROCK SLOPE PROTECTION.			AADD		11/19/14
4	3G120	SHOPP	SON	128	$1,420	$945	$672	CONSTRUCT CAST-IN-DRILLED-HOLE (CIDH) PILE WALL AND REPLACE CULVERT.		★	AADD		12/08/14
4	3G160	SHOPP	CC	24	$4,785	$2,125	$1,599	CONSTRUCT TIEBACK WALL.		★	AADD	★	10/28/14
4	3G650	SHOPP	SOL	680	$18,698	$3,825	$1,002	REHABILITATE PAVEMENT		★	AADD	★	12/03/14
4	3G760	SHOPP	NAP	128	$540	$550	$705	INSTALL SLOPE INDICATORS AND UPGRADE DRAINAGE SYSTEM.		★	AADD		12/09/14
4	4A090	SHOPP	NAP	29	$15,370	$2,945	$3,966	REPLACE BRIDGE ON NEW ALIGNMENT.		★	AADD		12/09/14
4	4A342	SHOPP	ALA	580	$1,620	$541	$339	REMOVE GORE SIGNS AND REPLACE WITH OVERHEAD SIGNS - PHASE II	★		AADD	★	12/08/14
4	4A480	SHOPP	ALA	260	$8,710	$2,100	$2,069	REHABILITATE BRIDGE.			AADD		10/22/14
4	4A810	SHOPP	ALA	880	$2,032	$583	$646	STORM WATER MITIGATION		★	AADD		09/01/14
4	4A820	SHOPP	ALA	80	$541	$333	$551	STORM WATER MITIGATION	★	★	AADD		09/01/14
4	4A830	SHOPP	ALA	13	$600	$407	$392	STORM WATER MITIGATION.		★	AADD		09/16/14
4	4C200	SHOPP	SCL	152	$10,678	$1,131	$785	PAVEMENT PRESERVATION (CAP-M)			AADD		04/06/15
4	4H221	SHOPP	ALA	580	$11,600	$1,154	$1,502	PAVEMENT PRESERVATION (CAP-M)			AADD		12/01/14
5	0R830	SHOPP	SB	1	$1,940	$1,940	$2,580	UPGRADE PEDESTRIAN CURB RAMPS.			AADD		09/15/14
5	0S030	SHOPP	SB	1	$807	$1,296	$1,276	CONSTRUCT/UPGRADE ADA CURB RAMPS.			AADD		09/15/14

FOURTH QUARTER APRIL 1 - JUNE 30 **Status as of 6/30/2014**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
HM - b -	Highway Maintenance - bridge	STIPP -	State Transportation Improvement Program
HM - d -	Highway Maintenance - drainage	TCIP -	Trade Corridors Improvement Program
HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed
Completed Ahead of Schedul
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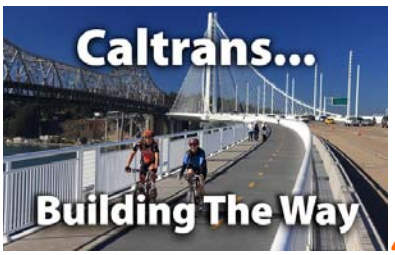
PE Support <= 80% of Budget
PE Support >= 120% of Budge

PE Support Within Budget
Future RTL Status Date



The California Department of Transportation Contract for Delivery! FY 2013/2014

4th Quarter Delivery Report 101 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (`1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
5	0T500	STIP	SCR	000	$693	$940	$363	DEVELOP AND INSTALL 22 OUTDOOR INTERPRETIVE DISPLAYS AT VARIOUS DISTRICT		★	AADD		10/15/14
5	0T640	SHOPP	SBT	25	$1,495	$1,825	$1,264	REALIGN ROADWAY			AADD		09/17/14
5	1A700	SHOPP	SLO	1	$17,200	$2,450	$1,611	CONSTRUCT RETAINING WALL.	★	★	AADD		10/15/14
5	1A730	SHOPP	MON	101	$6,513	$1,014	$391	PAVEMENT PRESERVATION (CAP M)		★	AADD	★	12/01/14
5	1C860	SHOPP	SCR	1	$10,122	$937	$396	PAVEMENT PRESERVATION	★		AADD		10/27/14
5	1C900	SHOPP	SLO	46	$7,485	$932	$448	PAVEMENT PRESERVATION		★	AADD	★	★
5	1F320	SHOPP	SB	101	$1,090	$430	$272	REPLACE OVERHEAD SIGN PANELS, INSTALL DELINEATION ON MBGR AND BARRIER, AND		★	AADD		07/01/14
6	0H630	SHOPP	TUL	198	$6,642	$2,469	$2,128	UPGRADE PEDESTRIAN OVERCROSSINGS TO CURRENT ADA STANDARDS.			AADD		11/15/14
6	0H640	SHOPP	KER	99	$7,619	$2,645	$2,630	UPGRADE PEDESTRIAN FACILITIES TO PROVIDE ADA COMPLIANCE.			AADD		11/15/14
6	0P140	SHOPP	KER	5	$40,000	$3,520	$1,686	REPAIR AND OVERLAY PAVEMENT.			AADD	★	03/01/15
6	0P150	SHOPP	KIN	198	$11,335	$1,066	$588	OVERLAY 2R		★	AADD		01/15/15
6	0P180	SHOPP	KIN	5	$14,410	$818	$479	OVERLAY 2R		★	AADD		10/17/14
6	0P810	SHOPP	KER	178	$3,000	$859	$657	RECONSTRUCT CENTER MEDIAN		★	AADD	★	01/02/15
6	0P970	SHOPP	MAD	152	$3,500	$685	$506	MEDIAN BARRIER AND RUMBLE STRIPS			AADD		11/01/14
6	0Q240	SHOPP	FRE	41	$2,683	$474	$344	PAVEMENT PRESERVATION CAP-M		★	AADD		12/02/14
6	0Q270	SHOPP	FRE	5	$16,526	$2,266	$790	PAVEMENT PRESERVATION (2R)	★	★	AADD		12/02/14
6	32551	STIP	KIN	198	$1,022	$10	$10	INSTALL LANDSCAPING.		★	AADD		09/15/14
6	43260	SHOPP	FRE	33	$6,932	$1,884	$2,486	REPLACE BRIDGE.			AADD		12/01/14
7	1193U	BOND CMIA	LA	10	$184,840	$23,129		CONSTRUCT HOV LANE		05/23/14	03/14/14	06/02/14	10/23/14
7	25902	SHOPP	LA	5	$33,350	$4,179	$3,686	CONSTRUCT SAND FILTERS AND INFILTRATION DEVICES PHASE 2 OF 10.		★			09/19/14
7	26560	SHOPP	LA	138	$21,100	$5,100	$1,956	WIDEN ROADWAY, PAVE SHOULDERS AND INSTALL RUMBLE STRIPS.		★	AADD		11/14/14
7	27480	SHOPP	LA	18	$2,557	$710	$1,021	REPLACE BRIDGE DECK, JOINT SEALS AND BRIDGE RAILING.			AADD	★	02/19/15

FOURTH QUARTER APRIL 1 - JUNE 30 **Status as of 6/30/2014**

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HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
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MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

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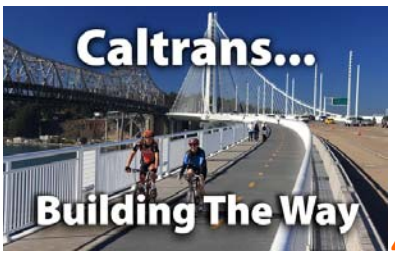

The California Department of Transportation Contract for Delivery! FY 2013/2014

4th Quarter Delivery Report 101 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (`1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
7	28270	SHOPP	LA	101	$11,800	$1,700		INSTALL METAL BEAM GUARDRAIL		05/01/14	AADD	06/02/14	07/24/14
7	28720	SHOPP	LA	134	$2,000	$475	$281	STORM WATER - SOURCE CONTROL		★	AADD		07/28/14
7	28730	SHOPP	LA	210	$2,000	$475	$388	STORM WATER - SOURCE CONTROL		★	AADD		07/24/14
7	30280	SHOPP	LA	138	$3,200	$468	$468	0.2" OVERLAY TW		★	AADD		11/14/14
7	3X820	SHOPP	LA	39	$2,000	$600	$801	REPAIR ERODED SLOPES BY CONSTRUCTING RETAINING WALL.			AADD		10/15/14
7	3X910	SHOPP	LA	10	$20,736	$5,184	$1,202	REPAIR & REPLACE STOLEN ELECTRICAL WIRING		★	AADD	★	12/29/14
7	3X920	SHOPP	LA	5	$15,725	$3,931	$872	REPAIR & REPLACE STOLEN ELECTRICAL WIRING			AADD		11/01/14
7	3X930	SHOPP	LA	118	$20,097	$5,024	$617	REPAIR & REPLACE STOLEN ELECTRICAL WIRING			AADD		11/01/14
7	3X940	SHOPP	LA	5	$4,650	$1,163	$921	RESTORE DAMAGED FIBER OPTIC SYSTEM			AADD		11/01/14
8	04351	STIP	SBD	58	$122,112	$20,297	$18,580	REALIGN AND WIDEN TO 4 LANE EXPRESSWAY.	★			★	11/20/14
8	0M200	SHOPP	RIV	371	$7,658	$1,742	$2,002	CONSTRUCT SHOULDER.			AADD		11/20/14
8	0N56U	SHOPP	SBD	40	$28,143	$6,189	$4,087	BRIDGE REPLACEMENT		★			10/15/14
8	0Q751	SHOPP	SBD	60	$8,265	$730	$537	REPLACEMENT OF CONCRETE SLABS	★		AADD	★	10/30/14
8	0Q760	SHOPP	SBD	10	$47,819	$3,748	$1,107	PAVEMENT REHAB AND SLAB REPLACEMENT			AADD	★	10/30/14
8	0R670	SHOPP	SBD	18	$432	$321	$327	INSTALL CENTERLINE GROUND-IN RUMBLE STRIPS.		★	AADD	★	11/20/14
8	1C370	SHOPP	RIV	95	$16,412	$1,272	$344	MILL AND OVERLAY PAVEMENT	★	★	AADD		10/30/14
8	35556	STIP	SBD	15	$63,240	$20,595	$20,450	RECONSTRUCT THREE INTERCHANGES AND UPGRADE ROADWAY STANDARDS.					11/28/14
8	35558	STIP	SBD	15	$1,446	$600	$641	RETENTION WALL ENHANCEMENTS AND LANDSCAPING.					11/28/14
10	0A830	SHOPP	SJ	88	$1,391	$1,456	$2,244	REPLACE GUARDRAIL END TREATMENTS AND RELOCATE LEVEE ACCESS ROAD CONNECTIONS		★	AADD	★	11/04/14
10	0T820	SHOPP	SJ	5	$1,106	$511	$771	INSTALL ADA CURB RAMPS			AADD		08/01/14
10	0V660	SHOPP	STA	5	$51,185	$1,915	$1,281	PAVEMENT PRESERVATION (CAP-M)	★	★	AADD	★	12/10/14
10	0W190	SHOPP	SJ	99	$32,108	$1,298	$790	PAVEMENT PRESERVATION (CAP-M)		★	AADD	★	01/08/15

FOURTH QUARTER APRIL 1 - JUNE 30 **Status as of 6/30/2014**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
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B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
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L - Reimb -	Local Reimbursed	TOLL -	Other Toll
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MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed
Completed Ahead of Schedul
Behind Schedule
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PE Support <= 80% of Budget
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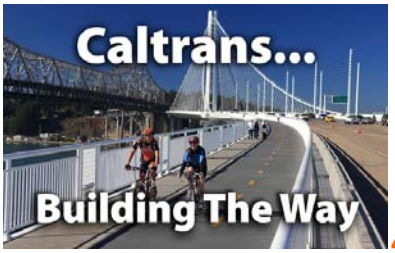
PE Support Within Budget
Future RTL Status Date



The California Department of Transportation Contract for Delivery! FY 2013/2014

4th Quarter Delivery Report 101 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (`1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
11	08017	LOCAL	SD	76	$3,200	$238	$462	PLANT ESTABLISHMENT FOR SR76 MIDDLE		★	AADD		08/16/14
11	26160	SHOPP	SD	5	$5,110	$1,125	$1,673	CONSTRUCT FREEWAY MAINTENANCE ACCESS	★		AADD		09/02/14
11	28250	SHOPP	SD	5	$13,852	$1,976	$1,345	STORM WATER MITIGATION; CONSTRUCT BIOSWALES AND INFILTRATION TRENCHES,			AADD		09/10/14
11	40690	SHOPP	SD	8	$17,116	$1,400	$865	REHABILITATE ROADWAY		★	AADD	★	10/09/14
11	40700	SHOPP	SD	8	$35,349	$3,600	$709	REHABILITATE ROADWAY			AADD		10/09/14
11	40850	SHOPP	SD	125	$1,330	$1,060	$794	INSTALL MEDIAN BARRIER.			AADD	★	08/29/14
12	0H007	SHOPP	ORA	5	$4,000	$750	$544	PROVIDE CLOSED CIRCUIT TV (CCTV)			AADD	★	12/01/14
12	0H034	SHOPP	ORA	73	$4,555	$1,115	$1,028	REHABILITATE PAVEMENT.			AADD		09/24/14
12	0H160	SHOPP	ORA	1	$4,570	$1,300	$1,307	RESURFACE PAVEMENT (CAPM)		★	AADD	★	10/01/14
12	0H20U	SHOPP	ORA	55	$4,581	$551	$482	REMOVE DISEASED TREES AND UPGRADE HIGHWAY		★	AADD	★	08/05/14
12	0J640	SHOPP	ORA	55	$2,100	$800	$527	REVEGETATION OF EXPOSED SLOPES		★	AADD		10/01/14
12	0L92U	SHOPP	ORA	5	$3,148	$1,590	$668	UPGRADE PEDESTRIAN FACILITIES W/IN CALTRANS R/W		★	AADD		02/01/15
12	0M720	SHOPP	ORA	405	$3,470	$1,553	$1,923	SEISMIC RETROFIT FOUR(4) BRIDGES		★	AADD	★	10/15/14
					$1,254,017	$220,024	$155,047						

FOURTH QUARTER APRIL 1 - JUNE 30 **Status as of 6/30/2014**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
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★ Completed Ahead of Schedul	★ Awarded Ahead of Schedul
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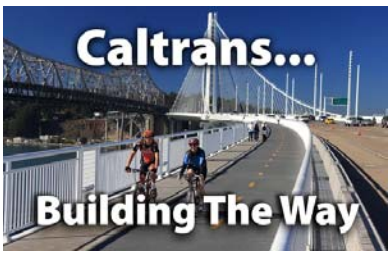

The California Department of Transportation Contract for Delivery! FY 2013/2014

3rd Quarter Delivery Report 65 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (`1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
1	0A360	SHOPP	HUM	299	$3,039	$1,015	$894	CURVE IMPROVEMENT.		★	AADD		★
1	0B000	SHOPP	LAK	20	$23,494	$727	$758	REHABILITATE PAVEMENT.		★	AADD		09/15/14
1	0B080	SHOPP	DN	101	$3,207	$200	$494	REHABILITATE PAVEMENT.			AADD		09/15/14
1	46480	SHOPP	HUM	101	$5,500	$3,199	$5,413	REALIGN ROADWAY		★	AADD	★	05/15/14
1	48860	SHOPP	LAK	20	$3,900	$1,189	$1,453	CONSTRUCT ROUNDABOUT.			AADD		09/15/14
2	0E360	SHOPP	TEH	5	$6,000	$1,240	$1,426	REBUILD N/B & S/B FACILITIES AT CORNING SAFETY ROADSIDE REST AREA(SRRA)	★	★			08/20/14
2	3C071	SHOPP	LAS	395	$16,500	$1,740	$1,673	REHABILITATE ROADWAY.		★	AADD	★	
2	3E790	SHOPP	TRI	299	$4,400	$1,973	$2,286	CURVE IMPROVEMENT.		★	AADD		10/01/14
2	4F100	SHOPP	PLU	70	$1,655	$270	$299	PLACE ROCK SLOPE PROTECTION.		★	AADD	★	★
2	4F410	SHOPP	SIS	96	$1,410	$300	$401	EXCAVATE THE ROCK SLOPE TO CREATE A LARGER CATCHMENT AREA.		★	AADD	★	★
3	2F000	SHOPP	VAR	000	$7,244	$706	$997	UPGRADE GUARDRAIL END TREATMENTS.		★	AADD	★	08/01/14
3	3E110	SHOPP	NEV	80	$2,380	$450	$278	PAINT BRIDGES.			AADD		08/01/14
3	3F770	SHOPP	SUT	99	$2,600	$535	$151	INSTALL SHOULDER AND CENTERLINE RUMBLE STRIPS		★	AADD	★	08/01/14
4	01410	SHOPP	ALA	80	$16,000	$9,365	$3,625	SFOBB MAINTENANCE WAREHOUSE PHASE 2		★	AADD	★	09/15/14
4	0G750	SHOPP	SOL	113	$378	$155	$314	CONSTRUCT DOUBLE THRIE BEAM BARRIER.		★	AADD	★	08/01/14
4	15272	LOCAL	CC	4	$13,500	$3,785	$4,477	INSTALL RAMP METERS AND TOS ELEMENT WIDEN ON-RAMPS TO PROVIDE HOV			AADD		09/27/14
4	1SS04	SHOPP	ALA	580	$1,690	$1,304	$1,551	INSTALL TIEBACK RETAINING WALL.		★	AADD		09/15/14
4	1SS05	SHOPP	ALA	580	$3,200	$1,612	$1,463	INSTALL SOLDIER BEAM TIEBACK WALL.		★	AADD		09/15/14
4	29494	STIPW/ LOCAL	ALA	24	$3,700	$410	$488	ROADWAY PLANTING		★	AADD	★	05/05/14
4	2G362	LOCAL	ALA	92	$1,100	$420	$704	REPLACEMENT PLANTING ANG IRRIGATION		★	AADD		05/29/14
4	2G860	SHOPP	ALA	580	$2,000	$1,050	$1,229	REBUILD EMBANKMENT AND INSTALL SUB-DRAINS.		★	AADD		09/10/14
4	3G750	SHOPP	SM	92	$271	$500	$551	INSTALL ROCK SLOPE PROTECTION AND DRAINAGE.		★	AADD		09/09/14

