

# Memorandum

**To:** CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

**CTC Meeting:** June 11, 2013

**Reference No.:** 3.8  
Information Item

**From:** NORMA ORTEGA  
Chief Financial Officer

**Prepared by:** James E. Davis  
Division Chief  
Division of Project Management

**Subject:** **FY 2012-13 THIRD QUARTER PROJECT DELIVERY REPORT**

Attached is the California Department of Transportation's Fiscal Year 2012-13 Third Quarter Project Delivery Report.

Attachment



# **Third Quarter FY 2012-13 Project Delivery Report**

**Quarterly Report to the  
California Transportation  
Commission**



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The Project Delivery Report is prepared quarterly in December, March, June, and September pursuant to California Transportation Commission (CTC) Resolution G-92-12. The Department of Transportation (Department) staff prepares this report. The purpose of this report is to monitor and track the progress of project delivery during the year and to compare against past years.

Note 1: All costs shown are in \$1,000's unless noted otherwise.

Note 2: Abbreviations and acronyms are listed in the appendix.

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# Executive Summary

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## Third Quarter - FY 2012-13

### FY 2012-13 Contract for Delivery

For FY 2012-13, the dollar value of projects in the Contract for Delivery is \$1,430 million. Through the end of the third quarter, FY 2012-13, the Department delivered a total of 91 (54 percent of annual plan) highway construction contracts with an estimated value in the contract at \$527 million.

### Program Delivery Summary

Though the end of the third quarter, FY 2012-13, the Department has delivered:

- A total of 354 projects valued at \$1,099 million from all funding programs.
- A total of 13 (59 percent of annual plan) programmed State Transportation Improvement Program (STIP) highway construction contracts valued at \$34 million (27 percent of annual plan).
- A total of 94 (61 percent of annual plan) programmed State Highway Operations and Protection Program (SHOPP) highway construction contracts valued at \$485 million (53 percent of annual plan).

### Past Years' Contracts for Delivery Award Status

Though the end of the third quarter, FY 2012-13, the Department has awarded:

- 260 projects out of 279 (93 percent) from the FY 2011-12 Contract for Delivery.
- 346 projects out of 346 (100 percent) from the FY 2010-11 Contract for Delivery.

### Environmental Document Milestones

In FY 2012-13, the planned total number of environmental document milestones is 158. The Department delivered 81 (68 percent of annual plan) final environmental documents and 19 (49 percent of annual plan) draft environmental documents.

### Right of Way Program

In FY 2012-13, the Department received a right-of-way allocation of \$227 million dollars. Though the end of the third quarter, the Department expended \$110 million (48 percent of annual plan).

### Construction Program

There are 653 on-going construction contracts valued at \$12,346 million.

### Report on Completed Projects

Though the end of the third quarter, FY 2012-13, the Department has completed:

- A total of 18 STIP projects. The total amount of State funds that were approved by the commission for these projects was \$536 million. The actual cost of the projects completed was \$466 million which is 87 percent of the approved funds.
- A total of 175 SHOPP projects. The total amount of State funds that were approved by the commission for these projects was \$1,215 million. The actual cost of the projects completed was \$897 million which is 74 percent of the approved funds.

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## FY 2012-13 Contract for Delivery

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Each year, the Department Director signs a contract with each of the Department's 12 district directors committing RTL Milestones for delivery by quarter.

The Contract for Delivery is the Department's fiscal year plan and includes programmed projects and projects funded from other sources including maintenance, toll bridge, and partnership projects. The contract is not subject to change, so it does not include program amendments, emergency, or minor program projects.

The total estimated value at the "Ready To List" delivery milestone for all 170 projects in the FY 2012-13 Contract for Delivery is \$1,430 million.

51 out of 52 projects planned in the first two quarters were delivered. 32 out of 60 projects planned in the third quarter was delivered. In addition, 8 projects planned in a future quarter was delivered early.

The status of the 29 projects that were not delivered as planned through the third quarter is as follows:

- 13 projects have subsequently been delivered..
- Seven projects have design changes.
- Six projects need to secure permits.
- Two projects have right of way issues.
- One project's scope has changed.

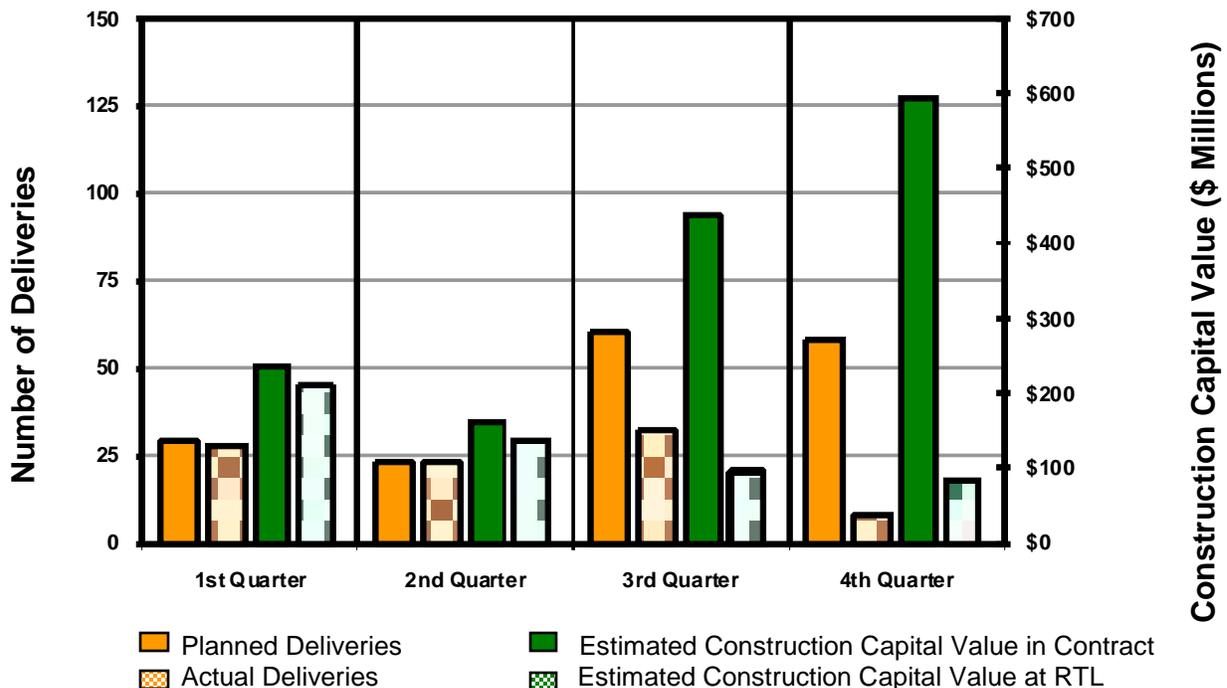
## STATUS AS OF MARCH 31, 2013



# STATEWIDE Contract for Delivery FY 2012-13

### Ready to List (RTL) Milestone Delivery

Description	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
<b>NUMBER OF DELIVERIES</b>					
Planned	29	23	60	58	170
Actual	28	23	32	8	91
<b>CONSTRUCTION CAPITAL VALUE (\$ MILLIONS)</b>					
Estimate in Contract	\$ 236.8	\$ 161.9	\$ 437.1	\$ 594.5	\$1,430.4
Estimated at RTL	\$ 209.5	\$ 137.0	\$ 96.1	\$ 84.3	\$ 527.0





# The California Department of Transportation Contract for Delivery! FY 2012/2013

## 3rd Quarter Delivery Report 60 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (~'1000's)	BUDGETED PE SUPPORT (~'1000's)	ACTUAL PE SUPPORT (~'1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
1	0B190	SHOPP	HUM	101	\$1,105	\$541	\$272	INSTALL MEDIAN BARRIER		★	AADD		07/24/13
1	26202	STIP	MEN	101	\$26,290	\$714		WETLAND/RIPARIAN MITIGATION	★		AADD	02/02/13	05/01/13
1	37816	SHOPP	MEN	128	\$5,000	\$4,272		CULVERT REHABILITATION		★		03/01/13	07/01/13
1	40280	SHOPP	MEN	101	\$1,600	\$2,259		CULVERT REHABILITATION		★		03/01/13	07/01/13
1	45970	SHOPP	HUM	101	\$9,969	\$829		SEISMIC RETROFIT		★		03/01/13	07/01/13
1	47490	SHOPP	MEN	1	\$3,400	\$1,540		REPAIR STORM DAMAGE		03/01/13		03/01/13	07/01/13
1	47660	SHOPP	MEN	128	\$10,329	\$3,957		REPAIR STORM DAMAGE		★	06/01/12	03/01/13	07/01/13
1	48470	SHOPP	MEN	1	\$2,500	\$784		CONSTRUCT MBGR & CENTERLINE RUMBLE STRIPS, UPGRADE DRAINAGE INLETS				01/15/13	06/01/13
2	2C225	SHOPP	TEH	36	\$1,300	\$790	\$897	BRIDGE SCOUR		★	AADD	★	07/09/13
2	2E350	SHOPP	TRI	299	\$2,385	\$1,134	\$1,999	CURVE IMPROVEMENT		★			05/22/13
2	2E620	SHOPP	TEH	36	\$2,500	\$1,110	\$974	CURVE IMPROVEMENT		★			05/23/13
2	2E730	SHOPP	TEH	32	\$1,900	\$675	\$606	CURVE IMPROVEMENT AND SHOULDER WIDENING					05/07/13
2	3E710	SHOPP	TRI	36	\$960	\$352	\$309	CURVE IMPROVEMENT		★			04/29/13
3	0F230	SHOPP	SAC	50	\$36,600	\$4,020	\$3,108	INSTALL TWO 4" MICRODECK SYSTEMS TO REHABILITATE TWO BRIDGE DECKS			★		09/01/13
3	0F300	SHOPP	ED	50	\$4,960	\$882	\$801	RETROFIT COLUMNS AND ADD BRACING		★			08/15/13
3	3E100	SHOPP	PLA	80	\$27,134	\$4,695		VERTICAL CLEARANCE FOR PERMIT VEHICLE		03/01/13	12/01/12	03/15/13	09/01/13
3	3F320	SHOPP	SAC	50	\$1,456	\$210	\$158	PLACE THIN HIGH FRICTION SURFACE TREATMENT AND OPEN GRADED ASPHALT		★	AADD	★	06/15/13
3	4E500	STIP	SIE	89	\$450	\$260	\$269	CONSTRUCT WILDLIFE CROSSING		★		★	06/01/13
4	15330	CMAQ	SCL	101	\$26,548	\$4,200		INSTALL RAMP METERING & TRAFFIC OPERATION SYSTEMS.		★		02/01/13	06/03/13
4	1A290	SHOPP	SON	12	\$11,167	\$4,375		REPLACE BRIDGE FOR SCOUR		01/21/13		01/28/13	06/01/13
4	1G070	SHOPP	SCL	9	\$2,633	\$462	\$616	SHOULDER WIDENING		★		★	05/01/13
4	1G250	BATA-REHAB	SF	80	\$2,200	\$760		REPLACE FLUORESCENT LIGHTING WITH HIGH PRESSURE SODIUM VAPOR LIGHTING				01/02/13	04/01/13

THIRD QUARTER JANUARY 1 - MARCH 31

Status as of 3/31/2013



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- HM - b - Highway Maintenance - bridge
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- Retro-SW - Retrofit Soundwall
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- TOLL - Other Toll
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- VAR - Various
- SHOPP - State Highway Operation Protection Prog.
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# The California Department of Transportation Contract for Delivery! FY 2012/2013

## 3rd Quarter Delivery Report 60 Planned Deliveries

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4	1G260	BATA-REHAB	SF	80	\$2,800	\$2,720		REPLACE FLUORESCENT LIGHTING WITH HIGH PRESSURE SODIUM VAPOR LIGHTING				01/02/13	04/01/13
4	24544	STIP	SON	101	\$2,450	\$1,850		COLLEGE AVENUE IMPROVEMENT		02/07/13	09/01/12	02/14/13	09/18/13
4	2A620	SHOPP	SOL	12	\$9,935	\$4,733		INSTALL LEFT TURN POCKETS, FROM AZAVEDO RD TO LIBERTY ISLAND RD		01/28/13		01/28/13	06/07/13
4	2G361	RM1	ALA	92	\$1,490	\$520	\$557	REPLACEMENT PLANTING AND IRRIGATION					4/1/2013
4	4G160	SHOPP	SF	101	\$570	\$194	\$245	RECONSTRUCT BRIDGE RAILING AND DECK		★	★	★	★
4	4S070	SHOPP	SCL	101	\$1,565	\$240	\$518	INSTALL RSP		★		★	04/15/13
5	0Q631	SHOPP	SLO	101	\$200	\$152	\$51	Landscape mitigation	★			★	04/15/13
5	0R910	SHOPP	SCR	1	\$2,469	\$1,406	\$1,332	UPGRADE MBGR, CONSTRUCT CONCRETE BARRIER & RETAINING WALLS & GUARD					07/01/13
6	0E660	STIP	KER	99	\$1,126	\$304	\$325	99 CORRIDOR-BRIDGE ENHANCEMENT		★		★	08/01/13
6	0E670	STIP	TUL	99	\$534	\$233	\$407	99 CORRIDOR-BRIDGE ENHANCEMENT		★		★	08/01/13
6	0G850	LOCAL	KER	58	\$21,085	\$2,950		WIDEN FREEWAY FROM 4 TO 6 LANES	★	02/01/13		02/01/13	6/1/2013
6	0L390	SHOPP	KER	99	\$1,136	\$1,085	\$972	RELOCATE RIGHT TURN LANE OF THE SB ONRAMP TO SR 99					07/01/13
6	0N380	SHOPP	KER	178	\$342	\$149	\$399	CONSTRUCT RETAINING STRUCTURES	★	★		★	07/01/13
6	0N390	SHOPP	TUL	245	\$406	\$466		CONSTRUCT ROCK SLOPE PROTECTION		★	AADD	03/15/13	08/15/13
7	21595	DCAL/SLPP/ ST	LA	5	\$131,786	\$15,851		ROADWAY WIDENING & STRIPING -SEG 5		01/04/13		01/18/13	06/17/13
7	25920	SHOPP	LA	10	\$5,654	\$759		SAND FILTERS & INFILTRATION DEVICES *PHASE 2 OF 10		03/06/13	AADD	03/20/13	07/29/13
7	2768U	SHOPP	LA	210	\$2,400	\$600		INSTALL PLANTS FOR EROSION CONTROL *COMB FR 27680 & 27710		★	AADD	03/20/13	08/07/13
7	27880	SHOPP	LA	5	\$1,647	\$264		UPGRADE WEIGH STATION			12/14/12	03/08/13	08/14/13
7	3X350	SHOPP	LA	105	\$500	\$100		RECONSTRUCT THE FAILED SLOPE / HYDRO SEED *DIR		03/29/13	AADD	03/29/13	09/12/13
7	3X660	SHOPP	LA	10	\$1,500	\$270	\$128	CONSTRUCT RETAINING WALL AND EXTEND DRAINAGE SYSTEM			AADD		08/16/13
7	4T560	SHOPP	LA	107	\$408	\$140		INSTALL ADA SIGNALS			AADD	02/15/13	08/01/13
8	0N510	SHOPP	RIV	15	\$2,830	\$788		REPLACE EXISTING GUARDRAIL WITH CONCRETE BARRIER		01/31/13	AADD	03/29/13	09/30/13

