

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROJECT BUSINESS MATTERS
Request for Waiver – Project Completion
Action Item

Prepared by:
David Cabrera
Division Chief
Mass Transportation
(916) 654-8811

CTC Meeting: March 28-29, 2001

Agenda Item: 2.8e.

Original Signed By _____
W.J. EVANS, Deputy Director
Finance
March 1, 2001

TIME EXTENSION REQUEST – CTC RESOLUTION G-99-25
GUIDELINES FOR ALLOCATING, MONITORING AND AUDITING OF
FUNDS FOR LOCAL ASSISTANCE PROJECTS

PROPOSITION 116 BOND PROGRAM
WAIVER-01-16

ISSUE

Resolution G-99-25, *Guidelines for Allocating, Monitoring and Auditing of Funds for Local Assistance Projects*, adopted by the California Transportation Commission (Commission) on August 18, 1999, stipulates that allocated funds must be encumbered by the award of a contract within twelve (12) months of the date of the allocation; and recipients have up to 36 months after award of the contract to complete a construction or vehicle purchase project. The Commission applied these requirements to projects funded by the Proposition 116 Program.

For the projects listed on the attached chart, the responsible agencies will not be able to meet the deadline as specified in accordance with the *Guidelines for Allocating, Monitoring and Auditing of Funds for Local Assistance Projects*. The responsible agencies have requested an extension to allow sufficient time to resolve outstanding issues.

RECOMMENDATION

The Department recommends the Commission approve the time extensions for the period of project completion for projects listed on Attachment I.

SUMMARY

The Commission has allocated \$1,310,962 for the projects listed on Attachment I. To date, \$363,013 of the \$1,310,962 has been reimbursed by the State to the local agencies. Attachment I describes the delays that have resulted in the extension requests.

Attachment

MARCH 2001
REQUEST FOR WAIVER TO CTC RESOLUTION G-99-25
ALLOCATING, MONITORING AND AUDITING OF
FUNDS FOR LOCAL ASSISTANCE PROJECTS

Recipient Project Description	Res. No./ Date	Amount Allocated	Approved Reimburse- ments Trough 1/31/01	Prog. Yr.	Recipient's Stated Reason for Delay	Extension Request	Department Recommendation
City of Napa Paratransit Vehicle Purchase	BFP-97-09 9/18/97	\$195,000	\$154,849	93/94	Three of four vehicles have been received. Delivery of the fourth vehicle was originally set for January 2001. Due to a fire at the manufacturing plant, delivery is now expected in June 2001 which is three months past the termination date of the project's fund transfer agreement.	6 months 3/31/01 to 9/30/01	Support. The extension will provide the time needed for delivery of the fourth vehicle, and allow for other unexpected delays.
	<i>Subtotal</i>	<i>\$195,000</i>	<i>\$154,849</i>				
Peninsula Corridor Joint Powers Board (PCJPB) Ticket Vending Machines – Phase II	BFP-96-08 9/19/96	\$1,115,962	\$208,164	96/97	The project consists of manufacturing, installation and revenue activation of ticket vending machines (TVMs) on the Caltrain line between San Francisco and San Jose. The installation of the TVMs is complete. Project completion has been delayed until June 2001 due to difficulties in linking the TVMs through a Pacific Bell cable hook-up. The warranty period will begin once the project is complete and end in June 2003.	27 months 3/31/01 to 6/30/03	Support. Time requested will allow PCJPB to complete the project, including the 24-month warranty period at which time the last payment is due to the contractor.
	<i>Subtotal</i>	<i>\$1,115,962</i>	<i>\$208,164</i>				
SUPPORT	TOTAL	\$1,310,962	\$363,013				