THIRD QUARTER JANUARY 1 - MARCH 31 **Status as of 6/30/2014**

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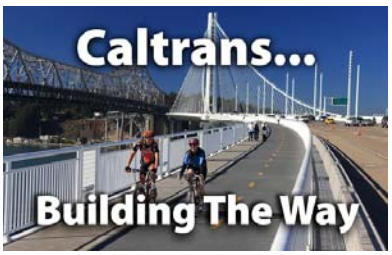

The California Department of Transportation Contract for Delivery! FY 2013/2014

3rd Quarter Delivery Report 65 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (`1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
5	0Q590	SHOPP	SCR	9	$2,543	$1,964	$2,256	STORM WATER MITIGATION AT VARIOUS LOCATIONS.			AADD		09/15/14
5	0S790	SHOPP	SLO	101	$2,950	$879	$620	PAVEMENT PRESERVATION	★		AADD		
5	0T070	STIP	SB	101	$1,250	$365	$211	INSTALL NATIVE TREES AND SHRUBS.			AADD		
5	0T760	SHOPP	MON	1	$617	$480	$423	PAVE WITH OPEN GRADED ASPHALT TO REDUCE WET PAVEMENT COLLISIONS.		★	AADD		02/05/14
5	1C180	SHOPP	SCR	17	$3,558	$963	$817	WIDEN SHOULDER AND ADD SAFETY BARRIER.		★	AADD	★	★
5	1C300	SHOPP	MON	1	$485	$434	$222	INSTALL RUMBLE STRIP		★	AADD	★	07/01/14
5	1C330	SHOPP	MON	101	$3,150	$782	$563	CONSTRUCT MEDIAN BARRIER.			AADD		05/21/14
5	1C340	SHOPP	SB	101	$1,412	$760	$461	CONSTRUCT MEDIAN BARRIER AND SHOULDER RUMBLE STRIPS.		★	AADD	★	
5	33076	STIP	SLO	46	$1,250	$410	$222	LANDSCAPE MITIGATION.		★	AADD	★	06/06/14
6	0E680	STIP	MAD	99	$500	$152	$202	INSTALL AESTHETIC BRIDGE ENHANCEMENTS AT VARIOUS LOCATIONS.		★	AADD	★	07/18/14
6	0M820	SHOPP	FRE	41	$1,443	$462	$626	UPGRADE GUARDRAIL.		★	AADD	★	07/15/14
6	0P170	SHOPP	TUL	99	$6,731	$662	$468	REPAIR AND OVERLAY PAVEMENT.		★	AADD	★	★
6	0P300	SHOPP	KER	99	$1,443	$440	$474	WIDEN SHOULDER AND INSTALL RUMBLE STRIP.		★	AADD	★	★
6	0P560	SHOPP	KER	58	$2,252	$823	$603	INSTALL MEDIAN RUMBLE STRIPS AND WIDEN OUTSIDE SHOULDERS.			AADD		08/01/14
6	0P840	SHOPP	KER	223	$3,652	$764	$606	INSTALL MEDIAN RUMBLE STRIPS AND WIDEN OUTSIDE SHOULDERS.			AADD		08/01/14
6	0Q250	SHOPP	KIN	198	$5,699	$566	$510	PAVEMENT PRESERVATION CAP-M			AADD		08/14/14
7	21592	STIP	LA	5	$131,854	$47,712		WIDEN WITH HOV AND MIXED FLOW LANES (SEGMENT 2).		03/14/14	09/27/13	03/28/14	09/05/14
7	27570	SHOPP	LA	103	$7,475	$1,380	$806	PAINT BRIDGE.					11/18/14
7	28810	SHOPP	LA	210	$56,380	$1,972	$1,488	LANE REPLACEMENT			AADD		07/30/14
7	29300	SHOPP	LA	210	$18,610	$1,445	$1,445	SLAB REPLACEMENT AND COLD PLANE AND OL RAMPS AND AUX LANE.			AADD		07/30/14
7	30230	SHOPP	LA	210	$50,000	$1,520	$1,520	LANE REPLACEMENT			AADD		07/30/14
7	3X710	SHOPP	LA	60	$6,000	$3,409		REALIGN RAMPS AND APPROACHES TO MATCH NEW OVERCROSSING AND RELOCATE UTILITIES.		03/20/14		03/28/14	08/13/14

THIRD QUARTER JANUARY 1 - MARCH 31 **Status as of 6/30/2014**

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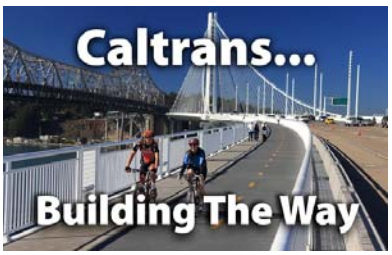

The California Department of Transportation Contract for Delivery! FY 2013/2014

3rd Quarter Delivery Report 65 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (`1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
8	0J930	SHOPP	SBD	15	$1,340	$831	$602	CONSTRUCT FOOTINGS AND INFILL WALLS AT BRIDGE BENTS.		★	AADD	★	08/21/14
8	0P280	SHOPP	RIV	60	$1,055	$540	$798	SIGNALIZE AND REALIGN LEFT TURN LANES.			AADD	★	07/31/14
8	3348V	STIP	RIV	215	$1,369	$830	$529	REPLACEMENT HIGHWAY PLANTING		★	AADD	★	★
8	33630	SHOPP	SBD	38	$1,601	$1,751	$2,207	REPLACE BRIDGE DECK AND UPGRADE BRIDGE RAIL, BRIDGE APPROACH RAILS AND SLABS.			AADD		06/26/14
8	3401U	STIP	SBD	138	$56,844	$16,839	$22,855	WIDEN FROM 2 TO 4 LANES AND CONSTRUCT 4 FOOT MEDIAN BUFFER.					07/10/14
8	38350	SHOPP	RIV	74	$2,857	$2,725	$3,261	REPLACE BRIDGE.		★	★		05/22/14
9	34650	SHOPP	MNO	266	$1,465	$760	$626	REALIGN CURVE AND WIDEN SHOULDERS.		★	AADD		07/01/14
10	0F740	SHOPP	MER	5	$3,500	$570	$656	BRIDGE REHABILITATION		★	AADD		
10	0K021	SHOPP	MER	99	$826	$673	$433	LANDSCAPE MITIGATION		★	AADD	★	06/01/14
10	0S870	SHOPP	STA	4	$465	$782	$629	RUMBLE STRIPS AND SHOULDER WIDENING.			AADD	★	
10	0V490	SHOPP	TUO	108	$1,200	$696	$290	STORM WATER MITIGATION		★	AADD	★	06/01/14
10	0V680	SHOPP	STA	120	$4,997	$774	$359	REHABILITATE PAVEMENT.			AADD		07/02/14
10	0W690	SHOPP	SJ	4	$3,047	$1,566	$193	TREAT BRIDGE DECKS	★		AADD		07/15/14
11	23840	SHOPP	IMP	7	$11,397	$1,481	$1,090	OVERLAY PAVEMENT FROM THE MEXICAN BORDER TO ROUTE 98			AADD		06/19/14
11	27550	SHOPP	SD	67	$22,005	$809	$1,984	REHABILITATE ROADWAY			AADD		09/11/14
11	29820	LOCAL	SD	905	$4,650	$600	$671	ROADWAY REHABILITATION		★	AADD	★	06/06/14
11	2T250	OCAL MEASUR	SD	805	$8,000	$1,750	$1,688	OUTSIDE WIDENING.		★	AADD	★	06/20/14
11	40440	STIP	IMP	78	$1,400	$200	$622	LANDSCAPE MITIGATION		★	AADD		09/26/14
11	40660	SHOPP	SD	76	$10,209	$1,029	$953	CONSTRUCT MEDIAN BARRIER		★	AADD		07/02/14
12	0H900	SHOPP	ORA	55	$1,200	$280	$266	CONSTRUCT ROADSIDE PAVING, ACCESS GATES, WEED BARRIERS AND RELOCATE		★	AADD	★	07/15/14
12	0J61U	SHOPP	ORA	5	$6,348	$1,056	$979	SLOPE REVEGETATION		★	AADD		06/07/14
					$578,197	$137,031	$87,159						

THIRD QUARTER JANUARY 1 - MARCH 31 **Status as of 6/30/2014**

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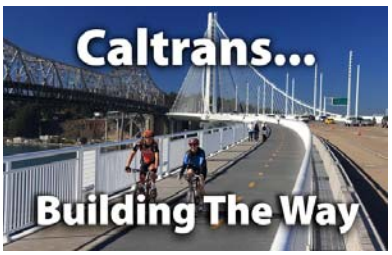


The California Department of Transportation Contract for Delivery! FY 2013/2014

2nd Quarter Delivery Report 40 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (`1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
1	49650	SHOPP	HUM	101	$2,143	$890	$513	HAZARDOUS WASTE MITIGATION AT THE FORTUNA MAINTENANCE STATION.		★	AADD		05/01/14
1	49710	SHOPP	MEN	271	$505	$348	$480	HAZARDOUS WASTE MITIGATION AT THE LEGGETT MAINTENANCE STATION.		★			
2	4E410	SHOPP	TRI	299	$9,410	$800	$512	REHABILITATE PAVEMENT.		★	AADD		★
2	4F450	SHOPP	SHA	5	$1,920	$370	$424	IMPROVE ROADWAY DRAINAGE.		★	AADD		★
3	1A842	SHOPP	ED	89	$18,000	$5,639	$6,430	STORM WATER QUALITY IMPROVEMENTS.		★	AADD		06/15/14
3	1F280	STIP	GLE	5	$640	$125	$71	NATIVE TREE PLANTING.		★	AADD		
3	1F290	STIP	COL	5	$640	$125	$59	NATIVE TREE PLANTING.		★	AADD	★	
3	4M200	SHOPP	VAR	000	$890	$608	$847	SCOUR MITIGATION.		★	AADD		04/01/14
4	1A551	SHOPP	SF	280	$17,808	$2,555	$2,153	REPLACE BRIDGE RAILINGS AT VARIOUS LOCATION.			AADD	★	02/03/14
4	3G443	OTHER TOLL	SF	80	$3,150	$1,060	$588	REPLACE GRATING SHIELDS, LADDERS, PLATFORMS, AND GREASE LINES			AADD		03/17/14
4	3G484	OTHER TOLL	CC	580	$45,000	$1,500	$1,132	STRUCTURAL STEEL PAINTING			AADD		
5	0R530	SHOPP	SLO	1	$1,315	$1,772	$1,555	UPGRADE SIDEWALK TO MEET ADA COMPLIANCE.			AADD		
5	49280	SHOPP	SLO	1	$43,600	$8,633		REALIGN ROUTE.		08/08/13	05/21/13	10/03/13	03/06/14
6	0M020	STIP	FRE	168	$1,553	$703	$1,339	PROVIDE VIEWING DECK, AND ADD INTERPRETIVE DISPLAYS AND PEDESTRIAN		★	AADD		04/01/14
6	0P160	SHOPP	KIN	198	$7,542	$808	$606	REHABILITATE PAVEMENT.			AADD	★	★
7	18312	SHOPP	LA	710	$1,934	$403	$600	LANDSCAPE MITIGATION.			AADD		04/17/14
7	27310	SHOPP	LA	110	$9,135	$1,810	$1,475	REPLACE JOINT SEALS AND REPAIR CONCRETE SPALLS.		★	AADD	★	★
7	28420	STIP	LA	5	$1,054	$191	$428	VINE PLANTING.			AADD		
7	28450	STIP	LA	14	$2,544	$458	$510	INSTALL LIGHTING, NATIVE VEGETATION, AND INTERPRETIVE SIGNS, AND PROVIDE STORMWA			AADD		★
7	28850	SHOPP	LA	405	$4,536	$1,203	$1,536	IN CARSON, AT THE NORTHBOUND CARSON WEIGH STATION. UPGRADE WEIGH STATION.					05/15/14
7	29470	SHOPP	LA	101	$55,000	$1,913	$910	PAVEMENT REHAB			AADD		07/01/14
7	29490	SHOPP	LA	5	$15,473	$1,680	$428	PAVEMENT REHAB			AADD		★

SECOND QUARTER OCTOBER 1 - DECEMBER 31 **Status as of 6/30/2014**

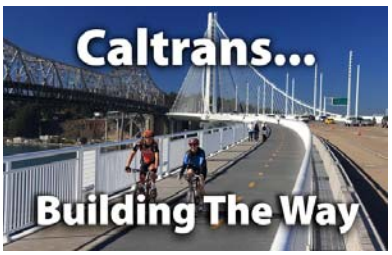
AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
HM - b -	Highway Maintenance - bridge	STIPP -	State Transportation Improvement Program
HM - d -	Highway Maintenance - drainage	TCIP -	Trade Corridors Improvement Program
HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed
Completed Ahead of Schedul
Behind Schedule
To Be Completed/Awarded

Awarded
Awarded Ahead of Schedul
Awarded Behind Schedule

PE Support <= 80% of Budget
PE Support >= 120% of Budge

PE Support Within Budget
Future RTL Status Date



The California Department of Transportation Contract for Delivery! FY 2013/2014

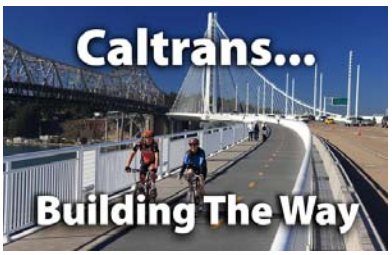
2nd Quarter Delivery Report 40 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
7	29500	SHOPP	LA	10	$15,900	$1,508	$682	REPLACE SLAB AND GRIND	★		AADD		07/01/14
7	29550	SHOPP	LA	101	$17,865	$1,723	$829	PAVEMENT REHAB	★		AADD		07/01/14
7	29560	SHOPP	LA	5	$21,713	$2,260	$1,117	PAVEMENT REHAB	★		AADD		07/01/14
7	29570	SHOPP	LA	605	$25,138	$3,463	$1,109	PAVEMENT REHAB			AADD		07/01/14
7	29670	SHOPP	VEN	118	$16,310	$1,106	$715	PAVEMENT REHAB			AADD		★
7	29680	SHOPP	LA	405	$23,280	$2,718	$544	PAVEMENT REHAB	★		AADD		07/01/14
7	29700	SHOPP	LA	138	$16,700	$1,220	$504	PAVEMENT REHAB	★		AADD		★
8	0J850	SHOPP	SBD	138	$5,109	$2,333	$2,909	REPLACE BRIDGE.		★			06/11/14
8	0Q600	SHOPP	SBD	2	$260	$295	$341	MODIFY LEVEE AND PLACE RIP RAP.					
9	35310	SHOPP	MNO	395	$3,466	$324	$218	COLD IN-PLACE RECYCLE AND OVERLAY PAVEMENT		★	AADD		
10	0J920	SHOPP	SJ	12	$3,963	$1,115	$2,494	REPLACE CONTROL HOUSE					06/11/14
10	38151	SHOPP	MER	165	$5,027	$4,046	$1,765	REHABILITATE PAVEMENT			AADD	★	
11	25715	OCAL MEASUR	SD	76	$100,000	$13,098	$15,245	CONSTRUCT 4-LANE HIGHWAY			AADD		02/15/14
11	26330	STIP	IMP	8	$22,800	$4,900	$5,520	REVISE INTERCHANGE		★	AADD		04/15/14
11	27560	SHOPP	SD	15	$445	$536	$652	AT THE SOUTHBOUND RAINBOW TRUCK INSPECTION FACILITY, INSTALL STANDBY			AADD		
11	29230	SHOPP	SD	805	$1,786	$319	$536	SLOPE EROSION REPAIR			AADD		
11	2T178	LOCAL	SD	5	$1,500	$322	$369	LANDSCAPE		★	AADD		03/24/14
11	40650	SHOPP	SD	15	$3,616	$483	$503	CONSTRUCT MEDIAN BARRIER, OUTSIDE SHOULDER CABLE BARRIER, RAISED		★	AADD		
					$528,670	$75,363	$58,648						