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8	49180	SHOPP	RIV	62	\$15,309	\$2,194	\$1,468	PAVEMENT REHAB INCLUDING SHOULDERS,			★		08/15/13
10	0N830	SHOPP	SJ	000	\$430	\$187		INSTALL DESIGNED SOIL REMEDIATION		★		02/15/13	05/10/13
10	0S950	STIP	MER	99	\$900	\$183	\$366	CORRIDOR BRIDGE ENHANCEMENT		★	AADD	★	06/21/13
10	0T040	SHOPP	AMA	104	\$433	\$216	\$136	HIGHWAY RAIL GRADE CROSSING WARNING DEVICE		★	AADD		05/01/13
10	0U280	SHOPP	AMA	88	\$652	\$282	\$218	INSTALL CENTERLINE RUMBLE STRIPS		★	AADD		05/29/13
10	0U500	SHOPP	MER	5	\$654	\$373	\$258	INSTALL DOUBLE BEAM BARRIER (DTBB) IN THE CENTER MEDIAN		★	AADD		04/15/13
10	0U610	SHOPP	SJ	12	\$2,200	\$605	\$257	REMOVE & REPLACE EXISTING CONCRETE BRIDGE DECK & REPLACE JOINT SEALS	★	★	AADD		08/07/13
11	26501	STIP	SD	163	\$3,976	\$725		SCENIC/HISTORIC HIGHWAY PRESERVATION (PHASE 2A) TRANSPORTATION ENHANCEMENT		★	AADD	02/13/13	07/11/13
11	28240	SHOPP	SD	15	\$6,550	\$1,564		STORM WATER MITIGATION: CONSTRUCT BIOSWALES AND INFILTRATION TRENCHES,			AADD	03/14/13	07/26/13
11	29480	STIP	IMP	186	\$1,535	\$566		PEDESTRIAN/TRANSIT FACILITIES -(TE)		★	AADD	02/16/13	06/29/13
11	2T183	LOCAL/DEMO	SD	805	\$13,379	\$4,187	\$7,699	CONSTRUCT SOUNDWALLS		★	AADD		06/27/13
11	40140	SHOPP	SD	5	\$8,450	\$1,462	\$1,652	REMOVE EXISTING MBGR AND REPLACE WITH CONCRETE BARRIER AND UPGRADE		★	AADD		07/06/13
11	40430	SHOPP	SD	5	\$3,971	\$811	\$811	CONSTRUCT OUTER SEPARATION BARRIER		★	AADD		07/18/13
11	40890	SHOPP	SD	5	\$1,950	\$352		CLEAN AND TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACE JOINT SEALS		★	AADD	01/19/13	06/06/13
12	0L77U	SHOPP	ORA	39	\$950	\$635	\$494	INSTALL ADDITIONAL SAFETY LIGHTING AND MODIFY SIGNALS.		★	★	★	★
12	0L870	SHOPP	ORA	5	\$575	\$484	\$515	SIGNAL MODIFICATION SAFETY/TRAFFIC OPERATION		★	★	★	★
					\$437,133	\$89,421	\$28,817						

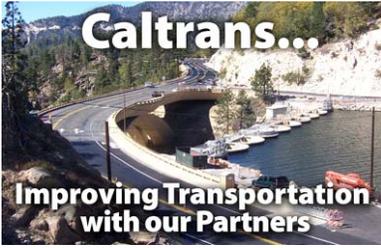
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## 2nd Quarter Delivery Report 23 Planned Deliveries

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1	36432	SHOPP	MEN	20	\$1,883	\$2,890	\$3,370	REHABILITATE DRAINAGE		★			02/01/13
1	47690	SHOPP	DN	101	\$6,700	\$1,357	\$1,265	REMOVE & RECONSTRUCT HINGES @ SPANS 2, 8 & 11		★	AADD	★	02/01/13
2	3E650	SHOPP	VAR	5	\$3,305	\$735	\$435	BRIDGE MAINTENANCE		★	★	★	05/24/13
2	3E690	SHOPP	MOD	299	\$1,293	\$730	\$498	SHOULDER WIDENING					★
3	0F680	SHOPP	SAC	80	\$1,730	\$448	\$363	REPLACE JOINTS AND SUPER-REHAB		★	AADD		04/01/13
3	1A731	SHOPP	ED	50	\$13,139	\$6,615	\$6,282	STORM WATER QUALITY IMPROVEMENTS, OVERLAY, WIDEN SHOULDER, REPLACE					08/01/13
3	1A844	SHOPP	ED	89	\$20,659	\$5,809	\$6,009	STORM WATER QUALITY IMPROVEMENTS O/L, WIDEN, ADD DIKES & RET BASINS					05/01/13
3	3F030	SHOPP	NEV	80	\$1,774	\$375	\$409	INSTALL CONCRETE MEDIAN BARRIER		★	AADD	★	04/15/13
3	4E590	SHOPP	ED	49	\$1,495	\$697	\$756	INCREASE SUPERELEVATION OF CURVE		★	AADD	★	06/15/13
4	1123H	STIP	SM	1	\$1,150	\$151	\$1,142	MITIGATION AT DISPOSAL SITE, N & S PORTALS, S ROCK CUT & POND REPAIR		★			04/15/13
4	4A260	SHOPP	ALA	580	\$4,537	\$800	\$1,202	INSTALL METAL BEAM GUARDRAIL		★	★	★	05/01/13
5	0M980	SHOPP	SCR	1	\$1,543	\$582	\$650	GUARDRAIL UPGRADE					
5	0Q570	SHOPP	MON	101	\$8,000	\$977	\$1,142	INSTALL MEDIAN BARRIER			★	★	04/22/13
5	33075	STIP	SLO	46	\$4,300	\$1,024	\$1,317	BRIDGE REPLACEMENT			★	★	★
6	34252	P/LOCAL/ FUTU	FRE	180	\$26,500	\$8,449	\$8,767	CONSTRUCT 4 LANE EXPRESSWAY ON EXISTING ALIGNMENT					06/01/13
7	26590	SHOPP	LA	101	\$3,241	\$956	\$1,787	UPGRADE BRIDGE RAILS			AADD		05/19/14
8	0K310	SHOPP	SBD	95	\$3,797	\$1,148	\$1,293	VERTICAL CURVE ALIGNMENT		★			05/23/13
8	0Q110	SHOPP	RIV	10	\$3,570	\$595	\$724	REPLACE CHAIN LINK FENCE & BARBED WIRE FENCE WITH CONCRETE BARRIER		★	★	★	07/12/13
10	0G800	SHOPP	SJ	12	\$31,000	\$3,983	\$3,554	ROADWAY REHABILITATION BY WIDENING THE SHOULDERS		★			07/03/13
11	06381	SHOPP	SD	8	\$1,697	\$315	\$528	HIGHWAY PLANTING/IRRIGATION SYSTEM FOR PPNO 0187P		★	AADD		★
11	29040	SHOPP	SD	94	\$14,513	\$2,225	\$1,331	PAVEMENT REHABILITATION		★	AADD		06/13/13
11	40280	SHOPP	SD	52	\$3,500	\$790	\$956	PAVEMENT REHABILITATION			AADD		05/23/13

**SECOND QUARTER OCTOBER 1 - DECEMBER 31**

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# The California Department of Transportation Contract for Delivery! FY 2012/2013

## 2nd Quarter Delivery Report 23 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (~1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
11	40370	SHOPP	SD	163	\$2,605	\$461	\$513	INSTALL OUTER SEPARATION BARRIER			AADD	★	★
					\$161,931	\$42,112	\$44,293						

**SECOND QUARTER OCTOBER 1 - DECEMBER 31**

**Status as of 3/31/2013**



- AADD - Authority to Advertise District Delegation
- B - CMIA - Bond - Corridor Mobility Improvement Account
- B - RTE99 - P1B SR99 Improvement
- HM - b - Highway Maintenance - bridge
- HM - d - Highway Maintenance - drainage
- HM - p - Highway Maintenance - pavement
- L - Reimb - Local Reimbursed
- M - Reimb - Measure Reimbursed
- MTC - Metropolitan Transportation Commission
- PE - Preliminary Engineering
- Ph2 Ret - Phase 2 Retrofit
- RA - Recovery Act
- Retro-SW - Retrofit Soundwall
- RM2 - Regional Measure 2
- STIPP - State Transportation Improvement Program
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- TCRP - Traffic Congestion Relief Program
- TOLL - Other Toll
- TOLL-R - Toll Retrofit
- VAR - Various
- SHOPP - State Highway Operation Protection Prog.
- B-SHOPP - Bond - State Highway Operations Protection Program Augmentation

- Completed
- Completed Ahead of Schedule
- Behind Schedule
- To Be Completed/Awarded
- PE Support <= 80% of Budget
- PE Support >= 120% of Budget
- Awarded
- Awarded Ahead of Schedule
- Awarded Behind Schedule
- PE Support Within Budget
- Future RTL Status Date



# The California Department of Transportation Contract for Delivery! FY 2012/2013

## 1st Quarter Delivery Report 29 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (~1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
2	0E440	STIP	SIS	97	\$743	\$239	\$312	TURN LANE			AADD	★	★
2	0E840	STIP	SIS	3	\$743	\$239	\$303	INSTALL LEFT TURN LANE AT JUNIPER DRIVE		★	AADD	★	★
2	2E291	SHOPP	SIS	96	\$8,200	\$20	\$43	BRIDGE PREVENTIVE MAINTENANCE			AADD	★	
3	1A732	SHOPP	ED	50	\$10,144	\$4,889	\$4,348	STORM WATER QUALITY IMPROVEMENTS, OVERLAY, WIDEN SHOULDER, REPLACE		★			
4	00394	BATA-REHAB	CC	580	\$3,500	\$2,720	\$2,722	REPLACE MAINTENANCE BUILDINGS			★		
4	01408	OPP/BATA-REH	ALA	80	\$39,000	\$4,850	\$1,423	ALA-80-1.8/0 SFOBB MAINTENANCE OPERATIONS BUILDING-PHASE 1					
4	0A090	SHOPP	SOL	80	\$2,500	\$1,898	\$3,096	LENGTHENING ON-RAMP AND WIDEN ALAMO CREEK BRIDGE.		★	★	★	02/26/13
4	4A925	LSP/STIP/LOCA	SM	101	\$6,970	\$690	\$239	INSTALL INTELLIGENT TRANSPORTATION SYSTEM ELEMENTS					
5	0G160	SHOPP	SB	166	\$3,731	\$2,633	\$2,387	RELOCATE DRAINAGE DITCHES			★		
6	0H100	SHOPP	FRE	168	\$3,700	\$446	\$565	AC OVERLAY		★	AADD	★	★
6	0H170	SHOPP	FRE	180	\$3,564	\$1,938	\$1,639	BRIDGE REPLACEMENT					02/01/13
6	0H180	SHOPP	KER	14	\$14,450	\$2,206	\$2,070	BRIDGE REPLACEMENT (SCOUR)		★			03/01/13
6	0M800	SHOPP	MAD	99	\$680	\$368	\$344	INSTALL MEDIAN BARRIER			AADD		★
6	36023	STIP	TUL	99	\$17,700	\$1,850	\$337	4 LANE FREEWAY TO 6 LANE FREEWAY			★		11/30/12
6	48750	STIP	KIN	198	\$15,491	\$3,996		RECONSTRUCT INTERCHANGE		08/15/12		09/15/12	05/15/13
7	25880	SHOPP	LA	5	\$2,600	\$756	\$572	SOIL STABILIZATION & REVEGETATION			AADD		
7	3X180	SHOPP	LA	47	\$415	\$83	\$175	STORM DAMAGE REPAIRS *DIR			AADD		01/17/13
8	0G840	SHOPP	SBD	15	\$11,335	\$3,626	\$3,648	UPGRADE AND INCREASE CAPACITY AT THE SAFETY ROADSIDE REST AREA					04/01/13
8	0Q860	SHOPP	SBD	15	\$176	\$180	\$198	REPLACE OVERHEAD SIGN STRUCTURE					12/26/12
10	0G350	SHOPP	SJ	12	\$2,745	\$1,342	\$978	REPLACE BEARING PADS, JOINT SEALS		★			01/02/13
10	0K330	SHOPP	SJ	5	\$2,590	\$1,406	\$1,478	INSTALL TRAFFIC MONITORING STATIONS (TMS) AT VARIOUS LOCATIONS		★	★		★
10	0S780	STIP	STA	99	\$1,075	\$572	\$571	BRIDGE ENHANCEMENT, 16 STRUCTURES			AADD	★	

FIRST QUARTER JULY 1 - SEPTEMBER 30

Status as of 3/31/2013



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- Awarded Ahead of Schedule
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- Future RTL Status Date
- PE Support Within Budget



# The California Department of Transportation Contract for Delivery! FY 2012/2013

## 1st Quarter Delivery Report 29 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (~1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
10	OT140	SHOPP	AMA	49	\$464	\$476	\$496	INSTALL NEW SIGNAL	★	★	★	★	
11	0223U	RTIP/SHOPP	SD	5	\$74,700	\$3,417	\$355	REPLACE BRIDGE, RECONSTRUCT INTERCHANGE, SIGNAL INTERSECTION, ADD			AADD		11/12/12
11	27520	SHOPP	IMP	98	\$5,440	\$1,060	\$467	COLD PLANE AND OVERLAY PAVEMENT		★	AADD		
11	2T230	LOCAL/ DEMO	SD	78	\$720	\$180	\$246	SR-78 LANDSCAPE			AADD	★	
12	OK330	STIP	ORA	91	\$607	\$127	\$215	WILDLIFE CORRIDOR CONNECTIVITY ENHANCEMENT (INSTALL VEGETATION)				★	
12	OK530	SHOPP	ORA	91	\$2,520	\$560	\$706	RESURFACE ALL LANES WITH RUBBERIZED DENSE AC		★	★	★	
12	0M000	SHOPP	ORA	55	\$300	\$380	\$441	OVERLAY THE CONNECTOR WITH OGAC, MODIFY DRAINAGE, UPGRADE METAL BEAM				★	
					\$236,803	\$43,147	\$30,374						

FIRST QUARTER JULY 1 - SEPTEMBER 30

Status as of 3/31/2013



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# Program Delivery Summary

This section describes by funding programs the number and dollar value of all projects delivered.

