CALIFORNIA DEPARTMENT OF TRANSPORTATION

First Quarter
Fiscal Year 2013-14
Project Delivery Report

Quarterly Report to the California Transportation Commission

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The Project Delivery Report is prepared quarterly in December, March, June, and September pursuant to California Transportation Commission (CTC) Resolution G-92–12. The Department of Transportation (Caltrans) staff prepares this report. The purpose of this report is to monitor and track the progress of project delivery during the year and to compare against past years.

Note 1: All costs shown are in $1,000's unless noted otherwise.
Note 2: Abbreviations and acronyms are listed in the appendix.

Executive Summary

First Quarter - FY 2013-14

FY 2012-13 Contract for Delivery

For fiscal year (FY) 2013-14, the dollar value of projects in the Contract for Delivery is $2,524 million. Through the end of the first quarter, FY 2013-14, Caltrans delivered a total of 16 (7 percent of annual plan) highway construction contracts with an estimated value at ready to list of $182 million.

Program Delivery Summary

Through the end of the first quarter, FY 2013-14, Caltrans has delivered:

- A total of 41 projects valued at $267 million from all funding programs.
- A total of 4 (20 percent of annual plan) programmed State Transportation Improvement Program (STIP) highway construction contracts valued at $44 million (14 percent of annual plan).
- A total of 17 (9 percent of annual plan) programmed State Highway Operations and Protection Program (SHOPP) highway construction contracts valued at $114 million (7 percent of annual plan).

Past Years' Contracts for Delivery Award Status

Through the end of the first quarter, FY 2013-14, Caltrans has awarded:

- 124 projects out of 170 (73 percent) from the FY 2012-13 Contract for Delivery.
- 271 projects out of 279 (97 percent) from the FY 2011-12 Contract for Delivery.

Environmental Document Milestones

In FY 2012-13, the planned total number of environmental document milestones is 262. Caltrans delivered 43 (21 percent of annual plan) final environmental documents and 5 (9 percent of annual plan) draft environmental documents.

Right of Way Program

In FY 2013-14, Caltrans received a right-of-way allocation of $195 million dollars. Through the end of the first quarter, Caltrans expended $50 million (26 percent of annual plan).

Construction Program

There are 730 on-going construction contracts valued at $11,535 million.

Report on Completed Projects

Through the end of the first quarter, FY 2013-14, Caltrans has completed:

- A total of 7 STIP projects. The total amount of State funds that were approved by the commission for these projects was $382 million. The actual cost of the projects completed was $348 million which is 91 percent of the approved funds.
- A total of 48 SHOPP projects. The total amount of State funds that were approved by the commission for these projects was $492 million. The actual cost of the projects completed was $369 million which is 75 percent of the approved funds.

FY 2013-14 Contract for Delivery

Each year, the Caltrans Director signs a contract with each of the Caltrans' 12 district directors committing ready-to-list (RTL) milestones for delivery by quarter.

The Contract for Delivery is Caltrans' FY plan and includes programmed projects and projects funded from other sources including maintenance, toll bridge, and partnership projects. The contract is not subject to change, so it does not include program amendments, emergency, or minor program projects.

The total estimated value at the “Ready To List” delivery milestone for all 219 projects in the FY 2013-14 Contract for Delivery is $2,524 million.

All 13 projects planned in the first quarter were delivered. In addition, three projects planned in a future quarter were delivered early.

STATUS AS OF SEPTEMBER 30, 2013

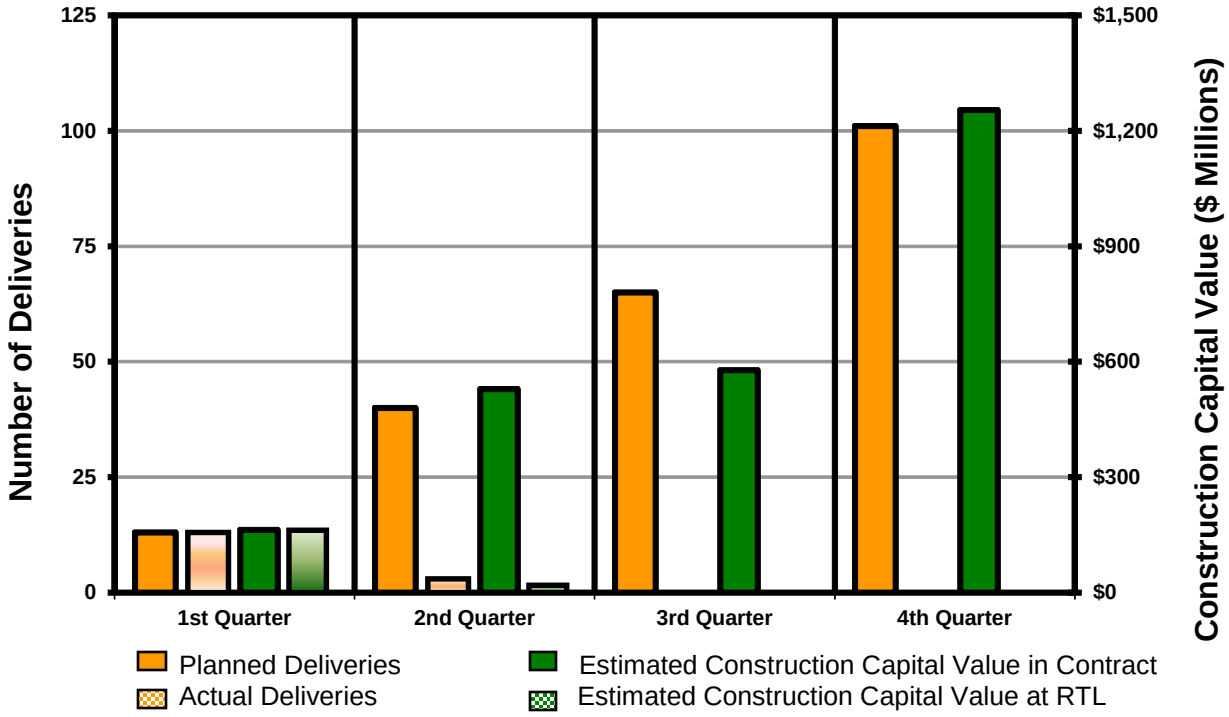
STATEWIDE

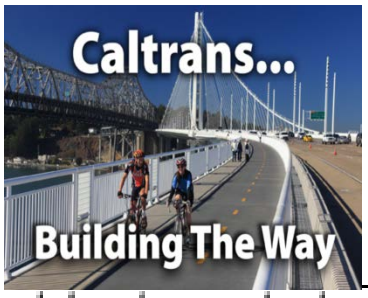
Contract for Delivery

FY 2013-14

Ready to List (RTL) Milestone Delivery

Description	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
NUMBER OF DELIVERIES					
Planned	13	40	65	101	219
Actual	13	3	0	0	16
CONSTRUCTION CAPITAL VALUE ($ MILLIONS)					
Estimate in Contract	$ 163.3	$ 528.7	$ 578.2	$ 1,254.0	$2,524.2
Estimated at RTL	$ 162.4	$ 19.1	$ 0	$ 0.0	$ 181.5





The California Department of Transportation Contract for Delivery!

FY 2013/2014

1st Quarter Delivery Report 13 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ($000's)	BUDGETED PE SUPPORT ($000's)	ACTUAL PE SUPPORT ($000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
2	1E060	STIP	MOD	139	$500	$206	$229	INSTALL CCTV (CLOSED-CIRCUIT TELEVISION) AND RWIS (ROADSIDE WEATHER				★	01/08/14
2	4E610	SHOPP	TRI	299	$350	$207	$249	REPAIR SLIDES.			AADD		01/13/14
3	0F220	SHOPP	ED	50	$6,700	$861	$1,094	REPLACE BRIDGE.			AADD		01/13/14
3	2F21U	SHOPP	SAC	50	$48,800	$5,346	$787	BRIDGE DECK REHABILITATION		★	★	★	
3	3F440	SHOPP	PLA	89	$2,975	$400	$716	INSTALL DRAINAGE FACILITIES.		★	AADD		12/14/13
4	01352	TBSRP	ALA	80	$88,400	$4,500	$1,840	DISMANTLING OF EXISTING EAST SPAN					
5	0T970	SHOPP	SB	246	$815	$336	$361	CONSTRUCT LEFT-TURN CHANNELIZATION.			AADD		12/14/13
6	0J920	SHOPP	FRE	145	$1,207	$1,388	$1,567	CONSTRUCT TRAFFIC ROUNDABOUT.			AADD		02/01/14
6	0N200	SHOPP	MAD	99	$2,200	$549	$587	CONSTRUCT MEDIAN BARRIER.			AADD		12/15/13
6	0N360	SHOPP	KER	5	$1,764	$335	$316	REPAIR DAMAGED ROADWAY.			AADD		★
7	2J250	SHOPP	VEN	101	$415	$416	$319	INSTALL LEFT-TURN SIGNAL PHASE AND UPGRADE ADA CURB RAMPS.		★			12/5/2013
8	0F660	SHOPP	SBD	247	$8,800	$4,143	$3,731	CONSTRUCT SHOULDER.			AADD		08/01/14
8	0P310	SHOPP	SBD	395	$411	$583	$748	INSTALL TRAFFIC SIGNALS.		★	AADD	★	01/20/14
					$163,337	$19,270	$12,544						

FIRST QUARTER JULY 1 - SEPTEMBER 30 — **Status as of 11/6/2013**

AADD -	Authority to Advertise District Delegation	RA -	Recovery Act
B - CMIA -	Bond - Corridor Mobility Improvement Account	Retro-BW -	Retrofit Soundwall
B - RTBPP -	P1B SBPP Improvement	RM2 -	Regional Measure 2
HM - b -	Highway Maintenance - bridge	STIP -	State Transportation Improvement Program
HM - d -	Highway Maintenance - drainage	TCIP -	Trade Corridors Improvement Program
HM - p -	Highway Maintenance - pavement	TCSP -	Traffic Congestion Relief Program
L - Reimb -	Local Reimbursed	TOLL -	Other Toll
M - Reimb -	Measure Reimbursed	TOLL-R -	Toll Retrofit
MTC -	Metropolitan Transportation Commission	VAR -	Various
PE -	Preliminary Engineering	SHOPP -	State Highway Operation Protection Prog.
Ph2 Ret -	Phase 2 Retrofit	B-SHOPP -	Bond - State Highway Operations Protection Program Augmentation

Completed	Awarded
Completed Ahead of Schedule	Awarded Ahead of Schedule
Behind Schedule	Award Behind Schedule
To Be Completed/Awarded	
PE Support <= 80% of Budget	PE Support Within Budget
PE Support >= 120% of Budget	Future RTL Status Date

Program Delivery Summary

This section describes the number and dollar value of all projects delivered by funding programs.

Intercity Rail Program

For FY 2013-14, one Intercity Rail project valued at $20.5 million is programmed for delivery.

Number of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	1	0	0	0	1
Actual	1	0	0	0	1

Value of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	$ 20.5	$ 0.0	$ 0.0	$ 0.0	$ 20.5
Actual	$ 20.5	$ 0.0	$ 0.0	$ 0.0	$ 20.5

AB 1740 Retrofit Soundwall Program

All 63 planned projects with a construction value of $215 million have been delivered within the program budget of $226 million.

	Delivered		Construction Completed	
Locations	63	100%	62	98%
Value	$ 215	95%	$ 211	93%

The balance of $11 million is being held in reserve pending settlement of any potential claims and closing out of all projects. One of the remaining two projects was completed in the first quarter. The last project under construction has a planned completion date in the fourth quarter of FY 2013-14.

Delivery Summary of All Programs

Through the end of the first quarter, FY 2013-14, Caltrans delivered a total of 41 projects valued at $267 million from all programs.

Projects are shown below by the planned program and dollar value.

Projects by Funding Programs	Number		Value	
	Annual Plan	FYTD	Annual Plan	FYTD
STIP (w TCRP,TFA)	20	4	$ 309.5	$ 43.8
SHOPP	191	17	$ 1,688.6	$ 113.9
TBSRA	1	1	$ 88.4	$ 88.4
Partnership*	15	0	$ 473.3	$ 0
Minor (CFD)	1	1	$ 0.3	$ 0.3
Subtotal	228	23	$ 2,560.1	$ 246.4
Emergency		3		$ 3.2
Minor		6		$ 5.7
Maintenance		9		$ 11.7
Total		41		$ 267.0

** Partnership funds include all local funds and federal fund subventions given to local agencies.*

Detailed Delivery Summary of All Projects by Programs

Programs	Annual Number of Projects			Annual Dollar Value of Projects		
	Plan	Actual	Percent	Plan	Actual	Percent
STIP Program						
STIP (w TCRP,TFA)	19	3	16	$ 289.0	$ 1.4	<1
Intercity Rail	1	1	100	$ 20.5	$ 20.5	100
Advanced** STIP		0			$ 21.9	
TOTAL STIP	20	4	20	$ 309.5	$ 43.8	14
SHOPP (w Augmentation)	188	12	6	$ 1,673.2	$ 92.2	6
Amended** SHOPP	3	3	100	$ 15.4	$ 15.4	100
Advanced** SHOPP		2			$ 6.3	
	191	17	9	$ 1,688.6	$ 113.9	7
Other ** Programs in Contract						
TBSRA	1	1	100	$ 88.4	$ 88.4	100
Partnership	15	0	0	$ 473.3	$ 0.0	0
Minor	1	1	100	$ 0.3	$ 0.3	100
TOTAL "Other"	17	2	12	$ 562.0	$ 88.7	16
Additional ** Programs						
Emergency		3			$ 3.2	
Minor		6			$ 5.7	
Maintenance		9			$ 11.7	
TOTAL "Additional"		18			$ 20.6	
TOTAL All Programs						
STIP	20	4	20	$ 309.5	$ 43.8	14
SHOPP	191	17	9	$ 1,688.6	$ 113.9	7
Other	17	2	12	$ 562.0	$ 88.7	16
Subtotal	228	23	10	$ 2,560.1	$ 246.4	10
Additional		18			$ 20.6	
TOTAL		41			$ 267.0	

** *Notes:*

Additional – Recent projects not in contract. Includes funding reservations.
Amended – Added or deleted to program by amendment.
Advanced – Delivered early from future program year. (Not included in planned numbers)
Other – planned non-STIP/SHOPP projects committed in contract.
Delivery Percentages – Advances in contracts are included in planned figures, other advances are not included in planned figures, but are added to delivered figures.
Due to multiple funding sources on some projects, the sum of contract projects by funding source will exceed the number of planned contract projects.