SECOND QUARTER OCTOBER 1 - DECEMBER 31 **Status as of 6/30/2014**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
HM - b -	Highway Maintenance - bridge	STIPP -	State Transportation Improvement Program
HM - d -	Highway Maintenance - drainage	TCIP -	Trade Corridors Improvement Program
HM - p -	Highway Maintenance - pavement	TCRP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed	Awarded
★ Completed Ahead of Schedul	★ Awarded Ahead of Schedul
Behind Schedule	Awarded Behind Schedule
To Be Completed/Awarded	
PE Support <= 80% of Budget	PE Support Within Budget
PE Support >= 120% of Budge	Future RTL Status Date



The California Department of Transportation Contract for Delivery! FY 2013/2014

1st Quarter Delivery Report 13 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (`1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
2	1E060	STIP	MOD	139	$500	$206	$258	INSTALL CCTV (CLOSED CIRCUIT TELEVISION) AND RWIS (ROADSIDE WEATHER				★	★
2	4E610	SHOPP	TRI	299	$350	$207	$273	REPAIR SLIDES.			AADD		
3	0F220	SHOPP	ED	50	$6,700	$861	$1,222	REPLACE BRIDGE.			AADD		
3	2F21U	SHOPP	SAC	50	$48,800	$5,346	$851	BRIDGE DECK REHABILITATION		★	★	★	
3	3F440	SHOPP	PLA	89	$2,975	$400	$786	INSTALL DRAINAGE FACILITIES.		★	AADD		
4	01352	TBSRP	ALA	80	$88,400	$4,500	$2,595	DISMANTLING OF EXISTING EAST SPAN					10/30/13
5	0T970	SHOPP	SB	246	$815	$336	$390	CONSTRUCT LEFT-TURN CHANNELIZATION.			AADD		★
6	0J920	SHOPP	FRE	145	$1,207	$1,388	$1,758	CONSTRUCT TRAFFIC ROUNDABOUT.			AADD		★
6	0N200	SHOPP	MAD	99	$2,200	$549	$666	CONSTRUCT MEDIAN BARRIER.			AADD		
6	0N360	SHOPP	KER	5	$1,764	$335	$318	REPAIR DAMAGED ROADWAY.			AADD		★
7	29250	SHOPP	VEN	101	$415	$416	$372	INSTALL LEFT-TURN SIGNAL PHASE AND UPGRADE ADA CURB RAMPS.		★			
8	0F660	SHOPP	SBD	247	$8,800	$4,143	$3,833	CONSTRUCT SHOULDER.			AADD		
8	0P310	SHOPP	SBD	395	$411	$583	$814	INSTALL TRAFFIC SIGNALS.		★	AADD	★	
					$163,337	$19,270	$14,136						

FIRST QUARTER JULY 1 - SEPTEMBER 30 **Status as of 6/30/2014**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-SW -	Retrofit Soundwall
B - RTE99 -	P1B SR99 Improvement	RM2 -	Regional Measure 2
HM - b -	Highway Maintenance - bridge	STIPP -	State Transportation Improvement Program
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L - Reimb -	Local Reimbursed	TOLL -	Other Toll
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MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

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To Be Completed/Awarded

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Awarded Ahead of Schedul
Awarded Behind Schedule

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PE Support >= 120% of Budge

PE Support Within Budget
Future RTL Status Date

Program Delivery Summary

This section describes the number and dollar value of all projects delivered by funding programs.

Intercity Rail Program

For FY 2013-14, one Intercity Rail project valued at $20.5 million was programmed for delivery.

Number of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	1	0	0	0	1
Actual	1	0	0	0	1

Value of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	$ 20.5	$ 0.0	$ 0.0	$ 0.0	$ 20.5
Actual	$ 20.5	$ 0.0	$ 0.0	$ 0.0	$ 20.5

AB 1740 Retrofit Soundwall Program

All 63 planned projects with a construction value of $215 million have been delivered within the program budget of $226 million.

	Delivered		Construction Completed	
Locations	63	100%	63	100%
Value	$ 215	95%	$ 211	93%

At the beginning of the fiscal year, the last two projects in the program were under construction. One was completed in the first quarter, and the last one was completed in the fourth quarter. The AB 1740 Retrofit Soundwall Program is now complete.

Delivery Summary of All Programs

Through the end of the fourth quarter, FY 2013-14, Caltrans delivered a total of 521 projects valued at $2,712 million from all programs.

Projects are shown below by the planned program and dollar value.

Projects by Funding Programs	Number		Value	
	Annual Plan	FYTD	Annual Plan	FYTD
STIP (w TCRP,TFA)	22	21	$ 299.8	$ 292.2
SHOPP	210	209	$ 1,779.0	$ 1,663.7
TBSRA	1	1	$ 88.4	$ 88.4
Partnership*	19	17	$ 497.3	$ 250.2
Bond	2	2	$ 3.8	$ 3.8
Minor (CFD)	1	1	$ 0.3	$ 0.3
Subtotal	255	251	$ 2,668.6	$ 2,298.6
Emergency		90		$ 119.2
Minor		40		$ 30.7
Maintenance		140		$ 263.1
Total		521		$ 2,711.6

** Partnership funds include all local funds and federal fund subventions given to local agencies.*

Detailed Delivery Summary of All Projects by Programs

Programs	Annual Number of Projects			Annual Dollar Value of Projects		
	Plan	Actual	Percent	Plan	Actual	Percent
STIP Program						
STIP (w TCRP,TFA)	21	20	95	$ 279.3	$ 271.7	97
Intercity Rail	1	1	100	$ 20.5	$ 20.5	100
Advanced** STIP		0			$ 0.0	
TOTAL STIP	22	21	95	$ 299.8	$ 292.2	97
SHOPP (w Augmentation)	188	183	97	$ 1,673.5	$ 1,551.0	93
Amended** SHOPP	22	22	100	$ 105.5	$ 105.5	100
Advanced** SHOPP		4			$ 7.2	
	210	209	99	$ 1,779.0	$ 1,663.7	94
Other ** Programs in Contract						
TBSRA	1	1	100	$ 88.4	$ 88.4	100
Partnership	16	14	88	$ 483.3	$ 236.2	49
Amended** Partnership	3	3	100	$ 14.0	$ 14.0	100
Amended** TCIF Bond	2	2	100	$ 3.8	$ 3.8	100
Minor	1	1	100	$ 0.3	$ 0.3	100
TOTAL "Other"	23	21	91	$ 589.8	$ 342.7	58
Additional ** Programs						
Emergency		90			$ 119.2	
Minor		40			$ 30.7	
Maintenance		140			$ 263.1	
TOTAL "Additional"		270			$ 413.0	
TOTAL All Programs						
STIP	22	21	95	$ 299.8	$ 292.2	97
SHOPP	210	209	99	$ 1,779.0	$ 1,663.7	94
Other	23	21	91	$ 589.8	$ 342.7	58
Subtotal	255	251	98	$ 2,668.6	$ 2,298.6	86
Additional		270			$ 413.0	
TOTAL		521			$ 2,711.6	

** *Notes:*

Additional – Recent projects not in contract. Includes funding reservations.

Amended – Added or deleted to program by amendment.

Advanced – Delivered early from future program year. (Not included in planned numbers)

Other – planned non-STIP/SHOPP projects committed in contract.

Delivery Percentages – Advances in contracts are included in planned figures, other advances are not included in planned figures, but are added to delivered figures.

Due to multiple funding sources on some projects, the sum of contract projects by funding source will exceed the number of planned contract projects.

Historical Program Delivery Comparison

4th Quarter "Annual Plan" Comparison

Number of STIP Projects

	13-14	12-13	11-12	10-11	09-10
Annual Plan	22	23	31	26	39
FYTD	21	20	27	23	37
Percent	95	87	87	88	95

Value of STIP Projects

	13-14	12-13	11-12	10-11	09-10
Annual Plan	$ 300	$ 138	$ 510	$ 320	$ 380
FYTD	$ 292	$ 100	$ 487	$ 314	$ 221
Percent	97	73	95	98	58

Number of SHOPP Projects

	13-14	12-13	11-12	10-11	09-10
Annual Plan	210	151	197	263	247
FYTD	209	162	194	269	263
Percent	99	107	98	102	106

Value of SHOPP Projects

	13-14	12-13	11-12	10-11	09-10
Annual Plan	$1,779	$ 863	$1,204	$2,882	$1,483
FYTD	$1,664	$1,095	$1,187	$2,949	$1,609
Percent	94	127	99	102	108

Total Number of All Projects

	13-14	12-13	11-12	10-11	09-10
FYTD	521	450	593	697	741

Total Value of All Projects

	13-14	12-13	11-12	10-11	09-10
FYTD	$2,712	$2,134	$3,851	$4,630	$3,758

Past Years' Contract For Delivery Award Status

This section describes the contract award status projects in past years for the annual Contract for Delivery.

Contract Award Status

Progress continues to be made to get past years' contracts for delivery projects awarded.

Contract Award Status	Plan	Awarded	Percent
FY 2012-13 Contract for Delivery	170	164	96
FY 2011-12 Contract for Delivery	279	278	99
FY 2010-11 Contract for Delivery	346	346	100
FY 2009-10 Contract for Delivery	306	306	100
FY 2008-09 Contract for Delivery	334	334	100
FY 2007-08 Contract for Delivery	294	294	100
FY 2006-07 Contract for Delivery	286	286	100
FY 2005-06 Contract for Delivery	174	174	100

Historical Delivery Comparison

Through the end of the fourth quarter, FY 2013-14, for last year's contract for delivery (FY 2012-13), Caltrans has awarded 164 projects out of 170 projects or 96 percent of the planned projects. As a comparison, as reported a year ago for the same time period, Caltrans had awarded 268 projects out of 279 planned projects or 96 percent.

Contracts Not Yet Awarded

Of the 7 projects not yet awarded, 2 projects are currently out to bid. Issues for award delays on the other projects are as follows:

- 3 projects are being re-scoped and re-bid to match available funding.
- 1 project has not been delivered due to coastal permit issues.
- 1 project is programmed in future year.

Contracts Not Yet Awarded	Number
PROJECTS ALLOCATED	
Project Currently Bid	2
Projects being re-scoped, re-bid	3
PROJECTS NOT ALLOCATED	
Priority, funding	1
Not delivered	1
Total	7

Deleted Contracts

Five FY 12-13 projects delivered have subsequently been deleted from the SHOPP because they are no longer needed or are being substantially rescoped.

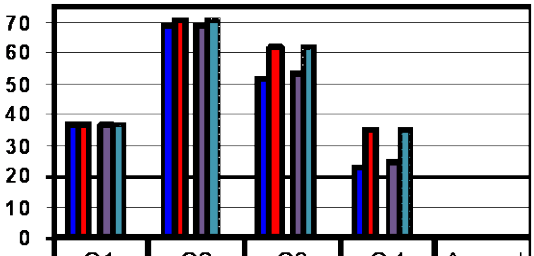
Environmental Document Milestones

Environmental Delivery Commitment

As part of this report, Caltrans reports on delivery for the upcoming year of project approval and environmental milestones that require CTC action for consideration of future funding. The milestones include Draft Environmental Documents (DED), and Project Approval and Environmental Document (PAED) which also includes the Final Environmental Documents (FED). To provide a comprehensive view of environmental documents under development, Caltrans also includes categorical exclusions that do not require CTC review or action. For FY 2013-14, Caltrans planned delivery of 262 environmental milestones.

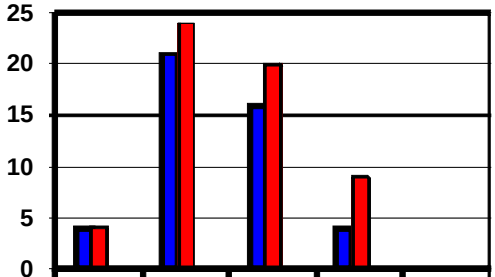
For FY 2013-14, through the end of the fourth quarter, Caltrans delivered 226 (86 percent of annual plan) environmental milestones.

Number of PAED & FED Milestones



	Q1	Q2	Q3	Q4	Annual
PAED Actual	37	69	52	23	181
PAED Plan	37	71	62	35	205
PAED FYTD	100%	97%	84%	66%	88%
FED Actual	37	69	53	25	184
FED Plan	37	71	62	35	205
FED FYTD	100%	97%	85%	71%	90%

Number of DED Milestones



	Q1	Q2	Q3	Q4	Annual
DED Actual	4	21	16	4	45
DED Plan	4	24	20	9	57
DED FYTD	100%	88%	80%	44%	79%

Through the end of the fourth quarter, 12 DED and 24 PAED planned milestones have slipped outside FY 2013-14.

Historical Delivery Comparisons

As a benchmark for comparison, below are historical environmental milestone delivery trend charts for the current year and past 4 years.

Past 4th Qtr PAED & FED Milestones

	13-14	12-13	11-12	10-11	09-10
PAED Plan	205	119	167	147	148
PAED FYTD	181	104	155	138	133
PAED Percent	88	87	93	94	90
FED Plan	205	119			
FED FYTD	184	108			
FED Percent	90	91			

Past 4th Qtr DED Milestones

	13-14	12-13	11-12	10-11	09-10
DED Plan	57	39	44	37	34
DED FYTD	45	29	31	31	26
DED Percent	79	74	70	84	76

Right of Way Program

Right of Way Delivery Commitment

Caltrans' R/W delivery commitment is twofold. One delivery commitment is to utilize funds approved by the CTC for acquisition of R/W. The second delivery commitment is to secure all necessary R/W requirements and to certify R/W for all projects scheduled for delivery in the current year.

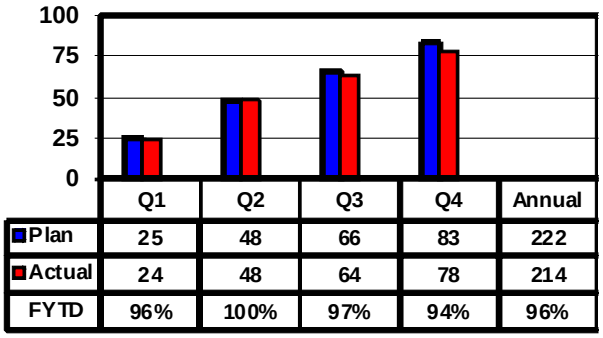
Right of Way Expenditures

R/W activities and expenditures are outlined by the categories below:

Category ($millions)	Orig Pln	Adj Pln	FYTD	Percent
Capital Projects				
STIP	$ 90.8	$ 74.5	$ 96.6	130
SHOPP	$ 38.8	$ 38.8	$ 51.4	132
Subtotal	$129.6	$ 113.3	$ 148.0	131
Specific Categories				
Post Certifications	$ 51.0	$ 44.0	$ 17.8	40
Inverse Condemnation	$ 12.5	$ 13.5	$ 4.9	36
Project Development	$ 1.0	$ 1.0	$ 1.1	110
Subtotal	$ 65.5	$ 58.5	$ 23.8	41
TOTAL	$195.1	$ 171.8	$ 171.8	100

For FY 2013-14, Caltrans requested and received an original R/W allocation of $195.1 million. The R/W allocation was subsequently reduced to an adjusted allocation of $171.8 million. Through the end of the fourth quarter, Caltrans expended a total of $171.8 million, 100 percent of the adjusted annual plan.

Right of Way Certifications



For FY 2013-14, the planned number of R/W certifications is 222. Through the end of the fourth quarter, Caltrans completed a total of 214 R/W certifications (96 percent of the annual plan).

Historical Delivery Comparisons

As a benchmark for comparison, below are historical R/W delivery trend charts for the current year and past 4 years.