## Intercity Rail Program

For FY 2012-13, 5 Intercity Rail projects valued at \$33.8 million are programmed for delivery.

### Number of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	0	0	0	5	5
Actual	0	0	2		2

### Value of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	\$ 0.0	\$ 0.0	\$ 0.0	\$ 33.8	\$ 33.8
Actual	\$ 0.0	\$ 0.0	\$ 6.6		\$ 6.6

## AB 1740 Retrofit Soundwall Program

All 63 planned projects with a construction value of \$215 million have been delivered within the program budget of \$226 million.

	Delivered		Construction Completed	
Locations	63	100%	61	97%
Value	\$ 215	95%	\$ 211	93%

The balance of \$11 million is being held in reserve pending settlement of any potential claims and closing out of all projects. The last two projects under construction have completion dates of June 2013 and July 2014.

## Delivery Summary of All Programs

Though the end of the third quarter, FY 2012-13, the Department delivered a total of 354 projects valued at \$1,099 million from all programs.

Projects are shown below by the planned program and dollar value.

Projects by Funding Programs	Number		Value	
	Annual Plan	FYTD	Annual Plan	FYTD
STIP (w TCRP, TFA)	22	13	\$ 126.6	\$ 34.4
SHOPP	154	94	\$ 919.5	\$ 485.1
BOND	6	4	\$ 214.7	\$ 88.6
Partnership*	15	8	\$ 310.5	\$ 135.0
Minor (CFD)	2	2	\$ 0.4	\$ 0.4
<b>Subtotal</b>	199	121	\$ 1,571.7	\$ 224.0
Emergency		77		\$ 54.9
Minor		40		\$ 28.6
Maintenance		116		\$ 271.6
<b>Total</b>		354		\$ 1,098.6

\* Partnership funds include all local funds and federal fund subventions given to local agencies.

## Detailed Delivery Summary of All Projects by Programs

Programs	Annual Number of Projects			Annual Dollar Value of Projects		
	Plan	Actual	Percent	Plan	Actual	Percent
<b>STIP Program</b>						
STIP (w TCRP, TFA)	17	11	65	\$ 92.8	\$ 27.8	30
Intercity Rail	5	2	40	\$ 33.8	\$ 6.6	20
Advanced** STIP		0			\$ 0.0	
<b>TOTAL STIP</b>	<b>22</b>	<b>13</b>	<b>59</b>	<b>\$ 126.6</b>	<b>\$ 34.4</b>	<b>27</b>
<b>SHOPP (w Augmentation)</b>						
SHOPP (w Augmentation)	139	74	53	\$ 812.1	\$ 336.1	41
Amended** SHOPP	15	15	100	\$ 107.4	\$ 107.4	100
Advanced** SHOPP		5			\$ 41.6	
	154	94	61	\$ 919.5	\$ 485.1	53
<b>Other ** Programs in Contract (excluding Intercity Rail Bond Program)</b>						
BOND	6	4	67	\$ 214.7	\$ 88.6	41
Partnership	15	8	53	\$ 310.5	\$ 135.0	43
Minor	2	2	100	\$ 0.4	\$ 0.4	100
<b>TOTAL "Other"</b>	<b>23</b>	<b>14</b>	<b>61</b>	<b>\$ 525.6</b>	<b>\$ 224.0</b>	<b>43</b>
<b>Additional ** Programs</b>						
Emergency		77			\$ 54.9	
Minor		40			\$ 28.6	
Maintenance		116			\$ 271.6	
<b>TOTAL "Additional"</b>		<b>233</b>			<b>\$ 355.1</b>	
<b>TOTAL All Programs</b>						
STIP	22	13	59	\$ 126.6	\$ 34.4	27
SHOPP	154	94	61	\$ 919.5	\$ 485.1	53
Other	23	14	61	\$ 525.6	\$ 224.0	43
<b>Subtotal</b>	<b>199</b>	<b>121</b>	<b>61</b>	<b>\$ 1,571.7</b>	<b>\$ 743.5</b>	<b>47</b>
Additional		233			\$ 355.1	
<b>TOTAL</b>		<b>354</b>			<b>\$ 1,098.6</b>	

**\*\* Notes:**

Additional – Recent projects not in contract. Includes funding reservations.

Amended – Added or deleted to program by amendment.

Advanced – Delivered early from future program year. (Not included in planned numbers)

Other – planned non-STIP/SHOPP projects committed in contract.

Delivery Percentages – Advances in contracts are included in planned figures, other advances are not included in planned figures, but are added to delivered figures.

Due to multiple funding sources on some projects, the sum of contract projects by funding source will exceed the number of planned contract projects.

# Historical Program Delivery Comparison

## 3rd Quarter "Annual Plan" Comparison

### Number of STIP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	22	31	25	26	35
FYTD	13	17	14	19	25
Percent	59	55	56	73	71

### Value of STIP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	\$ 127	\$ 510	\$ 318	\$ 352	\$ 453
FYTD	\$ 34	\$ 225	\$ 260	\$ 104	\$ 276
Percent	27	44	82	30	61

### Number of SHOPP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	154	188	247	186	219
FYTD	94	98	164	138	156
Percent	61	52	66	74	79

### Value of SHOPP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	\$ 920	\$1,102	\$2,867	\$1,448	\$1,792
FYTD	\$ 485	\$ 571	\$1,168	\$ 790	\$ 849
Percent	53	52	41	70	47

### Total Number of All Projects

	12-13	11-12	10-11	09-10	08-09
FYTD	354	297	491	502	443

### Total Value of All Projects

	12-13	11-12	10-11	09-10	08-09
FYTD	\$1,099	\$1,663	\$2,135	\$2,227	\$2,601

# Past Years' Contract For Delivery Award Status

This section describes the contract award status projects in past years for the annual Contract for Delivery.

## Contract Award Status

Progress continues to be made to get past years' contracts for delivery projects awarded.

Contract Award Status	Plan	Awarded	Percent
FY 2011-12 Contract for Delivery	279	260	93
FY 2010-11 Contract for Delivery	346	346	100
FY 2009-10 Contract for Delivery	306	306	100
FY 2008-09 Contract for Delivery	334	334	100
FY 2007-08 Contract for Delivery	294	294	100
FY 2006-07 Contract for Delivery	286	286	100
FY 2005-06 Contract for Delivery	174	174	100

## Historical Delivery Comparison

Through the third quarter FY 2012-13, for last year's contract for delivery (FY 2011-12), the Department has awarded 247 projects out of 279 projects or 93 percent of the planned projects. As a comparison, as reported a year ago for the same time period, the Department had awarded 338 projects out of 346 planned projects or 98 percent.

## Contracts Not Yet Awarded

Of the 19 projects not yet awarded, four projects are currently out to bid. Issues for award delays on the other projects are as follows:

- Six projects were moved to future year funds (low program priority).
- Seven projects are delayed pending minor issues such as upgraded right of way cert or federal aid number.
- One project is a joint venture with Department of Agriculture and needs approval from Department of Finance and Bond Sale for contributor funds.
- One project is yet to be delivered, right of way acquisition is still ongoing.

Contracts Not Yet Awarded	Number
PROJECTS ALLOCATED	
Project Currently Bid	4
Minor issue to finalize	3
Dept of Finance approval needed	1
PROJECTS NOT ALLOCATED	
Minor issues to finalize	4
Future year funds (low priority)	6
Not delivered	1
<b>Total</b>	<b>19</b>

*See appendix for a list of projects not yet awarded*

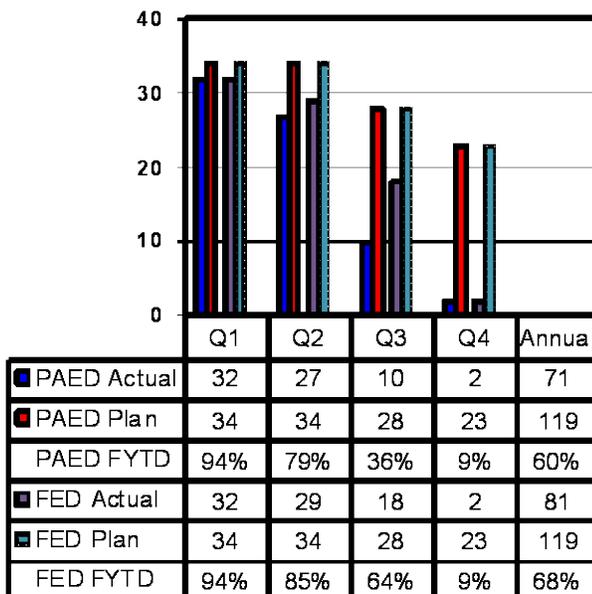
# Environmental Document Milestones

## Environmental Delivery Commitment

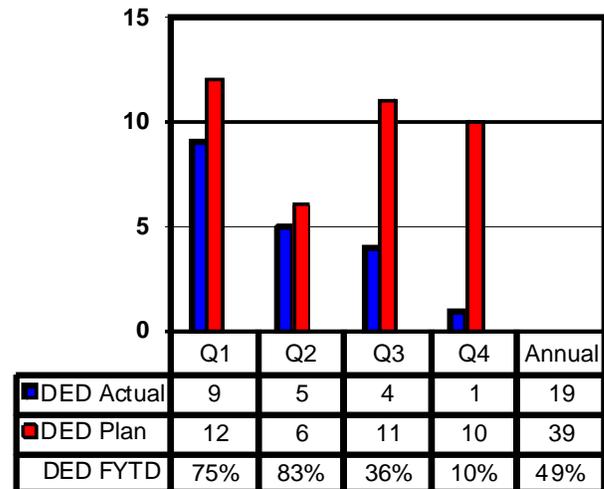
As part of this report, the Department reports on delivery for the upcoming year of project approval and environmental milestones that require CTC action for consideration of future funding. The milestones include Draft Environmental Documents (DED), and Project Approval and Environmental Document (PAED) which also includes the Final Environmental Documents (FED). To provide a comprehensive view of environmental documents under development, the Department also includes Categorical Exclusions that do not require CTC review or action. For FY 2012-13, the Department has planned delivery of 158 environmental milestones.

For FY 2012-13, through the end of the third quarter, the Department delivered 100 (63 percent of annual plan) environmental milestones.

## Number of PAED & FED Milestones



## Number of DED Milestones



Through the end of the third quarter, one PAED and one DED planned environmental document has slipped outside FY 2012-13 (milestones shown in appendix).

## Historical Delivery Comparisons

As a benchmark for comparison, below are historical environmental milestone delivery trend charts for the current year and past four years.

### Past 3rd Qtr PAED & FED Milestones

	12-13	11-12	10-11	09-10	08-09
PAED Plan	119	167	147	148	151
PAED FYTD	71	128	108	114	119
PAED Percent	81	77	73	77	79
FED Plan	119				
FED FYTD	81				
FED Percent	68				

### Past 3rd Qtr DED Milestones

	12-13	11-12	10-11	09-10	08-09
DED Plan	39	44	37	34	41
DED FYTD	19	20	20	19	25
DED Percent	49	45	54	56	61

# Right of Way

## Right of Way Delivery Commitment

The Department's R/W delivery commitment is twofold. One delivery commitment is to utilize funds approved by the CTC for acquisition of R/W. The second delivery commitment is to secure all necessary R/W requirements and to certify R/W for all projects scheduled for delivery in the current year.

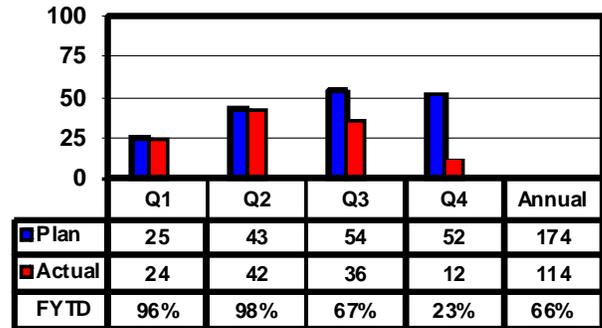
## Right of Way Expenditures

R/W activities and expenditures are outlined by the categories below:

Category (\$millions)	Plan	FYTD	Percent
<b>Capital Projects</b>			
STIP	\$ 99.5	\$ 60.5	61
SHOPP	\$ 41.5	\$ 17.6	42
<b>Subtotal</b>	\$ 141.0	\$ 78.1	55
<b>Specific Categories</b>			
Post Certifications	\$ 64.7	\$ 23.4	36
Inverse Condemnation	\$ 20.3	\$ 7.6	37
Project Development	\$ 1.0	\$ 0.4	40
<b>Subtotal</b>	\$ 86.0	\$ 31.4	37
<b>TOTAL</b>	\$ 227.0	\$ 109.5	48

For FY 2012-13, the Department requested and received a R/W allocation of \$227 million.

## Right of Way Certifications



For FY 2012-13, the planned number of R/W certifications is 174. Through the end of the third quarter, the Department completed a total of 114 R/W certifications, 66 percent of the annual plan.

## Historical Delivery Comparisons

As a benchmark for comparison, below are historical R/W delivery trend charts for the current year and past four years.

### Past 3rd Qtr Right of Way Expenditures

	12-13	11-12	10-11	09-10	08-09
Plan	\$227.0	\$217.5	\$219.4	\$237.7	\$230.9
FYTD	\$109.5	\$151.6	\$121.5	\$ 116.4	\$150.7
Percent	48	70	55	49	65

### Past 3rd Qtr Right of Way Certifications

	12-13	11-12	10-11	09-10	08-09
Plan	174	275	311	283	304
FYTD	114	200	241	192	260
Percent	66	73	77	68	86

# Construction Program

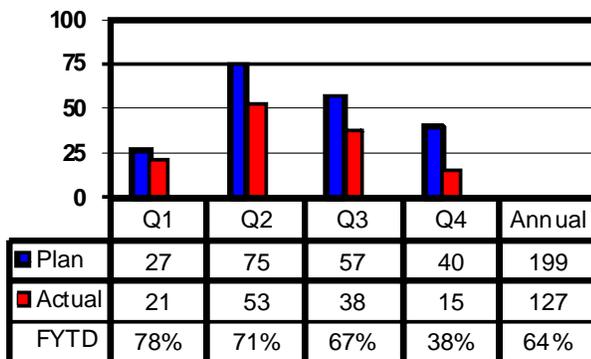
## PLANNED CONSTRUCTION PROGRAM

(Excludes some projects such as minor, program amendments and emergency.)

