

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: October 29-30, 2003

Reference No.: 2.1c.(1)
Action Item

From: ROBERT L. GARCIA
Chief Financial Officer

Prepared by: Ross Chittenden
Acting Program Manager
Traffic Congestion Relief Program

Ref: **TRAFFIC CONGESTION RELIEF (TCR) PROGRAM APPLICATION APPROVALS,
RESOLUTION TA-03-03**

RECOMMENDATION:

The California Department of Transportation (Department) recommends that the California Transportation Commission (Commission) approve Resolution TA-03-03 for the following two Traffic Congestion Relief Program (TCRP) applications totaling \$1,448,000 in new TCR funding. The applicant agency, San Diego Association of Governments (SANDAG), has submitted an AB 1335 Letter of No Prejudice (Letter) in accordance with the Guidelines for Letter of No Prejudice, Traffic Congestion Relief Program (Guidelines) established under Resolution G-03-12, identifying an alternate fund source allowing the project to proceed. A fact sheet describing each project is attached.

- Project #74.6 – \$200,000 for Phase 1 for Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County –Leucadia Boulevard Grade Separation Project Study Report.
Applicant Agency: San Diego Association of Governments (SANDAG)
Implementing Agency: City of Encinitas
(Related item under Reference Item No. 2.1c.(4))
- Project #74.7 – \$1,248,000 for Phases 1 and 2 for Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County – Encinitas Grade-Separated Pedestrian Crossing(s).
Applicant Agency: SANDAG
Implementing Agency: City of Encinitas
(Related item under Reference Item No. 2.1c.(4))

Attachments

TCR Program – Application Approval Project # 74.6

San Diego Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County.

(\$ X 1,000)

<i>Estimated Project Cost:</i>	\$200	<i>TCRP Funds covered by application:</i>	\$200
<i>TCRP Funds – Subproject #74.6:</i>	\$200	<i>Phases(s) covered by application:</i>	1
<i>TCRP Funds for Project #74</i>	\$47,000		
<i>Lead Agency:</i>	San Diego Association of Governments (SANDAG)	<i>Implementing Agency:</i>	City of Encinitas

<i>TCRP allocation request concurrent w/application:</i>	\$200	<i>for Phase(s):</i>	1
<i>Advance requested:</i>	\$0	<i>for Phase(s):</i>	N/A

Project Summary: The overall Pacific Surfliner TCRP program in San Diego includes several double track projects to provide improved reliability and on-time performance of the Pacific Surfliner intercity rail line in San Diego County, and includes the construction of a new maintenance facility so overnight and turnaround maintenance does not have to be performed at the downtown Santa Fe Depot. The project will also include preliminary activities for a grade separation and pedestrian over/under crossings. The Pacific Surfliner Corridor currently provides 11 round trips between San Diego and Los Angeles. For purposes of implementation, the project has been split into individual sub-projects. They are:

- Sub-Project #74.1 - Oceanside Double Track Project (\$6,000,000 TCRP);
- Sub-Project #74.2 - PEIR/EIS (\$2,498,000 TCRP);
- Sub-Project #74.3 - Maintenance yard and improvements (\$22,000,000 TCRP, \$10,000,000 STIP, \$1,000,000 Amtrak, Total Cost = \$33,000,000);
- Sub-Project #74.4 -Track and signal improvements at Fallbrook Junction (\$450,000 TCRP, \$1,300,000 STIP, \$150,000 Amtrak, Total Cost = \$1,900,000);
- Sub-Project #74.5 – Encinitas Passing Track (\$3,288,000 TCRP, \$3,000,000 P116, \$15,000 Local, Total Cost = \$6,303,000);
- **Sub-Project #74.6 – Leucadia Boulevard Grade Separation** (\$200,000 TCRP);
- Sub-Project #74.7 – Encinitas Grade-Separated Pedestrian Crossing(s) (\$1,248,000 TCRP).

Note: \$11,316,000 for miscellaneous improvements remains to be programmed. The miscellaneous improvements will be defined by individual applications as fully funded projects meeting all conditions are identified. Each additional improvement project must have independent utility and be environmentally cleared.

