

State of California
Business, Transportation and Housing Agency
Department of Transportation

MASS TRANS FINANCIAL MATTERS
Local STIP Rail/Transit Allocations
Resolution: MFP-01-01

Prepared By:
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CTC Meeting: July 11-12, 2001

Agenda Item: 2.6a.(1)

Original Signed By

W. J. EVANS
Chief Financial Officer
July 1, 2001

FINANCIAL ALLOCATION
LOCAL STATE TRANSPORTATION IMPROVEMENT PROGRAM PROJECTS

RESOLUTION MFP-01-01

RECOMMENDATION

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve the following resolution, allocating a total of \$19,250,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds for four (4) Local State Transportation Improvement Program (STIP) Rail/Transit projects on the attached Vote List.

FINANCIAL RESOLUTION

Resolved That:

A total of \$19,250,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds, programmed in the 1998 or 2000 STIP, be allocated to the Recipient(s) for the project(s) on the attached Vote List. The project(s), as component phases or in their entirety, appear on the necessary State capital projects funding list and are entitled to participate in this allocation.

The Recipient(s) is committed to providing any required local matching funds and to fully fund implementation of the project(s) in compliance with grant funding requirements and Commission policy. For acquisitions of rail right-of-way properties, the Recipient(s) has performed, with diligence, the process of identification and remediation of any hazardous waste in the right-of-way, easements and properties, in accordance with the Commission's established "Hazardous Waste Identification and Clean-up Policy" (Resolution G-91-2).

Reimbursement of eligible costs is subject to the policies, restrictions and assurances as set forth in the Commission's policy for allocating, monitoring, and auditing local assistance grant projects, and is governed by the terms and conditions of the Fund Transfer Agreement (FTA), and subsequent amendments to the same if required, as executed between the Recipient(s) and the Department of Transportation (Department).

The Recipient(s) shall provide the Department with quarterly expenditure plans by category including any proposed changes for the balance of all funded project allocations commencing with the first quarter.

In the event that the recipient(s), or successor public entities, fail or cease to utilize the Project's properties for the intended public transit purposes or sell or transfer title of the Project(s), this Commission shall be entitled to a then present value refund, or credit, at State's option, equivalent to the proportionate funding participation by the State towards the Project(s). The credit due the Commission in each instance, will be measured by the ratio of State and other public funding to that provided from Recipient(s). That ratio will be applied to the fair market value of the Project's property.

The Recipient(s) shall provide the Department with notification of contract award within twelve (12) months from the date of this allocation, and must complete the work to be reimbursed and the actual reimbursement within thirty-six (36) months from award of said contract, unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

2.6 Mass Transportation Financial Matters

2.6a(1) Local State Transportation Improvement Program (STIP) Rail/Transit Projects						Resolution MFP-01-01		
1								
\$950,000 Central Contra Costa Transit Authority Priority Allocation Amount 04-Contra Costa Senate: 7 Assembly: 11	Three Expansion Buses – CCCTA Route 680 Express Purchase three (3) clean diesel-powered, 42-passenger, 40-foot coaches, equipped with GPS vehicle locators. (Concurrent STIP Amendment 00S-44 under 2.1a.)			T992SA 04-1123 RIP / 01-02 Programmed: P&E ROW	2001-02 101-0046 101-0890 30.10.070.626	\$109,000 \$841,000 Allocation Amount State Federal Total	\$950,000	
	<u>FUNDING</u>	<u>PRIOR</u>	<u>CURRENT</u>	<u>FUTURE</u>	<u>TOTAL</u>			
	State	0	0	0	\$109,000			
	ST-Fed.	0	0	0	\$841,000	\$950,000		
	Local	0	0	0	0			
	L-Fed.	0	0	0	0			
	TOTAL	0	\$950,000	0	\$950,000			
2								
\$900,000 Western Contra Costa Transit Authority MTC 04-Contra Costa Senate: 7 Assembly: 11	Three Expansion Buses – WCCTA Route JX Purchase three (3) clean diesel-powered, 42-passenger, 40-foot coaches. (Concurrent STIP Amendment 00S-44 under 2.1a.)			T990SA 04-1121 RIP / 01-02	2001-02 101-0046 101-0890 30.10.070.626	\$104,000 \$796,000		
	<u>FUNDING</u>	<u>PRIOR</u>	<u>CURRENT</u>	<u>FUTURE</u>	<u>TOTAL</u>			
	State	0	\$104,000	0	\$104,000	\$0		
	ST-Fed.	0	\$796,000	0	\$796,000	\$0		
	Local	0	0	0	0	\$900,000	\$900,000	
	L-Fed.	0	0	0	0			
	TOTAL	0	\$900,000	0	\$900,000			
3								
\$4,000,000 Peninsula Corridor Joint Powers Board MTC 04-San Francisco, San Mateo, Santa Clara Senate: 3,8,10,11,13,15 Assembly: 12,13,19,20,21,22,23, 24,28	Caltrain Passenger Railcar Rehabilitation Rehabilitate 63 passenger railcars. (Four months time extension approved May 2, 2001 and expires October 31, 2001 for San Mateo [PPNO 1036] and Santa Clara [PPNO 2258] Counties.)			R647SB 04-2004 RIP / 01-02	2001-02 101-0046 101-0890 30.10.070.626	\$1,000,000 \$333,000		
	<u>FUNDING</u>	<u>PRIOR</u>	<u>CURRENT</u>	<u>FUTURE</u>	<u>TOTAL</u>			
	State	\$4,737,936	\$1,000,000	0	\$5,737,936	\$0		
	ST-Fed.	0	\$3,000,000	0	\$3,000,000	\$1,333,000		
	Local	\$388,682	0	0	\$388,682	\$0		
	L-Fed.	\$24,452,228	0	0	\$24,452,228	\$0		
	TOTAL	\$29,578,846	\$4,000,000	0	\$33,578,846			
						04-1036 RIP / 00-01	2000-01 101-0042 101-0890 30.10.070.626	- \$1,333,000
						\$0 \$0 \$0 \$1,333,000		
						04-2258 RIP / 00-01	2000-01 101-0042 101-0890 30.10.070.626	- \$1,334,000
						\$0 \$0 \$0 \$1,334,000		
							Total	\$4,000,000
4								
\$13,400,000 Los Angeles County Metropolitan Transportation Authority LACMTA 07-Los Angeles Senate: 17,19,21- 30,32 Assembly: 36,38-61	Accelerated Bus Procurement Program Purchase 400, 40-foot compressed natural gas or clean diesel-powered buses, carrying 39 to 43-passengers each.			B000BA 07-9820 RIP / 01-02	2001-02 101-0046 101-0890 30.10.070.626	- \$13,400,000		
	<u>FUNDING</u>	<u>PRIOR</u>	<u>CURRENT</u>	<u>FUTURE</u>	<u>TOTAL</u>			
	State	0	0	0	0	\$0		
	ST-Fed.	\$137,700,000	\$13,400,000	0	\$151,100,000	\$0		
	Local	\$51,400,000	\$17,800,000	\$16,300,000	\$85,500,000	\$11,562,000		
	L-Fed.	\$201,600,000	\$117,100,000	\$86,500,000	\$405,200,000	\$0		
	TOTAL	\$390,700,000	\$148,300,000	\$102,800,000	\$641,800,000			
						07-9821 RIP / 01-02		
						\$0 \$0 \$0 \$1,838,000		\$13,400,000