### Construction Delivery Commitment

Delivery in the eyes of our customers is achieved when capital improvements are delivered to the traveling public. This is best measured by when the construction contract is accepted.

### Planned Construction Contracts Accepted



Through the end of the third quarter, FY 2012-13, the Department had accepted a total of 127 major construction contracts (64 percent) out of a total of 199 planned contracts identified in the Department's delivery plan.

### Historical Delivery Comparison

As a benchmark for comparison, shown are historical delivery trend charts for planned major construction contract acceptances.

#### Past 3rd Qtr Construction Contracts Accepted

	12-13	11-12	10-11	09-10	08-09
Plan	199	272	216	226	213
FYTD	127	189	138	188	183
Percent	64	69	64	83	86

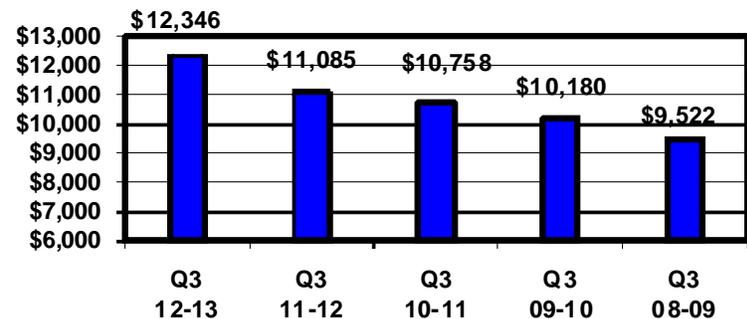
## OVERALL CONSTRUCTION PROGRAM

(Includes planned programmed projects and additional minor A, amendments, and some minor B projects that are not programmed.)

### Under Construction

At the end of the third quarter, FY 2012-13, the Department had 653 contracts valued at \$12,346 million under construction.

### Value of Ongoing Contracts (\$ millions)



### 3rd Quarter Construction Program Results

Construction Starts – 115 construction contracts valued at \$925 million were started (including minor A and some minor B projects that are not programmed).

Accepted Contracts – 188 construction contracts valued at \$818 million were accepted.

Arbitration - The Department currently has 22 construction contracts in arbitration. Four new arbitration case was filed, and five contracts were settled or received a arbitration decision.

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## Report on Completed Projects

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In the 2010 STIP guidelines is a requirement for the Department to provide the Commission with a report on completed projects. This report provides cost information for projects that the Department has accepted the construction contract (CCA milestone).

Cost information at completion consists of all project expenditures to date. The expended costs in this report are compared to the latest approved budget costs resulting from actions taken by the Commission on each project, including: Programmed funds, Allocated funds, Funds adjusted at vote, Supplemental funds, and AB 608 adjustments.

### Reporting Program / Project Thresholds

Completed project cost information is presented in the following levels for analysis:

- Program Level
- STIP/SHOPP Component Level
- Individual Project Component Level
- Overall Project Level

### Program Level

At the Program level, total costs are reported for STIP and SHOPP program funds.

### STIP / SHOPP Component Level

The methodology used to determine the amount of committed funds is based on programmed amounts, allocated funds, or debit and credit adjustments made against county shares in accordance with STIP guidelines.

It should be noted that while some individual components may exceed their approved budget, other components often have significant savings. STIP guidelines restrict the

ability to capture savings. Consequently, some components are over expended while the overall project expenditures is less than the total county shares used to fund the entire project.

### Individual Project Component Level

This provides an assessment of estimating trends for each of the six individual programmed cost components.

When projects are initially programmed into a programming document, there are a lot of unknown factors that could result in higher or lower costs by the time a project is ready for construction. A good example of unknowns is project refinements and changes that are implemented by the public hearing and project input process during preliminary engineering.

Sometimes Department expenditures in one component are offset by savings in another component. A common example is additional right of support effort may result in lower right of way capital expenditures. Another example is additional environmental expenditures to produce a publicly acceptable environmental document may be offset by lower design expenditures.

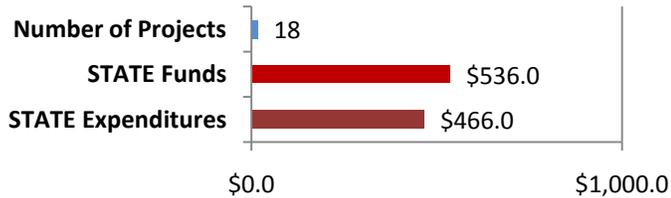
### Overall Project Level

This compares expended costs to the approved budget costs for the overall project. At the project level, greater flexibility is provided when costs can be managed within a project budget and transferred between components.

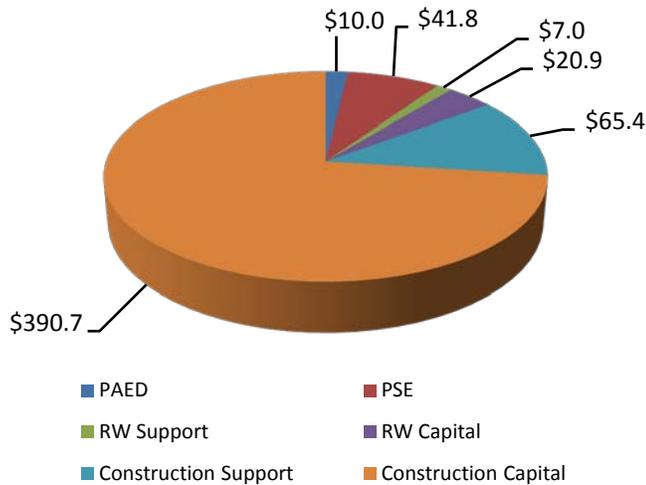
# Completed FY 2012-13 STIP Projects

## STIP Program Level

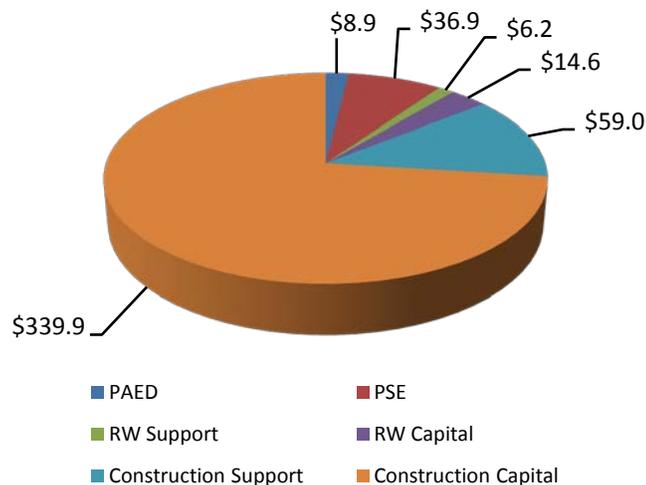
### STIP Projects (millions)



### Approved State Funded Budget (millions)



### State Funded Expenditures (millions)



There were a total of 18 STIP projects that were completed through the third quarter in FY 2012-13. The total amount of State funds<sup>(1)</sup> that were approved<sup>(2)</sup> by the commission for these projects was \$536<sup>(3)</sup> million. The actual cost of the projects completed was \$466 million which is 87 percent of the approved funds.

- (1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.
- (2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.
- (3) Local funds are only included if they were part of the construction contract administered by the Department. Other local funds may not be reflected in accounting and data systems.

## STIP Component Levels

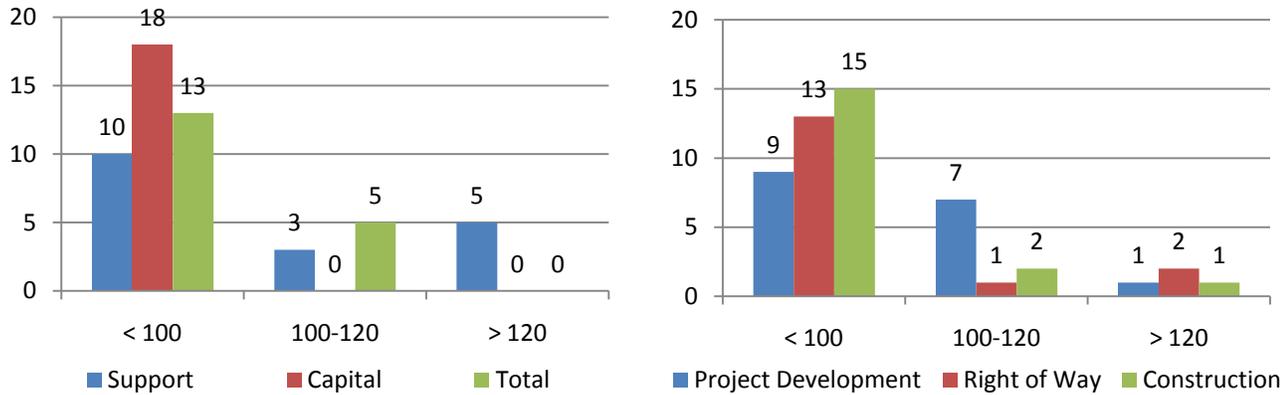
	Approved	Expended	Percent
PJD	\$ 51,809	\$ 45,803	88
RW	\$ 27,817	\$ 20,872	75
Con	\$456,025	\$398,918	87
Support	\$124,134	\$111,062	89
Capital	\$411,517	\$354,530	86
All	\$535,651	\$465,593	87

## STIP Construction Capital Cost Trends

The table below provides construction capital trend information between programmed, allocated, awarded, construction (includes G-12's and supplemental) and expenditures for completed construction projects.

Construction Capital Component Budget	Cost (\$1,000'S)	Percent of Allocated Funds
Programmed	\$392,174	101 %
Allocated	\$389,681	100 %
Awarded	\$358,136	92 %
Construction	\$368,630	95 %
Expended	\$337,054	86 %

### STIP Projects Completed Cost - Component Groupings



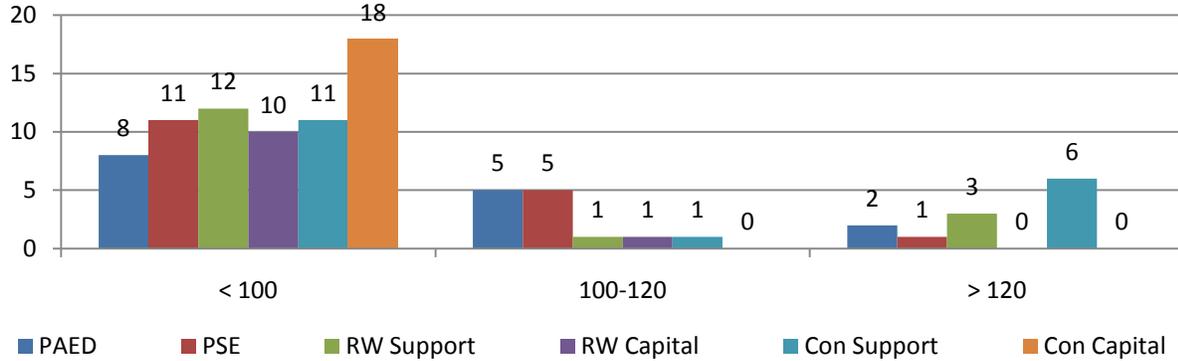
### STIP Programmed and Completed Cost Information - Component Groupings

		Expended / Budget Percent	Number of Completed Projects			Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Capital / Support Components	Support	< 100	10		56 %	\$102,387	82 %	\$ 80,453	72 %	Under Budget	
		100-120 <sup>1</sup>		3	17 %	\$ 9,189	7 %	\$ 10,060	9 %		
		> 120		5	28 %	\$ 12,558	10 %	\$ 20,549	19 %		
		Total				\$124,134		\$111,062			
	Capital	< 100	18		100 %	\$411,517	100 %	\$354,530	100 %	Under Budget	
		100-120 <sup>1</sup>		0	0 %	\$ 0	0 %	\$ 0	0 %		
		> 120		0	0 %	\$ 0	0 %	\$ 0	0 %		
		Total				\$411,517		\$354,530			
	Overall	< 100	13		72 %	\$482,249	90 %	\$407,990	88 %	Under Budget	
		100-120 <sup>1</sup>		5	28 %	\$ 53,402	10 %	\$ 57,603	12 %		
> 120			0	0 %	\$ 0	0 %	\$ 0	0 %			
Total					\$535,651		\$465,593		\$70,058		

		Expended / Budget Percent	Number of Completed Projects			Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
STIP Guidelines Components	PJD	< 100	9		50 %	\$30,644	59 %	\$23,281	51 %	Under Budget	
		100-120 <sup>1</sup>		7	39 %	\$19,048	37 %	\$19,921	43 %		
		> 120		1	6 %	\$ 2,097	4 %	\$ 2,601	6 %		
		No Budget	1		6 %	\$ 0	0 %	\$ 0	0 %		
	Total				\$51,809		\$45,803		\$ 6,006	88 %	
	Right of Way	< 100	13		72 %	\$21,466	77 %	\$14,192	68 %	Under Budget	
		100-120 <sup>1</sup>		1	6 %	\$ 6,261	23 %	\$ 6,330	30 %		
		> 120		2	11 %	\$ 90	0 %	\$ 350	2 %		
		No Budget	2		11 %	\$ 0	0 %	\$ 0	0 %		
	Total				\$27,817		\$20,872		\$ 6,945	75 %	
	Construction	< 100	15		83 %	\$418,320	92 %	\$357,164	90 %	Under Budget	
		100-120 <sup>1</sup>		2	11 %	\$ 36,402	8 %	\$ 40,186	10 %		
> 120			1	6 %	\$ 1,303	0 %	\$ 1,568	0 %			
No Budget		0		0 %	\$ 0	0 %	\$ 0	0 %			
Total					\$456,025		\$398,918		\$57,107		

<sup>1</sup> Reference: Table 2, California State Auditor Report 2010-122: State law requires that STIP project costs may not be changed to reflect differences that are within 20 percent of the amount programmed for actual project costs. Further, according to the chief of Caltrans' Division of Project Management, although there are no written requirements, Caltrans' practice is to manage SHOPP projects similar to STIP projects when a SHOPP project is 20 percent over its support budget.