Past 4th Qtr Right of Way Expenditures

	13-14	12-13	11-12	10-11	09-10
Plan	$171.8	$227.0	$217.5	$219.4	$237.7
FYTD	$171.8	$227.1	$217.6	$219.4	$237.7
Percent	100	100	100	100	100

Past 4th Qtr Right of Way Certifications

	13-14	12-13	11-12	10-11	09-10
Plan	222	174	275	311	283
FYTD	214	164	270	309	278
Percent	96	94	98	99	98

Construction Program

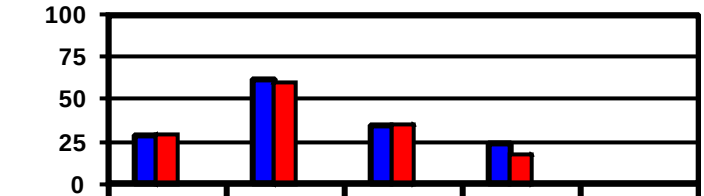
PLANNED CONSTRUCTION PROGRAM

(Excludes some projects such as minor, program amendments and emergency.)

Construction Delivery Commitment

Delivery in the eyes of our customers is achieved when capital improvements are delivered to the traveling public. This is best measured by when the construction contract is accepted.

Planned Construction Contracts Accepted



	Q1	Q2	Q3	Q4	Annual
Plan	29	62	35	24	150
Actual	29	60	35	18	142
FYTD	100%	97%	100%	75%	95%

Through the end of the fourth quarter, FY 2013-14, Caltrans had accepted a total of 142 major construction contracts (95 percent of annual plan) out of a total of 150 planned contracts identified in the Caltrans' delivery plan.

Historical Delivery Comparison

As a benchmark for comparison, shown are historical delivery trend charts for planned major construction contract acceptances.

Past 4th Qtr Construction Contracts Accepted

	13-14	12-13	11-12	10-11	09-10
Plan	149	199	272	216	226
FYTD	142	165	241	187	219
Percent	95	83	89	87	97

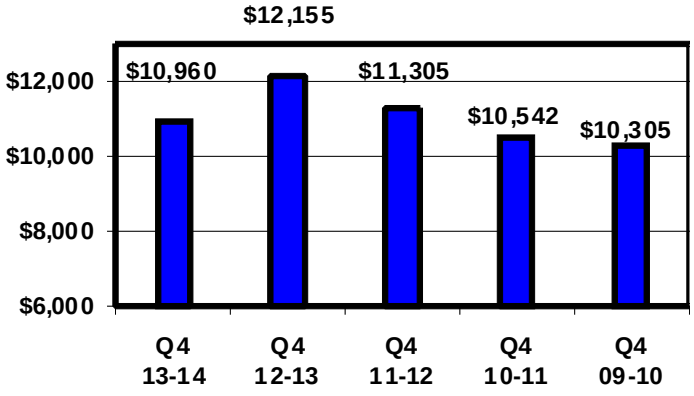
OVERALL CONSTRUCTION PROGRAM

(Includes planned programmed projects and additional minor A, amendments, and some minor B projects that are not programmed.)

Under Construction

At the end of the fourth quarter, FY 2013-14, Caltrans had 684 contracts valued at $10,960 million under construction.

Value of Ongoing Contracts ($ millions)



4th Quarter Construction Program Results

Construction Starts – 166 construction contracts valued at $650 million were started (including minor A and some minor B projects that are not programmed).

Accepted Contracts – 90 construction contracts valued at $900 million were accepted.

Arbitration - Caltrans currently has 18 construction contracts in arbitration. Four new arbitration cases were filed, and eight contracts were settled or received an arbitration decision.

Report on Completed Projects

The STIP guidelines require Caltrans to provide the Commission with a report on completed projects. This report provides cost information for projects that Caltrans has accepted the construction contract (CCA milestone).

Cost information at completion consists of all project expenditures to date. The expended costs in this report are compared to the latest approved budgets resulting from actions taken by the commission on each project, including: programmed funds, allocated funds, funds adjusted at vote, supplemental funds, and AB 608 adjustments.

Reporting Program / Project Thresholds

Completed project cost information is presented in the following levels for analysis:

- Program Costs
- STIP / SHOPP Component Groupings
- Individual Components
- Overall Project

Program Costs

At the Program level, total costs are reported for STIP and SHOPP program funds.

STIP / SHOPP Component Groupings

The methodology used to determine the amount of committed funds is based on programmed amounts, allocated funds, or debit and credit adjustments made against county shares in accordance with STIP guidelines.

It should be noted that while some individual components may exceed their approved budget, other components often have significant savings. STIP guidelines restrict the ability to capture savings and to supplement the budget. Consequently, some components are over expended while the overall project expenditures are less than the total county shares used to fund the entire project.

Individual Components

This section provides an assessment of estimating trends for each of the six individual programmed cost components.

When projects are initially programmed into a programming document, there are a lot of unknown factors that could result in higher or lower costs by the time a project is ready for construction. A good example of unknowns is project refinements and changes that are implemented by the public hearing and project input process during preliminary engineering.

Sometimes Caltrans expenditures in one component are offset by savings in another component. A common example is additional right of way support effort may result in lower right of way capital expenditures. Another example is additional environmental expenditures to produce a publicly acceptable environmental document may be offset by lower design expenditures.

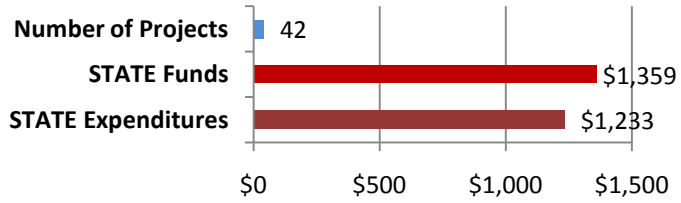
Overall Project

This section compares expended costs to the approved budget costs for the overall project. At the project level, greater flexibility is provided when costs can be managed within a project budget and transferred between components.

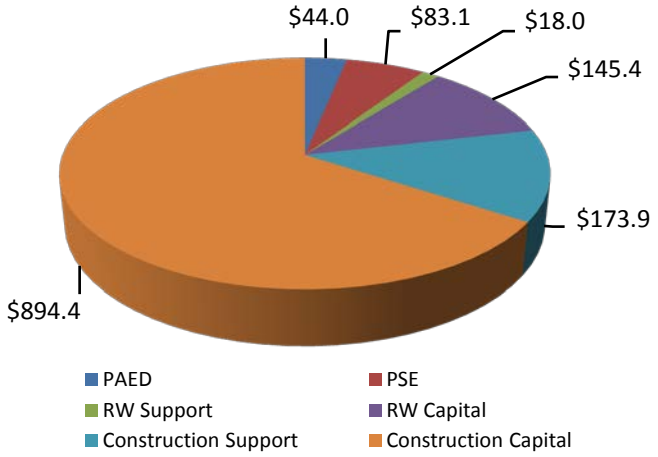
Completed FY 2013-14 STIP Projects

STIP Program Level

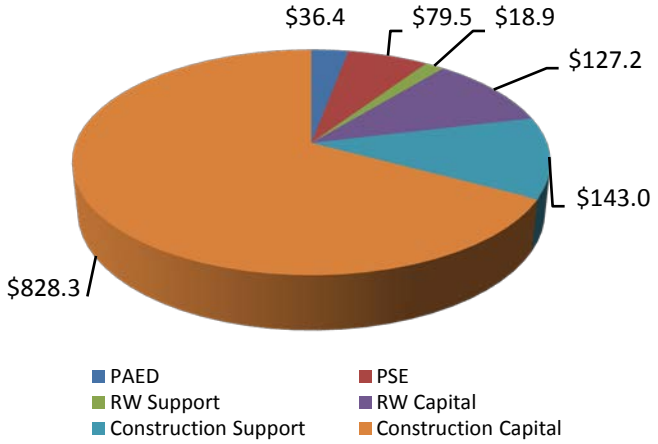
STIP Projects (millions)



Approved State Funded Budget (millions)



State Funded Expenditures (millions)



Program Expenditures by Component

Capital	77.5 %	Support	22.5 %
		• PAED	3.0 %
		• PSE	6.4 %
• Right of Way	11.6 %	• Right of Way	1.5 %
• Construction	67.2 %	• Construction	11.6 %

There were a total of 42 STIP projects that were completed through the end of FY 2013-14. The total amount of State funds(1) that were approved(2) by the commission for these projects was $1,359(3) million. The actual cost of the projects completed was $1,233 million which is 91 percent of the approved funds.

(1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.
(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.
(3) Local funds are only included if they were part of the construction contract administered by Caltrans. Other local funds may not be reflected in accounting and data systems.

STIP Component Levels

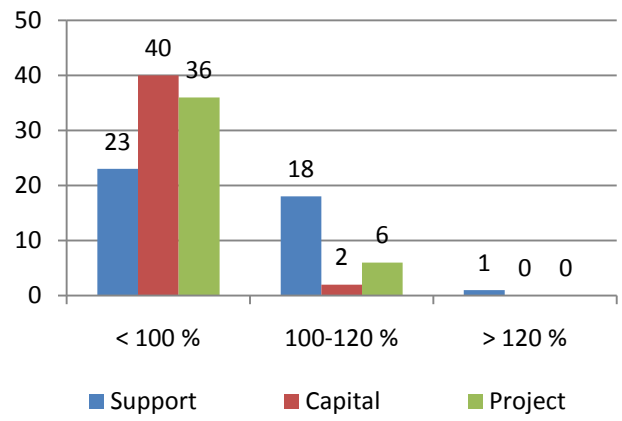
	Approved (1,000's)	Expended (1,000's)	Percent
PJD	$ 127,056	$ 115,983	91
RW	$ 163,321	$ 146,150	89
Con	$ 1,068,329	$ 971,302	91
Support	$ 318,955	$ 277,860	87
Capital	$ 1,039,751	$ 955,575	92
All	$ 1,358,706	$ 1,233,435	91

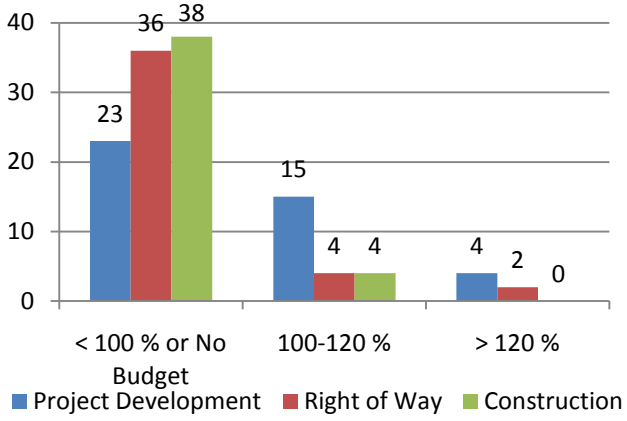
STIP Construction Capital Cost Trends

The table below provides construction capital trend information between programmed, allocated, awarded, construction (includes G-12's and supplemental) and expenditures for completed construction projects.

Construction Capital Component Budget	Cost ($1,000'S)	Percent of Allocated Funds
Programmed	$ 1,295,460	108 %
Allocated	$ 1,201,009	100 %
Awarded	$ 894,392	74 %
Construction	$ 899,068	75 %
Expended	$ 828,340	69 %

STIP Projects Completed Cost - Component Groupings



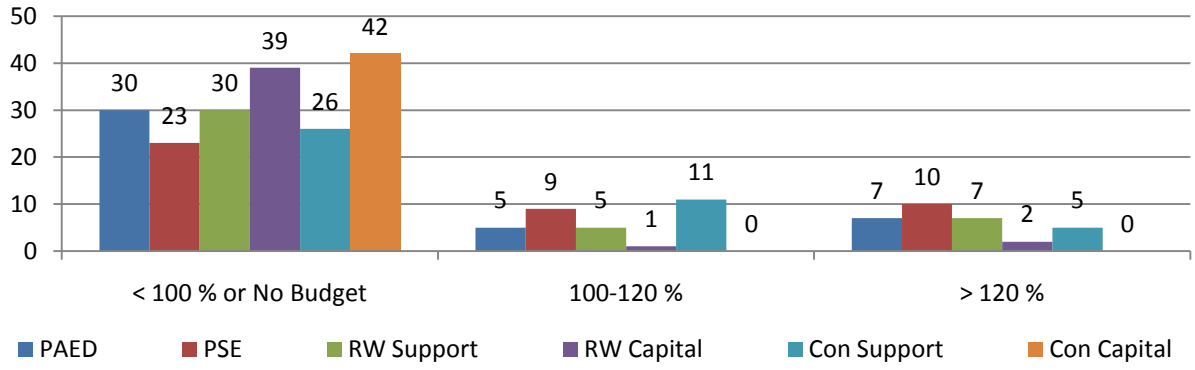


STIP Programmed and Completed Cost Information - Component Groupings

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Capital / Support Components	Support	< 100	23		55%	$ 214,732	67%	$ 170,030	61%	Under Budget	
		100-120[1]		18	43%	103,963	33%	107,509	39%		
		> 120		1	2%	260	0%	321	0%		
		Total				$ 318,955		$ 277,860		$ 41,095	87%
	Capital	< 100	40		95%	$ 957,139	92%	$ 871,433	91%	Under Budget	
		100-120[1]		2	5%	82,612	8%	84,142	9%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 1,039,751		$ 955,575		$ 84,176	92%
	Project	< 100	36		86%	$ 1,237,445	91%	$1,110,994	90%	Under Budget	
		100-120[1]		6	14%	121,261	9%	122,441	10%		
		> 120		0	0%	0	0%	0	0%		
		Total				$1,358,706		$1,233,435		$ 125,271	91%
STIP Guidelines Components	PJD	No Budget	5		12%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	18		43%	88,184	69%	72,529	63%		
		100-120[1]		15	35%	38,242	30%	42,050	36%		
		> 120		4	10%	630	1%	1,404	1%		
		Total				$ 127,056		$ 115,983		$ 11,073	91%
	Right of Way	No Budget	10		24%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	26		61%	132,372	81%	112,282	76%		
		100-120[1]		4	10%	27,024	17%	28,633	20%		
		> 120		2	5%	3,925	2%	5,235	4%		
		Total				$ 163,321		$ 146,150		$ 17,171	89%
	Construction	No Budget	0		0%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	38		90%	990,529	93%	891,967	92%		
		100-120[1]		4	10%	77,800	7%	79,335	8%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 1,068,329		$ 971,302		$ 97,027	91%

[1] Reference: Table 2, California State Auditor Report 2010-122: State law requires that STIP project costs may not be changed to reflect differences that are within 20 percent of the amount programmed for actual project costs. Further, according to the chief of Caltrans' Division of Project Management, although there are no written requirements, Caltrans' practice is to manage SHOPP projects similar to STIP projects when a SHOPP project is 20 percent over its support budget.