Sub-Project #74.6 will provide funds for a Project Study Report (PSR) for a future grade-separation at the existing Leucadia Boulevard at-grade crossing in Encinitas. The future grade-separation would be constructed in a manner that would accommodate the future double tracking of the rail corridor.

Summary of Action: This application programs Phase 1 to complete a Project Study Report.

Cost and Schedule (\$ x 1,000)

Phase	Scope	Start	End	Cost
1	Project Study Report	10/03	9/04	\$200
2	Plans, Specifications & Estimates			
3	Right of Way			
4	Construction			
Total:				\$200



Funding Plan (\$ x 1,000)

Source	Type		Phase 1	Phase 2	Phase 3	Phase 4	Total
TCRP	State	Committed	\$200				\$200
		Proposed					
	Totals:	Committed	\$200				\$200
		Proposed					
		Totals:	\$200				\$200

AB 1335 Letter Funding Plan (\$ x 1,000)

Source	Type		Phase 1	Phase 2	Phase 3	Phase 4	Total
City of Encinitas	City General Fund	Totals:	\$200				\$200

Prior TCRP Action: This application was deferred at June 2003 Commission meeting pending the Commission’s ability to approve TCR funds, or until the applicant agency identifies an alternate funding source and proceeds with the project under an approved AB1335 Letter of No Prejudice (Letter).

Status of Conditions: None.

Discussion/Issues: Due to the financial uncertainties of TCR funds, SANDAG has submitted a Letter request identifying alternative funding to enable Phase 1 activities to continue on schedule. The Letter is in conformance with the Commission approved Guidelines for Letter of No Prejudice, TCRP (Resolution G-03-12), and is recommended for concurrent approval under Reference No. 2.1c(4).

Recommend approval.

[Note: This application is consistent with the conditions set forth for TCRP #74.5 – Encinitas Passing Track, approved by the Commission November 7, 2002 (Resolution TA-02-15). As stipulated in Resolution TA-02-15, Commission expects substantial local participation from NCTD and Encinitas through SANDAG to fund the capital costs (right of way and construction) for the grade separation at Leucadia.]

TCR Program – Application Approval Project # 74.7

San Diego Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County.

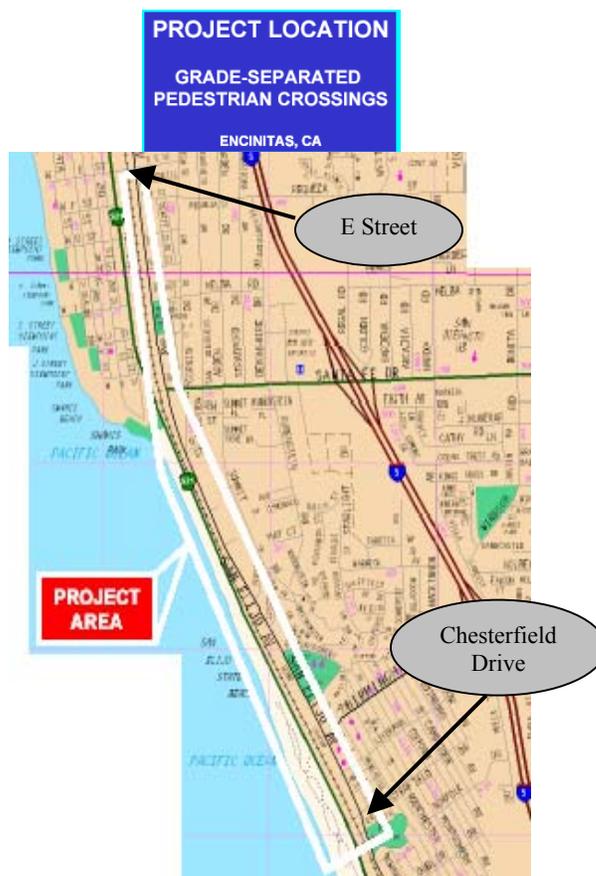
(\$ X 1,000)