**STIP Project Completed Cost - Individual Components**



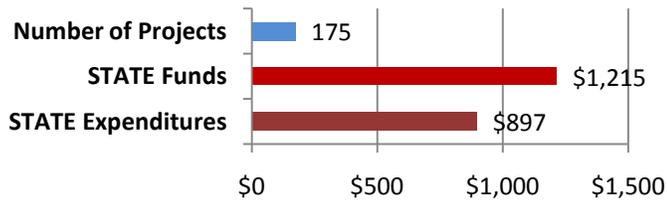
**STIP Programmed and Completed Cost Information - Individual Components**

	Expended / Budget Percent	Number of Completed Projects			Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget
		Under	Over	Percent						
Individual Project Components	PAED	< 100	8		44 %	\$ 8,481	84 %	\$ 7,091	80 %	Under Budget
		100-120 <sup>1</sup>		5	28 %	\$ 1,453	14 %	\$ 1,648	18 %	
		> 120		2	11 %	\$ 108	1 %	\$ 177	2 %	
		No Budget	3		17 %	\$ 0	0 %	\$ 0	0 %	
		Total				\$10,042		\$8,916		
	PSE	< 100	11		61 %	\$ 24,858	60 %	\$ 18,209	49 %	Under Budget
		100-120 <sup>1</sup>		5	28 %	\$ 15,788	38 %	\$ 17,161	47 %	
		> 120		1	6 %	\$ 1,121	3 %	\$ 1,515	4 %	
		No Budget	1		6 %	\$ 0	0 %	\$ 0	0 %	
		Total				\$41,767		\$36,885		
	RW Support	< 100	12		67 %	\$ 5,842	84 %	\$ 4,428	71 %	Under Budget
		100-120 <sup>1</sup>		1	6 %	\$ 160	2 %	\$ 178	3 %	
		> 120		3	17 %	\$ 952	14 %	\$1,635	26 %	
		No Budget	2		11 %	\$ 0	0 %	\$ 0	0 %	
		Total				\$6,954		\$6,241		
	RW Capital	< 100	10		53 %	\$20,863	100 %	\$14,626	100 %	Under Budget
		100-120 <sup>1</sup>		1	5 %	\$ 0	0 %	\$ 6	0 %	
		> 120		0	0 %	\$ 0	0 %	\$ 0	0 %	
		No Budget	8		42 %	\$ 0	0 %	\$ 0	0 %	
		Total				\$20,863		\$14,632		
Construction Support	Pre SB 1102	< 100	11		61 %	\$60,332	92 %	\$46,062	78 %	Under Budget
		100-120 <sup>1</sup>		1	6 %	\$ 350	1 %	\$ 386	1 %	
		> 120		6	33 %	\$ 4,688	7 %	\$12,572	21 %	
		No Budget	0		0 %	\$ 0	0 %	\$ 0	0 %	
	SB 1102	< 100	0		0 %	\$ 0	0 %	\$ 0	0 %	
		100-120 <sup>1</sup>		0	0 %	\$ 0	0 %	\$ 0	0 %	
		> 120		0	0 %	\$ 0	0 %	\$ 0	0 %	
		No Budget	0		0 %	\$ 0	0 %	\$ 0	0 %	
Total				\$65,370		\$59,020		\$6,350	90 %	
Construction Capital	< 100	18		100 %	\$390,654	100 %	\$339,898	100 %	Under Budget	
	100-120 <sup>1</sup>		0	0 %	\$ 0	0 %	\$ 0	0 %		
	> 120		0	0 %	\$ 0	0 %	\$ 0	0 %		
	No Budget	0		0 %	\$ 0	0 %	\$ 0	0 %		
	Total				\$390,654		\$339,898			\$50,756

# Completed FY 2012-13 SHOPP Projects

## SHOPP Program Level

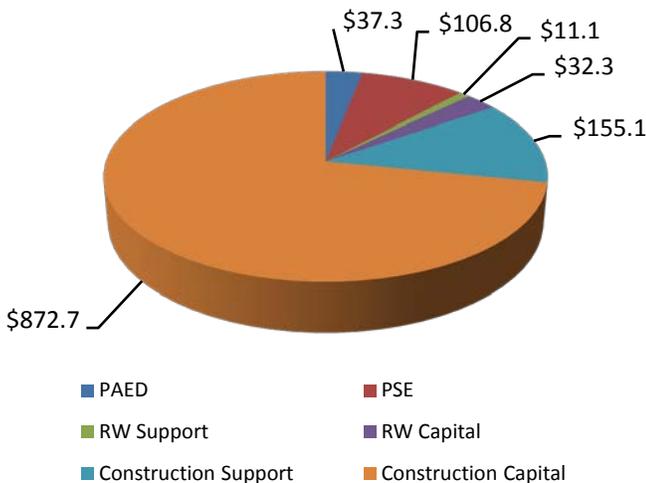
### SHOPP Projects (millions)



There were a total of 175 SHOPP projects that were completed through the third quarter in FY 2012-13. The total amount of State funds<sup>(1)</sup> that were approved<sup>(2)</sup> by the commission for these projects was \$1,215<sup>(3)</sup> million. The actual cost of the projects completed was \$897 million which is 74 percent of the approved funds.

- (1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.
- (2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.
- (3) Local funds are only included if they were part of the construction contract administered by the Department. Other local funds may not be reflected in accounting and data systems.

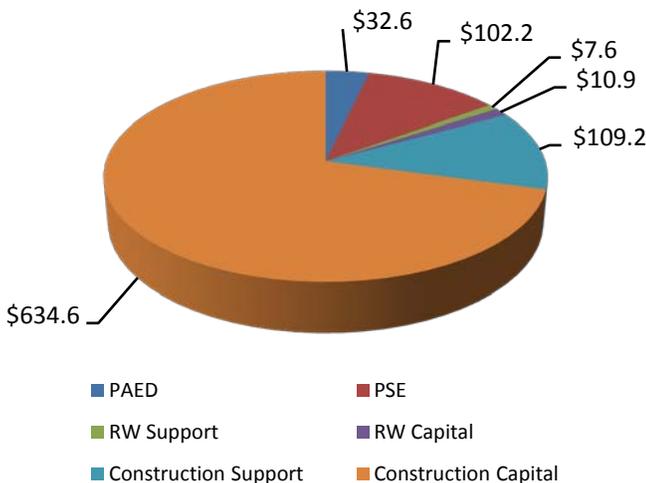
### Approved State Funded Budget (millions)



## SHOPP Component Levels

	Approved	Expended	Percent
PJD	\$ 144,153	\$ 134,820	94
RW	\$ 43,405	\$ 18,544	43
Con	\$1,027,799	\$ 743,828	72
Support	\$ 310,414	\$ 251,681	81
Capital	\$ 904,943	\$ 645,511	71
All	\$1,215,356	\$ 897,192	74

### State Funded Expenditures (millions)

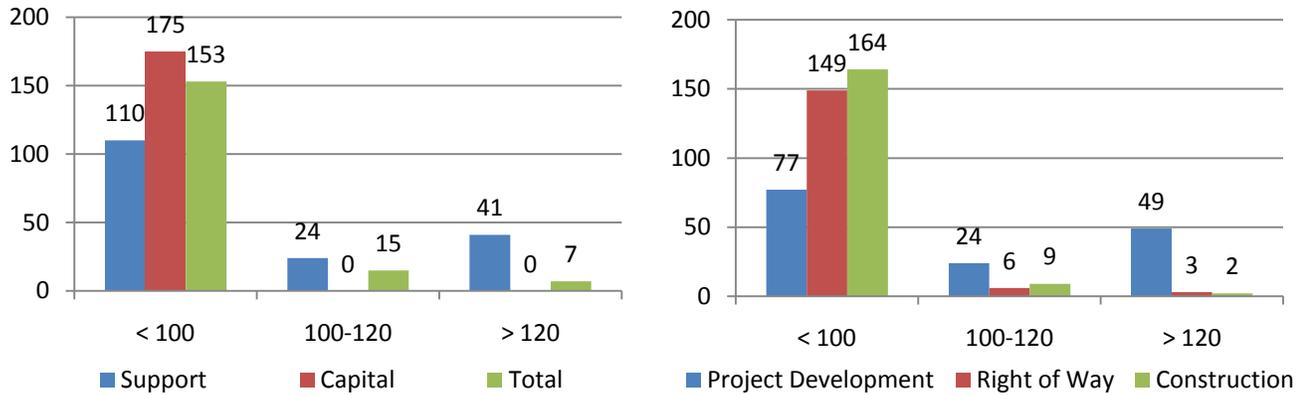


## SHOPP Construction Capital Cost Trends

The table below provides construction capital trend information between programmed, allocated, awarded, construction (includes G-12's and supplemental) and expenditures for completed construction projects.

Construction Capital Component Budget	Cost (\$1,000'S)	Percent of Allocated Funds
Programmed	\$1,060,558	126 %
Allocated	\$ 844,661	100 %
Awarded	\$ 714,051	84 %
Construction	\$ 745,603	88 %
Expended	\$ 615,600	73 %

**SHOPP Projects Completed Cost - Component Groupings**



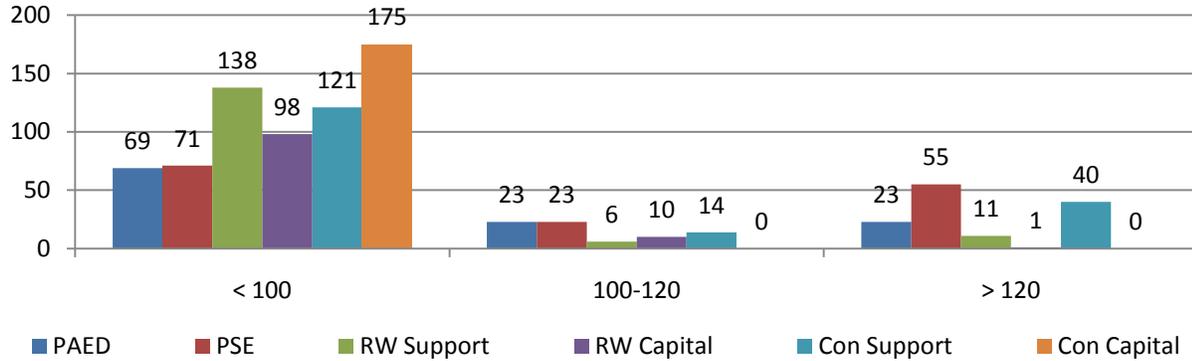
**SHOPP Programmed and Completed Cost Information - Component Groupings**

	Expended / Budget Percent	Number of Completed Projects			Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget	
		Under	Over	Percent							
Capital / Support Components	Support	< 100	110		\$218,433	70 %	\$ 135,881	54 %	Under Budget		
		100-120 <sup>1</sup>		24	14 %	\$ 60,416	19 %	\$ 65,783			26 %
		> 120		41	23 %	\$ 31,565	10 %	\$ 50,017			20 %
		Total				\$310,414		\$ 251,681			
	Capital	< 100	175		100 %	\$904,943	100 %	\$645,511	100 %	Under Budget	
		100-120 <sup>1</sup>		0	0 %	\$ 0	0 %	\$ 0	0 %		
		> 120		0	0 %	\$ 0	0 %	\$ 0	0 %		
		Total				\$904,943		\$645,511			
	Overall	< 100	153		87 %	\$1,164,686	96 %	\$839,706	94 %	Under Budget	
		100-120 <sup>1</sup>		15	9 %	\$ 39,017	3 %	\$ 41,800	5 %		
		> 120		7	4 %	\$ 11,653	1 %	\$ 15,686	1 %		
		Total				\$1,215,356		\$897,192			

	Expended / Budget Percent	Number of Completed Projects			Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget	
		Under	Over	Percent							
STIP Guidelines Components	PJD	< 100	77		44 %	\$ 69,250	48 %	\$ 41,292	31 %	Under Budget	
		100-120 <sup>1</sup>		24	14 %	\$ 49,429	34 %	\$ 53,221	39 %		
		> 120		49	28 %	\$ 25,474	18 %	\$ 40,307	30 %		
		No Budget	25		14 %	\$ 0	0 %	\$ 0	0 %		
	Total				\$144,153		\$ 134,820		\$ 9,333	94 %	
	Right of Way	< 100	149		85 %	\$38,056	88 %	\$ 12,868	69 %	Under Budget	
		100-120 <sup>1</sup>		6	3 %	\$ 5,251	12 %	\$ 5,537	30 %		
		> 120		3	2 %	\$ 98	0 %	\$ 139	1 %		
		No Budget	17		10 %	\$ 0	0 %	\$ 0	0 %		
	Total				\$43,405		\$18,544		\$ 24,861	43 %	
	Construction	< 100	164		94 %	\$1,004,304	98 %	\$718,087	97 %	Under Budget	
		100-120 <sup>1</sup>		9	5 %	\$ 20,683	2 %	\$ 22,233	3 %		
> 120			2	1 %	\$ 2,812	0 %	\$ 3,508	0 %			
No Budget		0		0 %	\$ 0	0 %	\$ 0	0 %			
Total				\$1,027,799		\$743,828		\$283,971	72 %		

<sup>1</sup> Reference: Table 2, California State Auditor Report 2010-122: State law requires that STIP project costs may not be changed to reflect differences that are within 20 percent of the amount programmed for actual project costs. Further, according to the chief of Caltrans' Division of Project Management, although there are no written requirements, Caltrans' practice is to manage SHOPP projects similar to STIP projects when a SHOPP project is 20 percent over its support budget.