STIP Project Completed Cost - Individual Components



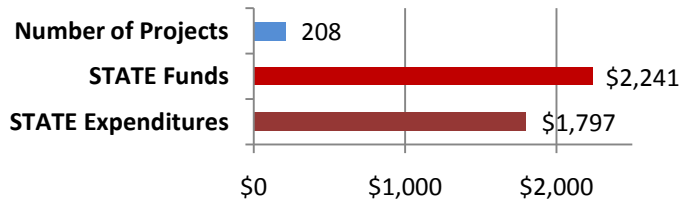
STIP Programmed and Completed Cost Information - Individual Components

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Individual Project Components	PAED	No Budget	9		21%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	21		50%	39,346	89%	30,982	85%		
		100-120[1]		5	12%	2,902	7%	3,001	8%		
		> 120		7	17%	1,716	4%	2,454	7%		
		Total				$ 43,964		$ 36,437		$ 7,527	83%
	PSE	No Budget	5		12%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	18		43%	42,705	51%	33,559	42%		
		100-120[1]		9	21%	29,672	36%	32,009	40%		
		> 120		10	24%	10,715	13%	13,978	18%		
		Total				$ 83,092		$ 79,546		$ 3,546	96%
	RW Support	No Budget	11		26%	$ 0	0%	$ 0	0%	Over Budget	
		< 100	19		45%	7,441	41%	5,513	29%		
		100-120[1]		5	12%	4,983	28%	5,606	30%		
		> 120		7	17%	5,544	31%	7,796	41%		
		Total				$ 17,968		$ 18,915		($ 947)	105%
	RW Capital	No Budget	20		48%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	19		45%	121,541	84%	101,419	80%		
		100-120[1]		1	2%	22,300	15%	22,651	18%		
		> 120		2	5%	1,512	1%	3,165	2%		
		Total				$ 145,353		$ 127,235		$ 18,118	88%
	Construction Support, Pre SB 1102	No Budget	0		0%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	25		59%	117,139	67%	81,511	57%		
		100-120[1]		10	24%	55,189	32%	59,438	42%		
		> 120		5	12%	1,373	1%	1,798	1%		
	Construction Support, SB 1102	No Budget	0		0%	0	0%	0	0%		
		< 100	1		2%	130	<1%	102	<1%		
		100-120[1]		1	2%	100	<1%	113	<1%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 173,931		$ 142,962		$ 30,969	82%
	Construction Capital	No Budget	0		0%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	42		100%	894,398	100%	828,340	100%		
		100-120[1]		0	0%	0	0%	0	0%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 894,398		$ 828,340		$ 66,058	93%

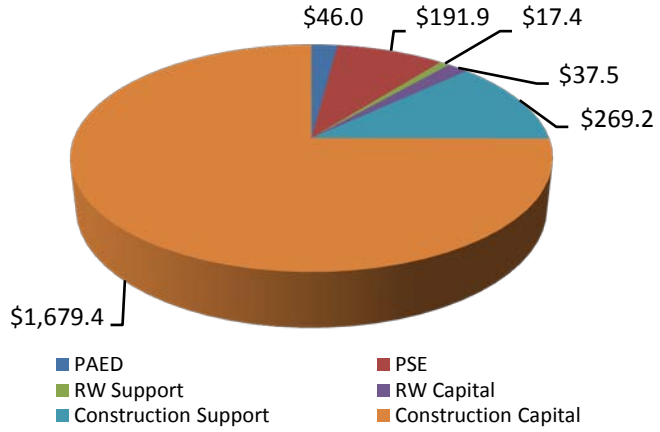
Completed FY 2013-14 SHOPP Projects

SHOPP Program Level

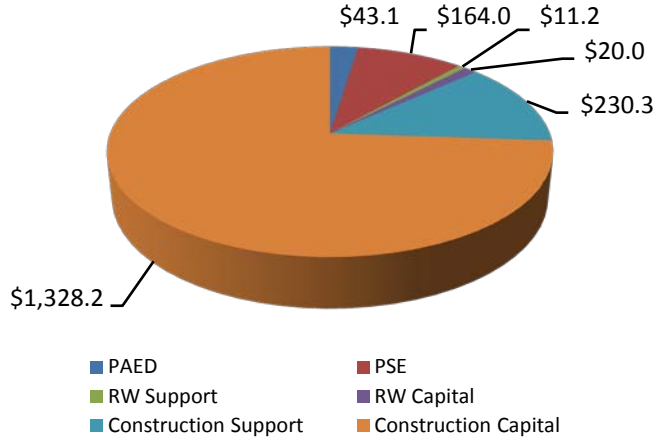
SHOPP Projects (millions)



Approved State Funded Budget (millions)



State Funded Expenditures (millions)



Program Expenditures by Component

Capital	75.0 %	Support	25.0 %
		• PAED	2.4 %
		• PSE	9.1 %
• Right of Way	1.1 %	• Right of Way	0.6 %
• Construction	73.9 %	• Construction	12.8 %

There were a total of 208 SHOPP projects that were completed through the fourth quarter in FY 2013-14. The total amount of State funds(1) that were approved(2) by the commission for these projects was $2,241(3) million. The actual cost of the projects completed was $1,797 million which is 80 percent of the approved funds.

(1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.
(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.
(3) Local funds are only included if they were part of the construction contract administered by Caltrans. Other local funds may not be reflected in accounting and data systems.

SHOPP Component Levels

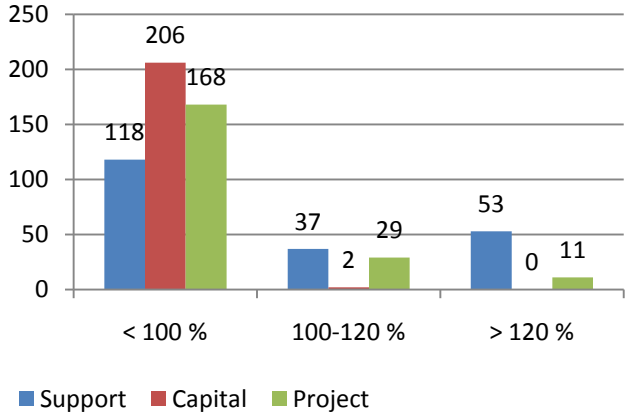
	Approved (1,000's)	Expended (1,000's)	Percent
PJD	$ 237,894	$ 207,175	87
RW	$ 54,868	$ 31,216	57
Con	$ 1,948,600	$ 1,558,457	80
Support	$ 524,503	$ 448,657	86
Capital	$ 1,716,859	$ 1,348,208	79
All	$ 2,241,363	$ 1,796,866	80

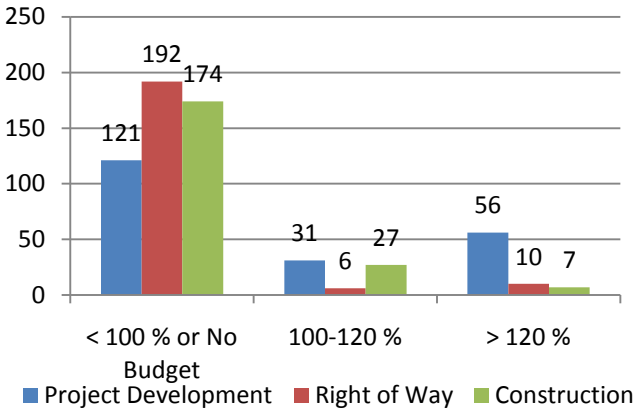
SHOPP Construction Capital Cost Trends

The table below provides construction capital trend information between programmed, allocated, awarded, construction (includes G-12's and supplemental) and expenditures for completed construction projects.

Construction Capital Component Budget	Cost ($1,000'S)	Percent of Allocated Funds
Programmed	$ 1,922,197	117 %
Allocated	$ 1,648,723	100 %
Awarded	$ 1,459,686	89 %
Construction	$ 1,429,297	87 %
Expended	$ 1,328,199	81 %

SHOPP Projects Completed Cost Percentages - Component Groupings



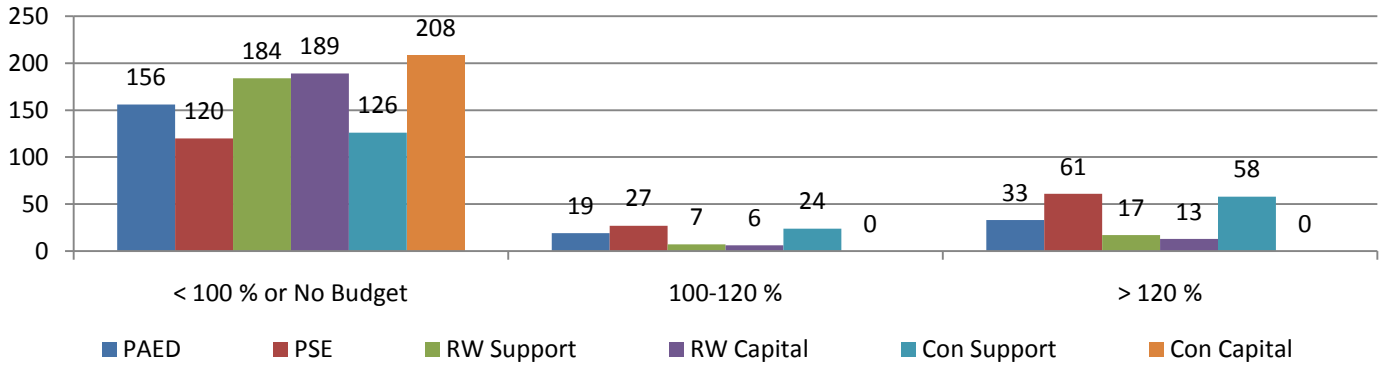


SHOPP Programmed and Completed Cost Information - Component Groupings

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Capital / Support Components	Support	< 100	118		57%	$ 402,479	77%	$ 290,904	65%	Under Budget	
		100-120[1]		37	18%	68,120	13%	73,186	16%		
		> 120		53	25%	53,904	10%	84.567	19%		
		Total				$ 524,503		$ 448,657		$ 75,846	86%
	Capital	< 100	206		99%	$ 1,710,244	100%	$1,340,971	99%	Under Budget	
		100-120[1]		2	1%	6,615	0%	7,237	1%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 1,716,859		$1,348,208		$ 368,651	79%
	Project	< 100	168		81%	$ 2,119,285	95%	$1,660,930	92%	Under Budget	
		100-120[1]		29	14%	95,097	4%	101,134	6%		
		> 120		11	5%	26,981	1%	34,802	2%		
		Total				$ 2,241,363		$1,796,866		$ 444,497	80%
STIP Guidelines Components	PJD	No Budget	20		10%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	101		49%	156,755	66%	102,804	50%		
		100-120[1]		31	15%	46,447	20%	50,008	24%		
		> 120		56	27%	34,692	14%	54,363	26%		
		Total				$ 237,894		$ 207,175		$ 30,719	87%
	Right of Way	No Budget	18		9%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	174		84%	47,252	86%	18,850	60%		
		100-120[1]		6	3%	6,121	11%	6,374	20%		
		> 120		10	5%	1,495	3%	5,992	19%		
		Total				$ 54,868		$ 31,216		$ 23,652	57%
	Construction	No Budget	0		0%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	174		84%	1,880,272	96%	1,484,532	95%		
		100-120[1]		27	13%	52,562	3%	55,928	4%		
		> 120		7	3%	15,766	1%	17,997	1%		
		Total				$ 1,948,600		$1,558,457		$ 390,143	80%

[1] Reference: Table 2, California State Auditor Report 2010-122: State law requires that STIP project costs may not be changed to reflect differences that are within 20 percent of the amount programmed for actual project costs. Further, according to the chief of Caltrans' Division of Project Management, although there are no written requirements, Caltrans' practice is to manage SHOPP projects similar to STIP projects when a SHOPP project is 20 percent over its support budget.

SHOPP Project Completed Cost Percentages - Individual Components



SHOPP Programmed and Completed Cost Information - Individual Components

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Individual Project Components	PAED	No Budget	67		32%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	89		43%	23,870	52%	13,814	32%		
		100-120[1]		19	9%	16,930	37%	17,700	41%		
		> 120		33	16%	5,238	11%	11,626	27%		
		Total				$ 46,038		$ 43,140		$ 2,898	94%
	PSE	No Budget	17		8%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	103		50%	136,623	71%	89,634	55%		
		100-120[1]		27	13%	26,291	14%	28,504	17%		
		> 120		61	29%	28,942	15%	45,894	28%		
		Total				$ 191,858		$ 164,032		$ 27,824	85%
	RW Support	No Budget	26		13%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	158		76%	13,082	75%	5,235	47%		
		100-120[1]		7	3%	1,168	7%	1,265	11%		
		> 120		17	8%	3,130	18%	4,722	42%		
		Total				$ 17,380		$ 11,222		$ 6,158	65%
	RW Capital	No Budget	61		29%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	128		62%	35,923	96%	13,771	69%		
		100-120[1]		6	3%	377	1%	438	2%		
		> 120		13	6%	1,188	3%	5,800	29%		
		Total				$ 37,488		$ 20,009		$ 17,479	53%
	Construction Support – Pre SB 1102	No Budget	0		0%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	126		61%	230,041	85%	169,367	74%		
		100-120[1]		24	12%	9,230	3%	10,037	4%		
		> 120		58	28%	29,958	11%	50,857	22%		
	Construction Support – SB 1102	No Budget	0		0%	0	0%	0	0%		
		< 100	0		0%	0	0%	0	0%		
		100-120[1]		0	0%	0	0%	0	0%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 269,229		$ 230,261		$ 38,968	86%
	Construction Capital	No Budget	0		0%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	208		100%	1,679,371	100%	1,328,199	100%		
		100-120[1]		0	0%	0	0%	0	0%		
		> 120		0	0%	0	0%	0	0%		
		Total				$1,679,371		$1,328,199		$ 351,172	79%

Appendix

(A) Glossary

(B) Past Years' Contracts for Delivery Award Status Projects Not Yet Awarded

(C) Environmental Documents

(D) Status of Major Projects with Right of Way Capital

(E) Construction Contract Administration

(F) Completed Projects Cost Information

(A) Glossary

#

1st – First

2nd – Second

3rd – Third

4th - Fourth

A

AB – Assembly Bill

B

BATA – Bay Area Toll Authority

BIP –

BOND – Proposition 1B Bond Program

C

Cap – Capital (has construction)

CE – Categorical Exemption

Cert - Certification

CTC – California Transportation Commission

Cty - County

D

Doc – Document

D-EA – District and expenditure authorization

DED – Draft environmental document

E

ED – Environmental Document

EIR – Environmental Impact Report

Emerg – Emergency funded project

Env - Environmental

F

FED – Final environmental document

FY – Fiscal Year

FYTD – Fiscal year to date

N

ND – Negative Declaration

NOP – Notice of Preparation

P

PART – Partnership (local funded projects delivered by state including contributor funds on state funded projects (counts all non-STIP or non- SHOPP Funds)

PAED – Project approval and environmental document

PM – Post Mile

PSE – Plans, specifications and estimate

Q

Q1 – First Quarter

Q2 – Second Quarter

Q3 – Third Quarter

Q4 – Fourth Quarter

Qtr – Quarter

R

RTL – Ready to list

Rte – Route

R/W – Right-of-way

RWC – Right-of-way certification

S

SDWLL – Retrofit Soundwall funded project

SHOPP – State Highway Operations and Protection Program

STIP – State Transportation Improvement Program

T

TBSRA – Toll Bridge Seismic Retrofit Account

TCRF – Traffic congestion relief funds

(B) Past Years' Contracts for Delivery Award Status
Projects Not Yet Awarded

Projects initial bid.

FY CFD	D-EA	Pgm	Cty	Rte	Description	Value	Ready to List	Vote	Ad	Bid Opening	Comments
11-12	02-0E360	SHOPP	TEH	005	REBUILD N/B & S/B FACILITIES AT CORNING SRRA'S	$6,000	04/01/14	06/25/14	08/11/14	09/17/14	SRRA projects low priority. Resubmitted to OE 12/30/13. FY 15-16 funds.
12-13	11-0223U	RTIP/ SHOPP	SD	5	REPLACE BRIDGE, RECONSTRUCT INTERCHANGE, SIGNAL INTERSECTION, ADD AUX LANES AND	$74,700	3/25/13	5/7/13	8/18/14	10/19/14	Low bid 62% over EE. Time extension to award by 5/31/15 to rescope and readvertise.
						$80,700					

Projects allocated, not advertised

12-13	01-26202	STIP	MEN	101	WETLAND/RIPARIAN MITIGATION	$26,290	4/26/13	5/7/13			High bids, Time extension to award by 7/31/15 to repackage into smaller contracts.
12-13	04-23562	SHOPP	SM	101	REPLACE BRIDGE STRUCTURE AT SAN FRANCISQUIT0 CREEK	$9,320	6/14/13	10/8/13			First two bidders nonresponsive, proceeding to reject all bids. Time extension to award by 12/31/15
12-13	04-4S050	SHOPP	SCL	9	CONSTRUCT TIE-BACK WALL	$2,780	6/14/13	8/6/13			All bids rejected 2/18/14 Time extension to award until 11/30/14
						$38,390					

Projects delivered, not allocated, future funds

12-13	06-36023	STIP	TUL	99	4 LANE FREEWAY TO 6 LANE FREEWAY	$17,700	7/25/12				Shelved, Funds in FY 15-16
						$17,700					

Projects not delivered

12-13	07-3X450	SHOPP	LA	1	REPAIR FAILED DRAINAGE *DIR	$3,500					12-13 Delivery failure. Reprogrammed to FY 14-15
						$3,500					

(C) Project Approval (PA&ED) Final Environmental Document (FED) Milestone Delivery