Historical Program Delivery Comparison

1st Quarter "Annual Plan" Comparison

Number of STIP Projects

	13-14	12-13	11-12	*09-10	08-09
Annual Plan	20	22	29	29	34
FYTD	4	5	4	2	2
Percent	20	23	14	7	6

Value of STIP Projects

	13-14	12-13	11-12	*09-10	08-09
Annual Plan	$ 310	$ 125	$ 504	$ 372	$ 416
FYTD	$ 44	$ 21	$ 14	$ 18	$ 7
Percent	14	17	3	5	2

Number of SHOPP Projects

	13-14	12-13	11-12	*09-10	08-09
Annual Plan	191	144	184	172	89
FYTD	17	25	31	41	48
Percent	9	17	17	24	54

Value of SHOPP Projects

	13-14	12-13	11-12	*09-10	08-09
Annual Plan	$1,689	$ 863	$1,083	$ 981	$1,422
FYTD	$ 114	$ 128	$ 273	$ 248	$ 100
Percent	7	15	25	25	7

Total Number of All Projects

	13-14	12-13	11-12	*09-10	08-09
FYTD	41	56	63	85	101

Total Value of All Projects

	13-14	12-13	11-12	*09-10	08-09
FYTD	$ 267	$ 188	$ 375	$ 575	$ 751

*Note: There was no FY 10-11 First Quarter report.

Past Years' Contract For Delivery Award Status

This section describes the contract award status projects in past years for the annual Contract for Delivery.

Contract Award Status

Progress continues to be made to get past years' contracts for delivery projects awarded.

Contract Award Status	Plan	Awarded	Percent
FY 2012-13 Contract for Delivery	170	124	73
FY 2011-12 Contract for Delivery	279	271	97
FY 2010-11 Contract for Delivery	346	346	100
FY 2009-10 Contract for Delivery	306	306	100
FY 2008-09 Contract for Delivery	334	334	100
FY 2007-08 Contract for Delivery	294	294	100
FY 2006-07 Contract for Delivery	286	286	100
FY 2005-06 Contract for Delivery	174	174	100

Historical Delivery Comparison

Through the first quarter FY 2013-14, for last year's contract for delivery (FY 2012-13), Caltrans has awarded 124 projects out of 170 projects or 73 percent of the planned projects. As a comparison, as reported a year ago for the same time period, Caltrans had awarded 202 projects out of 279 planned projects or 72 percent.

Contracts Not Yet Awarded

Of the 54 projects not yet awarded, 38 projects are currently out to bid. Issues for award delays on the other projects are as follows:

- 4 projects are being re-scoped to match available funding.
- 3 projects using AADD are being finalized.
- 3 projects were advanced delivery and did not have funding capacity.
- 3 projects have not been delivered due to coastal permit issues.
- 1 project is a low priority SRRA project.
- 1 ADA project is having project specifications changed.
- 1 project was impacted by Buy America.

Contracts Not Yet Awarded	Number
PROJECTS ALLOCATED	
Project Currently Bid	38
Projects being rescoped	4
AADD projects being finalized	3
PROJECTS NOT ALLOCATED	
ADA specs, Buy America	2
Priority, funding capacity	4
Not delivered	3
Total	54

Deleted Contracts

Four projects delivered have subsequently been deleted from the SHOPP because they are no longer needed or are being substantially rescoped.

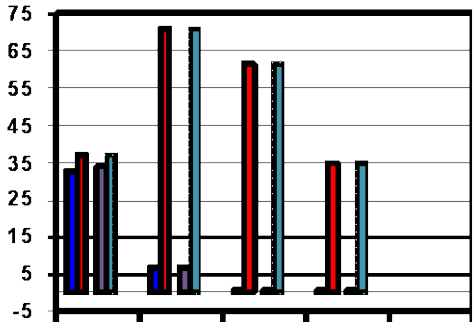
Environmental Document Milestones

Environmental Delivery Commitment

As part of this report, Caltrans reports on delivery for the upcoming year of project approval and environmental milestones that require CTC action for consideration of future funding. The milestones include Draft Environmental Documents (DED), and Project Approval and Environmental Document (PAED) which also includes the Final Environmental Documents (FED). To provide a comprehensive view of environmental documents under development, Caltrans also includes categorical exclusions that do not require CTC review or action. For FY 2013-14, Caltrans has planned delivery of 262 environmental milestones.

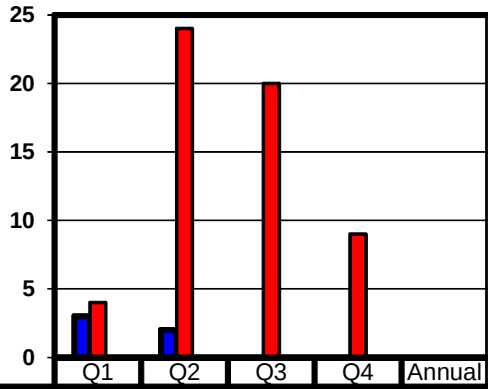
For FY 2013-14, through the end of the first quarter, Caltrans delivered 48 (18 percent of annual plan) environmental milestones.

Number of PAED & FED Milestones



	Q1	Q2	Q3	Q4	Annual
PAED Actual	33	7	1	1	42
PAED Plan	37	71	62	35	205
PAED FYTD	89%	10%	2%	3%	20%
FED Actual	34	7	1	1	43
FED Plan	37	71	62	35	205
FED FYTD	92%	10%	2%	3%	21%

Number of DED Milestones



	Q1	Q2	Q3	Q4	Annual
DED Actual	3	2	0	0	5
DED Plan	4	24	20	9	57
DED FYTD	75%	8%	0%	0%	9%

Through the end of the first quarter, no DED or PAED planned milestones have slipped outside FY 2013-14.

Historical Delivery Comparisons

As a benchmark for comparison, below are historical environmental milestone delivery trend charts for the current year and past 4 years.

Past 1st Qtr PAED & FED Milestones

	13-14	12-13	11-12	*09-10	08-09
PAED Plan	205	119	167	148	151
PAED FYTD	42	22	55	63	67
PAED Percent	20	18	33	43	44
FED Plan	205				
FED FYTD	43				
FED Percent	21				

Past 1st Qtr DED Milestones

	13-14	12-13	11-12	*09-10	08-09
DED Plan	57	39	44	34	41
DED FYTD	5	3	10	7	4
DED Percent	9	8	23	21	10

*Note: There was no FY 10-11 First Quarter report.

Right of Way Program

Right of Way Delivery Commitment

Caltrans' R/W delivery commitment is twofold. One delivery commitment is to utilize funds approved by the CTC for acquisition of R/W. The second delivery commitment is to secure all necessary R/W requirements and to certify R/W for all projects scheduled for delivery in the current year.

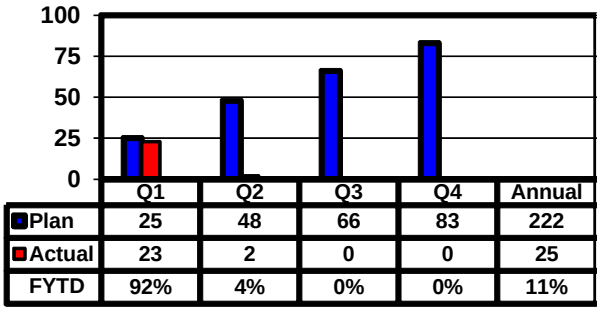
Right of Way Expenditures

R/W activities and expenditures are outlined by the categories below:

Category ($millions)	Plan	FYTD	Percent
Capital Projects			
STIP	$ 90.8	$ 31.9	35
SHOPP	$ 38.8	$ 8.7	22
Subtotal	$ 129.6	$ 40.6	31
Specific Categories			
Post Certifications	$ 51.0	$ 8.0	16
Inverse Condemnation	$ 13.5	$ 1.4	10
Project Development	$ 1.0	$ 0.3	30
Subtotal	$ 65.5	$ 9.7	15
TOTAL	$ 195.1	$ 50.3	26

For FY 2013-14, Caltrans requested and received a R/W allocation of $195.1 million. Through the end of the first quarter, Caltrans expended a total of $50.3 million, 26 percent of the annual plan.

Right of Way Certifications



	Q1	Q2	Q3	Q4	Annual
Plan	25	48	66	83	222
Actual	23	2	0	0	25
FYTD	92%	4%	0%	0%	11%

For FY 2013-14, the planned number of R/W certifications is 222. Through the end of the first quarter, Caltrans completed a total of 25 R/W certifications, 11 percent of the annual plan.

Historical Delivery Comparisons

As a benchmark for comparison, below are historical R/W delivery trend charts for the current year and past 4 years.

Past 1st Qtr Right of Way Expenditures

	13-14	12-13	11-12	*09-10	08-09
Plan	$195.1	$227.0	$217.5	$237.7	$235.9
FYTD	$ 50.3	$ 50.9	$ 51.9	$ 40.3	$ 30.1
Percent	26	22	24	17	13

Past 1st Qtr Right of Way Certifications

	13-14	12-13	11-12	*09-10	08-09
Plan	222	174	273	281	304
FYTD	25	33	61	100	107
Percent	11	19	22	36	35

*Note: There was no FY 10-11 First Quarter report.

Construction Program

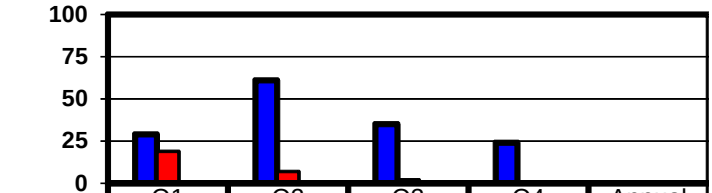
PLANNED CONSTRUCTION PROGRAM

(Excludes some projects such as minor, program amendments and emergency.)

Construction Delivery Commitment

Delivery in the eyes of our customers is achieved when capital improvements are delivered to the traveling public. This is best measured by when the construction contract is accepted.

Planned Construction Contracts Accepted



	Q1	Q2	Q3	Q4	Annual
Plan	29	61	35	24	149
Actual	19	7	2	0	28
FYTD	66%	11%	6%	0%	19%

Through the end of the first quarter, FY 2013-14, Caltrans had accepted a total of 28 major construction contracts (19 percent) out of a total of 149 planned contracts identified in the Caltrans' delivery plan.

Historical Delivery Comparison

As a benchmark for comparison, shown are historical delivery trend charts for planned major construction contract acceptances.

Past 1st Qtr Construction Contracts Accepted

	13-14	12-13	11-12	*09-10	08-09
Plan	149	199	272	226	213
FYTD	28	29	65	70	70
Percent	19	15	24	31	33

*Note: There was no FY 10-11 First Quarter report.

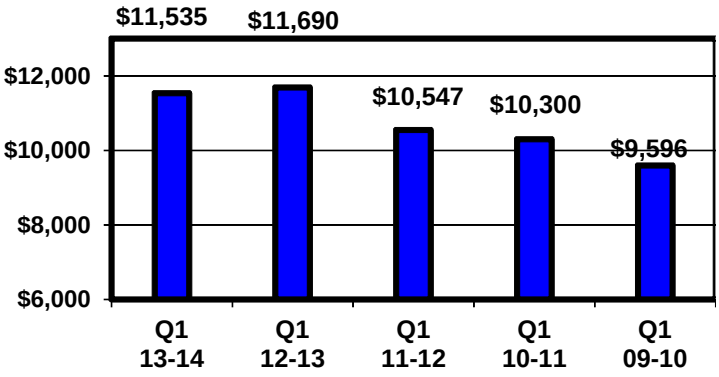
OVERALL CONSTRUCTION PROGRAM

(Includes planned programmed projects and additional minor A, amendments, and some minor B projects that are not programmed.)

Under Construction

At the end of the first quarter, FY 2013-14, Caltrans had 730 contracts valued at $11,535 million under construction.