**SHOPP Project Completed Cost - Individual Components**



**SHOPP Programmed and Completed Cost Information - Individual Components**

	Expended / Budget Percent	Number of Completed Projects			Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget
		Under	Over	Percent						
Individual Project Components	PAED	< 100	69		39 %	\$ 23,882	64 %	\$ 13,823	42 %	Under Budget
		100-120 <sup>1</sup>		23	13 %	\$ 10,945	29 %	\$ 11,588	36 %	
		> 120		23	13 %	\$ 2,484	7 %	\$ 7,168	22 %	
		No Budget	60		34 %	\$ 0	0 %	\$ 0	0 %	
		Total				\$ 37,311		\$ 32,579		
	PSE	< 100	71		41 %	\$ 51,262	48 %	\$ 28,489	28 %	Under Budget
		100-120 <sup>1</sup>		23	13 %	\$ 30,116	28 %	\$ 32,269	32 %	
		> 120		55	31 %	\$ 25,464	24 %	\$ 41,484	41 %	
		No Budget	26		15 %	\$ 0	0 %	\$ 0	0 %	
		Total				\$106,842		\$102,242		
	RW Support	< 100	138		79 %	\$ 7,965	72 %	\$ 3,279	43 %	Under Budget
		100-120 <sup>1</sup>		6	3 %	\$ 2,272	20 %	\$ 2,454	32 %	
		> 120		11	6 %	\$ 890	8 %	\$ 1,908	25 %	
		No Budget	20		11 %	\$ 0	0 %	\$ 0	0 %	
		Total				\$11,127		\$ 7,641		
	RW Capital	< 100	98		56 %	\$ 28,032	87 %	\$ 6,313	58 %	Under Budget
		100-120 <sup>1</sup>		10	6 %	\$ 4,133	13 %	\$ 4,426	41 %	
		> 120		1	1 %	\$ 113	0 %	\$ 161	1 %	
		No Budget	66		38 %	\$ 0	0 %	\$ 0	0 %	
		Total				\$32,278		\$10,900		
Construction Support	Pre SB 1102	< 100	121		69 %	\$129,106	83 %	\$71,260	65 %	Under Budget
		100-120 <sup>1</sup>		14	8 %	\$ 10,603	7 %	\$11,288	10 %	
		> 120		40	23 %	\$ 15,425	10 %	\$26,670	24 %	
		No Budget	0		0 %	\$ 0	0 %	\$ 0	0 %	
	SB 1102	< 100	0		0 %	\$ 0	0 %	\$ 0	0 %	
		100-120 <sup>1</sup>		0	0 %	\$ 0	0 %	\$ 0	0 %	
		> 120		0	0 %	\$ 0	0 %	\$ 0	0 %	
		No Budget	0		0 %	\$ 0	0 %	\$ 0	0 %	
Total				\$155,134		\$109,218		\$45,916	70 %	
Construction Capital	< 100	175		100 %	\$872,664	100 %	\$634,609	100 %	Under Budget	
	100-120 <sup>1</sup>		0	0 %	\$ 0	0 %	\$ 0	0 %		
	> 120		0	0 %	\$ 0	0 %	\$ 0	0 %		
	No Budget	0		0 %	\$ 0	0 %	\$ 0	0 %		
	Total				\$872,664		\$634,609			\$238,055

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# Appendix

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- (A) Glossary
- (B) Past Years' Contracts for Delivery Award Status  
Projects Not Yet Awarded
- (C) Environmental Documents
- (D) Status of Major Projects with Right of Way
- (E) Construction Contract Administration
- (F) Completed Projects Cost Information

## Glossary

- #** 1st – First  
2nd – Second  
3rd – Third  
4th - Fourth
- A** AB – Assembly Bill
- B** BATA – Bay Area Toll Authority  
BIP –  
BOND – Proposition 1B Bond Program
- C** Cap – Capital (has construction)  
CE – Categorical Exemption  
Cert - Certification  
CTC – California Transportation Commission  
Cty - County
- D** Doc – Document  
D-EA – District and expenditure authorization  
DED – Draft environmental document
- E** ED – Environmental Document  
EIR – Environmental Impact Report  
Emerg – Emergency funded project  
Env - Environmental
- F** FED – Final environmental document  
FY – Fiscal Year  
FYTD – Fiscal year to date
- N** ND – Negative Declaration  
NOP – Notice of Preparation
- P** PART – Partnership (local funded projects delivered by state including contributor funds on state funded projects (counts all non-STIP or non- SHOPP Funds)  
PAED – Project approval and environmental document  
PM – Post Mile  
PSE – Plans, specifications and estimate
- Q** Q1 – First Quarter  
Q2 – Second Quarter  
Q3 – Third Quarter  
Q4 – Fourth Quarter  
Qtr – Quarter
- R** RTL – Ready to list  
Rte – Route  
R/W – Right-of-way  
RWC – Right-of-way certification
- S** SDWLL – Retrofit Soundwall funded project  
SHOPP – State Highway Operations and Protection Program  
STIP – State Transportation Improvement Program
- T** TBSRA – Toll Bridge Seismic Retrofit Account  
TCRF – Traffic congestion relief funds

## Prior Years' Contracts for Delivery Award Status

### Projects initial bid.

FY CFD	D-EA	Pgm	Cty	Rte	Description	Value	Ready to List	Vote	Ad	Bid Opening	Comments
11-12	04-1G560	SHOPP	ALA	880	REMOVE EXISTING MEDIAN DOUBLE METAL BEAM BARRIER AND INSTALL TYPE 60A	\$1,500	06/29/12	08/22/12	04/08/13	05/21/13	
11-12	08-0H330	SHOPP	RIV	215	COLD PLAN 0.15 FT AND PLACE 0.5 FT RUBBERIZED AC TYPE G	\$15,392	03/30/12	06/28/12	08/27/12	10/11/12	
11-12	11-29030	SHOPP	SD	005	SEISMIC RETROFIT AND STRUCTURE REHABILITATION	\$3,698	05/31/12	08/22/12	10/01/12	06/13/13	
11-12	11-07670	SHOPP	IMP	000	CONSTRUCT MAINTENANCE STATION	\$9,158	11/26/11	05/07/13	05/13/13	06/13/13	

\$29,748

### Projects allocated, not advertised

11-12	04-3S752	SHOPP	SON	001	REPLACE CULVERT AND ROCK SLOPE PROTECTION	\$340	12/05/11	03/05/13			13-14 Funds
11-12	04-4S080	SHOPP	SCL	101	WITH GEOGRID AND SEAL CRACKED WINGWALL	\$975	06/22/12	05/07/13			12-13 Funds
11-12	08-36850	SHOPP	SBD	15	Const Comm Veh Enforcement Facility (Cvef) & Agric Inspec Facility (Aif)	\$ 71,244	06/30/11	05/07/13			Needs Dept Finance Approval and Dept Agriculture Bond Sale
11-12	11-28960	SHOPP	IMP	086	SCOUR MITIGATION	\$9,254	02/22/12	05/24/12			

\$81,813

### Projects delivered, not allocated with issue pending

11-12	02-0E360	SHOPP	TEH	005	REBUILD N/B & S/B FACILITIES AT CORNING SRRA'S	\$6,000	12/01/11				13-14 Funds
11-12	07-27820	SHOPP	LA	010	INSTALL ADA CURB RAMPS	\$3,000	05/22/12				13-14 Funds
11-12	07-3X000	SHOPP	VEN	033	CONSTRUCT SOLDIER PILE WALL	\$2,500	04/30/12				
11-12	07-3X020	SHOPP	VEN	150	CONSTRUCT SOLDIER PILE WALLS	\$4,000	05/30/12				
11-12	08-0G620	SHOPP	SBD	038	GRIND 30MM & OVERLAY 45MM DGAC TYPE A	\$13,155	01/31/12				13-14 Funds
11-12	08-0J010	SHOPP	SBD	018	COSNTRCUT LEFT TURN IN BOTH DIRECTION WIDEN SHOULDER WEST BOUND	\$803	06/30/12				13-14 Funds
11-12	08-0K230	SHOPP	RIV	010	PCC GRINDING AND SLABS REPLACEMENT	\$6,778	05/16/12				13-14 Funds
11-12	08-0K280	SHOPP	SBD	040	GRIND 45 MM AND OVERLAY 60 MM AC	\$23,219	08/15/11				13-14 Funds
11-12	08-0M310	SHOPP	RIV	060	UPGRADE PEDESTRIAN FACILITIES ADA RAMPS 37 CURB RAMPS	\$673	02/01/12				
11-12	12-0H208	SHOPP	ORA	055	FLATTEN THE SLOPE ABOVE THE MAINTENANCE ACCESS ROAD	\$4,458	03/01/12				RW CERT 3W

\$64,586

### Projects not delivered

11-12	07-1170U (117080)	STIP/C MAQ	LA	010	CONSTRUCT HOV LANES & SOUNDWALLS	\$134,760	04/17/12				Not delivered, RW acquisition ongoing.
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\$134,760

## Project Approval (PR) Final Environmental Document (FED) Milestone Delivery

### First Quarter - 34 Planned Deliverables

### Second Quarter - 34 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED	D	EA	Pgm	Cty	Rte	Description	PR	FED
01	49650	SHOPP	HUM	101	EXCAVATE HAZARDOUS WASTE	Green	Green	01	0C360		MEN	101	INSTALL HIGH FRICTION COURSE TREATMENT	Green	Green
01	0B190	SHOPP	HUM	101	INSTALL MEDIAN BARRIER	Green	Green	02	1E000	STIP	MOD	299	INSTALL HAR	Green	Green
01	0B430	SHOPP	HUM	101	BIG LAGOON SLIPOUT REPAIR	Green	Green	02	29971	STIP	MOD	299	WIDEN TO THREE LANES	Green	Green
01	0B470	SHOPP	MEN	001	REPAIR SLIPOUT	Green	Green	02	4E610	SHOPP	TRI	299	REPAIR SLIDES.	Green	Green
02	1E060	STIP	MOD	139	INSTALL CCTV AND RWIS	Green	Green	03	0F270	SHOPP	YUB	065	SCOUR MITIGATION BRIDGE REHAB	Green	Green
02	4E530	SHOPP	SHA	005	BRIDGE REHABILITATION.	Green	Green	03	1A843	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENTS O/L, W	Green	Green
03	0F230	SHOPP	SAC	050	INSTALL TWO 4" MICRODECK SYSTEMS TO REHA	Green	Green	03	1A845	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENTS O/L, W	Green	Green
03	1F280	STIP	GLE	005	NATIVE PLANTING	Green	Green	03	1F420	SHOPP	BUT	099	SHOULDER WIDENING ON STRUCTURE	Green	Green
03	1F290	STIP	COL	005	NATIVE PLANTING	Green	Green	03	2F000	SHOPP	VAR	000	UPGRADE GUARDRAIL END TREATMENTS	Green	Green
03	1F330	SHOPP	ED	193	IMPROVE SUPERELEVATION OF CURVE	Green	Green	03	2F970	SHOPP	COL	020	HMA OVERLAY	Green	Green
03	2F150	SHOPP	ED	049	LEFT TURN CHANNELIZATION	Green	Green	03	4E860	SHOPP	PLA	193	IMPROVE AND WIDEN CURVE	Green	Green
03	3F320		SAC	050	PLACE THIN HIGH FRICTION SURFACE	Green	Green	03	4M200	SHOPP	COL	005	SUB-SCOUR MITIGATION-7 BRIDGES	Green	Green
03	4E480	STIP	SAC	099	INSTALL NATIVE PLANTING	Green	Green	04	2G870	SHOPP	MRN	001		Green	Green
04	3G300		CC	160	FENDER SYSTEM	Green	Green	04	4A090	SHOPP	NAP	029	REPLACEMENT OF TROUTDAL CREEK BRIDGE ON	Green	Green
04	4A630	SHOPP	VAR	000	CONSTRUCT WHEELCHAIR RAMPS	Green	Green	05	0T500	STIP	SCR	000	VISTA POINT INTERPRETIVE DISPLAYS	Green	Green
05	1C320	SHOPP	SLO	046	INSTALL RUMBLE STRIPS	Green	Green	05	0T640	SHOPP	SBT	025	CURVE CORRECTION	Green	Green
06	0N380	SHOPP	KER	178	CONSTRUCT RETAINING STRUCTURES	Green	Green	06	0E680	STIP	MAD	099	99 CORRIDOR-BRIDGE ENHANCEMENT	Green	Green
07	27490	SHOPP	LA	110	SOURCE CONTROL *SHOPP CANDIDATE	Green	Green	06	0G850		KER	058	WIDEN FREEWAY FROM 4 TO 6 LANES	Green	Green
07	27590	SHOPP	LA	110	SOURCE CONTROL *SHOPP CANDIDATE	Green	Green	06	0J530	SHOPP	TUL	190	INTERSECTION IMPROVEMENTS	Green	Green
07	3X350	SHOPP	LA	105	RECONSTRUCT THE FAILED SLOPE *DIR	Green	Green	07	26560	SHOPP	LA	138	WIDEN ROADWAY AND PAVE SHOULDERS	Green	Green
07	3X410	SHOPP	LA	002	GRADE SLOPE,PLACE EROSION CONTROL *DIR	Green	Green	07	28850	SHOPP	LA	405	PAVE AREA,CONSTRUCT SCALE HOUSE	Green	Green
07	4T560	SHOPP	LA	107	SIGNALS	Green	Green	07	29250	SHOPP	VEN	101	MODIFY TRAFFIC SIGNAL	Green	Green
07	4T570	SHOPP	LA	105	RDWRK/FLSHNG BCN/LGTHNG DRNG,STRPNG	Green	Green	08	0071H		SBD	066	REPLACE LANDSCAPE & IRRIGATION	Green	Green
07	4T580	SHOPP	LA	210	INSTALL TRAFFIC SIGNAL	Green	Green	08	0071J		SBD	215	REPLACE LANDSCAPE AND IRRIGATION	Green	Green
08	33630	SHOPP	SBD	38	REPLACE BRIDGE DECK, UPGRADE BRIDGE RAIL, A	Green	Green	08	0071K		SBD	215	REPLACE LANDSCAPE AND IRRIGATION	Green	Green
08	43541	SHOPP	SBD	040	PLACE ROCK SLOPE PROTECTION	Green	Green	08	0071L		SBD	215	REPLACE LANDSCAPE AND IRRIGATION	Green	Green
08	0P310	SHOPP	SBD	395	INSTALL LEFT-TURN PHASING	Green	Green	08	0G900	SHOPP	SBD	247	CONSTRUCT STANDARD PAVED SHOULDER	Green	Green
08	0Q230	SHOPP	SBD	018	SIGNALIZE INTERSECTION. PLUS CURB RAMPS, AD	Green	Green	08	0M200	SHOPP	RIV	371	CONSTRUCT SHOULDER	Green	Green
08	3348H	STIP	RIV	215	FACILITATE MANAGING LANDSCAPE DESIGN. PLA	Green	Green	08	0N560	SHOPP	SBD	040	BRIDGE REPLACEMENT	Green	Green
09	34650	SHOPP	MNO	266	CURVE CORRECTION/COLLISION SEVERITY REDUC	Green	Green	08	0N591	SHOPP	SBD	040	BRIDGE REPLACEMENT	Green	Green
10	0K021	SHOPP	MER	099	INSTALL ABOUT 220 TREES AND 800 GROUNDCO	Green	Green	08	0R840	SHOPP	SBD	015	REPAIR CULVERT BY COMPACTION GROUTING TH	Green	Green
11	26330	STIP	IMP	008	REVISED INTERCHANGE	Green	Green	10	0U610	SHOPP	SJ	012	REMOVE & REPLACE EXISTING CONCRETE BRIDG	Green	Green
11	29230	SHOPP	SD	805	STORM WATER MITIGATION SLOPE EROSION REF	Green	Green	11	40850	SHOPP	SD	125	INSTALL MEDIAN BARRIER	Green	Green
11	40670	SHOPP	SD	005	PAVEMENT REHABILITATION	Green	Green	12	0J61U	SHOPP	ORA	005	REVEGETATION OF EXPOSED SLOPES FOR NPDES	Green	Green