<i>Estimated Project Cost:</i>	\$1,248	<i>TCRP Funds covered by application:</i>	\$1,248
<i>TCRP Funds – Subproject #74.7:</i>	\$1,248	<i>Phases(s) covered by application:</i>	1,2
<i>TCRP Funds for Project #74</i>	\$47,000	<i>TCRP funds previously approved #74.7:</i>	\$0
<i>Lead Agency:</i>	San Diego Association of Governments	<i>Implementing Agency:</i>	City of Encinitas

<i>TCRP allocation request concurrent w/application:</i>	\$1,248	<i>for Phase(s):</i>	1,2
<i>Advance requested:</i>	\$0	<i>for Phase(s):</i>	N/A

Project Summary: The overall Pacific Surfliner TCRP program in San Diego includes several double track projects to provide improved reliability and on-time performance of the Pacific Surfliner intercity rail line in San Diego County, and includes the construction of a new maintenance facility so overnight and turnaround maintenance does not have to be performed at the downtown Santa Fe Depot. The project will also include preliminary activities for a grade separation and pedestrian over/under crossings. The Pacific Surfliner Corridor currently provides 11 round trips between San Diego and Los Angeles. For purposes of implementation, the project has been split into individual sub-projects. They are:

- Sub-Project #74.1 - Oceanside Double Track Project (\$6,000,000 TCRP);
- Sub-Project #74.2 - PEIR/EIS (\$2,498,000 TCRP);
- Sub-Project #74.3 - Maintenance yard and improvements (\$22,000,000 TCRP, \$10,000,000 STIP, \$1,000,000 Amtrak, Total Cost = \$33,000,000);
- Sub-Project #74.4 -Track and signal improvements at Fallbrook Junction (\$450,000 TCRP, \$1,300,000 STIP, \$150,000 Amtrak, Total Cost = \$1,900,000);
- Sub-Project #74.5 – Encinitas Passing Track (\$3,288,000 TCRP, \$3,000,000 P116, \$15,000 Local, Total Cost = \$6,303,000);
- Sub-Project #74.6 – Leucadia Boulevard Grade Separation (\$200,000 TCRP);
- **Sub-Project #74.7 – Encinitas Grade-Separated Pedestrian Crossing(s) (\$1,248,000 TCRP).**



Note: \$11,316,000 for miscellaneous improvements remains to be programmed. The miscellaneous improvements will be defined by individual applications as fully funded projects meeting all conditions are identified. Each additional improvement project must have independent utility and be environmentally cleared.

Sub-Project #74.7 will provide funds for up to three grade-separated pedestrian crossings approximately between E Street and Chesterfield Drive crossing the San Diego Northern Railway main line in the City of Encinitas, CA.

Summary of Action: This application will program Phases 1 and 2 to complete preliminary engineering, select the structure type (pedestrian bridge or undercrossing) environmental clearance, and community outreach phase of the proposed project. Included will be the development of a cost estimate to complete final engineering and construction of the proposed project. This estimate will be the basis of future funding requests to fund the remainder of the project. The grade separations would be designed in accordance with NCTD and California Public Utility Commission regulations and guidelines as well as in accordance with other applicable laws and regulations.

Cost and Schedule (\$ x 1,000)

<i>Phase</i>	<i>Scope</i>	<i>Start</i>	<i>End</i>	<i>Cost</i>
1	ENV Studies, IS/ND for CEQA and EA/FONSI for NEPA Complete preliminary design including Structure type.	10/03	4/05	\$298
2	Plans, Specifications & Estimates	10/03	1/06	\$950
3	Right of Way			
4	Construction			
Total:				\$1,248

Funding Plan (\$ x 1,000)

<i>Source</i>	<i>Type</i>		<i>Phase 1</i>	<i>Phase 2</i>	<i>Phase 3</i>	<i>Phase 4</i>	<i>Total</i>
TCRP	State	Committed	\$298	\$950			\$1,248
		Proposed					
	Totals:	Committed	\$298	\$950			\$1,248
		Proposed					
		Totals:	\$298	\$950			\$1,248

