

**DEPARTMENT OF TRANSPORTATION**  
OFFICE OF BUSINESS AND ECONOMIC  
OPPORTUNITY  
1823 14<sup>TH</sup> STREET  
SACRAMENTO, CA 95811  
PHONE (916) 324-0449  
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*Serious drought.  
Help save water!*

December 1, 2015

Mr. Lance Yokota  
Civil Rights Manager  
Federal Highway Administration  
California Division  
650 Capitol Mall, Suite 4-100  
Sacramento, CA 95814

Dear Mr. Yokota:

Enclosed is the California Department of Transportation (Caltrans) *Uniform Report of DBE Commitments/Awards and Payments* for Federal Fiscal Year 2015 for the period of April 1, 2015, through September 30, 2015.

If you have any questions or need further clarification, please contact Mr. Ramon Carlos, Disadvantaged Business Enterprise Program Manager, Office of Business and Economic Opportunity, at (916) 324-6990, or by e-mail at [ramon.carlos@dot.ca.gov](mailto:ramon.carlos@dot.ca.gov).

Sincerely,

A handwritten signature in black ink that reads "Angela Shell".

ANGELA SHELL  
Assistant Director  
Office of Business and Economic Opportunity

Enclosure

c: Ramon Carlos, Disadvantaged Business Enterprise Program Manager, Office of Business and Economic Opportunity, Caltrans

**UNIFORM REPORT OF DBE COMMITMENTS/AWARDS AND PAYMENTS**

\*\*Please refer to the instruction sheet for directions on filling out this form\*\*

1 Submitted to (check only one)	<input checked="" type="checkbox"/> FHWA	<input type="checkbox"/> FAA	<input type="checkbox"/> FTA - Recipient ID Number
2 AIP Numbers (FAA Recipients); Grant Number (FTA Recipients):			
3 Federal Fiscal year in which reporting	2015	4. Date This Report Submitted:	1-Dec-15
5 Reporting Period	<input type="checkbox"/> Report due June 2 (for period Oct 1-Mar 31)	<input checked="" type="checkbox"/> Report due Dec 1 (for period April 1-Sep 30)	<input type="checkbox"/> FAA annual report due Dec 1
6 Name and address of Recipient:	California Department of Transportation		
7 Annual DBE Goal(s):	Race Conscious Projection: 9.5%	Race Neutral Projection: 3.0%	OVERALL Goal: 12.5%

**Awards/Commitments this Reporting Period**

A	AWARDS/COMMITMENTS MADE DURING THIS REPORTING PERIOD (Total contracts and subcontracts committed during this reporting period)	A	B	C	D	E	F	G	H	I
		Total Dollars	Total Number	Total to DBEs (dollars)	Total to DBEs (number)	Total to DBEs/Race Conscious (dollars)	Total to DBEs/Race Conscious (number)	Total to DBEs/Race Neutral (dollars)	Total to DBEs/Race Neutral (number)	Percentage of total dollars to DBEs
8	Prime contracts awarded this period	\$ 824,897,327.00	373	\$ 23,319,890.00	57			\$ 23,319,890.00	57	2.83%
9	Subcontracts awarded/committed th	\$ 333,896,206.00	1088	\$ 95,920,694.00	928	\$ 61,549,214.00	720	\$ 34,371,480.00	208	29%
10	<b>TOTAL</b>			\$ 119,240,584.00	985	\$ 61,549,214.00	720	\$ 57,691,370.00	265	14.46%

B	BREAKDOWN BY ETHNICITY & GENDER	A	B	C	D	E	F
		Total to DBE (dollar amount)			Total to DBE (number)		
		Women	Men	Total	Women	Men	Total
11	Black American	\$ 7,045,574.00	\$ 3,846,214.00	\$ 10,891,788.00	49	45	94
12	Hispanic American	\$ 16,650,662.00	\$ 32,646,297.00	\$ 49,296,959.00	135	159	294
13	Native American	\$ 3,712,443.00	\$ 3,043,468.00	\$ 6,755,911.00	39	60	99
14	Asian-Pacific American	\$ 5,440,426.00	\$ 9,389,379.00	\$ 14,829,805.00	42	74	116
15	Subcontinent Asian Americans	\$ 931,838.00	\$ 1,044,332.00	\$ 1,976,170.00	11	11	22
17	Non-Minority	\$ 30,783,203.00	\$ 4,706,748.00	\$ 35,489,951.00	287	73	360
17	<b>TOTAL</b>	\$ 64,564,146.00	\$ 54,676,438.00	\$ 119,240,584.00	563	422	985