Value of Ongoing Contracts ($ millions)



1st Quarter Construction Program Results

Construction Starts – 80 construction contracts valued at $267 million were started (including minor A and some minor B projects that are not programmed).

Accepted Contracts – 85 construction contracts valued at $887 million were accepted.

Arbitration - Caltrans currently has 24 construction contracts in arbitration. Seven new arbitration cases were filed, and eight contracts were settled or received an arbitration decision.

Report on Completed Projects

The STIP guidelines require Caltrans to provide the Commission with a report on completed projects. This report provides cost information for projects that Caltrans has accepted the construction contract (CCA milestone).

Cost information at completion consists of all project expenditures to date. The expended costs in this report are compared to the latest approved budgets resulting from actions taken by the commission on each project, including: programmed funds, allocated funds, funds adjusted at vote, supplemental funds, and AB 608 adjustments.

Reporting Program / Project Thresholds

Completed project cost information is presented in the following levels for analysis:

- Program Costs
- STIP / SHOPP Component Groupings
- Individual Components
- Overall Project

Program Costs

At the Program level, total costs are reported for STIP and SHOPP program funds.

STIP / SHOPP Component Groupings

The methodology used to determine the amount of committed funds is based on programmed amounts, allocated funds, or debit and credit adjustments made against county shares in accordance with STIP guidelines.

It should be noted that while some individual components may exceed their approved budget, other components often have significant savings. STIP guidelines restrict the ability to capture savings and to supplement the budget. Consequently, some components are over expended while the overall project expenditures are less than the total county shares used to fund the entire project.

Individual Components

This section provides an assessment of estimating trends for each of the six individual programmed cost components.

When projects are initially programmed into a programming document, there are a lot of unknown factors that could result in higher or lower costs by the time a project is ready for construction. A good example of unknowns is project refinements and changes that are implemented by the public hearing and project input process during preliminary engineering.

Sometimes Caltrans expenditures in one component are offset by savings in another component. A common example is additional right of way support effort may result in lower right of way capital expenditures. Another example is additional environmental expenditures to produce a publicly acceptable environmental document may be offset by lower design expenditures.

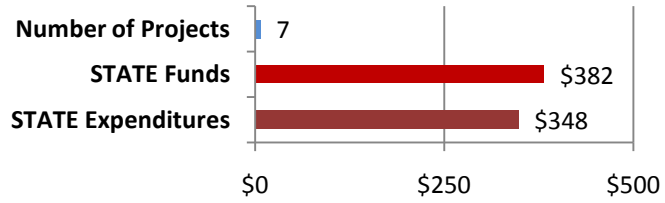
Overall Project

This section compares expended costs to the approved budget costs for the overall project. At the project level, greater flexibility is provided when costs can be managed within a project budget and transferred between components.

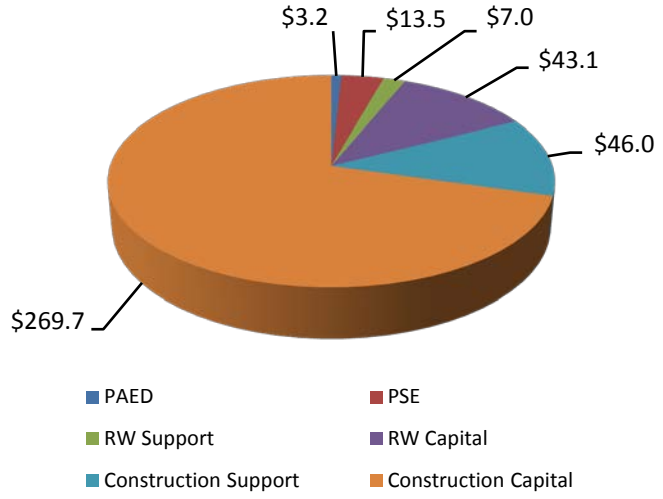
Completed FY 2013-14 STIP Projects

STIP Program Level

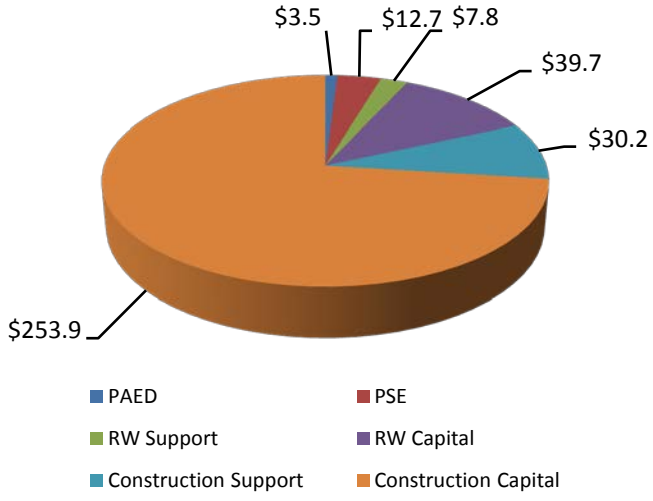
STIP Projects (millions)



Approved State Funded Budget (millions)



State Funded Expenditures (millions)



There were a total of 7 STIP projects that were completed through the first quarter in FY 2013-14. The total amount of State funds(1) that were approved(2) by the commission for these projects was $382(3) million. The actual cost of the projects completed was $348 million which is 91 percent of the approved funds.

(1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.
(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.
(3) Local funds are only included if they were part of the construction contract administered by Caltrans. Other local funds may not be reflected in accounting and data systems.

STIP Component Levels

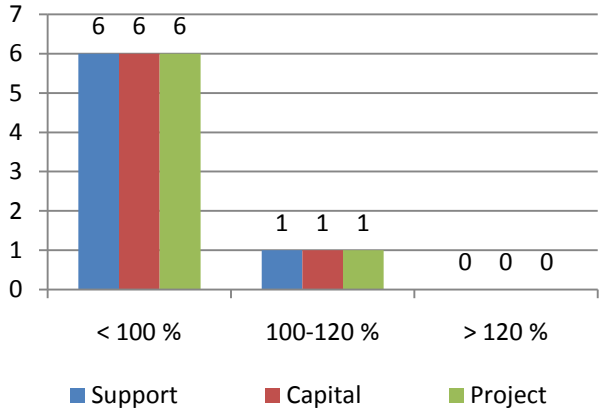
	Approved	Expended	Percent
PJD	$ 16,701	$ 16,185	97
RW	$ 50,046	$ 47,503	95
Con	$315,723	$284,140	90
Support	$ 69,658	$ 54,262	78
Capital	$312,812	$293,566	94
All	$382,470	$347,828	91

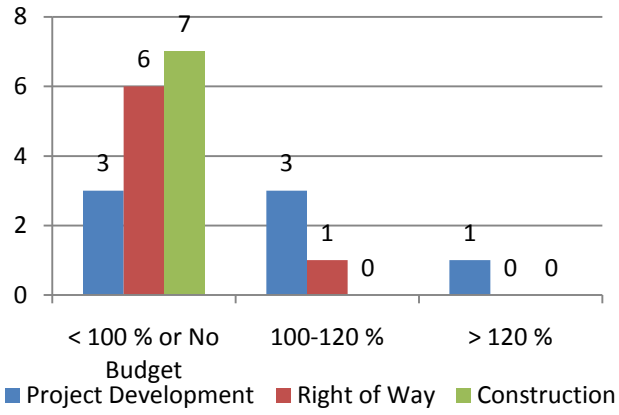
STIP Construction Capital Cost Trends

The table below provides construction capital trend information between programmed, allocated, awarded, construction (includes G-12's and supplemental) and expenditures for completed construction projects.

Construction Capital Component Budget	Cost ($1,000'S)	Percent of Allocated Funds
Programmed	$ 350,223	103 %
Allocated	$ 338,791	100 %
Awarded	$ 268,537	79 %
Construction	$ 269,987	80 %
Expended	$ 253,898	75 %

STIP Projects Completed Cost - Component Groupings



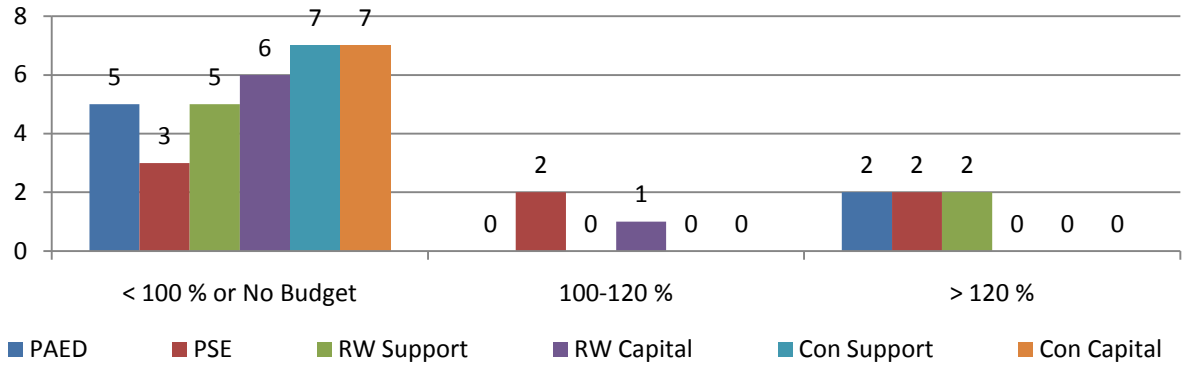


STIP Programmed and Completed Cost Information - Component Groupings

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Capital / Support Components	Support	< 100	6		86%	$ 49,700	71%	$ 33,385	62%	Under Budget	
		100-120[1]		1	14%	19,958	29%	20,877	38%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 69,658		$ 54,262		$ 15,396	78%
	Capital	< 100	6		86%	$ 238,748	76%	$ 219,162	75%	Under Budget	
		100-120[1]		1	14%	74,064	24%	74,404	25%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 312,812		$ 293,566		$ 19,246	94%
	Project	< 100	6		86%	$ 288,448	75%	$ 252,547	73%	Under Budget	
		100-120[1]		1	14%	94,022	25%	95,281	27%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 382,470		$ 347,828		$ 34,642	91%
STIP Guidelines Components	PJD	No Budget	2		29%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	1		14%	3,749	22%	1,250	8%		
		100-120[1]		3	43%	12,952	78%	14,330	89%		
		> 120		1	14%	0	0%	605	4%		
		Total				$ 16,701		$ 16,185		$ 516	97%
	Right of Way	No Budget	2		29%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	4		57%	24,609	49%	20,559	43%		
		100-120[1]		1	14%	25,437	51%	26,944	57%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 50,046		$ 47,503		$ 2,543	95%
	Construction	No Budget	0		0%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	7		100%	315,723	100%	284,140	100%		
		100-120[1]		0	0%	0	0%	0	0%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 315,723		$ 284,140		$ 31,583	90%

[1] Reference: Table 2, California State Auditor Report 2010-122: State law requires that STIP project costs may not be changed to reflect differences that are within 20 percent of the amount programmed for actual project costs. Further, according to the chief of Caltrans' Division of Project Management, although there are no written requirements, Caltrans' practice is to manage SHOPP projects similar to STIP projects when a SHOPP project is 20 percent over its support budget.

STIP Project Completed Cost - Individual Components



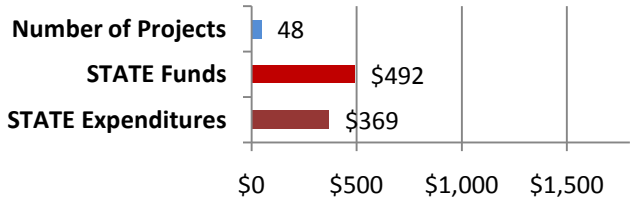
STIP Programmed and Completed Cost Information - Individual Components

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Individual Project Components	PAED	No Budget	3		43%	$ 0	0%	$ 0	0%		
		< 100	2		29%	1,633	51%	1,371	40%		
		100-120[1]		0	0%	0	0%	0	0%	Over Budget	
		> 120		2	29%	1,549	49%	2,096	60%		
		Total				$ 3,182		$ 3,467		($ 285)	109%
	PSE	No Budget	2		29%	$ 0	0%	$ 0	0%		
		< 100	1		14%	3,749	28%	1,250	10%		
		100-120[1]		2	29%	9,260	68%	10,135	80%	Under Budget	
		> 120		2	29%	510	4%	1,333	10%		
		Total				$ 13,519		$ 12,718		$ 801	94%
	RW Support	No Budget	2		29%	$ 0	0%	$ 0	0%		
		< 100	3		43%	2,785	40%	1,811	23%		
		100-120[1]		0	0%	0	0%	0	0%	Over Budget	
		> 120		2	29%	4,192	60%	6,026	77%		
		Total				$ 6,977		$ 7,837		($ 860)	112%
	RW Capital	No Budget	4		57%	$ 0	0%	$ 0	0%		
		< 100	2		29%	20,769	48%	17,015	43%		
		100-120[1]		1	14%	22,300	52%	22,651	57%	Under Budget	
		> 120		0	0%	0	0%	0	0%		
		Total				$ 43,069		$ 39,666		$ 3,403	92%
	Construction Support, Pre SB 1102	No Budget	0		0%	$ 0	0%	$ 0	0%		
		< 100	7		100%	45,980	100%	30,240	100%		
		100-120[1]		0	0%	0	0%	0	0%		
		> 120		0	0%	0	0%	0	0%		
	Construction Support, SB 1102	No Budget	0		0%	0	0%	0	0%		
		< 100	0		0%	0	0%	0	0%		
		100-120[1]		0	0%	0	0%	0	0%	Under Budget	
		> 120		0	0%	0	0%	0	0%		
		Total				$ 43,069		$ 30,240		$ 15,740	66%
	Construction Capital	No Budget	0		0%	$ 269,743	100%	$ 253,900	100%		
		< 100	7		100%	0	0%	0	0%		
		100-120[1]		0	0%	0	0%	0	0%	Under Budget	
		> 120		0	0%	0	0%	0	0%		
		Total				$ 269,743		$ 253,900		$ 15,843	94%