First Quarter - 37 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PA&ED	FED
01	0A360	SHOPP	HUM	299	CURVE IMPROVEMENT		
01	0A690	SHOPP	LAK	020	INSTALL MBGR		
01	0B350	SHOPP	HUM	036	STABILIZE ROADWAY		
01	0B390	SHOPP	HUM	096	STABILIZE ROADWAY		
02	0E360	SHOPP	TEH	005	REBUILD N/B & S/B FACILITIES AT CORNI		
02	2E800	SHOPP	SIS	005	UPGRADE FACILITY ENFORCEMENT FAC		
02	3E800	SHOPP	SHA	273	CONSTRUCT & MODIFY ADA CURB RAMP		
02	4E430	SHOPP	SHA	044	.121 PAVEMENT REHAB.		
02	4E690	SHOPP	LAS	395	REHABILITATE WATER SYSTEMS		
02	4E811		VAR		ROADSIDE SAFETY IMPROVEMENTS		
03	1A843	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENT.		
03	1A845	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENT.		
03	3F080	SHOPP	SAC	051	REHABILITATE AND RESURFACE BRIDGE		
04	0G510	STIP	MRN	000	DEVELOP AN INTERPRETATIVE INVENTO	N	N
04	1G850	SHOPP	SM	092	INSTALL OR MODIFY METAL BEAM GUAR		
04	1SS46	SHOPP	CC	680	COMPACTION GROUTING		
04	1SS47	SHOPP	CC	680	PLACE ROCK SLOPE PROTECTION, REPA		
04	4A480	SHOPP	ALA	260	REPAIR HANDRAIL AND SIDEWALK ALON		
04	4G460	SHOPP	MRN	101	UPGRADE BRIDGE RAILS		
05	0T630	SHOPP	SB	101	CURVE REALIGNMENT		
05	1A730	SHOPP	MON	101	PAVEMENT PRESERVATION (CAP M)		
05	1C300	SHOPP	MON	001	INSTALL RUMBLE STRIP		
05	1F320	SHOPP	SB	101	REPLACE OVERHEAD GUIDE SIGNS, REP		
06	0E340	SHOPP	KER	099	FREEWAY MAINTENANCE ACCESS		
07	28390	STIP	LA	210	TRANSPORTATION ENHANCEMENT		
07	28430	STIP	LA	002	TRANSPORTATION ENHANCEMENT		
08	0G841	STIP	SBD	015	INTERPRETIVE DISPLAYS ON NB & SB		
08	0J930	SHOPP	SBD	015	REHABILITATE BRIDGE		
08	0Q830	SHOPP	SBD	210	GRIND/RECONSTRUCT PCC PAVEMENT.		
08	0R740	SHOPP	RIV	371	INSTALL CENTERLINE GROUND-IN RUMB		
08	0R750	SHOPP	RIV	062	INSTALL MEDIAN BARRIER MARKERS AN		
08	1E490	SHOPP	RIV	010	REPAIR ERODED CHANNEL BED		
08	1E520	SHOPP	RIV	243	REPAIR FIRE DAMAGE		
08	1E530	SHOPP	RIV	243	REPAIR FIRE DAMAGE		
09	33500	SHOPP	MNO	395	MITIGATE MONO LAKE ROCKFALL		
10	0Q290	SHOPP	MER	165	IMPROVE CURVE RADIUS, SUPERELEVA		
10	0T780	SHOPP	STA	132	TRAFFIC SIGNAL AND WIDENING		

Second Quarter - 71 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PA&ED	FED
01	0B080	SHOPP	DN	101	AC OVERLAY		
01	0B410	SHOPP	HUM	101	RECONSTRUCT ROADWAY & DEWATER		
01	0B460	SHOPP	HUM	299	STABILIZE ROADWAY		
01	0C350	SHOPP	LAK	029	CAPM		
01	0C460	SHOPP	HUM	299	CAPM		
01	36291	SHOPP	MEN	101	ROADWAY REHABILITATION		
01	41540	SHOPP	MEN	101	RESURFACING, RESTORATION AND REH		
01	45930	SHOPP	MEN	101	ROADWAY REHABILITATION		
01	49771	SHOPP	MEN	001	PERMANENT RESTORATION: REPAIR SLI		
02	4E900	SHOPP	TEH	032	.010 CURVE IMPROVEMENT		
02	4F410	SHOPP	SIS	096	.131 EMREGENCY PROJECT		
02	4G480	SHOPP	SHA	299	CONSTRUCT SIDEWALKS		
03	3F170	SHOPP	SAC	005	ROADSIDE PAVEMENT AND SAFE ACCES		
03	3F180	SHOPP	SAC	080	PLACE VEGETATION CONTROL AND GRA		
03	3F770	SHOPP	SUT	099	INSTALL SHOULDER AND CENTERLINE R		
04	1SS37	SHOPP	SON	121	CAST IN DRILL HOLE PILE WALL		
04	1SS52	SHOPP	SCL	280	GEOSYNTHETIC REINF EMBANKMENT		
04	23565	STIP	SM	101	RECONSTRUCT INTERCHANGE		
04	27205	SHOPP	SCL	280	REHABILITATE RAMPS		
04	2A330	SHOPP	ALA	084	IMPROVE SIGHT DISTANCE, UPGRADE SI		
04	2G520	SHOPP	ALA	580	UPGRADE TYPE W BEAM (WB) OR SINGL		
04	3G680	SHOPP	SM	101	CONSTRUCT ROADSIDE PAVEMENT, MVI		
04	3G700	SHOPP	SF	101	ROADSIDE SAFETY IMPROVEMENTS		
04	3G710	SHOPP	ALA	580	ROADSIDE SAFETY IMPROVEMENTS		
04	4A360	SHOPP	SM	000	REPLACE METAL BEAM GUARDRAIL WITI		
04	4A810	SHOPP	ALA	880	STORM WATER MITIGATION		
04	4A820	SHOPP	ALA	080	STORM WATER MITIGATION		
04	4A830	SHOPP	ALA	013	STORM WATER MITIGATION		
04	4G470	SHOPP	MRN	580	UPGRADE BRIDGE RAIL		
04	4G640	SHOPP	SM	084	REPAIR WASHOUT CONSTRUCT WALL		
04	4H221	SHOPP	ALA	580	AC RESURFACING (MAINLINE)		
04	4H222	SHOPP	ALA	580	REHABILITATE PAVEMENT / RAMPS		
04	4S190	SHOPP	SON	116	CONSTRUCT SOLDIER PILE WALL		
05	0G040	SHOPP	SLO	101	HIGHWAY REHAB		
05	0S250	SHOPP	SB	101	ROADSIDE SAFETY IMPROVEMENTS		
05	1A760	SHOPP	MON	001	PAVEMENT PRESERVATION (CAP M)		
05	1A870	SHOPP	SCR	001	RE-STRIPING AND SHOULDER WIDENING		
05	1C130	SHOPP	SLO	001	CURB RAMPS AND SIDEWALK		
05	1C310	SHOPP	SCR	001	INSTALL RUMBLE STRIPS		
05	1C860	SHOPP	SCR	001	PAVEMENT PRESERVATION		
07	28490		LA	118	WIDENING OFF-RAMP		
07	28750		VEN	118	WILDLIFE CORRIDOR STUDY		
07	28810	SHOPP	LA	60	WIDEN FREEWAY		
07	29300	SHOPP	LA	210	REHAB RAMPS AND CONNECTOR PAVEM		
07	29460	SHOPP	LA	101	ROADSIDE SAFETY IMPROVEMENTS		
07	29470	SHOPP	LA	101	SLAB REPLACEMENT/COLDPLANE AC O/I		
07	29490	SHOPP	LA	005	PREVENTIVE MAINTENANCE		
07	29500	SHOPP	LA	010	SLAB REPLACEMENT		
07	29550	SHOPP	LA	101	ROADSIDE SAFETY IMPROVEMENTS		
07	29560	SHOPP	LA	005	PVMT PRESERVATION,SLAB REPL,SHLDF		
07	29570	SHOPP	LA	605	PAV PRESERVATION,SLAB REPL/GRNDN		
07	29670	SHOPP	VEN	118	PAVEMENT REPAIR & RAMP		
07	29680	SHOPP	LA	2	RESURFACE		
07	29700	SHOPP	LA	138	COLD PLANE AND OVERLAY		
07	30230	SHOPP	LA	210	LANE REPLACEMENT		
07	30280	SHOPP	LA	138	AC OL ON ETW TO ETW		
08	0P980	SHOPP	SBD	018	SIGNALIZE INTERSECTION. ADA REQUIR		
08	0Q751	SHOPP	RIV	060	PAVEMENT REHABILITATION		
08	0R460	SHOPP	RIV	091	IMPROVE WORKER SAFETY CONDITIONS		
08	0R490	SHOPP	RIV	091	IMPROVE WORKER SAFETY CONDITIONS		
08	0R950	SHOPP	RIV	371	CONSTRUCT LEFT TURN LANE		
08	1C370		RIV	095	MILL AND OVERLAY PAVEMENT WITH DIG		
09	35690	SHOPP	MNO	108	UPGRADE BARRIER APPROACH RAIL		
10	0Q220	SHOPP	AMA	088	AC OVERLAY AND DIGOUTS		
10	0V660	SHOPP	STA	005	CAP M		
10	0W690	SHOPP	SJ	004	REPLACE JOINT SEALS, BEARING PADS &		
12	0H007	SHOPP	ORA	005	TO PROVIDE CLOSED CIRCUIT TV (CCTV)		
12	0J640	SHOPP	ORA	055	REVEGETATION OF EXPOSED SLOPES F		
12	0L720	SHOPP	ORA	074	CONSTRUCT 4-FOOT RIGHT SHOULDER,		
12	0L92U	SHOPP	ORA	005	UPGRADE PEDESTRIAN FACILITIES W/IN		
12	0N110	SHOPP	ORA	133	DEEP INJECTION GROUTING AT THREE L		

Legend

- Completed
- N — Not Needed due to Env Doc Change
- Behind Schedule
- To Be Completed
- Delay Out of Year

(C) Project Approval (PA&ED) Final Environmental Document (FED) Milestone Delivery (page 2)

Third Quarter - 62 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PA&ED	FED
01	0A320	SHOPP	HUM	299	CURVE IMPROVEMENT		
01	0A520	SHOPP	HUM	299	CURVE IMPROVEMENT		
01	0B370	SHOPP	HUM	036	SLIDE REPAIR		
01	0B380	SHOPP	HUM	096	SLIDE REPAIR		
01	0B400	SHOPP	HUM	101	RECONSTRUCT ROADWAY & DRAINAGE		
01	0B450	SHOPP	HUM	299	REPAIR SLIDES & SLIPOUTS		
01	26201	STIP	MEN	101	RYAN CREEK FISH PASSAGE - COHO SAL		
01	26204	STIP	MEN	101	SHERWOOD ROAD GEOMETRIC UPGRAD		
01	43060	SHOPP	HUM	254	REPLACE BRIDGE RAILS AND WIDEN (FO		
02	0E090	SHOPP	SHA	5	.113 SEISMIC RETROFIT DOG CREEK BR		
02	3E730	SHOPP	SIS	005	.110 BRIDGE DECK REPLACEMENT.		
02	3E770	SHOPP	TRI	299	.121 CURB RAMPS		
03	0F370	SHOPP	GLE	005	RAISE STRUCTURE OR LOWER ROADWA		
03	2F290	SHOPP	PLA	267	PAVEMENT REHAB AND WIDEN SHOULDI		
03	2F990	HM	SAC	160	HMA OVERLAY		
03	3F040	SHOPP	SAC	005	REPLACE ALL PUMP HOUSE COMPONEN		
03	3F660	HM	SAC	160	HMA OVERLAY		
03	3F790	SHOPP	SUT	020	REPLACE SIGNAL POLES		
04	15148		ALA	880	TO INSTALL RAMP METERS & TRAFFIC O		
04	1A340	SHOPP	SCL	009	BRIDGE RAIL REPLACEMENT		
04	1G830	SHOPP	SM	280	UPGRADE TO STANDARD THE EXISTING		
04	1SS42	SHOPP	ALA	680	REPAIR SLOPE AND INSTALL ROCK SLOP		
04	23552		SM	092	INTERCHANGE IMPROVEMENT		
04	27204	SHOPP	SCL	280	REHABILITATE ROADWAY MAINLINE		
04	3G110	SHOPP	SON	116	ROCKSLOPE PROTECTION		
04	3G590	SHOPP	ALA	580	ROADWAY REHABILITATION (2R)		
04	3G650	SHOPP	SOL	680	REHABILITATE PAVEMENT		
04	4A000	SHOPP	MRN	101	BRIDGE RAIL REPLACEMENT AT THREE L		
04	4C200	HM	SCL	152	PREVENTIVE MAINTENANCE, ASPHALT C		
04	4G111	STIP	ALA	680	WIDEN RAMPS AND CONSTRUCT RAMP M		
04	4G190		ALA	580	INSTALL RAMP METERING AND TOS ELEI		
04	4G590	SHOPP	SM	280	REPAIR PIPE SYSTEM		
04	4G630	SHOPP	SM	280	REPAIR WASHOUT AND RSP		
05	0N700	STIP	SB	101	CONSTRUCT HOV LANES		
05	1C080	SHOPP	SLO	101	ROADSIDE SAFETY IMPROVEMENTS		
05	1C090	SHOPP	MON	068	ROADSIDE SAFETY IMPROVEMENTS		
05	1C100	SHOPP	SCR	001	ROADSIDE SAFETY IMPROVEMENTS		
05	1C110	SHOPP	SLO	101	ROADSIDE SAFETY IMPROVEMENTS		
05	1C240	SHOPP	SLO	001	INSTALL RUMBLE STRIP		
06	0K810	SHOPP	KER	099	SEISMIC RESTORATION		
06	0N960	SHOPP	KER	204	PLACE DECK OVERLAY, REPLACE JOINT		
06	0P980	SHOPP	FRE	168	CONSTRUCT MEDIAN BARRIER		
06	34235	STIP	FRE	180	HIGHWAY PLANTING		
07	2844U	STIP	LA	110	CONSTRUCT BICYCLE/PEDESTRIAN TRA		
07	28820	SHOPP	LA	060	STORM WATER SOURCE CONTROL		
07	28920	SHOPP	LA	710	SOURCE CONTROL		
07	29080	SHOPP	LA	001	UPGRADE PEDESTRIAN FACILITIES		
07	29450	STIP	LA	005	NATIVE PLANTING AND ENHANCEMENTS		
08	0N550	SHOPP	SBD	040	BRIDGE REPLACEMENT		
08	0Q300	SHOPP	SBD	138	CONSTRUCT TWO LANE CONVENTIONAL		
08	0Q790	SHOPP	SBD	040	BRIDGE REPLACEMENT (BR #54 0889L&R		
08	0R470	SHOPP	SBD	215	UPGRADE PEDESTRIAN FACILITIES, REM		
09	21340	STIP	INY	395	CONSTRUCT 4 LANE EXPRESSWAY		
09	35060	STIP	INY	168	CONSTRUCT SIDEWALKS		
10	0P920	SHOPP	MPA	140	CLEAR LANDSLIDE		
10	0U520	SHOPP	MER	059	INSTALL LEFT TURN CHANNELIZATION		
10	0V620	SHOPP	CAL	026	SIGNALIZATION AND CHANNELIZATION		
11	26041	SHOPP	SD	008	DRAINAGE IMPROVEMENTS		
11	28230	SHOPP	SD	005	STORM WATER DETENTION BASINS RET		
11	40640	SHOPP	SD	078	UPGRADE BRIDGE RAIL ENDTREATMENT		
11	41540	SHOPP	SD	008	ENHANCE STRIPING AND UPGRADE BRID		
12	0H890	SHOPP	ORA	055	REPAIR EXISTING ROCK BLANKET, UPGR		

Fourth Quarter - 35 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PA&ED	FED
01	0B030	SHOPP	HUM	096	CURVE IMPROVEMENT		
01	0B270	SHOPP	DN	101	REPAIR ROADWAY FAILURES		
01	0B300	SHOPP	DN	101	STABILIZE ROADWAY		
01	0B340	SHOPP	HUM	036	RECONSTRUCT ROADWAY & DRAINAGE		
01	0B470	SHOPP	MEN	001	REPAIR SLIPOUT		
01	46392	SHOPP	HUM	000	RECONSTRUCT GUARD RAILING		
01	49370	SHOPP	HUM	096	SHOULDER WIDENING/LIGHT GUARD CR		
02	4E890	SHOPP	SHA	299	.010 MCCANDLESS GULCH CURVE IMPR		
02	4F610	SHOPP	VAR	005	.119 BRIDGE DECK REHAB		
03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY		
03	1F990	SHOPP	BUT	032	ADA COMPLIANCE CURB RAMPS, SIGNAL		
03	3F650	SHOPP	NEV	089	PAVEMENT OVERLAY		
04	0G720	SHOPP	SCL	152	UPGRADE INTERSECTION AND INSTALL S		
04	1SS03	SHOPP	ALA	580	CONSTRUCT SOLDIER PILE WALL		
04	1SS41	SHOPP	ALA	013	INSTALL SHOULDER BEAM TIE-BACK RET		
04	2G440	SHOPP	CC	080	UPGRADE TYPE W BEAM OR SINGLE THR		
04	2G450	SHOPP	CC	680	UPGRADE TO TYPE W BEAM (WB) OR GL		
04	2G830	SHOPP	ALA	013	REPAIR SLOPE SLIDE WITH SOLDIER BE		
04	2G850	SHOPP	ALA	580	CONSTRUCT RETAINING WALL		
04	2G890	SHOPP	MRN	001	CONSTRUCT SOLDIER PILE WALL WITH T		
04	2G940	SHOPP	NAP	128	CONSTRUCT ROADWAY RETAINING SYS		
04	3G640	SHOPP	NAP	029	SCOUR REPLACE BRIDGE		
04	3S900	SHOPP	MRN	001	CONSTRUCT TIE-BACK WALL		
04	4G450	SHOPP	SOL	780	REPLACE BRIDGE		
04	4S660	SHOPP	MRN	001	PERMANENT RESTORATION & TO REPLA		
06	0L340	SHOPP	FRE	168	INTERSECTION IMPROVEMENT		
06	0Q580		KER	005	WIRE THEFT RESTORATION		
07	29770	SHOPP	LA	110	INSTALL SAFETY LIGHTING		
07	29850	SHOPP	LA	405	RECONFIGURE RAMPS		
08	0R510	SHOPP	SBD	015	INSTALL VEGETATION CONTROL UNDER		
08	34770	STIP	SBD	58	CONSTRUCT 4-LANE EXPWY ON NEW AL		
09	34090	SHOPP	MNO	395	REHAB/REPLACE CULVERTS		
10	0P800	SHOPP	MER	140	BRIDGE SCOUR MITIGATION		
10	0W140	SHOPP	MER	152	CONSTRUCT MEDIAN BARRIER		
10	0X320	SHOPP	MER	165	INSTALL RUMBLE STRIPS		