**Payments Made this Period**

C	PAYMENTS ON ONGOING CONTRACTS	A	B	C	D	E	F
		Total Number of Contracts	Total Dollars Paid	Total Number of Contracts with DBEs	Total Payments to DBE firms	Total Number of DBE firms Paid	Percent to DBEs
18	Prime and subcontracts currently in	650	\$ 931,544,948.00	199	\$ 86,052,699.00	286	9.24%

D	TOTAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD	A	B	C	D	E
		Number of Contracts Completed	Total Dollar Value of Contracts Completed	DBE Participation Needed to Meet Goal (Dollars)	Total DBE Participation (Dollars)	Percent to DBEs
19	Race Conscious	91	\$ 483,624,103.00	\$ 33,703,240.00	\$ 33,797,550.00	6.99%
20	Race Neutral	0	\$ -		\$ -	0.00%
21	<b>Totals</b>	91	\$ 483,624,103.00		\$ 33,797,550.00	6.99%

22 Submitted by: **Angela Shell**

23. Signature:

*Angela Shell*

24. Phone Number: 916-324-0449

**UNIFORM REPORT OF DBE COMMITMENTS/AWARDS AND PAYMENTS**

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6 Name and address of Recipient:	California Department of Transportation		
7 Annual DBE Goal(s):	Race Conscious Projection: 9.5%	Race Neutral Projection: 3.0%	OVERALL Goal: 12.5%

**Awards/Commitments this Reporting Period**

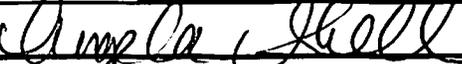
A	AWARDS/COMMITMENTS MADE DURING THIS REPORTING PERIOD (Total contracts and subcontracts committed during this reporting period)	A	B	C	D	E	F	G	H	I
		Total Dollars	Total Number	Total to DBEs (dollars)	Total to DBEs (number)	Total to DBEs/Race Conscious (dollars)	Total to DBEs/Race Conscious (number)	Total to DBEs/Race Neutral (dollars)	Total to DBEs/Race Neutral (number)	Percentage of total dollars to DBEs
8	Prime contracts awarded this period	\$ 2,155,775,962.00	719	\$ 53,352,000.00	89			\$ 53,352,000.28	89	2.47%
9	Subcontracts awarded/committed th	\$ 894,270,064.00	2082	\$ 214,933,823.00	1682	\$ 126,644,030.00	1300	\$ 88,289,790.00	382	24%
10	<b>TOTAL</b>			\$ 268,285,823.00	1771	\$ 126,644,030.00	1300	\$ 141,641,790.28	471	12.44%

B	BREAKDOWN BY ETHNICITY & GENDER	A	B	C	D	E	F
		Total to DBE (dollar amount)			Total to DBE (number)		
		Women	Men	Total	Women	Men	Total
		11	Black American	\$ 11,942,005.00	\$ 6,302,646.00	\$ 18,244,651.00	74
12	Hispanic American	\$ 48,807,457.00	\$ 73,124,295.00	\$ 121,931,752.00	276	271	547
13	Native American	\$ 8,446,977.00	\$ 7,035,913.00	\$ 15,482,890.00	88	100	188
14	Asian-Pacific American	\$ 9,173,742.00	\$ 26,864,419.00	\$ 36,038,161.00	75	135	210
15	Subcontinent Asian Americans	\$ 1,358,366.00	\$ 8,424,156.00	\$ 9,782,522.00	20	27	47
17	Non-Minority	\$ 58,100,977.00	\$ 8,704,870.00	\$ 66,805,847.00	512	125	637
17	<b>TOTAL</b>	\$ 137,829,524.00	\$ 130,456,299.00	\$ 268,285,823.00	1045	726	1771

**Payments Made this Period**

C	PAYMENTS ON ONGOING CONTRACTS	A	B	C	D	E	F
		Total Number of Contracts	Total Dollars Paid	Total Number of Contracts with DBEs	Total Payments to DBE firms	Total Number of DBE firms Paid	Percent to DBEs
18	Prime and subcontracts currently in	1116	\$ 7,163,191,881.00	292	\$ 125,701,216.04	618	1.75%

D	TOTAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD	A	B	C	D	E
		Number of Contracts Completed	Total Dollar Value of Contracts Completed	DBE Participation Needed to Meet Goal (Dollars)	Total DBE Participation (Dollars)	Percent to DBEs
19	Race Conscious	221	\$ 1,373,367,368.00	\$ 88,078,592.00	\$ 129,029,502.00	9.40%
20	Race Neutral	3	\$ 150,853.00		\$ 703.00	0.00%
21	<b>Totals</b>	224	\$ 1,373,518,221.00		\$ 129,030,205.00	9.39%

22 Submitted by: Angela Shell	23. Signature: 	24. Phone Number: 916-324-0449
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