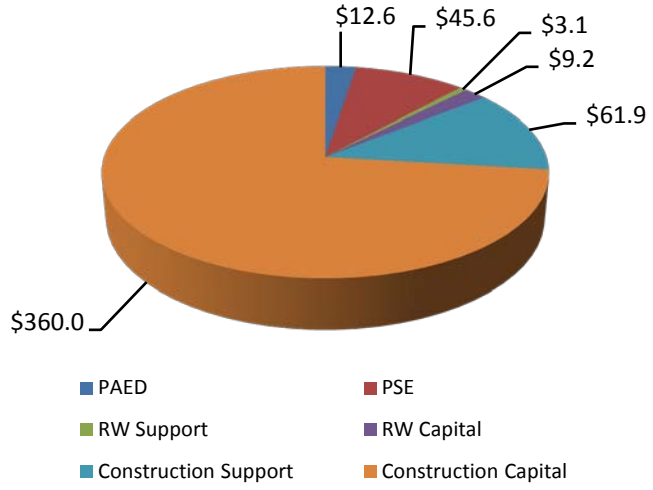
Completed FY 2013-14 SHOPP Projects

SHOPP Program Level

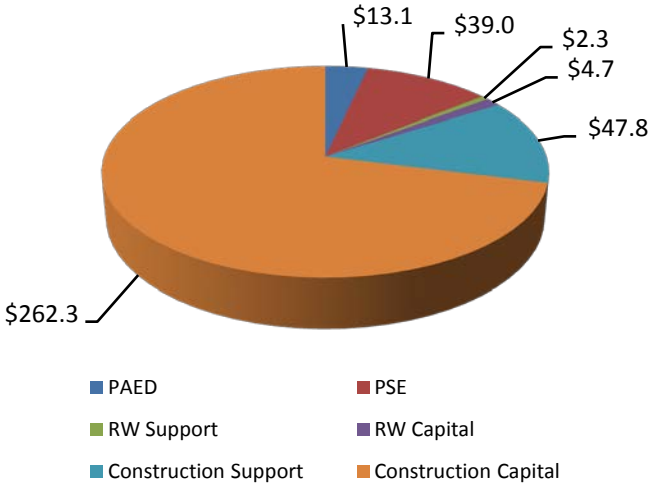
SHOPP Projects (millions)



Approved State Funded Budget (millions)



State Funded Expenditures (millions)



There were a total of 48 SHOPP projects that were completed through the first quarter in FY 2013-14. The total amount of State funds(1) that were approved(2) by the commission for these projects was $492(3) million. The actual cost of the projects completed was $369 million which is 75 percent of the approved funds.

(1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.
(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.
(3) Local funds are only included if they were part of the construction contract administered by Caltrans. Other local funds may not be reflected in accounting and data systems.

SHOPP Component Levels

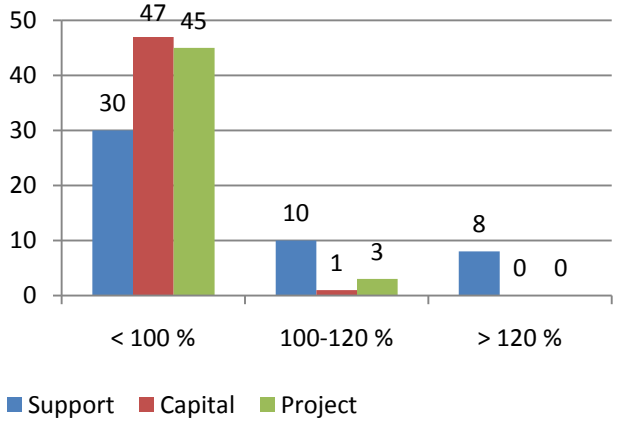
	Approved	Expended	Percent
PJD	$ 58,218	$ 52,101	89
RW	$ 12,272	$ 7,039	57
Con	$ 421,820	$ 310,147	74
Support	$ 123,165	$ 102,263	83
Capital	$ 369,145	$ 267,024	72
All	$ 492,310	$ 369,288	75

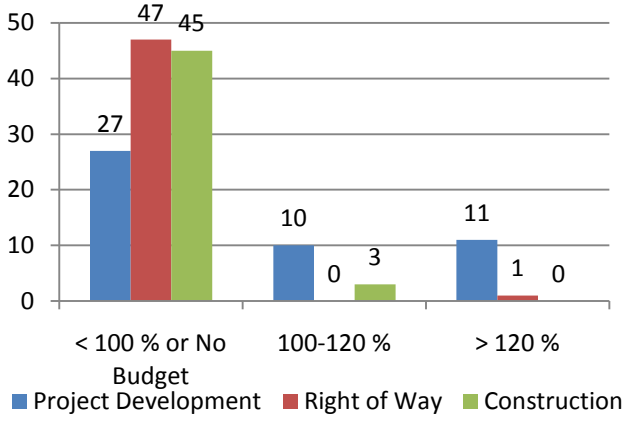
SHOPP Construction Capital Cost Trends

The table below provides construction capital trend information between programmed, allocated, awarded, construction (includes G-12's and supplemental) and expenditures for completed construction projects.

Construction Capital Component Budget	Cost ($1,000'S)	Percent of Allocated Funds
Programmed	$ 444,804	125 %
Allocated	$ 354,926	100 %
Awarded	$ 282,679	80 %
Construction	$ 287,680	81 %
Expended	$ 262,327	74 %

SHOPP Projects Completed Cost Percentages - Component Groupings



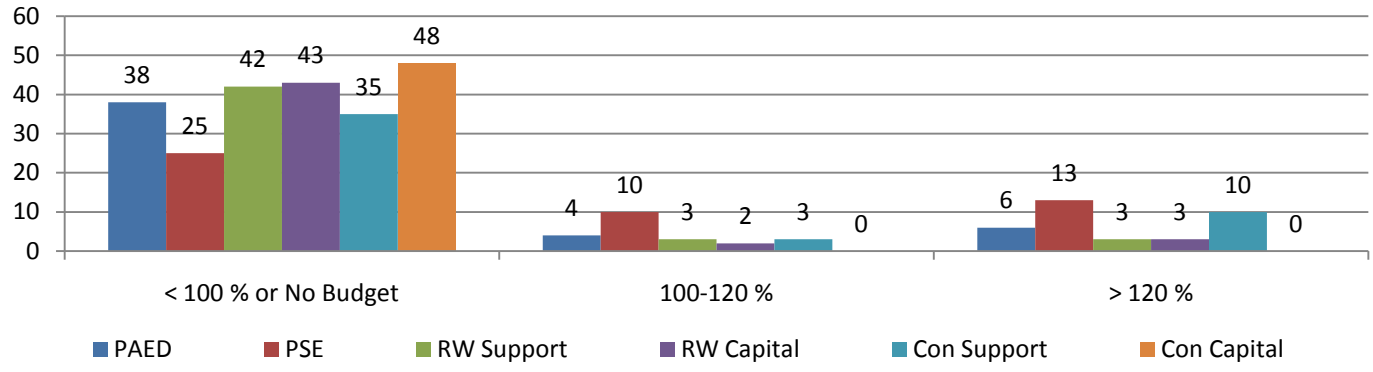


SHOPP Programmed and Completed Cost Information - Component Groupings

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Capital / Support Components	Support	< 100	30		63%	$ 88,340	72%	$ 60,877	60%	Under Budget	
		100-120[1]		10	21%	22,136	18%	22,987	22%		
		> 120		8	17%	12,689	10%	18.489	18%		
		Total				$ 123,165		$ 102,263		$ 20,902	83%
	Capital	< 100	47		98%	$ 364,778	99%	$ 262,218	98%	Under Budget	
		100-120[1]		1	2%	4,367	1%	4,806	2%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 369,145		$ 267,024		$ 102,121	72%
	Project	< 100	45		94%	$ 473,038	96%	$ 348,130	94%	Under Budget	
		100-120[1]		3	6%	19,272	4%	21,158	6%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 492,310		$ 369,288		$ 123,022	75%
STIP Guidelines Components	PJD	No Budget	5		10%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	22		46%	32,192	55%	19,301	37%		
		100-120[1]		10	21%	17,702	30%	19,186	37%		
		> 120		11	23%	8,324	14%	13,614	26%		
		Total				$ 58,218		$ 52,101		$ 6,117	89%
	Right of Way	No Budget	4		8%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	43		90%	12,212	100%	6,554	93%		
		100-120[1]		0	0%	0	0%	0	0%		
		> 120		1	2%	60	0%	485	7%		
		Total				$ 12,272		$ 7,039		$ 5,233	57%
	Construction	No Budget	0		0%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	45		94%	406,701	96%	294,259	95%		
		100-120[1]		3	6%	15,119	4%	15,888	5%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 421,820		$ 310,147		$ 111,673	74%

[1] Reference: Table 2, California State Auditor Report 2010-122: State law requires that STIP project costs may not be changed to reflect differences that are within 20 percent of the amount programmed for actual project costs. Further, according to the chief of Caltrans' Division of Project Management, although there are no written requirements, Caltrans' practice is to manage SHOPP projects similar to STIP projects when a SHOPP project is 20 percent over its support budget.

SHOPP Project Completed Cost Percentages - Individual Components



SHOPP Programmed and Completed Cost Information - Individual Components

		Expended / Budget Percent	Number of Completed Projects			Budget ($1,000's)	Percent Budget	Spent ($1,000's)	Percent Spent	(+/-) ($1,000's)	Cost Ratios Spent / Budget
			Under	Over	Percent						
Individual Project Components	PAED	No Budget	15		31%	$ 0	0%	$ 0	0%	Over Budget	
		< 100	23		48%	4,358	35%	2,617	20%		
		100-120[1]		4	8%	6,661	53%	6,830	52%		
		> 120		6	13%	1,588	13%	3,621	28%		
		Total				$ 12,607		$ 13,068		($ 461)	104%
	PSE	No Budget	2		4%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	23		48%	28,528	63%	16,492	42%		
		100-120[1]		10	21%	11,149	24%	12,449	32%		
		> 120		13	27%	5,934	13%	10,093	26%		
		Total				$ 45,611		$ 39,034		$ 6,577	86%
	RW Support	No Budget	5		10%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	37		77%	1,903	62%	744	32%		
		100-120[1]		3	6%	425	14%	486	21%		
		> 120		3	6%	726	24%	1,112	47%		
		Total				$ 3,054		$ 2,342		$ 712	77%
	RW Capital	No Budget	16		33%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	27		56%	9,167	99%	4,201	89%		
		100-120[1]		2	4%	0	0%	4	0%		
		> 120		3	6%	51	1%	493	10%		
		Total				$ 9,218		$ 4,698		$ 4,520	51%
	Construction Support – Pre SB 1102	No Budget	0		0%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	35		73%	55,591	90%	38,995	82%		
		100-120[1]		3	6%	814	1%	882	2%		
		> 120		10	21%	5,488	9%	7,944	17%		
	Construction Support – SB 1102	No Budget	0		0%	0	0%	0	0%		
		< 100	0		0%	0	0%	0	0%		
		100-120[1]		0	0%	0	0%	0	0%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 61,893		$ 47,821		$ 14,072	77%
	Construction Capital	No Budget	0		0%	$ 0	0%	$ 0	0%	Under Budget	
		< 100	48		100%	359,927	100%	262,327	100%		
		100-120[1]		0	0%	0	0%	0	0%		
		> 120		0	0%	0	0%	0	0%		
		Total				$ 359,927		$ 262,327		$ 97,600	73%

Appendix

(A) Glossary

(B) Past Years' Contracts for Delivery Award Status
Projects Not Yet Awarded

(C) Environmental Documents

(D) Status of Major Projects with Right of Way

(E) Construction Contract Administration

(F) Completed Projects Cost Information

Glossary

#

1st – First

2nd – Second

3rd – Third

4th - Fourth

A

AB – Assembly Bill

B

BATA – Bay Area Toll Authority

BIP –

BOND – Proposition 1B Bond Program

C

Cap – Capital (has construction)

CE – Categorical Exemption

Cert - Certification

CTC – California Transportation Commission

Cty - County

D

Doc – Document

D-EA – District and expenditure authorization

DED – Draft environmental document

E

ED – Environmental Document

EIR – Environmental Impact Report

Emerg – Emergency funded project

Env - Environmental

F

FED – Final environmental document

FY – Fiscal Year

FYTD – Fiscal year to date

N

ND – Negative Declaration

NOP – Notice of Preparation

P

PART – Partnership (local funded projects delivered by state including contributor funds on state funded projects (counts all non-STIP or non- SHOPP Funds)

PAED – Project approval and environmental document

PM – Post Mile

PSE – Plans, specifications and estimate

Q

Q1 – First Quarter

Q2 – Second Quarter

Q3 – Third Quarter

Q4 – Fourth Quarter

Qtr – Quarter

R

RTL – Ready to list

Rte – Route

R/W – Right-of-way

RWC – Right-of-way certification

S

SDWLL – Retrofit Soundwall funded project

SHOPP – State Highway Operations and Protection Program

STIP – State Transportation Improvement Program

T

TBSRA – Toll Bridge Seismic Retrofit Account

TCRF – Traffic congestion relief funds

Prior Years' Contracts for Delivery Award Status

Projects initial bid.