Legend

- Completed
- N — Not Needed due to Env Doc Change
- Behind Schedule
- To Be Completed
- Delay Out of Year

(C) Draft Environmental Document (DED) Milestone Delivery

First Quarter - 4 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
04	15148		ALA	880	TO INSTALL RAMP METERS & TRAFFIC O	N
04	23565	STIP	SM	101	RECONSTRUCT INTERCHANGE	
06	0P590	SHOPP	TUL	190	CONSTRUCT ROUNDABOUT	
09	35060	STIP	INY	168	CONSTRUCT SIDEWALKS	

Second Quarter - 24 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	0A320	SHOPP	HUM	299	CURVE IMPROVEMENT	N
01	0A520	SHOPP	HUM	299	CURVE IMPROVEMENT	N
01	0B400	SHOPP	HUM	101	RECONSTRUCT ROADWAY & DRAINAGE	N
01	26201	STIP	MEN	101	RYAN CREEK FISH PASSAGE - COHO SAI	
01	26204	STIP	MEN	101	SHERWOOD ROAD GEOMETRIC UPGRAD	
01	43060	SHOPP	HUM	254	REPLACE BRIDGE RAILS AND WIDEN (FO	
01	49771	SHOPP	MEN	001	PERMANENT RESTORATION: REPAIR SLI	
03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY	
04	17244	SHOPP	ALA	084	ENVIRONMENTAL MITIGATION	
04	1SS03	SHOPP	ALA	580	CONSTRUCT SOLDIER PILE WALL	
04	23552		SM	092	INTERCHANGE IMPROVEMENT	
04	2G890	SHOPP	MRN	001	CONSTRUCT SOLDIER PILE WALL WITH T	N
04	2G940	SHOPP	NAP	128	CONSTRUCT ROADWAY RETAINING SYS	
04	3G590	SHOPP	ALA	580	ROADWAY REHABILITATION (2R)	
04	3S900	SHOPP	MRN	001	CONSTRUCT TIE-BACK WALL	
04	4G111	STIP	ALA	680	WIDEN RAMPS AND CONSTRUCT RAMP M	
04	4G190		ALA	580	INSTALL RAMP METERING AND TOS ELE	
04	4G590	SHOPP	SM	280	REPAIR PIPE SYSTEM	
06	0K460	SHOPP	KER	099	BRIDGE REPLACEMENT	
06	0K810	SHOPP	KER	099	SEISMIC RESTORATION	
06	0L340	SHOPP	FRE	168	INTERSECTION IMPROVEMENT	
06	0N990	SHOPP	FRE	041	BRIDGE SEISMIC RETROFIT	
09	34090	SHOPP	MNO	395	REHAB/REPLACE CULVERTS	
09	35690	SHOPP	MNO	108	UPGRADE BARRIER APPROACH RAIL	N

Third Quarter - 20 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	0B030	SHOPP	HUM	096	CURVE IMPROVEMENT	N
01	0B470	SHOPP	MEN	001	REPAIR SLIPOUT	N
03	3F360		SAC	050	BUS/CARPOOL LANE ADDITIONS	
04	16030	SHOPP	ALA	084	REPLACE BRIDGE	
04	1A903	SHOPP	SF	001	CONSTRUCT DRAINAGE	N
04	1SS42	SHOPP	ALA	680	REPAIR SLOPE AND INSTALL ROCK SLOP	N
04	28120	STIP	NAP	029	INTERSECTION IMPROVEMENTS	
04	2G830	SHOPP	ALA	013	REPAIR SLOPE SLIDE WITH SOLDIER BE	N
04	3G640	SHOPP	NAP	029	SCOUR REPLACE BRIDGE	
05	0A050	SHOPP	SB	001	CONSTRUCT SOIL NAIL/TIEBACK RETAIN	
05	0Q600	SHOPP	SCR	017	STORM WATER MITIGATION	
05	0T990	SHOPP	MON	101	TREE AND MBGR REMOVAL	
06	0H200	SHOPP	TUL	201	BRIDGE RAIL REPLACMENT	
06	0M370	SHOPP	KIN	043	CONSTRUCT ROUNDABOUT	
06	0N180	SHOPP	KIN	198	INSTALL MEDIAN BARRIER	N
06	46380		FRE	180	ADD PASSING LANES	
08	0N69U	SHOPP	RIV	060	CONSTRUCT A TRUCK CLIMBING LANE E	
10	0P800	SHOPP	MER	140	BRIDGE SCOUR MITIGATION	N
10	0W140	SHOPP	MER	152	CONSTRUCT MEDIAN BARRIER	
11	40570	SHOPP	SD	076	INTERSECTION IMPROVEMENT AT SR-76	

Fourth Quarter - 9 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	0A490	SHOPP	HUM	299	CURVE IMPROVEMENT	
01	0B360	SHOPP	HUM	036	RECONSTRUCT ROADWAY & DRAINAGE	
01	0B420	SHOPP	HUM	101	CONSTRUCT BUTTRESSES & DEWATER	
01	0B430	SHOPP	HUM	101	BIG LAGOON SLIPOUT REPAIR	
01	0C241	SHOPP	DN	101	CONSTRUCT SOLDIER TIE BACK WALL &	N
01	49370	SHOPP	HUM	096	SHOULDER WIDENING/LIGHT GUARD CR	N
04	0A020	SHOPP	SON	001	REALIGN ROADWAY	
04	1SS41	SHOPP	ALA	013	INSTALL SHOULDER BEAM TIE-BACK RET	
04	3G620	SHOPP	SF	101	BRIDGE REHABILITATION	

	Completed
N	Not Needed due to Env Doc Change
	Behind Schedule
	To Be Completed
	Delay Out of Year

(D) FY 13-14 Status of Major Projects with Right of Way Capital

D	EA	PPNO	Cty	Rte	Description	RW Cert Date	RW Capital All Funds	RW Capital STIP/ SHOPP	Doc Year	Total RW Capital Estimate	2013 FY Alloc Plan	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
01	262020	0125X	MEN	101	WETLAND/RIPARIAN MITIGATION	2/12/2013	21,530	21,530	2012	$ 26,355	$ 4,944,000	2,436	(2,436)	0	0
01	3986U1		LAK	053	WIDEN ROADWAY WITH HMA OVER AB	5/25/2010	1,086	1,086	2008	$ 1,031	$ 1,021,000	0	10,134	0	0
02	360700	3017	SHA	299	ROADWAY REHABILITATION	5/1/2013	3,092	3,092	2012	$ 1,800	$ 1,166,000	388,371	13,226	19,751	814,711
03	3C3800	3258	ED	050	STORM WATER MITIGATION	3/15/2014	3,000	3,000	2012	$ 2,351	$ 1,755,000	25,721	12,100	40,573	65,500
04	1637E1	0619E	SF	101	SOUTH ACCESS TO GOLDEN GATE BRIDGE DOYLE DR REPLACEMENT PROJECT-ALL	7/21/2010	32,300	32,300	2010	$ 85,990	$ 3,364,000	0	0	0	5,333
04	1A2901	0756G	SON	012	REPLACE BRIDGE FOR SCOUR	1/21/2013	2,271	2,271	2012	$ 2,500	$ 2,260,000	182,500	0	54,150	2,400
04	259410	0378C	NAP	029	REHABILITATE ROADWAY	6/1/2014	3,283	3,283	2012	$ 3,765	$ 1,935,000	190,850	3,400	76,020	1,481,000
04	264071	0360J	MRN	101	CONSTRUCT INTERCHANGE ON RTE 101 AT SAN ANTONIO RD;INCLUDING FRONTAGE	4/26/2012	34,216	17,904	2012	$ 36,994	$ 3,408,000	1,172,904	88	41,983	13,216
04	264081		SON	101	CONSTRUCT INTERCHANGE ON RTE 101 AT PETALUMA BLVD;INCLUDING FRONTAGE	5/11/2012	0		0	$ -	$ 1,660,000	200,302	325,363	0	27,600
04	264091	0360G	MRN	101	REALIGN ROUTE 101 AT SAN ANTONIO CURVE	11/1/2013	5,202	5,202	2012	$ 15,189	$ 3,561,000	79,212	317,409	2,550,917	72,257
04	4A0900	0382D	NAP	029	REPLACEMENT OF TROUTDAL CREEK BRIDGE ON A NEW ALLIGNMENT	5/1/2014	1,630	1,630	2012	$ 2,300	$ 1,630,000	4,483	1,434	1,200	37,750
05	33078	0226H	SLO	46	CONVERT TO 4-LANE EXPRESSWAY	5/1/2015	11,000	11,000	2010	$ 1,000	$ 1,000,000	45,890	(25,000)	539	614
05	49280	4928	SLO	1	REALIGN ROADWAY	10/3/2013	14,170	14,170	2004	$ 6,000	$ 6,000,000	33,400	259,100	225,555	1,086,245
05	315801	0058E	MON	101	CONSTRUCT NEW INTERCHANGE AT SAN JUAN ROAD	3/30/2012	20,000	9,550	2012	$ 16,360	$ 2,000,000	0	0	5,000	0
05	344901	0297	SBT	156	WIDEN 2 TO 4 LANES	1/16/2015	14,636	14,636	2012	$ 21,807	$ 4,599,000	93,671	17,240	198,275	2,640,805
05	4482U1	0482	SB	101	RECONSTRUCT INTERCHANGES (2) AND REPLACE CARPINTERIA CR BR	7/15/2014	9,388	5,394	2012	$ 5,806	$ 4,043,000	3,000,916	38,350	2,506,364	15,000
06	0H6301	6468	TUL	198	ADA COMPLIANCE UPGRADES	5/1/2014	606	606	2012	$ 1,386	$ 1,386,000	0	80,000	0	35,500
06	434011	8650A	TUL	065	WIDEN 2 LANE CONVENTIONAL HIGHWAY TO 4 LANE EXPRESSWAY	5/1/2015	5,730	5,730	2012	$ 7,386	$ 2,007,000	40,550	5,000	0	149,400
06	457111	8042A	KER	014	CONVERT EXISTING 2-LANE TO 4-LANE EXPRESSWAY	1/2/2015	9,500	9,500	2012	$ 9,500	$ 3,049,000	0	0	2,250	36,040
06	471501	6423	TUL	099	RECONSTRUCT INTERCHANGE	2/1/2016	16,000	6,000	2012	$ 11,759	$ 1,566,000	0	0	0	0
07	1170U1	0309N	LA	010	CONSTRUCT HOV LANES & SOUNDWALLS *COMB WITH 117081 & 111721	5/30/2013	25,594	25,594	2012	$ 26,375	$ 6,000,000	2,332,624	0	340,250	1,529,586
07	1193U1	0310B	LA	010	CONSTRUCT HOV LANE IN EA DIRECTION *COMB 28900 + 11934 INTO 1193U	4/7/2014	8,000	8,000	2012	$ 6,500	$ 1,000,000	30,047	2,800,589	127,869	528,147
07	127271	0694Q	LA	138	WIDEN CONVENTIONAL HIGHWAY (SEG 12) *SPLIT=1272U1	6/2/2011	6,606	6,606	2010	$ 6,595	$ 1,139,000	14,644	10,600	130	(6,628)
07	202111	4137	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	5/11/2011	3,000	3,000	2010	$ 2,270	$ 1,500,000	2,200	(2,200)	0	0
07	202121	4137A	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	4/3/2014	34,900	34,900	2012	$ 23,297	$ 6,000,000	2,145	0	35,016,412	(1,388,950)
07	215921	2808	LA	005	ROADWAY WIDENING (SEG 2) *POR=2159A1	3/14/2014	249,994	89,757	2012	$400,434	$ 19,627,000	2,101,812	5,946,469	2,828,432	4,776,608
07	215941	4155	LA	005	ROADWAY WIDENING (SEG 4) *POR=2159A1	3/9/2012	181,154	85,404	2012	$239,388	$ 10,178,000	1,480,148	87,230	117,250	547,513
07	215951	4156	LA	005	ROADWAY WIDENING & STRIPING (SEG 5) *POR=2159A1	6/14/2013	36,452	36,452	2012	$ 84,095	$ 13,171,000	4,049,536	113,670	106,336	1,422,149
07	4L2211	3732A	LA	001	ROADWAY STABILIZATION	6/5/2012	2,650	2,650	2010	$ 2,000	$ 1,700,000	81,863	16,639	1,688	88,762

(D) FY 13-14 Status of Major Projects with Right of Way Capital

D	EA	PPNO	Cty	Rte	Description	RW Cert Date	RW Capital All Funds	RW Capital STIP/ SHOPP	Doc Year	Total RW Capital Estimate	2013 FY Alloc Plan	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
07	4Y7001	4386	LA	405	FULL REMOVAL & PAINT STEEL GIRDERS	9/11/2014	1,052	1,052	2012	$ 2,152	$ 2,151,000	545	0	0	1,016
07	4Y8501	4383	LA	103	SPOT PROP AND PAINT	2/3/2014	1,749	1,749	2012	$ 1,476	$ 1,317,000	0	400	0	0
08	043511	0217F	SBD	58	REALIGN & WIDEN 2 TO 4 LANE EXPWY	4/15/2014	38,536	38,536	2012	$ 58,799	$ 9,597,000	226,092	7,712,991	1,037,090	5,558,124
08	0C1211	0259K	SBD	395	WIDEN MEDIAN & SHOULDER, INSTALL MEDIAN & SHOULDER RUMBLE STRIPS &	6/29/2011	4,908	4,908	2010	$ 4,908	$ 1,129,000	813	4,050	2,782	3,024
08	0G9001	0253F	SBD	247	CONSTRUCT STANDARD PAVED SHOULDER	6/10/2014	4,847	4,847	2012	$ 4,426	$ 2,211,000	28,611	1,650	22,500	236,060
08	3401U1	0239D	SBD	138	WIDEN TO 4 LNS FR BEEKLEY RD TO JCT 15, ADD 1 LN EB FR PHELAN RD TO	12/5/2013	3,569	3,569	2012	$ 9,944	$ 3,302,000	195,947	5,416,027	42,806	5,275
08	355560	0174L	SBD	015	ADD N/B MIXED FLOW LANE W/AUX LANE. RECON "D" & "E" ST ICS & STODDARD	1/3/2014	13,826	13,826	2012	$ 32,094	$ 5,799,000	741,948	122,452	198,569	1,897,401
11	167881	0021F	IMP	078	CONSTRUCT FOUR-LANE EXPRESSWAY AND INTERCHANGE - (STAGE 2)	5/24/2007	14,259	12,526	2010	$ 24,438	$ 1,493,000	8,355	10,302	30,919	135,623
11	167891		IMP	078	CONSTRUCT FOUR LANE EXPRESSWAY	4/1/2010	26,375	13,371	2010	$ 18,529	$ 1,609,000	800,000	100	0	0
12	0E3101	4102	ORA	074	RECONSTRUCT IC AT SR-74	4/19/2012	28,753	28,753	2012	$ 28,753	$ 4,500,000	3,123,196	1,634,374	331,169	1,382,805
12	0H2081	3577A	ORA	055	FLATTEN THE SLOPE ABOVE THE MAINTENANCE ACCESS ROAD	5/16/2012	3,166	3,166	2012	$ 2,500	$ 1,001,000	0	14,340	2,489	0
											$ 146,778,000	20,681,732	24,945,092	45,929,268	23,199,886

(5) CONSTRUCTION
CONTRACT ADMINISTRATION
Q4 Fiscal Year 2013/14 ($ in Millions)