AB1335 Letter Funding Plan (\$ x 1,000)

<i>Source</i>	<i>Type</i>		<i>Phase 1</i>	<i>Phase 2</i>	<i>Phase 3</i>	<i>Phase 4</i>	<i>Total</i>
City of Encinitas	City General Fund	Totals:	\$298	\$950			\$1,248

Prior TCRP Action: This application was deferred at June 2003 Commission meeting pending the Commission’s ability to approve TCR funds, or until the applicant agency identifies an alternate funding source and proceeds with the project under an approved AB 1335 Letter of No Prejudice (Letter).

Status of Conditions: None.

Discussion/Issues: Due to the financial uncertainties of TCR funds, SANDAG has submitted a Letter request identifying alternative funding to enable Phase 1 and 2 activities to continue on schedule. The Letter is in conformance with the Commission approved Guidelines for Letter of No Prejudice, TCRP (Resolution G-03-12), and is recommended for concurrent approval under Reference No. 2.1c(4).

Recommend approval.

[Note: This application is consistent with the conditions set forth for TCRP #74.5 – Encinitas Passing Track, approved by the Commission November 7, 2002 (Resolution TA-02-15).]

CALIFORNIA TRANSPORTATION COMMISSION
Approval of Traffic Congestion Relief Program
Project Applications

RESOLUTION TA-03-03

- 1.1 WHEREAS the Traffic Congestion Relief Act of 2000 (herein after referred to as “statute”), which was established by Chapters 91 (AB 2928) and 656 (SB 1662) of the Statutes of 2000, establishes the Traffic Congestion Relief Program, providing \$5.39 billion for projects throughout the State of California to reduce traffic congestion, provide for safe and efficient movement of goods, and provide system connectivity; and
- 1.2 WHEREAS in accordance with Government Code Section 14556.11 the California Transportation Commission (Commission) has adopted guidelines, in consultation with the Department of Transportation (Department) and regional agencies, to implement the Traffic Congestion Relief Program (TCRP); and
- 1.3 WHEREAS the statute and guidelines require applicants to specify full and complete project applications, including scope, cost and schedule, financial plans and funding sources; and
- 1.4 WHEREAS the Commission, with assistance from the Department, is required by statute to review and approve applications for TCRP projects that meet the requirements in statute and guidelines; and
- 1.5 WHEREAS on August 14, 2003, the Commission approved Resolution G-03-12 adopting Guidelines for Letter of No Prejudice, Traffic Congestion Relief Program (Guidelines); and
- 1.6 WHEREAS the San Diego Association of Governments has submitted a request for an AB1335 Letter of No Prejudice (Letter) for Commission approval in accordance with the adopted Guidelines; and
- 1.7 WHEREAS the Commission, with assistance from the Department, has reviewed submitted TCRP project applications, and subsequent clarifications and revisions, and determined they comply with the statute and guidelines.
- 2.1 NOW THEREFORE BE IT RESOLVED the Commission does hereby approve the following two Traffic Congestion Relief Program applications totaling \$1,448,000 as submitted, with subsequent clarifications and revisions:
- Project #74.6 – \$200,000 for Phase 1 for Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County –Leucadia Boulevard Grade Separation Project Study Report.
Applicant Agency: San Diego Association of Governments (SANDAG)
Implementing Agency: City of Encinitas
 - Project #74.7 – \$1,248,000 for Phases 1 and 2 for Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County – Encinitas Grade-Separated Pedestrian Crossing(s).
Applicant Agency: SANDAG
Implementing Agency: City of Encinitas;
- and

- 2.2 BE IT FURTHER RESOLVED that all applicant agencies shall provide the Commission and the Department with a progress report on April 1st and October 1st of each year on actual expenditures and status of work, until the projects have been completed; and
- 2.3 BE IT FURTHER RESOLVED that this TCRP project application approval by the Commission reserves the State funding for the projects as specified by the statute, and allows the applicant agencies to incur costs in accordance with the approved project application, statute and guidelines.