FY CFD	D-EA	Pgm	Cty	Rte	Description	Value	Ready to List	Vote	Ad	Bid Opening	*Comments*
12-13	01-37816	SHOPP	MEN	128	CULVERT REHABILITATION	$5,000	6/25/13	8/16/13	8/26/13	9/24/13	Low bid 5% under EE.
11-12	07-1170U (117080)	STIP/ CMAQ	LA	010	CONSTRUCT HOV LANES & SOUNDWALLS	$134,760	08/15/13	12/06/12	10/14/13	12/12/13	
12-13	01-47940	STIP	DN	199	REALIGNMENT AND WIDENING AT PATRICK CREEK NARROWS	$13,648	6/25/13	8/6/13	8/12/13	10/1/13	Low bid 17% over EE.
12-13	03-3E100	SHOPP	PLA	80	VERTICAL CLEARANCE FOR PERMIT VEHICLE	$27,134	6/28/13	8/6/13	8/26/13	11/6/13	Low bid 1% under EE.
12-13	04-15330	CMAQ	SCL	101	INSTALL RAMP METERING & TRAFFIC OPERATION SYSTEMS.	$26,548	5/17/13	loc	11/25/13	2/4/14	
12-13	04-1A290	SHOPP	SON	12	REPLACE BRIDGE FOR SCOUR	$11,167	5/31/13	8/6/13	8/26/13	11/20/13	
12-13	04-1A671	SHOPP	ALA	580	REHABILITATE BRIDGE DECK	$49,290	6/28/13	8/6/13	8/26/13	9/25/13	Low bid 21% under EE.
12-13	04-23562	SHOPP	SM	101	REPLACE BRIDGE STRUCTURE AT SAN FRANCISQUIT0 CREEK	$9,320	6/14/13	10/8/13	11/18/13	1/28/14	
12-13	04-24544	STIP	SON	101	COLLEGE AVENUE IMPROVEMENT	$2,450	5/31/13	10/8/13	11/18/13	1/14/14	
12-13	04-2A250	SHOPP	SCL	152	IMPROVE SIGHT DISTANCE, UPGRADE SHOULDERS, MINOR REALIGNMENT, TURN-POCKET	$24,826	6/28/13	7/11/13	8/19/13	12/3/13	
12-13	04-2A430	SHOPP	SCL	9	IMPROVE SIGHT DISTANCE, UPGRADE SHOULDERS, AND PROVIDE MINOR	$8,746	6/21/13	7/11/13	8/19/13	9/24/13	Low bid 10% under EE.
12-13	04-2A620	SHOPP	SOL	12	INSTALL LEFT TURN POCKETS, FROM AZAVEDO RD TO LIBERTY ISLAND RD	$9,935	5/1/13	8/6/13	8/26/13	11/6/13	Low bid 29% under EE.
12-13	04-3A870	SHOPP	CC	680	BRIDGE REHABILITATION	$15,270	6/14/13	10/8/13	10/21/13	12/10/13	
12-13	04-4A260	SHOPP	ALA	580	INSTALL METAL BEAM GUARDRAIL	$4,537	11/30/12	5/7/13	11/12/13	12/11/13	
12-13	04-4A510	SHOPP	SF	280	REPLACE BRIDGE HINGES	$9,004	6/28/13	8/6/13	8/26/13	12/4/13	
12-13	04-4A630	SHOPP	VAR	000	CONSTRUCT WHEELCHAIR RAMPS	$11,619	6/11/13	10/8/13	11/25/13	12/18/13	
12-13	04-4S050	SHOPP	SCL	9	CONSTRUCT TIE-BACK WALL	$2,780	6/14/13	8/6/13	8/26/13	10/9/13	Contract postponed.
12-13	05-0C901	SHOPP	SCR	1	INSTALL CCTV AND SIGNS	$2,956	4/12/13	10/8/13	11/12/13	12/10/13	
12-13	05-0G070	SHOPP	SB	101	UPGRADE EXISTING DRAINAGE CULVERTS	$17,169	5/30/13	8/6/13	8/26/13	10/15/13	Low bid 7% over EE.
12-13	05-0R910	SHOPP	SCR	1	UPGRADE MBGR, CONSTRUCT CONCRETE BARRIER & RETAINING WALLS & GUARD	$2,469	3/7/13	6/11/13	7/8/13	8/13/13	Low bid 9% under EE.
12-13	06-0H170	SHOPP	FRE	180	BRIDGE REPLACEMENT	$3,564	5/2/13	6/11/13	7/8/13	8/7/13	Low bid 23% over EE.
12-13	07-21595	LOCAL/ SLPP/ STIP	LA	5	ROADWAY WIDENING & STRIPING -SEG 5	$131,786	6/28/13	loc	10/14/13	12/5/13	
12-13	07-27240	SHOPP	LA	5	STORM WATER MITIGATION	$2,033	6/28/13	8/6/13	8/26/13	10/31/13	Low bid 12% under EE.
12-13	07-3X350	SHOPP	LA	105	RECONSTRUCT THE FAILED SLOPE / HYDRO SEED *DIR	$500	6/19/13	10/8/13	11/4/13	12/12/13	

FY CFD	D-EA	Pgm	Cty	Rte	Description	Value	Ready to List	Vote	Ad	Bid Opening	Comments
12-13	07-3X390	SHOPP	LA	1	REPLACE ENTIRE CRIB WALL SYSTEM *DIR	$3,500	6/28/13	8/6/13	9/9/13	11/7/13	Low bid 5% under EE.
11-12	08-0J010	SHOPP	SBD	018	COSNTRCUT LEFT TURN IN BOTH DIRECTION WIDEN SHOULDER WEST BOUND	$803	06/30/12	08/06/13	09/30/13	10/24/13	Low bid 7% under EE.
12-13	08-0N510	SHOPP	RIV	15	REPLACE EXISTING GUARDRAIL WITH CONCRETE BARRIER	$2,830	3/11/13	6/11/13	9/16/13	10/10/13	Low bid 6% under EE.
12-13	08-43541	SHOPP	SBD	40	PLACE ROCK SLOPE PROTECTION	$489	6/25/13	8/6/13	9/30/13	11/7/13	Low bid 21% under EE.
12-13	08-44910	SHOPP	RIV	111	REPLACE BRIDGE	$7,398	6/28/13	8/6/13	8/26/13	10/10/13	Low bid 3% under EE.
12-13	10-0S110	TCIF/L OCAL	SJ	4	EXTEND FREEWAY	$121,808	5/29/13	6/7/13	8/9/13	11/20/13	
12-13	10-0T040	SHOPP	AMA	104	HIGHWAY RAIL GRADE CROSSING WARNING DEVICE	$433	3/4/13	5/7/13			DPAC contract
12-13	11-00270	SHOPP	SD	5	CONSTRUCT AUXILIARY LANES/ WIDEN CONNECTOR	$15,927	4/29/13	6/11/13	9/3/13	1/16/14	
12-13	11-26501	STIP	SD	163	SCENIC/HISTORIC HIGHWAY PRESERVATION (PHASE 2A) TRANSPORTATION ENHANCEMENT	$3,976	4/29/13	6/11/13			DPAC contract
12-13	11-28240	SHOPP	SD	15	STORM WATER MITIGATION: CONSTRUCT BIOSWALES AND INFILTRATION TRENCHES, PHASE 1 O	$6,550	6/18/13	6/11/13	9/9/13	11/14/13	Low bid 23% under EE.
12-13	11-29480	STIP	IMP	186	PEDESTRIAN/TRANSIT FACILITIES -(TE)	$1,535	4/29/13	6/11/13	8/19/13	9/19/13	Low bid 5% under EE.
12-13	11-40670	SHOPP	SD	5	PAVEMENT PRESERVATION (CAPM)	$53,315	6/28/13	6/11/13		2/13/13	AADD Will be requesting award time extension.
12-13	12-0L970	SHOPP	ORA	39	ADD SAFETY LIGHTING, MODIFY SIGNALS AND PAVEMENT MARKING, ADA UPDATE	$919	4/24/13	4/25/13	8/12/13	9/5/13	Low bid 28% under EE.
11-12	12-0H208	SHOPP	ORA	055	FLATTEN THE SLOPE ABOVE THE MAINTENANCE ACCESS ROAD	$4,458	03/01/12	08/06/13	08/12/13	11/07/13	Low bid 48% under EE.
						$750,452					

Projects allocated, not advertised

12-13	01-26202	STIP	MEN	101	WETLAND/RIPARIAN MITIGATION	$26,290	4/26/13	5/7/13			High bids, requesting 20 month extension to repackage into smaller contracts.
12-13	01-40280	SHOPP	MEN	101	CULVERT REHABILITATION	$1,600	6/28/13	8/16/13			AADD project. Needs federal aid approval.
12-13	07-27490	SHOPP	LA	110	INSTALL PLANTS FOR EROSION CONTROL	$1,250	6/28/13	8/6/13			
12-13	07-25920	SHOPP	LA	10	SAND FILTERS & INFILTRATION DEVICES *PHASE 2 OF 10	$5,654	6/27/13 11/15/13	10/8/13			Re-rtl'd 11/15/13
12-13	08-0G840	SHOPP	SBD	15	UPGRADE AND INCREASE CAPACITY AT THE SAFETY ROADSIDE REST AREA	$11,335	10/25/12	1/8/13	~~10/21/13~~	~~11/21/13~~	Rescoped and to be readvertsied in Jan 2014.
12-13	11-0223U	RTIP/ SHOPP	SD	5	REPLACE BRIDGE, RECONSTRUCT INTERCHANGE, SIGNAL INTERSECTION, ADD AUX LANES AND	$74,700	3/25/13	5/7/13		~~9/22/13~~	Low bid 62% over EE. Time extension being requested, being rescoped to readvertise.

FY CFD	D-EA	Pgm	Cty	Rte	Description	Value	Ready to List	Vote	Ad	Bid Opening	Comments
11-12	11-07670	SHOPP	IMP	000	CONSTRUCT MAINTENANCE STATION	$9,158	11/26/11	05/07/13	~~05/13/13~~	~~06/13/13~~	Low bid 38% over EE. Time extension approved, being rescoped to readvertise and award by April 2014.

$129,987

Projects delivered, not allocated with issue pending

11-12	02-0E360	SHOPP	TEH	005	REBUILD N/B & S/B FACILITIES AT CORNING SRRA'S	$6,000	12/01/11				SRRA projects low priority.
12-13	06-36023	STIP	TUL	99	4 LANE FREEWAY TO 6 LANE FREEWAY	$17,700	7/25/12				Shelved, Funds in FY 15-16
12-13	06-48750	STIP	KIN	198	RECONSTRUCT INTERCHANGE	$15,491	5/9/13 11/14/13				Re-rtl 11/14/13. Buy America issues. Target December vote.
11-12	07-27820	SHOPP	LA	010	INSTALL ADA CURB RAMPS	$3,000	05/22/12				Project plans being updated to new spec requriements.
11-12	08-0G620	SHOPP	SBD	038	GRIND 30MM & OVERLAY 45MM DGAC TYPE A	$13,155	01/31/12				Advanced delivery, no capacity to fund when delivered. Target December vote.
11-12	08-0K280	SHOPP	SBD	040	GRIND 45 MM AND OVERLAY 60 MM AC	$23,219	08/15/11				Advanced delivery, no capacity to fund when delivered. Target December vote.