### Third Quarter - 28 Planned Deliverables

### Fourth Quarter - 23 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED	D	EA	Pgm	Cty	Rte	Description	PR	FED
01	49710	SHOPP	MEN	271	HAZARDOUS WASTE MITIGATION	Green	Green	01	47940	STIP	DN	199	SHOULDER WIDENING & BRIDGE WIDENING /RE	Green	Green
01	0B000	SHOPP	LAK	020	REPLACE HOT MIX ASPHALT, APPLY GAP GRADED	Green	Green	01	4500U	SHOPP	DN	199	WIDENING	Green	Green
01	0B100	SHOPP	HUM	101	INSTALL CABLE MEDIAN BARRIER	Green	Green	02	2E740	SHOPP	SHA	299	CURVE IMPROVEMENT	Green	Green
02	4C402	STIP	SHA	005	WIDEN TO 6 LANES.	Green	Green	02	3E410	SHOPP	SHA	299	HIGHWAY REALIGNMENT	Green	Green
02	4F100	SHOPP	PLU	070	PERM. RESTORATION	Green	Green	02	3E790	SHOPP	TRI	299	CURVE IMPROVEMENTS	Green	Green
03	3E120	SHOPP	BUT	070	SEISMIC RETROFIT	Green	Green	02	4E410	SHOPP	TRI	299	.121 WEAVERVILLE CAPM	Green	Green
03	0F690	SHOPP	BUT	070	REPLACE BRIDGE	Green	Green	03	3E110	SHOPP	NEV	080	PAINT STRUCTURES	Green	Green
03	1F260	STIP	SIE	089	CONSTRUCT TWO WILDLIFE UNDERCROSSINGS A	Green	Green	04	1G850	SHOPP	SM	092	INSTALL OR MODIFY METAL BEAM GUARD RAILS	Green	Green
03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY	Green	Green	04	3G450		CC	580	REPLACE JOINT SEALS	Green	Green
03	2F160		SAC	160	RE-ALIGN ROUTE	Green	Green	04	3G750	SHOPP	SM	092	CONSTRUCT RSP AND INSTALL DRAINAGE	Green	Green
03	3C000		SAC	005	CONST HOV LANES	Green	Green	04	3G760	SHOPP	NAP	128	INSTALL SLOPE INDICATORS,INSTALL NEW HORIZ	Green	Green
03	3F000	SHOPP	YUB	020	HMA OVERLAY	Green	Green	05	0T540	SHOPP	SCR	129	CURVE REALIGNMENT	Green	Green
03	3F180	SHOPP	SAC	080	PLACE VEGETATION CONTROL AND GRAVEL	Green	Green	07	28720	SHOPP	LA	134	STORM WATER SOURCE CONTROL *2012 SHOPP	Green	Green
04	04100		SM	092	RESURFACE ORTHOTROPIC DECK	Green	Green	07	28730	SHOPP	LA	210	STORM WATER SOURCE CONTROL *2012 SHOPP	Green	Green
04	1SS02	SHOPP	ALA	013		Green	Green	07	29210	SHOPP	LA	101	MAINTENANCE SAFETY	Green	Green
05	0G040	SHOPP	SLO	101	HIGHWAY REHAB	Green	Green	07	29220	SHOPP	LA	002	MAINTENANCE SAFETY	Green	Green
06	0M050	SHOPP	FRE	168	REALIGN CURVE	Green	Green	09	35120	SHOPP	INY	395	SHOULDER WIDENING AND CONSTRUCT RUMBL	Green	Green
07	28380	STIP	LA	101	VINE PLANTING TRANS ENHANCEMENT *ITIP TE	Green	Green	10	0Q120	STIP	MER	099	WIDEN FROM FOUR LANES TO SIX LANES	Green	Green
07	29090	SHOPP	LA	210	REPLACE MBGR TO CONCRETE BARRIER	Green	Green	10	0Q170	SHOPP	SJ	580	DIGOUT AND REPAIR OF LOCALIZED FAILURES/AC	Green	Green
08	04351	STIP	SBD	58	REALIGN & WIDEN 2 TO 4 LANE EXPWY	Green	Green	11	26160	SHOPP	SD	005	FREWAY MAINTENANCE ACCESS	Green	Green
08	0J990	SHOPP	SBD	018	REPLACE AND REPAIR DAMAGED SIDEWALK AND	Green	Green	11	40930	SHOPP	SD	075	BRIDGE REHABILITATION	Green	Green
08	0P980	SHOPP	SBD	018	SIGNALIZE INTERSECTION. ADA REQUIREMENTS	Green	Green	11	41060	SHOPP	SD	005	INSTALL AND UPGRADE CURB RAMPS	Green	Green
08	0Q600	SHOPP	SBD	002	MODIFY DIKE AND PLACE CONCRETE SLOPE	Green	Green	12	0H20U	SHOPP	ORA	055	REMOVE DISEASED TREES AND UPGRADE HIGHW	Green	Green
09	33500	SHOPP	MNO	395	MITIGATE MONO LAKE ROCKFALL	Green	Green								
10	0S870	SHOPP	STA	004	SHOULDER WIDENING WITH RUMBLE STRIPS	Green	Green								
11	26041	SHOPP	SD	008	DRAINAGE IMPROVEMENTS	Green	Green								
11	28250	SHOPP	SD	005	STORM WATER MITIGATION STORM WATER MIT	Green	Green								
12	0H900	SHOPP	ORA	055	REMOVE DISEASED EUC. TREES, UPGRADE EXIST	Green	Green								

**Legend**

- Completed
- N Not Needed due to Env Doc Change
- Behind Schedule
- To Be Completed
- Delay Out of Year

## Draft Environmental Document (DED) Milestone Delivery

### First Quarter - 12 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
03	0F270	SHOPP	YUB	065	SCOUR MITIGATION BRIDGE REHAB	Completed
03	0F690	SHOPP	BUT	070	REPLACE BRIDGE	Completed
03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY	Behind Schedule
03	1F420	SHOPP	BUT	099	SHOULDER WIDENING ON STRUCTURE	Completed
03	4E860	SHOPP	PLA	193	IMPROVE AND WIDEN CURVE	Completed
03	4M200	SHOPP	COL	005	SUB-SCOUR MITIGATION-7 BRIDGES	Completed
04	4A090	SHOPP	NAP	029	REPLACEMENT OF TROUTDAL CREEK BRIDGE ON	Behind Schedule
05	0G040	SHOPP	SLO	101	HIGHWAY REHAB	Behind Schedule
06	43080	STIP	TUL	065	CONSTRUCT 4-L EXPRESSWAY ALONG AN EXISTIN	Completed
06	0G850		KER	058	WIDEN FREEWAY FROM 4 TO 6 LANES	Completed
06	0M050	SHOPP	FRE	168	REALIGN CURVE	Completed
08	04351	STIP	SBD	58	REALIGN & WIDEN 2 TO 4 LANE EXPWY	Completed

### Second Quarter - 6 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	49710	SHOPP	MEN	271	HAZARDOUS WASTE MITIGATION	Completed
03	3E120	SHOPP	BUT	070	SEISMIC RETROFIT	Completed
03	2F160		SAC	160	RE-ALIGN ROUTE	Behind Schedule
05	0T540	SHOPP	SCR	129	CURVE REALIGNMENT	Completed
08	0Q600	SHOPP	SBD	002	MODIFY DIKE AND PLACE CONCRETE SLOPE	Not Needed due to Env Doc Change
10	0Q120	STIP	MER	099	WIDEN FROM FOUR LANES TO SIX LANES	Completed

### Third Quarter - 11 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
02	3E410	SHOPP	SHA	299	HIGHWAY REALIGNMENT	Completed
02	4C402	STIP	SHA	005	WIDEN TO 6 LANES.	Completed
04	3G620	SHOPP	SF	101	BRIDGE REHABILITATION	Not Needed due to Env Doc Change
04	4S190	SHOPP	SON	116	CONSTRUCT SOLDIER PILE WALL	Behind Schedule
05	0T630	SHOPP	SB	101	CURVE REALIGNMENT	Behind Schedule
08	34770	STIP	SBD	58	CONSTRUCT 4-LANE EXPWY ON NEW ALIGN	Behind Schedule
08	0J930	SHOPP	SBD	015	REHABILITATE BRIDGE	Behind Schedule
08	0Q300	SHOPP	SBD	138	CONSTRUCT TWO LANE CONVENTIONAL HWY	Behind Schedule
09	35120	SHOPP	INY	395	SHOULDER WIDENING AND CONSTRUCT RUMBL	Completed
10	0F280	SHOPP	CAL	004	CONSTRUCT WALL FOR SEDIMENT CONTROL	Completed
10	0V290	SHOPP	CAL	026	INSTALL A TWO-WAY LEFT-TURN LANE	Not Needed due to Env Doc Change

### Fourth Quarter - 10 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	26201	STIP	MEN	101	RYAN CREEK FISH PASSAGE - COHO SALMON MIT	Completed
01	49771	SHOPP	MEN	001	PERMANENT RESTORATION: REPAIR SLIPOUT / R	Completed
01	0A360	SHOPP	HUM	299	CURVE IMPROVEMENT	Completed
01	0B500	SHOPP	MEN	101	REPAIR SLIDES	Completed
03	0F240	SHOPP	SAC	005	PLACE 3/4" POLYESTER OVERLAY, REPLACE JT SEA	Completed
03	2F210	SHOPP	SAC	050	BRIDGE DECK REHABILITATION	Completed
04	17244	SHOPP	ALA	084	ENVIRONMENTAL MITIGATION	Completed
04	0A020	SHOPP	SON	001	REALIGN ROADWAY	Completed
04	1G020		SM	082	INSTALL LEFT TURN CHANNELIZATION	Delay Out of Year
08	0N970	SHOPP	SBD	395	CONSTRUCT 4' MEDIAN BUFFER & WIDEN EXISTI	Completed

Completed
N Not Needed due to Env Doc Change
Behind Schedule
To Be Completed
Delay Out of Year (see delay categories below)

2012/13 FY Right of Way Capital Major Project List

D	EA	PPNO	Cty	Rte	Description	RW Cert Date	RW Capital All Funds	RW Capital STIP/SHOPP	Doc Year	Total RW Capital Estimate	2013 FY Alloc Plan	TOTAL FYTD Commitments as of 01/01/13	Allocation Expended %	Allocation Remaining	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
01	262001	0125F	MEN	101	CONSTRUCT 4-LANE FREEWAY, PHASE 1	5/28/2010	33,000	26,750	2010	42,188	15,020,000	2,018,776	13.44%	13,001,224	1,112	2,012,938	13,005,950	0
01	3986U1		LAK	053	WIDEN ROADWAY WITH HMA OVER AB	5/25/2010	1,086	1,086	2008	1,031	1,021,000	0	0.00%	1,021,000	0	0	1,021,000	0
02	360700	3427	SHA	299	ROADWAY REHABILITATION	4/1/2013	3,092	3,092	2010	2,143	2,039,000	517,770	25.39%	1,521,230	184,450	119,656	1,734,895	0
03	3C3800	3258	ED	050	STORM WATER MITIGATION	6/1/2013	3,400	3,400	2010	3,002	2,378,000	214,347	9.01%	2,163,653	70,247	69,200	2,238,553	0
04	245441		SON	101	COLLEGE AVENUE IMPROVEMENT	8/20/2012	4,430	4,100	2010	4,509	1,256,000	379,629	30.23%	876,371	0	0	1,256,000	0
04	264071	0360J	MRN	101	CONSTRUCT INTERCHANGE ON RTE 101 AT SAN ANTONIO RD;INCLUDING FRONTAGE	4/26/2012	24,566	8,254	2010	33,803	2,336,000	1,567,954	67.12%	768,046	15,021	1,003,581	1,317,398	0
04	264081	0360H	SON	101	CONSTRUCT INTERCHANGE ON RTE 101 AT PETALUMA BLVD;INCLUDING FRONTAGE	4/15/2012	13,406	10,810	0	0	4,815,000	2,577,716	53.54%	2,237,284	401,852	1,543,776	2,869,372	0
04	4A5100	0609K	SF	280	REPLACE BRIDGE HINGES	5/1/2013	3,570	3,570	2010	3,390	1,413,000	288,079	20.39%	1,124,921	235,364	0	1,177,636	0
05	315801	0058E	MON	101	CONSTRUCT NEW INTERCHANGE AT SAN JUAN ROAD	4/2/2012	26,900	16,450	2010	26,899	13,236,000	33,279	0.25%	13,202,721	4,718	967	13,230,315	0
05	330771	0226G	SLO	046	CONVERT TO 4-LANE EXPRESSWAY	1/6/2012	4,400	4,400	2010	3,320	1,150,000	327,134	28.45%	822,866	0	214,572	935,428	0
05	344901	0297	SBT	156	WIDEN 2 TO 4 LANES	1/16/2015	14,636	14,636	2010	21,807	5,649,000	0	0.00%	5,649,000	0	0	5,649,000	0
05	492801	4928	SLO	001	REALIGN ROADWAY	8/8/2013	14,170	14,170	2010	15,603	8,600,000	23,629	0.27%	8,576,371	20,150	114	8,579,736	0
05	0C6401	6400	SB	246	PASSING LANES AND OPERATIONAL IMPROVEMENTS	4/3/2013	1,205	1,205	2010	1,073	1,056,000	0	0.00%	1,056,000	0	0	1,056,000	0
05	4482U1	0482	SB	101	RECONSTRUCT INTERCHANGES (2) AND REPLACE CARPINTERIA CR BR	7/15/2014	9,597	5,883	2010	9,388	3,101,000	6,800	0.22%	3,094,200	6,000	0	3,095,000	0
06	487501	4348	KIN	198	RECONSTRUCT INTERCHANGE	8/15/2012	3,327	1,327	2010	1,704	1,196,000	223,093	18.65%	972,907	40,111	9,204	1,146,685	0
07	119341	0310B	LA	10	CONSTRUCT HOV LANE IN EA DIRECTION *OVERSIGHT ONLY*PR & ENVR ONLY*S	4/11/2013	8,000	8,000	2010	6,306	4,973,000	21,227	0.43%	4,951,773	21,227	0	4,951,773	0
07	172151	2022C	LA	30	FREEWAY CONSTRUCTION	6/16/2000	31,739	31,739	1998	22,505	3,390,000	0	0.00%	3,390,000	0	0	3,390,000	0
07	202111	4137	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	5/11/2011	3,000	3,000	2010	2,270	1,000,000	107,768	10.78%	892,233	0	69,653	930,347	0
07	202121	4137A	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	4/8/2013	14,500	14,500	2010	23,297	11,000,000	1,400	0.01%	10,998,600	0	0	11,000,000	0
07	215921	2808	LA	005	ROADWAY WIDENING (SEG 2) *POR=2159A1	1/4/2013	249,994	89,757	2010	400,434	26,930,000	15,921,416	59.12%	11,008,584	2,619,199	10,840,629	13,470,172	0
07	215941	4155	LA	005	ROADWAY WIDENING (SEG 4) *POR=2159A1	3/9/2012	111,583	85,404	2010	239,388	25,568,000	8,876,486	34.72%	16,691,514	3,576,110	3,932,366	18,059,524	0
07	215951		LA	005	ROADWAY WIDENING & STRIPING (SEG 5) *POR=2159A1	1/4/2013	36,452	36,452	2010	83,755	16,000,000	3,977,233	24.86%	12,022,767	1,558,572	595,742	13,845,686	0
07	260701	3918	VEN	101	HOV LANES *COMBINED/196401	6/22/2011	1,980	1,980	2010	1,975	1,000,000	168	0.02%	999,832	168	0	999,832	0
07	293901	4554	LA	060	BRIDGE REPLACEMENT	2/3/2012	1,000	1,000	2010	6,105	1,000,000	768,495	76.85%	231,505	768,495	0	231,505	0
07	1170U1	0309N	LA	010	CONSTRUCT HOV LANES & SOUNDWALLS *COMB WITH 117081 & 111721	6/8/2012	20,304	20,304	2010	31,944	4,500,000	860,990	19.13%	3,639,011	765,345	35,724	3,698,932	0
08	355560	0174L	SBD	015	ADD N/B MIXED FLOW LANE W/AUX LANE. RECON *D* & *E* ST ICS & STODDARD	1/7/2013	23,984	23,984	2010	32,094	5,881,000	834,811	14.20%	5,046,189	374,359	33,498	5,473,143	0
08	0F6601	0253E	SBD	247	CONSTRUCT SHOULDERS @ VARIOUS LOC	9/6/2013	3,074	3,074	2010	3,473	1,132,000	108,121	9.55%	1,023,879	29,100	44,100	1,058,800	0
08	3401U1	0239D	SBD	138	WIDEN TO 4 LNS FR BEEKLEY RD TO JCT 15, ADD 1 LN EB FR PHELAN RD TO	12/5/2013	3,569	3,569	2010	9,927	3,569,000	382,182	10.71%	3,186,819	12,607	97,380	3,459,014	0
10	0A872	9940C		219	WIDEN TO 4 LANES AND INTERSECTION IMPROVEMENTS	11/7/2011	17,281	15,041	2010	15,696	1,600,000	1,076,096	67.26%	523,904	22,526	816,684	760,790	0
11	167891		IMP	078	CONSTRUCT FOUR LANE EXPRESSWAY	4/1/2010	26,375	13,371	2010	18,016	1,912,000	0	0.00%	1,912,000	0	0	1,912,000	0
12	0C5601	4587A	ORA	091	PROVIDE AN AUXILIARY LANE FROM NB SR-55 TO TUSTIN AVE OFF-RAMP	6/15/2013	1,700	1,700	2010	6,077	1,700,000	2,908,877	171.11%	(1,208,877)	42,424	126,905	1,530,671	0
12	0E3101	4102	ORA	074	RECONSTRUCT IC AT SR-74	4/19/2012	28,753	28,753	2010	28,753	7,680,000	1,476,212	19.22%	6,203,788	153,661	310,207	7,216,131	0
12	0H2081	3577A	ORA	055	FLATTEN THE SLOPE ABOVE THE MAINTENANCE ACCESS ROAD	5/24/2012	11,670	11,670	2010	3,166	2,500,000	35	0.00%	2,499,965	35	0	2,499,965	0

