

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROJECT BUSINESS MATTERS
Extension Request – Contract Award
Resolution: G-01-21

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Original Signed By

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June 3, 2002

WAIVER REQUEST – CTC RESOLUTION G-01-21
CTC STIP GUIDELINES
SECTION 65 – TIMELY USE OF FUNDS
LOCAL STREETS AND ROADS PROJECTS
WAIVER-02-29

RECOMMENDATION

The Department of Transportation's recommendations are shown on the attachment.

SUMMARY AND CONCLUSIONS

Resolution G-01-21, *STIP Guidelines*, adopted by the California Transportation Commission (Commission) on July 12, 2001, stipulates that funds allocated for the construction phase of local grant projects are available for contract award for a period of 12 months from the date of allocation. The guidelines further stipulate that the Commission may approve waivers to the contract award deadlines one time only for up to 20 months in accordance with Section 14529.8 of the Government Code.

The Commission has programmed \$5,318,000 in FY 2001-2002 for the nine projects on the attached list. The implementing agencies have been unable to award the contracts and do not anticipate awarding the contracts by their respective deadlines. The attachment shows the details of each project and the delays that have resulted in the extension requests. The project sponsors request extensions and the planning agencies concur.

Attachment

Time Extension/Waiver – Contract Award
Item 2.8b. – Local Streets and Roads Projects
Program Year 2001/2002

Project #	Applicant County	Extension Amount By Component (\$ in thousands)	Number of Months Requested
		E&P	Extended Deadline
	PPNO	PS&E	
	Project Description	R/W	CT Recommendation
		CON	
		Total	
Reason for Project Delay:			
1	City of Arcata Humboldt	\$0 \$0 \$0	15 months 9/06/2003
	PPNO: 2021R Rehab/Reconstruction of Janes Road and Giuntoli Lane	\$0 \$735 \$735	Support – meets STIP guidelines
<p>This project was broken into two phases: Phase I – road rehabilitation and sidewalk, and bike lane improvements in City and County right-of-ways; Phase II – roundabout installations on the State Highway system (Route 101).</p> <p>Phase II is currently out for review by Caltrans and, due to the non-standard intersection modification, will require additional time for review. It is anticipated that the City will miss the construction season window and will construct next year during the dry season.</p> <p>Due to this delay, the City is requesting a 15-month extension for award of the construction contract.</p>			
2	County of Plumas Plumas	\$0 \$0 \$0	4 months 10/05/2002
	PPNO: 2042 Graeagle-Johnsville Road Reconstruction	\$0 \$1,306 \$1,306	Support, meets STIP guidelines
<p>This project is currently in the advertisement phase with bids to be opened on May 24, 2002. There is a possibility that additional funding will be required (low bid is too high) or that the project will have to be re-advertised (no bids or too few bids). Therefore, the County is requesting an extension of 4 months for award of the construction contract. In the event that no problems are encountered at bid opening, this request will be withdrawn.</p>			
3	City of Los Angeles Los Angeles	\$0 \$0 \$0	6 months 12/05/2002
	PPNO: 2866 Northeast Linkages, Phase 2	\$0 \$1,135 \$1,135	Support, meets STIP guidelines
<p>The adopted project schedule assumed a construction start date of June 6, 2002. For this to occur, a complete Request for Authority to Proceed with Construction package was scheduled for delivery on May 6, 2002. The required elements for the package are complete, including PS&E and right-of-way certifications. The environmental certification, however, cannot be completed in time.</p> <p>While completing the environmental certification process, which was to be completed by April 2002, it was determined that an archaeological study was required under NEPA. Previously, projects similar to this one were granted Programmatic Categorical Exclusions. The City has begun the process to determine the scope of extent of the study required and anticipates the delivery by June 15, 2002. It may take 3 or more months to get approvals from Caltrans, FHWA and SHPO. This environmental certification is the last remaining element to be complete before the City can request an Authority to Proceed with Construction.</p> <p>Due to this delay, the City is requesting an extension of 6 months for award of the construction contract.</p>			

Time Extension/Waiver – Contract Award
Item 2.8b. – Local Streets and Roads Projects
Program Year 2001/2002