$78,565

Projects not delivered

12-13	01-47490	SHOPP	MEN	1	REPAIR STORM DAMAGE	$3,400					FY 12-13 Non-delivery Coastal permit
12-13	01-48470	SHOPP	MEN	1	CONSTRUCT MBGR & CENTERLINE RUMBLE STRIPS, UPGRADE DRAINAGE INLETS	$2,500					FY 12-13 Non-delivery Coastal permit
12-13	07-3X450	SHOPP	LA	1	REPAIR FAILED DRAINAGE *DIR	$3,500					12-13 Delivery failure. Reprogrammed to FY 14-15

$9,400

Project Approval (PR) Final Environmental Document (FED) Milestone Delivery

First Quarter - 37 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED
01	0A360	SHOPP	HUM	299	CURVE IMPROVEMENT		
01	0A690	SHOPP	LAK	020	INSTALL MBGR		
01	0B350	SHOPP	HUM	036	STABILIZE ROADWAY		
01	0B390	SHOPP	HUM	096	STABILIZE ROADWAY		
02	0E360	SHOPP	TEH	005	REBUILD N/B & S/B FACILITIES AT CORNI		
02	2E800	SHOPP	SIS	005	UPGRADE FACILITY ENFORCEMENT FAC		
02	3E800	SHOPP	SHA	273	CONSTRUCT & MODIFY ADA CURB RAMP		
02	4E430	SHOPP	SHA	044	.121 PAVEMENT REHAB.		
02	4E690	SHOPP	LAS	395	REHABILITATE WATER SYSTEMS		
02	4E811		VAR		ROADSIDE SAFETY IMPROVEMENTS		
03	1A843	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENT		
03	1A845	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENT		
03	3F080	SHOPP	SAC	051	REHABILITATE AND RESURFACE BRIDGE		
04	0G510	STIP	MRN	000	DEVELOP AN INTERPRETATIVE INVENTO		
04	1G850	SHOPP	SM	092	INSTALL OR MODIFY METAL BEAM GUAR		
04	1SS46	SHOPP	CC	680	COMPACTION GROUTING		
04	1SS47	SHOPP	CC	680	PLACE ROCK SLOPE PROTECTION, REPA		
04	4A480	SHOPP	ALA	260	REPAIR HANDRAIL AND SIDEWALK ALON		
04	4G460	SHOPP	MRN	101	UPGRADE BRIDGE RAILS		
05	0T630	SHOPP	SB	101	CURVE REALIGNMENT		
05	1A730	SHOPP	MON	101	PAVEMENT PRESERVATION (CAP M)		
05	1C300	SHOPP	MON	001	INSTALL RUMBLE STRIP		
05	1F320	SHOPP	SB	101	REPLACE OVERHEAD GUIDE SIGNS, REP		
06	0E340	SHOPP	KER	099	FREEWAY MAINTENANCE ACCESS		
07	28390	STIP	LA	210	TRANSPORTATION ENHANCEMENT		
07	28430	STIP	LA	002	TRANSPORTATION ENHANCEMENT		
08	0G841	STIP	SBD	015	INTERPRETIVE DISPLAYS ON NB & SB		
08	0J930	SHOPP	SBD	015	REHABILITATE BRIDGE		
08	0Q830	SHOPP	SBD	210	GRIND/RECONSTRUCT PCC PAVEMENT.		
08	0R740	SHOPP	RIV	371	INSTALL CENTERLINE GROUND-IN RUMB		
08	0R750	SHOPP	RIV	062	INSTALL MEDIAN BARRIER MARKERS AN		
08	1E490	SHOPP	RIV	010	REPAIR ERODED CHANNEL BED		
08	1E520	SHOPP	RIV	243	REPAIR FIRE DAMAGE		
08	1E530	SHOPP	RIV	243	REPAIR FIRE DAMAGE		
09	33500	SHOPP	MNO	395	MITIGATE MONO LAKE ROCKFALL		
10	0Q290	SHOPP	MER	165	IMPROVE CURVE RADIUS, SUPERELEVAT		
10	0T780	SHOPP	STA	132	TRAFFIC SIGNAL AND WIDENING		

Second Quarter - 71 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED
01	0B080	SHOPP	DN	101	AC OVERLAY		
01	0B410	SHOPP	HUM	101	RECONSTRUCT ROADWAY & DEWATER		
01	0B460	SHOPP	HUM	299	STABILIZE ROADWAY		
01	0C350	SHOPP	LAK	029	CAPM		
01	0C460	SHOPP	HUM	299	CAPM		
01	36291	SHOPP	MEN	101	ROADWAY REHABILITATION		
01	41540	SHOPP	MEN	101	RESURFACING, RESTORATION AND REH		
01	45930	SHOPP	MEN	101	ROADWAY REHABILITATION		
01	49771	SHOPP	MEN	001	PERMANENT RESTORATION: REPAIR SLI		
02	4E900	SHOPP	TEH	032	.010 CURVE IMPROVEMENT		
02	4F410	SHOPP	SIS	096	.131 EMREGENCY PROJECT		
02	4G480	SHOPP	SHA	299	CONSTRUCT SIDEWALKS		
03	3F170	SHOPP	SAC	005	ROADSIDE PAVEMENT AND SAFE ACCES		
03	3F180	SHOPP	SAC	080	PLACE VEGETATION CONTROL AND GRA		
03	3F770	SHOPP	SUT	099	INSTALL SHOULDER AND CENTERLINE R		
04	1SS37	SHOPP	SON	121	CAST IN DRILL HOLE PILE WALL		
04	1SS52	SHOPP	SCL	280	GEOSYNTHETIC REINF EMBANKMENT		
04	23565	STIP	SM	101	RECONSTRUCT INTERCHANGE		
04	27205	SHOPP	SCL	280	REHABILITATE RAMPS		
04	2A330	SHOPP	ALA	084	IMPROVE SIGHT DISTANCE, UPGRADE S		
04	2G520	SHOPP	ALA	580	UPGRADE TYPE W BEAM (WB) OR SINGL		
04	3G680	SHOPP	SM	101	CONSTRUCT ROADSIDE PAVEMENT, MVI		
04	3G700	SHOPP	SF	101	ROADSIDE SAFETY IMPROVEMENTS		
04	3G710	SHOPP	ALA	580	ROADSIDE SAFETY IMPROVEMENTS		
04	4A360	SHOPP	SM	000	REPLACE METAL BEAM GUARDRAIL WITH		
04	4A810	SHOPP	ALA	880	STORM WATER MITIGATION		
04	4A820	SHOPP	ALA	080	STORM WATER MITIGATION		
04	4A830	SHOPP	ALA	013	STORM WATER MITIGATION		
04	4G470	SHOPP	MRN	580	UPGRADE BRIDGE RAIL		
04	4G640	SHOPP	SM	084	REPAIR WASHOUT CONSTRUCT WALL		
04	4H221	SHOPP	ALA	580	AC RESURFACING (MAINLINE)		
04	4H222	SHOPP	ALA	580	REHABILITATE PAVEMENT / RAMPS		
04	4S190	SHOPP	SON	116	CONSTRUCT SOLDIER PILE WALL		
05	0G040	SHOPP	SLO	101	HIGHWAY REHAB		
05	0S250	SHOPP	SB	101	ROADSIDE SAFETY IMPROVEMENTS		
05	1A760	SHOPP	MON	001	PAVEMENT PRESERVATION (CAP M)		
05	1A870	SHOPP	SCR	001	RE-STRIPING AND SHOULDER WIDENING		
05	1C130	SHOPP	SLO	001	CURB RAMPS AND SIDEWALK		
05	1C310	SHOPP	SCR	001	INSTALL RUMBLE STRIPS		
05	1C860	SHOPP	SCR	001	PAVEMENT PRESERVATION		
07	28490		LA	118	WIDENING OFF-RAMP		
07	28750		VEN	118	WILDLIFE CORRIDOR STUDY		
07	28810	SHOPP	LA	60	WIDEN FREEWAY		
07	29300	SHOPP	LA	210	REHAB RAMPS AND CONNECTOR PAVEM		
07	29460	SHOPP	LA	101	ROADSIDE SAFETY IMPROVEMENTS		
07	29470	SHOPP	LA	101	SLAB REPLACEMENT/COLDPLANE AC O/L		
07	29490	SHOPP	LA	005	PREVENTIVE MAINTENANCE		
07	29500	SHOPP	LA	010	SLAB REPLACEMENT		
07	29550	SHOPP	LA	101	ROADSIDE SAFETY IMPROVEMENTS		
07	29560	SHOPP	LA	005	PVMT PRESERVATION,SLAB REPL,SHLDF		
07	29570	SHOPP	LA	605	PAV PRESERVATION,SLAB REPL/GRNDN		
07	29670	SHOPP	VEN	118	PAVEMENT REPAIR & RAMP		
07	29680	SHOPP	LA	2	RESURFACE		
07	29700	SHOPP	LA	138	COLD PLANE AND OVERLAY		
07	30230	SHOPP	LA	210	LANE REPLACEMENT		
07	30280	SHOPP	LA	138	AC OL ON ETW TO ETW		
08	0P980	SHOPP	SBD	018	SIGNALIZE INTERSECTION. ADA REQUIR		
08	0Q751	SHOPP	RIV	060	PAVEMENT REHABILITATION		
08	0R460	SHOPP	RIV	091	IMPROVE WORKER SAFETY CONDITIONS		
08	0R490	SHOPP	RIV	091	IMPROVE WORKER SAFETY CONDITIONS		
08	0R950	SHOPP	RIV	371	CONSTRUCT LEFT TURN LANE		
08	1C370		RIV	095	MILL AND OVERLAY PAVEMENT WITH DIG		
09	35690	SHOPP	MNO	108	UPGRADE BARRIER APPROACH RAIL		
10	0Q220	SHOPP	AMA	088	AC OVERLAY AND DIGOUTS		
10	0V660	SHOPP	STA	005	CAP M		
10	0W690	SHOPP	SJ	004	REPLACE JOINT SEALS, BEARING PADS a		
12	0H007	SHOPP	ORA	005	TO PROVIDE CLOSED CIRCUIT TV (CCTV)		
12	0J640	SHOPP	ORA	055	REVEGETATION OF EXPOSED SLOPES F		
12	0L720	SHOPP	ORA	074	CONSTRUCT 4-FOOT RIGHT SHOULDER,		
12	0L92U	SHOPP	ORA	005	UPGRADE PEDESTRIAN FACILITIES W/IN		
12	0N110	SHOPP	ORA	133	DEEP INJECTION GROUTING AT THREE L		

Legend

	Completed
N	Not Needed due to Env Doc Change
	Behind Schedule
	To Be Completed
	Delay Out of Year

Project Approval (PR) Final Environmental Document (FED) Milestone Delivery (page 2)

Third Quarter - 62 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED
01	0A320	SHOPP	HUM	299	CURVE IMPROVEMENT		
01	0A520	SHOPP	HUM	299	CURVE IMPROVEMENT		
01	0B370	SHOPP	HUM	036	SLIDE REPAIR		
01	0B380	SHOPP	HUM	096	SLIDE REPAIR		
01	0B400	SHOPP	HUM	101	RECONSTRUCT ROADWAY & DRAINAGE		
01	0B450	SHOPP	HUM	299	REPAIR SLIDES & SLIPOUTS		
01	26201	STIP	MEN	101	RYAN CREEK FISH PASSAGE - COHO SAL		
01	26204	STIP	MEN	101	SHERWOOD ROAD GEOMETRIC UPGRAD		
01	43060	SHOPP	HUM	254	REPLACE BRIDGE RAILS AND WIDEN (FO		
02	0E090	SHOPP	SHA	5	.113 SEISMIC RETROFIT DOG CREEK BR		
02	3E730	SHOPP	SIS	005	.110 BRIDGE DECK REPLACEMENT.		
02	3E770	SHOPP	TRI	299	.121 CURB RAMPS		
03	0F370	SHOPP	GLE	005	RAISE STRUCTURE OR LOWER ROADWA		
03	2F290	SHOPP	PLA	267	PAVEMENT REHAB AND WIDEN SHOULDI		
03	2F990	HM	SAC	160	HMA OVERLAY		
03	3F040	SHOPP	SAC	005	REPLACE ALL PUMP HOUSE COMPONEN		
03	3F660	HM	SAC	160	HMA OVERLAY		
03	3F790	SHOPP	SUT	020	REPLACE SIGNAL POLES		
04	15148		ALA	880	TO INSTALL RAMP METERS & TRAFFIC O		
04	1A340	SHOPP	SCL	009	BRIDGE RAIL REPLACEMENT		
04	1G830	SHOPP	SM	280	UPGRADE TO STANDARD THE EXISTING		
04	1SS42	SHOPP	ALA	680	REPAIR SLOPE AND INSTALL ROCK SLOP		
04	23552		SM	092	INTERCHANGE IMPROVEMENT		
04	27204	SHOPP	SCL	280	REHABILITATE ROADWAY MAINLINE		
04	3G110	SHOPP	SON	116	ROCKSLOPE PROTECTION		
04	3G590	SHOPP	ALA	580	ROADWAY REHABILITATION (2R)		
04	3G650	SHOPP	SOL	680	REHABILITATE PAVEMENT		
04	4A000	SHOPP	MRN	101	BRIDGE RAIL REPLACEMENT AT THREE L		
04	4C200	HM	SCL	152	PREVENTIVE MAINTENANCE, ASPHALT C		
04	4G111	STIP	ALA	680	WIDEN RAMPS AND CONSTRUCT RAMP M		
04	4G190		ALA	580	INSTALL RAMP METERING AND TOS ELEI		
04	4G590	SHOPP	SM	280	REPAIR PIPE SYSTEM		
04	4G630	SHOPP	SM	280	REPAIR WASHOUT AND RSP		
05	0N700	STIP	SB	101	CONSTRUCT HOV LANES		
05	1C080	SHOPP	SLO	101	ROADSIDE SAFETY IMPROVEMENTS		
05	1C090	SHOPP	MON	068	ROADSIDE SAFETY IMPROVEMENTS		
05	1C100	SHOPP	SCR	001	ROADSIDE SAFETY IMPROVEMENTS		
05	1C110	SHOPP	SLO	101	ROADSIDE SAFETY IMPROVEMENTS		
05	1C240	SHOPP	SLO	001	INSTALL RUMBLE STRIP		
06	0K810	SHOPP	KER	099	SEISMIC RESTORATION		
06	0N960	SHOPP	KER	204	PLACE DECK OVERLAY, REPLACE JOINT		
06	0P980	SHOPP	FRE	168	CONSTRUCT MEDIAN BARRIER		
06	34235	STIP	FRE	180	HIGHWAY PLANTING		
07	2844U	STIP	LA	110	CONSTRUCT BICYCLE/PEDESTRIAN TRAI		
07	28820	SHOPP	LA	060	STORM WATER SOURCE CONTROL		
07	28920	SHOPP	LA	710	SOURCE CONTROL		
07	29080	SHOPP	LA	001	UPGRADE PEDESTRIAN FACILITIES		
07	29450	STIP	LA	005	NATIVE PLANTING AND ENHANCEMENTS		
08	0N550	SHOPP	SBD	040	BRIDGE REPLACEMENT		
08	0Q300	SHOPP	SBD	138	CONSTRUCT TWO LANE CONVENTIONAL		
08	0Q790	SHOPP	SBD	040	BRIDGE REPLACEMENT (BR #54 0889L&R		
08	0R470	SHOPP	SBD	215	UPGRADE PEDESTRIAN FACILITIES, REM		
09	21340	STIP	INY	395	CONSTRUCT 4 LANE EXPRESSWAY		
09	35060	STIP	INY	168	CONSTRUCT SIDEWALKS		
10	0P920	SHOPP	MPA	140	CLEAR LANDSLIDE		
10	0U520	SHOPP	MER	059	INSTALL LEFT TURN CHANNELIZATION		
10	0V620	SHOPP	CAL	026	SIGNALIZATION AND CHANNELIZATION		
11	26041	SHOPP	SD	008	DRAINAGE IMPROVEMENTS		
11	28230	SHOPP	SD	005	STORM WATER DETENTION BASINS RET		
11	40640	SHOPP	SD	078	UPGRADE BRIDGE RAIL ENDTREATMENT		
11	41540	SHOPP	SD	008	ENHANCE STRIPING AND UPGRADE BRID		
12	0H890	SHOPP	ORA	055	REPAIR EXISTING ROCK BLANKET, UPGR		