Construction Contracts - Quarterly Status Report[a]	Q4 12/13		Q1 13/14		Q2 13/14		Q3 13/14		Q4 13/14	
	Number	Value	Number	Value	Number	Value	Number	Value	Number	Value
1. Ongoing Contracts @ Beginning of Quarter	653	$ 12,346	735	$ 12,155	730	$ 11,535	630	$ 11,373	608	$ 11,210
2. New Contracts this Quarter	176	$ 397	80	$ 267	84	$ 596	58	$ 331	166	$ 650
3. Accepted Contracts this Quarter	94	$ 588	85	$ 887	184	$ 758	80	$ 494	90	$ 900
Ongoing Contracts @ End of Quarter (1+2-3)	735	$ 12,155	730	$ 11,535	630	$ 11,373	608	$ 11,210	684	$ 10,960
4. Accepted Contracts @ Beginning of Quarter	1,430	$ 7,451	1,439	$ 7,426	1,434	$ 7,928	1,499	$ 8,362	1,465	$ 8,250
5. Accepted Contracts this Quarter	94	588	85	887	184	758	80	494	93	900
6. Contracts Closed this Quarter	79	483	83	281	117	320	108	582	96	610
7. New Contracts in Arbitration this Quarter	6	130	7	104	2	4	6	24	4	180
Accepted Contracts @ End of Quarter (4+5-6-7)[b]	1,439	$ 7,426	1,434	$ 7,928	1,499	$ 8,362	1,465	$ 8,250	1,458	$ 8,360
Accepted Contracts with claims only @ End of Quarter[c]	65	$778	71	$995	68	$941	67	$905	39	$600
8. Contracts in Arbitration @ Beginning of Quarter[d]	22	$ 260	25	$ 263	24	$ 296	22	$ 223	22	$ 124
9. New Contracts in Arbitration this Quarter	6	130	7	104	2	4	6	24	4	180
10. Contracts with Arbitration Settlements/Awards this Quarter	3	127	8	71	4	77	6	123	8	67
Contracts in Arbitration @ End of Quarter (8+9-10)	25	$ 263	24	$ 296	22	$ 223	22	$ 124	18	$ 237

a Quarterly figures updated to reflect revised or new data at the end of the current quarter.

b Accepted contracts with close-out activities in progress. Contracts in arbitration are not included.

c Beginning Quarter 1 of FY 2010/2011, quarterly figures exclude contracts that have a final estimate (FE), a district director determination (DDD), or district expenditures done (DED).

d A contractor may file for arbitration 240 days after project acceptance, or within 90 days after final determinations on claims have been made. Contractors must file within 90 days after the Department makes a final determination on claims or lose opportunity for arbitration. (Contractors have 180 days to file on contracts that were approved prior to January 1, 1999.)

Total dollar amount of claims at end of current quarter = $56.35M
Total dollar amount filed for in arbitration at end of current quarter = $39M

(E) COMPLETED STIP PROJECTS (CCA Milestone) COST INFORMATION

FY 2013-14 Completed STIP Projects, (STATE Funds Only Support, Right of Way, State & Local Funds for Construction Capital)

																							Total Overall Project
				Project Development PJD ($1,000's)					Right of Way Component ($1,000's)					Construction Component ($1,000's)									
				PAED		PSE			RW Support		RW Capital			CON Support		SB1102		CON Capital					
D	CTY	RT	Description	Budget	Cost	Budget	Cost		Budget	Cost	Budget	Cost		Budget	Cost	PROJ?		Budget	Cost				
Quarter 1 Projects (7 Projects)																							
2	SHA	5	Widen Bridges	$ 1,195	$ 933	$ 510	$ 842	◆	$ 50	$ 30	$ -	0	☑	$ 2,250	$ 1,827	☑	N	$ 19,463	$ 18,605	☑	☑		
3	SAC	99	Realign Ramps	$ -	$ -	$ -	$ -		$ -	$ -	$ -	0		$ 750	$ 741	☑	N	$ 5,506	$ 5,099	☑	☑		
6	KIN	198	2-Ln Conventional ti 4 Ln Ex	$ 1,549	$ 1,982	$ 5,758	$ 6,168	◆	$ 3,137	$ 4,293	$ 22,300	22,651	◆	$ 9,514	$ 8,434	☑	N	$ 51,764	$ 51,753	☑	◆		
6	KER	46	Widen to 4 Lns	$ 438	$ 438	$ 3,502	$ 3,967	◆	$ 1,055	$ 1,733	$ 10,603	$ 9,530	☑	$ 9,900	$ 4,092	☑	N	$ 49,995	$ 45,511	☑	☑		
8	SBD	215	Construct Bridges	$ -	$ 114	$ -	$ 491	☒	$ 2,585	$ 1,743	$ 9,666	7,310	☑	$ 20,216	$ 12,864	☑	N	$ 76,879	$ 71,417	☑	☑		
10	SJ	205	Additl Lanes and Ret Walls	$ -	$ -	$ -	$ -		$ -	$ -	$ -	0		$ 2,900	$ 1,282	☑	N	$ 11,860	$ 11,104	☑	☑		
11	SD	15	Managed Lanes North	$ -	$ -	$ 3,749	$ 1,250	☑	$ 150	$ 38	$ 500	175	☑	$ 15,955	$ 14,908	☑	N	$ 51,692	$ 51,698	☑	☑		
Quarter 2 Projects (12 Projects)																							
01	DN	101	Yurok Tribe Transp Corr	$ 100	$ 87	$ 80	$ 135	☒	$ 5	$ 2	$ -	0	☑	$ 75	$ 97	☒	N	$ 257	$ 255	☑	☑		
02	SHA	005	Castella Vista Point	$ 57	$ 58	$ 184	$ 182	☑	$ 6	$ -	$ -	$ -	☑	$ 85	$ 127	☒	N	$ 379	$ 379	☑	☑		
02	TEH	099	Los Molinos, segment 2.	$ 47	$ -	$ 276	$ 45	☑	$ 162	$ 61	$ 206	$ -	☑	$ 540	$ 263	☑	N	$ 3,039	$ 2,804	☑	☑		
03	ED	050	Tree Planting	$ 20	$ 52	$ 31	$ 9	◆	$ 10	$ 1	$ -	$ -	☑	$ 100	$ 103	◆	N	$ 549	$ 318	☑	☑		
03	PLA	080	Pla-80 HOV Phase 3	$ -	$ -	$ 3,500	$ 2,610	☑	$ 200	$ 38	$ 400	$ 20	☑	$ 5,300	$ 2,164	☑	N	$ 39,974	$ 25,245	☑	☑		
03	SAC	050	Highway 50 Bus & Aux lanes	$ 5,008	$ 4,659	$ 6,688	$ 8,249	◆	$ 1,311	$ 1,391	$ 1,000	$ 478	☑	$ 14,000	$ 12,039	☑	N	$ 70,698	$ 68,106	☑	☑		
04	SON	101	US 101 HOV Lanes - Wilfred	$ 5,018	$ 4,994	$ 8,104	$ 6,830	☑	$ 1,350	$ 1,220	$ 7,230	$ 4,428	☑	$ 6,600	$ 7,477	◆	N	$ 51,065	$ 46,012	☑	☑		
04	SM	101	US SM 101 Aux Ln fr Univers	$ 2,800	$ 2,761	$ 6,056	$ 5,644	☑	$ 316	$ 244	Local	Local	☑	$ 8,259	$ 2,794	☑	N	$ 22,304	$ 15,973	☑	☑		
04	ALA	680	FPI Ala 680	$ 1,400	$ -	$ 700	$ 33	☑	$ -	$ -	$ 20	0	☑	$ 1,000	$ 997	☑	N	$ 5,673	$ 4,628	☑	☑		
07	LA	138	Rte 138 Widening	$ 462	$ 462	$ 4,200	$ 4,120	☑	$ 2,399	$ 2,041	$ 1,508	3,140	☒	$ 2,400	$ 1,395	☑	N	$ 7,040	$ 6,598	☑	☑		
12	ORA	005	CONST SDWLLS & WIDEN BF	Local	Local	Local	Local		$ 86	$ 4	$ 25	$ 4	☑	$ 688	$ 859	☒	N	$ 3,550	$ 3,066	◆	◆		
12	ORA	005	Jamboree SB Off Aux Ln	$ 424	$ 424	$ 1,150	$ 1,049	☑	$ 16	$ 19	$ 16	5	☑	$ 959	940	☑	N	$ 3,967	$ 3,123	☑	☑		
Quarter 3 Projects (10 Projects)																							
1	Men	1	Pacific Coast Bike Route Ph	$ 152	$ 152	$ 75	$ 100	◆	$ 10	$ 4	$ 5	3	☑	$ 150	$ 79	☑	N	$ 600	$ 541	☑	☑		
2	Sis	97	Carrick Two Way Left Turn L	$ 107	$ 126	$ 118	$ 164	☒	$ 14	$ 29	$ 4	25	☒	$ 151	$ 112	☑	N	$ 743	$ 624	☑	☑		
2	Sis	3	Juniper Drive Left Turn Lane	$ 107	$ 101	$ 118	$ 186	☒	$ 14	$ 17	$ 5	3	◆	$ 151	$ 150	☑	N	$ 754	$ 754	☑	◆		
2	Tri	3	Hayfork II TE Project	$ 139	$ 139	$ 168	$ 205	◆	$ 13	$ 14	$ 3	3	◆	$ 120	$ 136	◆	N	$ 755	$ 575	☑	☑		
3	But	70	SR 70 Passing Lanes	$ 216	$ 216	$ 1,950	$ 2,132	◆	$ 834	$ 1,056	$ 2,600	1,345	☑	$ 1,800	$ 1,553	☑	N	$ 12,000	$ 7,873	☑	☑		
3	PLA	65	Lincoln Bypass	$ 5,600	$ 3,915	$ 13,400	$ 14,406	☑	$ 3,000	$ 3,442	$ 83,750	75,604	☑	$ 22,000	$ 23,400	◆	N	$ 164,453	$ 160,697	☑	☑		
4	Son	101	Central B Son Hwy 101 HOV	Local	Local	Local	Local		Local	Local	Local	Local		$ 3,000	$ 2,781	☑	N	$ 13,312	$ 11,999	☑	☑		
6	Fre	41	Tree Planting	$ 47	$ 57	$ 254	$ 238	☑	$ -	$ -	$ -	0		$ 278	$ 324	◆	N	$ 1,166	$ 578	☑	☑		
6	Fre	99	RTE 99 Replacement Plantin	$ 44	$ 47	$ 300	$ 309	◆	$ -	$ -	$ -	0		$ 325	$ 425	☒	N	$ 1,061	$ 578	☑	☑		
10	Sta	99	Tree Planting	$ 57	$ 56	$ 261	$ 270	◆	$ 1	$ -	$ -	0	☑	$ 200	$ 290	☒	N	$ 966	$ 505	☑	☑		
Quarter 4 Projects (13 Projects)																							
2	Teh	5	Native Planting	$ 80	$ 102	$ 300	$ 220	☑	$ 8	$ 2	$ -	0	☑	$ 300	$ 306	◆	N	$ 1,400	$ 1,049	☑	☑		
3	ED	89	Tree Planting	$ 20	$ 52	$ 52	$ 1	☑	$ 10	$ 3	$ -	0	☑	$ 100	$ 85	☑	N	$ 500	$ 310	☑	☑		
3	Sie	89	Wildlife Crossing	$ 80	$ 37	$ 170	$ 260	◆	$ 10	$ -	$ -	0	☑	$ 130	$ 102	☑	Y	$ 450	$ 433	☑	☑		
4	CC	4	SR-4 E Widening #1	Local	Local	Local	Local		Local	Local	Local	Local		$ 5,900	$ 4,867	☑	N	$ 45,183	$ 44,259	☑	☑		
4	SM	101	US 101 Auxiliary Lanes	$ 1,300	$ 1,296	$ 2,788	$ 3,346	◆	$ 82	$ 82	$ 1,800	0	☑	$ 3,802	$ 1,228	☑	N	$ 7,955	$ 6,512	☑	☑		
5	SB	101	Union Valley Pkwy IC	$ 2,486	$ 2,561	$ 2,446	$ 2,640	◆	$ 340	$ 460	$ 1,212	1,192	◆	$ 1,900	$ 1,626	☑	N	$ 9,584	$ 8,873	☑	☑		
5	SCr	1	Rte 1 Landscaping	$ -	$ -	$ 325	$ 322	☑	$ -	$ -	$ -	0		$ 528	$ 532	◆	N	$ 944	$ 818	☑	☑		
6	Tul	99	Bridge Enhancement	$ 208	$ 209	$ 203	$ 241	☑	$ 1	$ -	$ -	0	☑	$ 100	$ 113	◆	Y	$ 482	$ 262	☑	☑		
10	SJ	12	Operational Impvmts	$ 2,400	$ 2,265	$ 1,852	$ 1,876	☑	$ 643	$ 740	$ 1,500	1,300	☑	$ 2,618	$ 3,131	◆	N	$ 11,500	$ 11,382	☑	◆		
10	Mer	99	IC Landscaping	$ -	$ 95	$ 500	$ 440	◆	$ -	$ -	$ -	0		$ 850	$ 441	☑	N	$ 2,886	$ 1,045	☑	☑		
11	SD	905	State Route 905	$ -	$ -	$ 499	$ 499	☑	$ -	$ -	$ -	0		$ 14,012	$ 14,108	◆	N	$ 68,442	$ 64,631	☑	☑		
11	SD	805	I-805 Managed Lanes	$ 7,754	$ 3,933	$ 4,000	$ 2,078	☑	$ -	$ -	$ -	0		$ 5,392	$ 3,849	☑	N	$ 19,355	$ 18,305	☑	☑		
12	ORA	91	Widen Rte 91	$ 4,649	$ 4,114	$ 8,825	$ 7,989	☑	$ 150	$ 208	$ 1,000	19	☑	$ 8,633	9,921	◆	N	$ 54,253	$ 54,043	☑	☑		
Total All Projects (42 Projects)				$ 43,964	$ 36,437	$ 83,092	$ 79,546	☑	$ 17,968	$ 18,915	$ 145,353	$ 127,235	☑	$ 173,931	$ 142,962	☑		$ 894,398	$ 828,340	☑	☑		

☒ **Costs > 120%** ◆ **Costs 100 - 120%** ☑ **Costs < 100%**

(E) COMPLETED SHOPP PROJECTS (CCA Milestone) COST INFORMATION

FY 2013-14 Completed SHOPP Projects

																						Total Overall Project
				Project Development PJD					Right of Way Component					Construction Component								
				PAED		PSE			RW Support		RW Capital			CON Support				CON Capital				
D	CTY	RT	Description	Budget	Cost	Budget	Cost		Budget	Cost	Budget	Cost		Budget	Cost			Budget	Cost			
				☒ Costs > 120%					◆ Costs 100 - 120%					☑ Costs < 100%								
Total Q1 Projects (48 Projects)				$ 12,607	$ 13,068	$ 45,611	$ 39,034	☑	$ 3,054	$ 2,342	$ 9,218	$ 4,698	☑	$ 61,893	$ 47,821	☑		$ 359,927	$ 262,327	☑	☑	
Total Q2 Projects (53 Projects)				$ 6,485	$ 6,053	$ 26,540	$ 23,655	☑	$ 3,280	$ 1,791	$ 4,274	$ 1,402	☑	$ 44,142	$ 32,598	☑		$ 253,524	$ 209,797	☑	☑	
Total Q3 Projects (37 Projects)				$ 8,854	$ 9,340	$ 80,152	$ 65,433	☑	$ 5,532	$ 4,154	$ 17,634	$ 8,368	☑	$ 111,437	$ 105,236	☑		$ 752,374	$ 612,772	☑	☑	
Total Q4 Projects (70 Projects)				$ 18,092	$ 14,679	$ 39,555	$ 35,910	☑	$ 5,514	$ 2,935	$ 6,362	$ 5,541	☑	$ 51,757	$ 44,606	☑		$ 313,546	$ 243,303	☑	☑	
Total All Projects (208 Projects)				$ 46,038	$ 43,140	$ 191,858	$ 164,032	☑	$ 17,380	$ 11,222	$ 37,488	$ 20,009	☑	$ 269,229	$ 230,261	☑		$ 1,679,371	$ 1,328,199	☑	☑	
				☒ Costs > 120%					◆ Costs 100 - 120%					☑ Costs < 100%								

Support to Capital Table: The table to the right is being provided as recommended by the Bureau of State Audits in 2011 audit.

	# Projects	S/C Ratio	Goal
Capital Cost $1-5 Million	85	62.4	< 60
Capital Cost $5-10 Million	20	49.7	< 45
Capital Cost $10-15 Million	15	45.2	< 35
Capital Cost $15-25 Million	15	38.5	< 32
Capital Cost $25-140 Million	21	23.8	< 30