**Grand Total** 185,601,000 45,499,720 140,101,280 10,922,852 21,876,896 152,801,252 0



Division of Construction  
CONTRACT ADMINISTRATION  
Q3 Fiscal Year 2012/13 (\$ in Millions)



Construction Contracts - Quarterly Status Report<sup>a</sup>

	Q3 11/12		Q4 11/12		Q1 12/13		Q2 12/13		Q3 12/13	
	Number	Value								
1. Ongoing Contracts @ Beginning of Quarter	643	\$ 9,994	640	\$11,085	769	\$11,305	744	\$11,690	644	\$ 12,217
2. New Contracts this Quarter	123	\$ 1,605	245	\$ 919	103	\$ 775	88	\$ 1,345	115	\$ 925
3. Accepted Contracts this Quarter	126	\$ 514	116	\$ 699	128	\$ 390	188	\$ 818	106	\$ 796
Ongoing Contracts @ End of Quarter (1+2-3)	640	\$11,085	769	\$11,305	744	\$11,690	644	\$12,217	653	\$ 12,346
4. Accepted Contracts @ Beginning of Quarter	1,360	\$ 8,067	1,331	\$ 7,802	1,305	\$ 7,393	1,316	\$ 6,902	1,357	\$ 6,879
5. Accepted Contracts this Quarter	126	514	116	699	128	390	128	390	188	818
6. Contracts Closed this Quarter	153	659	140	1,103	115	874	85	407	89	647
7. New Contracts in Arbitration this Quarter	2	120	2	5	2	7	2	6	4	5
Accepted Contracts @ End of Quarter (4+5-6-7) <sup>b</sup>	1,331	\$ 7,802	1,305	\$ 7,393	1,316	\$ 6,902	1,357	\$ 6,879	1,452	\$ 7,045
Accepted Contracts with claims only @ End of Quarter <sup>c</sup>	114	\$1,647	58	\$1,110	64	\$1,394	105	\$693	91	\$794
8. Contracts in Arbitration @ Beginning of Quarter <sup>d</sup>	40	\$849	38	\$ 913	32	\$ 578	28	\$ 485	23	\$ 344
9. New Contracts in Arbitration this Quarter	2	120	2	5	2	7	2	6	4	5
10. Contracts with Arbitration Settlements/Awards this Quarter	4	56	8	340	6	100	7	147	5	89
Contracts in Arbitration @ End of Quarter (8+9-10)	38	\$ 913	32	\$ 578	28	\$ 485	23	\$ 344	22	\$ 260

- <sup>a</sup> Quarterly figures updated to reflect revised or new data at the end of the current quarter.
- <sup>b</sup> Accepted contracts with close-out activities in progress. Contracts in arbitration are not included.
- <sup>c</sup> Beginning Quarter 1 of FY 2010/2011, quarterly figures exclude contracts that have a final estimate (FE), a district director determination (DDD), or district expenditures done (DED).
- <sup>d</sup> A contractor may file for arbitration 240 days after project acceptance, or within 90 days after final determinations on claims have been made. Contractors must file within 90 days after the Department makes a final determination on claims or lose opportunity for arbitration. (Contractors have 180 days to file on contracts that were approved prior to January 1, 1999.)

Total dollar amount of claims at end of current quarter = \$49.5M  
Total dollar amount filed for in arbitration at end of current quarter = \$45.5M

Includes contracts flagged as: Emergency, Local Assistance, and Warranty

**FY 2012-13 Completed STIP Projects, (STATE Funds Only Support, Right of Way, State & Local Funds for Construction Capital)**

																	Total Overall Project		
				Project Development PJD				Right of Way Component				Construction Component							
		PAED		PSE		RW Support		RW Capital		CON Support		CON Capital							
D	CTY	RT	Description	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost				
☒ Costs > 120%				◆ Costs 100 - 120%				☑ Costs 80 - 100%				● Costs < 80%							
<b>Quarter 1 Projects</b>																			
1	Men	101	Simpson Ln Intersection	\$ 642,640	\$ 642,640	\$ 922,363	\$ 922,363	☑	\$ 372,207	\$ 372,207	\$ 640,178	640,178	☑	\$ 323,421	\$ 323,421	\$ 1,695,000	\$ 1,659,154	☑	☑
4	SCI	680	Sunol Grade SB HOV Ph 3	\$ 345,000	\$ -	\$ 5,715,000	\$ 6,121,000	◆	\$ 160,000	\$ 178,000	\$ 1,295,000	151,000	●	\$ 8,245,000	\$ 4,425,000	\$ 54,390,750	\$ 50,198,000	☑	☑
5	SB	101	Landscaping	\$ 1,049,000	\$ 962,682	\$ 3,051,000	\$ 3,388,697	◆	\$ 559,000	\$ 340,504	\$ 414,000	247,989	●	\$ 2,470,000	\$ 3,131,431	\$ 10,040,000	\$ 8,785,312	☑	☑
5			Wildlife Fencing	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -		\$ 50,000	\$ 106,595	\$ 597,800	\$ 596,800	◆	◆
6	Fre	99	North Fresno 6 Ln	\$ 500,000	\$ 494,458	\$ 1,500,000	\$ 1,491,674	☑	\$ 200,000	\$ 9,187	\$ 250,000	87,638	●	\$ 3,500,000	\$ 3,206,647	\$ 21,000,000	\$ 16,834,950	☑	☑
8	Riv	10	Ramon Rd IC	\$ 1,816,000	\$ 1,696,102	\$ 7,661,000	\$ 6,164,059	☑	\$ 1,499,000	\$ 1,100,851	\$ 10,749,000	7,510,636	●	\$ 5,753,000	\$ 5,248,692	\$ 36,882,065	\$ 25,000,000	●	●
9	Iny	Var	Historic Alignment Pullouts	\$ 71,000	\$ 87,232	\$ 179,000	\$ 145,302	☑	\$ 8,000	\$ -	\$ -	0	●	\$ 115,000	\$ 76,731	\$ 410,000	\$ 369,535	☑	☑
<b>Quarter 2 Projects</b>																			
4	SM	82	El Camino Real Signals	\$ -	\$ 59,783	\$ 2,196,000	\$ 2,497,895	◆	\$ 30,000	\$ 185,658	\$ 60,000	31,892	☒	\$ 1,704,000	\$ 1,449,403	\$ 5,991,000	\$ 5,149,201	☑	☑
5	Mon	101	Airport Blvd IC East	\$ 976,000	\$ 1,085,234	\$ 1,121,000	\$ 1,515,400	☒	\$ 784,000	\$ 539,553	\$ 1,896,000	942,699	●	\$ 3,500,000	\$ 2,844,817	\$ 10,754,531	\$ 9,347,940	☑	☑
5	SB	101	Milpas-Cabrillo Op Impvmts	\$ 3,000,000	\$ 2,299,180	\$ 6,610,000	\$ 6,604,768	☑	\$ 2,200,000	\$ 1,986,437	\$ -	\$ 6,350	☑	\$ 14,532,000	\$ 12,936,828	\$ 59,105,200	\$ 58,686,325	☑	☑
6	Ker	99	Tree Planting	\$ 35,000	\$ 37,263	\$ 246,000	\$ 230,113	☑	\$ 3,000	\$ 492	\$ -	0	●	\$ 424,000	\$ 186,748	\$ 456,000	\$ 336,762	●	●
7	LA	134	Rte 134 On Ramp Hollywood	\$ 1,054,000	\$ 922,612	\$ 3,712,000	\$ 3,942,113	◆	\$ 922,000	\$ 1,316,194	\$ 5,339,000	5,013,767	◆	\$ 1,320,000	\$ 7,806,318	\$ 34,434,000	\$ 31,675,835	◆	◆
8	SBd	15	Desert Group Visitor Center	\$ 74,000	\$ 73,615	\$ 1,114,000	\$ 1,211,550	◆	\$ 1,000	\$ 256	\$ -	0	●	\$ 350,000	\$ 385,597	\$ 1,029,000	\$ 907,978	☑	◆
8	SBd	210	Etiwanda Windbreak Ldscp	\$ 289,000	\$ 307,226	\$ 150,000	\$ 116,800	☑	\$ 60,000	\$ -	\$ -	0	●	\$ 368,000	\$ 786,531	\$ 935,000	\$ 781,118	☒	◆
11	SD	15	Managed Lns	Local	Local	\$ 4,242,000	\$ 2,042,000	●	\$ 150,000	\$ 75,560	\$ 214,700	\$ -	●	\$ 1,000,000	\$ 217,775	\$ 56,502,000	\$ 51,182,991	☑	☑
11	SD	15	Managed Lns Unit 2	Local	Local	\$ 2,800,000	\$ -	●	Local	Local	Local	Local		\$ 21,236,000	15,145,451	\$ 94,432,000	\$ 76,930,107	●	●
<b>Quarter 3 Projects</b>																			
3	ED	50	Rte 50 Wildlife Crossing	\$ 153	\$ 158	\$ 175	\$ 171	◆	\$ 6	\$ 3	\$ 5	0	●	\$ 187	\$ 234	\$ 1,100	\$ 856	☑	☑
10	Mer	99	Tree Planting	\$ 37	\$ 90	\$ 373	\$ 322	◆	\$ -	\$ 133	\$ -	0	☒	\$ 293	507	\$ 900	\$ 600	☑	◆

**FY 2012-13 Completed SHOPP Projects**

																	Total Overall Project		
				Project Development PJD				Right of Way Component				Construction Component							
		PAED		PSE		RW Support		RW Capital		CON Support		CON Capital							
D	CTY	RT	Description	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost				
☒ Costs > 120%				◆ Costs 100 - 120%				☑ Costs 80 - 100%				● Costs < 80%							
<b>Quarter 1 Projects (74 Projects)</b>				\$ 21,853,000	\$ 18,269,652	\$ 51,997,000	\$ 49,426,734		\$ 4,965,000	\$ 3,118,644	\$ 11,128,000	3,163,531		\$ 70,530,000	\$ 51,013,270	\$ 404,700,990	\$ 282,683,532		
<b>Quarter 2 Projects (57 Projects)</b>				\$ 9,719,000	\$ 8,073,047	\$ 34,331,000	\$ 33,387,019		\$ 3,832,000	\$ 2,248,077	\$ 13,243,000	2,529,026		\$ 45,167,000	\$ 32,267,674	\$ 213,327,506	\$ 151,512,178		
<b>Quarter 3 Projects (44 Projects)</b>				\$ 5,739,000	\$ 6,237,107	\$ 20,514,000	\$ 19,427,078		\$ 2,330,000	\$ 2,275,451	\$ 7,907,000	5,209,462		\$ 39,437,000	\$ 25,936,928	\$ 254,635,893	\$ 200,413,050		
<b>Quarter 4 Projects</b>																			
<b>Total All Projects (175 Projects)</b>				\$ 37,311,000	\$ 32,579,806	\$ 106,842,000	\$ 102,240,831	☑	\$ 11,127,000	\$ 7,642,172	\$ 32,278,000	\$ 10,902,019	●	\$ 155,134,000	\$ 109,217,872	\$ 872,664,389	\$ 634,608,760	●	●

Support to Capital Table: The table below is being provided as recommended by the Bureau of State Audits in their 2011 audit.

	# Projects	S/C Ratio	Goal
Capital Cost \$1-5 Million	70	53	< 60
Capital Cost \$5-10 Million	27	30	<45
Capital Cost \$10-15 Million	8	35	<35
Capital Cost \$15-25 Million	12	18	<32
Capital Cost \$25-140 Million	14	25	<30