Fourth Quarter - 35 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED
01	0B030	SHOPP	HUM	096	CURVE IMPROVEMENT		
01	0B270	SHOPP	DN	101	REPAIR ROADWAY FAILURES		
01	0B300	SHOPP	DN	101	STABILIZE ROADWAY		
01	0B340	SHOPP	HUM	036	RECONSTRUCT ROADWAY & DRAINAGE		
01	0B470	SHOPP	MEN	001	REPAIR SLIPOUT		
01	46392	SHOPP	HUM	000	RECONSTRUCT GUARD RAILING		
01	49370	SHOPP	HUM	096	SHOULDER WIDENING/LIGHT GUARD CR		
02	4E890	SHOPP	SHA	299	.010 MCCANDLESS GULCH CURVE IMPR		
02	4F610	SHOPP	VAR	005	.119 BRIDGE DECK REHAB		
03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY		
03	1F990	SHOPP	BUT	032	ADA COMPLIANCE CURB RAMPS, SIGNAL		
03	3F650	SHOPP	NEV	089	PAVEMENT OVERLAY		
04	0G720	SHOPP	SCL	152	UPGRADE INTERSECTION AND INSTALL S		
04	1SS03	SHOPP	ALA	580	CONSTRUCT SOLDIER PILE WALL		
04	1SS41	SHOPP	ALA	013	INSTALL SHOULDER BEAM TIE-BACK RET		
04	2G440	SHOPP	CC	080	UPGRADE TYPE W BEAM OR SINGLE THR		
04	2G450	SHOPP	CC	680	UPGRADE TO TYPE W BEAM (WB) OR GL		
04	2G830	SHOPP	ALA	013	REPAIR SLOPE SLIDE WITH SOLDIER BE		
04	2G850	SHOPP	ALA	580	CONSTRUCT RETAINING WALL		
04	2G890	SHOPP	MRN	001	CONSTRUCT SOLDIER PILE WALL WITH T		
04	2G940	SHOPP	NAP	128	CONSTRUCT ROADWAY RETAINING SYS		
04	3G640	SHOPP	NAP	029	SCOUR REPLACE BRIDGE		
04	3S900	SHOPP	MRN	001	CONSTRUCT TIE-BACK WALL		
04	4G450	SHOPP	SOL	780	REPLACE BRIDGE		
04	4S660	SHOPP	MRN	001	PERMANENT RESTORATION & TO REPLA		
06	0L340	SHOPP	FRE	168	INTERSECTION IMPROVEMENT		
06	0Q580		KER	005	WIRE THEFT RESTORATION		
07	29770	SHOPP	LA	110	INSTALL SAFETY LIGHTING		
07	29850	SHOPP	LA	405	RECONFIGURE RAMPS		
08	0R510	SHOPP	SBD	015	INSTALL VEGETATION CONTROL UNDER		
08	34770	STIP	SBD	58	CONSTRUCT 4-LANE EXPWY ON NEW AL		
09	34090	SHOPP	MNO	395	REHAB/REPLACE CULVERTS		
10	0P800	SHOPP	MER	140	BRIDGE SCOUR MITIGATION		
10	0W140	SHOPP	MER	152	CONSTRUCT MEDIAN BARRIER		
10	0X320	SHOPP	MER	165	INSTALL RUMBLE STRIPS		

Legend

	Completed
N	Not Needed due to Env Doc Change
	Behind Schedule
	To Be Completed
	Delay Out of Year

Draft Environmental Document (DED) Milestone Delivery

First Quarter - 4 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
04	15148		ALA	880	TO INSTALL RAMP METERS & TRAFFIC O	N
04	23565	STIP	SM	101	RECONSTRUCT INTERCHANGE	
06	0P590	SHOPP	TUL	190	CONSTRUCT ROUNDABOUT	
09	35060	STIP	INY	168	CONSTRUCT SIDEWALKS	

Second Quarter - 24 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	0A320	SHOPP	HUM	299	CURVE IMPROVEMENT	
01	0A520	SHOPP	HUM	299	CURVE IMPROVEMENT	
01	0B400	SHOPP	HUM	101	RECONSTRUCT ROADWAY & DRAINAGE	
01	26201	STIP	MEN	101	RYAN CREEK FISH PASSAGE - COHO SAI	
01	26204	STIP	MEN	101	SHERWOOD ROAD GEOMETRIC UPGRAD	
01	43060	SHOPP	HUM	254	REPLACE BRIDGE RAILS AND WIDEN (FO	
01	49771	SHOPP	MEN	001	PERMANENT RESTORATION: REPAIR SLI	
03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY	
04	17244	SHOPP	ALA	084	ENVIRONMENTAL MITIGATION	
04	1SS03	SHOPP	ALA	580	CONSTRUCT SOLDIER PILE WALL	
04	23552		SM	092	INTERCHANGE IMPROVEMENT	
04	2G890	SHOPP	MRN	001	CONSTRUCT SOLDIER PILE WALL WITH T	
04	2G940	SHOPP	NAP	128	CONSTRUCT ROADWAY RETAINING SYS	
04	3G590	SHOPP	ALA	580	ROADWAY REHABILITATION (2R)	
04	3S900	SHOPP	MRN	001	CONSTRUCT TIE-BACK WALL	
04	4G111	STIP	ALA	680	WIDEN RAMPS AND CONSTRUCT RAMP M	
04	4G190		ALA	580	INSTALL RAMP METERING AND TOS ELEI	
04	4G590	SHOPP	SM	280	REPAIR PIPE SYSTEM	
06	0K460	SHOPP	KER	099	BRIDGE REPLACEMENT	
06	0K810	SHOPP	KER	099	SEISMIC RESTORATION	
06	0L340	SHOPP	FRE	168	INTERSECTION IMPROVEMENT	
06	0N990	SHOPP	FRE	041	BRIDGE SEISMIC RETROFIT	
09	34090	SHOPP	MNO	395	REHAB/REPLACE CULVERTS	
09	35690	SHOPP	MNO	108	UPGRADE BARRIER APPROACH RAIL	

Third Quarter - 20 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	0B030	SHOPP	HUM	096	CURVE IMPROVEMENT	
01	0B470	SHOPP	MEN	001	REPAIR SLIPOUT	
03	3F360		SAC	050	BUS/CARPOOL LANE ADDITIONS	
04	16030	SHOPP	ALA	084	REPLACE BRIDGE	
04	1A903	SHOPP	SF	001	CONSTRUCT DRAINAGE	
04	1SS42	SHOPP	ALA	680	REPAIR SLOPE AND INSTALL ROCK SLOP	
04	28120	STIP	NAP	029	INTERSECTION IMPROVEMENTS	
04	2G830	SHOPP	ALA	013	REPAIR SLOPE SLIDE WITH SOLDIER BEA	
04	3G640	SHOPP	NAP	029	SCOUR REPLACE BRIDGE	
05	0A050	SHOPP	SB	001	CONSTRUCT SOIL NAIL/TIEBACK RETAIN	
05	0Q600	SHOPP	SCR	017	STORM WATER MITIGATION	
05	0T990	SHOPP	MON	101	TREE AND MBGR REMOVAL	
06	0H200	SHOPP	TUL	201	BRIDGE RAIL REPLACMENT	
06	0M370	SHOPP	KIN	043	CONSTRUCT ROUNDABOUT	
06	0N180	SHOPP	KIN	198	INSTALL MEDIAN BARRIER	
06	46380		FRE	180	ADD PASSING LANES	
08	0N69U	SHOPP	RIV	060	CONSTRUCT A TRUCK CLIMBING LANE E	
10	0P800	SHOPP	MER	140	BRIDGE SCOUR MITIGATION	
10	0W140	SHOPP	MER	152	CONSTRUCT MEDIAN BARRIER	
11	40570	SHOPP	SD	076	INTERSECTION IMPROVEMENT AT SR-76	

Fourth Quarter - 9 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	0A490	SHOPP	HUM	299	CURVE IMPROVEMENT	
01	0B360	SHOPP	HUM	036	RECONSTRUCT ROADWAY & DRAINAGE	
01	0B420	SHOPP	HUM	101	CONSTRUCT BUTTRESSES & DEWATER	
01	0B430	SHOPP	HUM	101	BIG LAGOON SLIPOUT REPAIR	
01	0C241	SHOPP	DN	101	CONSTRUCT SOLDIER TIE BACK WALL &	
01	49370	SHOPP	HUM	096	SHOULDER WIDENING/LIGHT GUARD CR	
04	0A020	SHOPP	SON	001	REALIGN ROADWAY	
04	1SS41	SHOPP	ALA	013	INSTALL SHOULDER BEAM TIE-BACK RET	
04	3G620	SHOPP	SF	101	BRIDGE REHABILITATION	

	Completed
N	Not Needed due to Env Doc Change
	Behind Schedule
	To Be Completed
	Delay Out of Year