Project #	Applicant County	Extension Amount By Component (\$ in thousands)			Number of Months Requested	
		E&P	PS&E	R/W	Extended Deadline	
	PPNO Project Description	CON	Total		CT Recommendation	
Reason for Project Delay:						
4	City of Los Angeles Los Angeles	\$0 \$0	\$0	\$93	\$93	20 months 8/11/2004 Support, meets STIP guidelines
<p>Laurel Canyon Boulevard at Canton Drive was authorized for construction on December 12, 2001. Upon authorization, the project was moved to bid and award phase, and the project was advertised on February 6, 2002. On March 20, 2002, the City received one bid. This bid exceeded the City Engineer's estimate and the project construction budget. The City is analyzing the bid and investigating the reasons for low participation in the bidding process. It is possible that with more bidding participation, the bids will be lower. The City must either secure additional funds to award the contract and/or re-advertise and re-bid the project</p> <p>Due to this situation, the City is requesting a 20-month extension for award of the construction contract.</p>						
5	City of Los Angeles Los Angeles	\$0 \$0	\$0	\$750	\$750	8 months 2/05/2003 Support, meets STIP guidelines
<p>The City has been unable to complete the design to begin construction due to circumstances beyond the City's control. The project engineer overseeing this project resigned, thereby creating a vacancy in an already short-staffed design group. The City was in the process of filling vacancies when the tragedy on September 11, 2001 struck. The City's resources were redirected and nearly exhausted for security and safety, which led to the current hiring freeze.</p> <p>The project was re-scoped because of a change in the traffic management operations for Dodger games. To help compensate for the shortfall in the City's annual operating budget, positions remain vacant and funds, normally available for special events such as Dodger games, were reduced. The reduction in overtime funds to staff for Dodger game traffic management placed this program in jeopardy. Hence, the City recommended a change in the scope of work to omit the proposed changeable message signs on Sunset Blvd and, instead, include reversible lanes on Academy Road and Stadium Way near Dodger Stadium. This revised component will replace the existing manual operation performed by staff with an automated operation consistent with the original scope of the project. The reversible lanes will significantly enhance traffic circulation near Dodger Stadium, more so than the originally proposed changeable message signs.</p> <p>The City acknowledges the importance of this project and has temporarily reassigned staff to complete the project in a timely manner. To finish the original design, complete the new design of the additional reversible lanes, and execute an amendment to the Letter of Agreement with the Los Angeles County MTA, an extension of the construction award deadline is required. Therefore, to preserve the construction funding, the City requests an extension of 8 months for award of the construction contract.</p>						
6	City of Monrovia Los Angeles	\$0 \$0	\$0	\$226	\$226	6.5 months 10/30/2002 Neutral, deadline already passed
<p>Upon allocation of construction funds on April 12, 2001, the City moved forward with the project for design. An unforeseen pavement condition with the street profile was encountered. Residents raised concerns that the cross grades were steep and causing vehicles to bottom out. Also, the street curb storm drain capacity would have been reduced and cause storm water to rise above the top of the curb. A consultant was selected to re-evaluate and design the pavement. The original design was modified and a new design was adopted to take into account the residents' concerns and the unforeseen conditions. The City is expediting the bidding process as much as possible, but due to these circumstances was not able to award the construction contract before the deadline. Therefore, the City requests an extension of 6.5 months for award of the construction contract.</p>						

Time Extension/Waiver – Contract Award
Item 2.8b. – Local Streets and Roads Projects
Program Year 2001/2002

Project #	Applicant County	Extension Amount By Component (\$ in thousands)			Number of Months Requested Extended Deadline CT Recommendation
		E&P	PS&E	R/W CON Total	
Reason for Project Delay:					
7	County of Inyo Inyo	\$0			6 months 9/18/2002
	PPNO: 3017A Death Valley Road Reconstruction	\$0 \$607 \$607			Neutral, deadline already passed
<p>This project is located in the Inyo Mountains at an elevation of approximately 7500 feet. Because of the steep and mountainous terrain, there are many areas that need to be widened or realigned. The amount of funding is insufficient to do all of the recommended work and, in some cases, the work would not be practical. Consequently, the design work was delayed due to considering viable alternatives. In addition, the elevation of the project makes it inhospitable and sometimes inaccessible for up to 6 months of the year. This has also delayed evaluation of design alternatives. As a result of these delays, the project could not be advertised and awarded by the deadline of March 18, 2002. It is anticipated that the project can be awarded by September 2002. Consequently, the County is requesting an extension of 6 months for award of the construction contract.</p>					
8	City of Modesto Stanislaus	\$0			12 months 6/12/2003
	PPNO: 9951 Expand ATMS Northwest	\$0 \$66 \$66			Support, meets STIP guidelines
<p>“Make ready work approval” from Pacific Bell has not yet been received. The delay is due to difficulty in getting Pacific Bell’s approval for the proposed pole attachments. They are taking significantly more time in approving the request for pole attachments, and subsequently doing the actual changes on the poles to make room to attach the ATMS cables, than was anticipated. Without Pacific Bell approval, the City cannot proceed with the project.</p> <p>Based on past experience and the fact that this section of Pacific Bell has recently moved their offices, the City is expecting a 12-month delay. Therefore, the city is requesting an extension of 12 months for award of the construction contract.</p>					
9	Glenn County Glenn	\$0			20 months 2/05/2004
	PPNO: 0L46 Low Water Crossing on County Road P at Stony Creek	\$0 \$400 \$400			Support, meets STIP guidelines
<p>The County has gone to bid on the project, but has hit an unexpected delay with the Army Corps of Engineers. The previously issued Nationwide Permit #14 expired on February 11, 2002 and when the County requested an extension, new staff at the Corps determined that the project might not meet the conditions covered by the Nationwide Permit Procedure. The County learned of this delay in late April. If this cannot be resolved, award of the construction contract may be delayed. The County has no experience with this sort of situation and cannot estimate how long any delay may be. Therefore, the County is requesting an extension of 20 months for award of the construction contract.</p>					