

The Storm Water Pollution Prevention Bulletin is prepared by the Storm Water Compliance Review Task Force to aid all projects and operations in maintaining compliance with the National Pollutant Discharge Elimination System (NPDES) permit requirements.

The success or failure of storm water compliance issues is not only related to field conditions, but also to properly maintaining the required documentation. In fact, the contractor may have met all field requirements and yet the project can still be non-compliant due to missing paperwork. The following information is

provided to assist REs in processing required NPDES documentation.

Prior to the start of construction, the RE should have the following:

- Notice of Intent (NOI) along with the Waste Identification number (WDID) or the Notice of New Construction for projects requiring a SWPPP.
- A copy of the General or Regional Permit and any required environmental permits such as those issued by the Department of Fish and Game, the Army Corps of Engineers, and the Coastal Commission.
- Information to assist the Contractor in preparing the SWPPP or WPCP (i.e. Soils Report, Drainage Report, site calculations, and Post-

Construction Practices, available from the Design Project Manager)

During the construction phase, the RE's general storm water management responsibilities include:

- Alerting the Contractor to SWPPP/WPCP requirements during the preconstruction conference.
- Review and approval of the SWPPP or WPCP.
- Inspecting for compliance with the approved SWPPP or WPCP and other applicable permits.
- Identifying necessary changes or amendments to the SWPPP or WPCP.
- Maintaining documentation of activities.
- Filing the NPDES annual certification (due June 15 from the Contractor for July 1 delivery to the RWQCB).
- Filing Notice(s) of Non-Compliance for non-compliant discharges from the construction site.

NOTE: A discharge is non-compliant when it results from lack of properly implementing a required BMP, and a significant discharge to a storm drain or water course results.

The RE is required to maintain a Category 20 file of the following documents for permitted jobs:

- The approved SWPPP or WPCP and any amendments.
- Copies of the contractors' inspection checklists.



- Copies of correspondence to and from the Contractor or the RWQCB.
- Notices related to the permit.
- Copies of Caltrans inspections.

Upon completion of the project the RE must submit a Notice of Termination (NOT) for a permitted site. All required documentation must be retained for three years.

Detailed directions can be found in Chapters 2 and 3 of the Construction Staff Guide.

Storm Water Discharges... Management Activities



Additional information is available in the Caltrans Storm Water Quality Handbooks. Questions or comments may be directed to:
Ken Keaton
 Caltrans HQ Environmental Engineering
 (916) 653-4947
 or
Melinda McCoy
 Consultant Team
 (714) 567-2588