2013/14 FY Right of Way Capital Major Project List

D	EA	PPNO	Cty	Rte	Description	RW Cert Date	RW Capital All Funds	RW Capital STIP/ SHOPP	Doc Year	Total RW Capital Estimate	2013 FY Alloc Plan	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
01	262020	0125X	MEN	101	WETLAND/RIPARIAN MITIGATION	2/12/2013	21,530	21,530	2012	$ 26,355	$ 4,944,000	$ 2,436			
01	3986U1		LAK	053	WIDEN ROADWAY WITH HMA OVER AB	5/25/2010	1,086	1,086	2008	$ 1,031	$ 1,021,000	0			
02	360700	3017	SHA	299	ROADWAY REHABILITATION	5/1/2013	3,092	3,092	2012	$ 1,800	$ 1,166,000	$ 388,371			
03	3C3800	3258	ED	050	STORM WATER MITIGATION	3/15/2014	3,000	3,000	2012	$ 2,351	$ 1,755,000	$ 25,721			
04	1637E1	0619E	SF	101	SOUTH ACCESS TO GOLDEN GATE BRIDGE DOYLE DR REPLACEMENT PROJECT-ALL	7/21/2010	32,300	32,300	2010	$ 85,990	$ 3,364,000	0			
04	1A2901	0756G	SON	012	REPLACE BRIDGE FOR SCOUR	1/21/2013	2,271	2,271	2012	$ 2,500	$ 2,260,000	$ 182,500			
04	259410	0378C	NAP	029	REHABILITATE ROADWAY	6/1/2014	3,283	3,283	2012	$ 3,765	$ 1,935,000	$ 190,850			
04	264071	0360J	MRN	101	CONSTRUCT INTERCHANGE ON RTE 101 AT SAN ANTONIO RD;INCLUDING FRONTAGE	4/26/2012	34,216	17,904	2012	$ 36,994	$ 3,408,000	$ 1,172,904			
04	264081		SON	101	CONSTRUCT INTERCHANGE ON RTE 101 AT PETALUMA BLVD;INCLUDING FRONTAGE	5/11/2012	0		0	$ -	$ 1,660,000	$ 200,302			
04	264091	0360G	MRN	101	REALIGN ROUTE 101 AT SAN ANTONIO CURVE	11/1/2013	5,202	5,202	2012	$ 15,189	$ 3,561,000	$ 79,212			
04	4A0900	0382D	NAP	029	REPLACEMENT OF TROUTDAL CREEK BRIDGE ON A NEW ALLIGNMENT	5/1/2014	1,630	1,630	2012	$ 2,300	$ 1,630,000	$ 4,483			
05	33078	0226H	SLO	46	CONVERT TO 4-LANE EXPRESSWAY	5/1/2015	11,000	11,000	2010	$ 1,000	$ 1,000,000	$ 33,400			
05	49280	4928	SLO	1	REALIGN ROADWAY	10/3/2013	14,170	14,170	2004	$ 6,000	$ 6,000,000	$ 3,000,916			
05	315801	0058E	MON	101	CONSTRUCT NEW INTERCHANGE AT SAN JUAN ROAD	3/30/2012	20,000	9,550	2012	$ 16,360	$ 2,000,000	$ 45,890			
05	344901	0297	SBT	156	WIDEN 2 TO 4 LANES	1/16/2015	14,636	14,636	2012	$ 21,807	$ 4,599,000	0			
05	4482U1	0482	SB	101	RECONSTRUCT INTERCHANGES (2) AND REPLACE CARPINTERIA CR BR	7/15/2014	9,388	5,394	2012	$ 5,806	$ 4,043,000	$ 93,671			
06	0H6301	6468	TUL	198	ADA COMPLIANCE UPGRADES	5/1/2014	606	606	2012	$ 1,386	$ 1,386,000	0			
06	434011	8650A	TUL	065	WIDEN 2 LANE CONVENTIONAL HIGHWAY TO 4 LANE EXPRESSWAY	5/1/2015	5,730	5,730	2012	$ 7,386	$ 2,007,000	$ 40,550			
06	457111	8042A	KER	014	CONVERT EXISTING 2-LANE TO 4-LANE EXPRESSWAY	1/2/2015	9,500	9,500	2012	$ 9,500	$ 3,049,000	0			
06	471501	6423	TUL	099	RECONSTRUCT INTERCHANGE	2/1/2016	16,000	6,000	2012	$ 11,759	$ 1,566,000	0			
07	1170U1	0309N	LA	010	CONSTRUCT HOV LANES & SOUNDWALLS *COMB WITH 117081 & 111721	5/30/2013	25,594	25,594	2012	$ 26,375	$ 6,000,000	$ 2,332,624			
07	1193U1	0310B	LA	010	CONSTRUCT HOV LANE IN EA DIRECTION *COMB 28900 + 11934 INTO 1193U	4/7/2014	8,000	8,000	2012	$ 6,500	$ 1,000,000	$ 30,047			
07	127271	0694Q	LA	138	WIDEN CONVENTIONAL HIGHWAY (SEG 12) *SPLIT=1272U1	6/2/2011	6,606	6,606	2010	$ 6,595	$ 1,139,000	$ 14,644			
07	202111	4137	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	5/11/2011	3,000	3,000	2010	$ 2,270	$ 1,500,000	$ 2,200			
07	202121	4137A	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	4/3/2014	34,900	34,900	2012	$ 23,297	$ 6,000,000	$ 2,145			
07	215921	2808	LA	005	ROADWAY WIDENING (SEG 2) *POR=2159A1	3/14/2014	249,994	89,757	2012	$400,434	$ 19,627,000	$ 2,101,812			
07	215941	4155	LA	005	ROADWAY WIDENING (SEG 4) *POR=2159A1	3/9/2012	181,154	85,404	2012	$239,388	$ 10,178,000	$ 1,480,148			
07	215951	4156	LA	005	ROADWAY WIDENING & STRIPING (SEG 5) *POR=2159A1	6/14/2013	36,452	36,452	2012	$ 84,095	$ 13,171,000	$ 4,049,536			
07	4L2211	3732A	LA	001	ROADWAY STABILIZATION	6/5/2012	2,650	2,650	2010	$ 2,000	$ 1,700,000	$ 81,863			

2013/14 FY Right of Way Capital Major Project List

D	EA	PPNO	Cty	Rte	Description	RW Cert Date	RW Capital All Funds	RW Capital STIP/ SHOPP	Doc Year	Total RW Capital Estimate	2013 FY Alloc Plan	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
07	4Y7001	4386	LA	405	FULL REMOVAL & PAINT STEEL GIRDERS	9/11/2014	1,052	1,052	2012	$ 2,152	$ 2,151,000	$ 545			
07	4Y8501	4383	LA	103	SPOT PROP AND PAINT	2/3/2014	1,749	1,749	2012	$ 1,476	$ 1,317,000	0			
08	043511	0217F	SBD	58	REALIGN & WIDEN 2 TO 4 LANE EXPWY	4/15/2014	38,536	38,536	2012	$ 58,799	$ 9,597,000	$ 226,092			
08	0C1211	0259K	SBD	395	WIDEN MEDIAN & SHOULDER, INSTALL MEDIAN & SHOULDER RUMBLE STRIPS &	6/29/2011	4,908	4,908	2010	$ 4,908	$ 1,129,000	$ 813			
08	0G9001	0253F	SBD	247	CONSTRUCT STANDARD PAVED SHOULDER	6/10/2014	4,847	4,847	2012	$ 4,426	$ 2,211,000	$ 28,611			
08	3401U1	0239D	SBD	138	WIDEN TO 4 LNS FR BEEKLEY RD TO JCT 15, ADD 1 LN EB FR PHELAN RD TO	12/5/2013	3,569	3,569	2012	$ 9,944	$ 3,302,000	$ 195,947			
08	355560	0174L	SBD	015	ADD N/B MIXED FLOW LANE W/AUX LANE. RECON "D" & "E" ST ICS & STODDARD	1/3/2014	13,826	13,826	2012	$ 32,094	$ 5,799,000	$ 741,948			
11	167881	0021F	IMP	078	CONSTRUCT FOUR-LANE EXPRESSWAY AND INTERCHANGE - (STAGE 2)	5/24/2007	14,259	12,526	2010	$ 24,438	$ 1,493,000	$ 8,355			
11	167891		IMP	078	CONSTRUCT FOUR LANE EXPRESSWAY	4/1/2010	26,375	13,371	2010	$ 18,529	$ 1,609,000	$ 800,000			
12	0E3101	4102	ORA	074	RECONSTRUCT IC AT SR-74	4/19/2012	28,753	28,753	2012	$ 28,753	$ 4,500,000	$ 3,123,196			
12	0H2081	3577A	ORA	055	FLATTEN THE SLOPE ABOVE THE MAINTENANCE ACCESS ROAD	5/16/2012	3,166	3,166	2012	$ 2,500	$ 1,001,000	0			
											$ 146,778,000	$20,681,732			

Division of Construction
CONTRACT ADMINISTRATION
Q1 Fiscal Year 2013/14 ($ in Millions)

Construction Contracts - Quarterly Status Report[a]	Q1 12/13		Q2 12/13		Q3 12/13		Q4 12/13		Q1 13/14	
	Number	Value	Number	Value	Number	Value	Number	Value	Number	Value
1. Ongoing Contracts @ Beginning of Quarter	769	$11,305	744	$11,690	644	$12,217	653	$12,346	735	$ 12,155
2. New Contracts this Quarter	103	$ 775	88	$ 1,345	115	$ 925	176	$ 397	80	$ 267
3. Accepted Contracts this Quarter	128	$ 390	188	$ 818	106	$ 796	94	$ 588	85	$ 887
Ongoing Contracts @ End of Quarter (1+2-3)	744	$11,690	644	$12,217	653	$12,346	735	$12,155	730	$ 11,535
4. Accepted Contracts @ Beginning of Quarter	1,305	$ 7,393	1,316	$ 6,902	1,417	$ 7,307	1,430	$ 7,451	1,439	$ 7,426
5. Accepted Contracts this Quarter	128	390	188	818	106	796	94	588	85	887
6. Contracts Closed this Quarter	115	874	85	407	89	647	79	483	83	281
7. New Contracts in Arbitration this Quarter	2	7	2	6	4	5	6	130	7	104
Accepted Contracts @ End of Quarter (4+5-6-7)[b]	1,316	$ 6,902	1,417	$ 7,307	1,430	$ 7,451	1,439	$ 7,426	1,434	$ 7,928
Accepted Contracts with claims only @ End of Quarter[c]	64	$1,394	105	$693	91	$794	65	$778	71	$995
8. Contracts in Arbitration @ Beginning of Quarter[d]	32	$ 578	28	$ 485	23	$ 344	22	$ 260	25	$ 263
9. New Contracts in Arbitration this Quarter	2	7	2	6	4	5	6	130	7	104
10. Contracts with Arbitration Settlements/Awards this Quarter	6	100	7	147	5	89	3	127	8	71
Contracts in Arbitration @ End of Quarter (8+9-10)	28	$ 485	23	$ 344	22	$ 260	25	$ 263	24	$ 296

a Quarterly figures updated to reflect revised or new data at the end of the current quarter.

b Accepted contracts with close-out activities in progress. Contracts in arbitration are not included.

c Beginning Quarter 1 of FY 2010/2011, quarterly figures exclude contracts that have a final estimate (FE), a district director determination (DDD), or district expenditures done (DED).

d A contractor may file for arbitration 240 days after project acceptance, or within 90 days after final determinations on claims have been made. Contractors must file within 90 days after the Department makes a final determination on claims or lose opportunity for arbitration. (Contractors have 180 days to file on contracts that were approved prior to January 1, 1999.)

Total dollar amount of claims at end of current quarter = $29.1M
Total dollar amount filed for in arbitration at end of current quarter = $44.9M

Includes contracts flagged as: Emergency, Local Assistance, and Warranty

FY 2013-14 Completed STIP Projects, (STATE Funds Only Support, Right of Way, State & Local Funds for Construction Capital)

																							Total Overall Project
				Project Development PJD					Right of Way Component					Construction Component									
				PAED		PSE			RW Support		RW Capital			CON Support		SB102		CON Capital					
D	CTY	RT	Description	Bud	Cost	Bud	Cost		Bud	Cost	Bud	Cost		Bud	Cost	PROJ?		Bud	Cost				
				☒ Costs > 120%					◆ Costs 100 - 120%					☑ Costs < 100%									
Quarter 1 Projects (7 Projects)																							
2	SHA	5	Widen Bridges	$ 1,195	$ 933	$ 510	$ 842	◆	$ 50	$ 30	$ -	0	☑	$ 2,250	$ 1,827	☑	N	$ 19,463	$ 18,605	☑	☑		
3	SAC	99	Realign Ramps	$ -	$ -	$ -	$ -		$ -	$ -	$ -	0		$ 750	741	☑	N	$ 5,506	$ 5,099	☑	☑		
6	KIN	198	2-Ln Conventional ti 4 Ln Exp	$ 1,549	$ 1,982	$ 5,758	$ 6,168	◆	$ 3,137	$ 4,293	$ 22,300	22,651	◆	$ 9,514	$ 8,434	☑	N	$ 51,764	$ 51,753	☑	◆		
6	KER	46	Widen to 4 Lns	$ 438	$ 438	$ 3,502	$ 3,967	◆	$ 1,055	$ 1,733	$ 10,603	$ 9,530	☑	$ 9,900	$ 4,092	☑	N	$ 49,995	$ 45,511	☑	☑		
8	SBD	215	Construct Bridges	$ -	$ 114	$ -	$ 491	☒	$ 2,585	$ 1,743	$ 9,666	7,310	☑	$ 19,666	$ 12,864	☑	N	$ 76,879	$ 71,417	☑	☑		
10	SJ	205	Additl Lanes and Ret Walls	$ -	$ -	$ -	$ -		$ -	$ -	$ -	0		$ 2,900	$ 1,282	☑	N	$ 11,860	$ 11,104	☑	☑		
11	SD	15	Managed Lanes North	$ -	$ -	$ 3,749	$ 1,250	☑	$ 150	$ 38	$ 500	175	☑	$ 1,000	$ 1,000	☑	N	$ 54,276	$ 50,411	☑	☑		
Quarter 2 Projects																							
Quarter 3 Projects																							
Quarter 4 Projects																							
Total All Projects (7 Projects)				$ 3,182	$ 3,467	$ 13,519	$ 12,718	☑	$ 6,977	$ 7,837	$ 43,069	$ 39,666	☑	$ 45,980	$ 30,240	☑		$ 269,743	$ 253,900	☑	☑		

FY 2013-14 Completed SHOPP Projects

																							Total Overall Project
				Project Development PJD					Right of Way Component					Construction Component									
				PAED		PSE			RW Support		RW Capital			CON Support				CON Capital					
D	CTY	RT	Description	Bud	Cost	Bud	Cost		Bud	Cost	Bud	Cost		Bud	Cost			Bud	Cost				
				☒ Costs > 120%					◆ Costs 100 - 120%					☑ Costs < 100%									
Total All Projects (48 Projects)				$ 12,607	$ 13,068	$ 45,611	$ 39,034	☑	$ 3,054	$ 2,342	$ 9,218	$ 4,698	☑	$ 61,893	$ 47,821	☑		$ 359,927	$ 262,327	☑	☑		