

**FFY 2013 - 14**  
**Local Assistance Delivery**  
**Formula OA Funds as of January 31, 2014**

Region	Estimated FFY 2014 OA <sup>1</sup>	Adjustment to FFY 2014 OA for FFY 2013 Over - Under Delivery <sup>2</sup>	FFY 2014 Estimated Oversight Adjustment <sup>3</sup>	Contribution for LS&R Needs Assessment FFY 2014 <sup>10</sup>	LS&R Needs Assessment Funding Offset	Adjusted FFY 2014 Estimated OA <sup>4</sup>	FFY 2014 Obligations as of 1/31/14	Percent Estimated OA Delivered	Balance FFY 2014 Estimated OA <sup>5</sup>
Amador <sup>6</sup>	769,865	478,793	(14,119)			1,234,539	-	0.0%	1,234,539
Butte	4,660,643	858,209	(85,486)			5,433,366	-	0.0%	5,433,366
Calaveras <sup>6</sup>	920,927	1,367,751	(16,889)			2,271,789	-	0.0%	2,271,789
Fresno	23,231,477	(2,168,562)	(426,191)			20,636,725	(1,757,883)	0.0%	22,394,607
Imperial <sup>6</sup>	3,560,958	2,185,550	(65,306)			5,681,202	818,067	14.4%	4,863,135
Kern	19,321,435	9,163,079	(354,419)			28,130,095	2,526,692	9.0%	25,603,403
Kings	3,494,066	133,627	(64,093)			3,563,600	560,288	15.7%	3,003,312
Los Angeles	243,370,722	(53,427,816)	(4,464,752)		12,617	185,490,771	19,502,042	10.5%	165,988,729
Madera	3,439,628	2,941,690	(63,095)			6,318,223	35,000	0.6%	6,283,223
Mariposa <sup>6</sup>	383,453	828,712	(7,032)			1,205,133	(4,153)	0.0%	1,209,287
Merced	5,840,896	966,754	(107,142)			6,700,508	44,822	0.7%	6,655,687
Nevada <sup>6</sup>	1,945,579	433,352	(35,683)			2,343,248	(100,222)	0.0%	2,443,470
Orange	74,741,028	(32,205,242)	(1,371,161)			41,164,625	15,811,592	38.4%	25,353,033
Riverside	54,145,739	10,166,610	(993,318)			63,319,031	6,563,062	10.4%	56,755,969
Sacramento (SACOG)	51,514,482	(2,402,653)	(945,036)			48,166,793	536,188	1.1%	47,630,605
San Bernardino	50,506,560	(14,089,629)	(926,549)	(12,617)		35,477,765	1,603,789	4.5%	33,873,976
San Diego	65,069,889	(30,623,147)	(1,193,518)			33,253,224	10,367,987	31.2%	22,885,238
San Francisco Bay Area (MTC)	146,031,094	(50,857,787)	(2,678,424)			92,494,883	68,853,559	74.4%	23,641,324
San Joaquin	17,080,506	(14,449,032)	(313,349)			2,318,125	(106,295)	0.0%	2,424,420
San Luis Obispo	5,376,780	2,115,805	(98,610)			7,393,975	-	0.0%	7,393,975
Stanislaus	12,818,073	(4,136,622)	(235,153)			8,446,298	(612,460)	0.0%	9,058,758
Tahoe	959,024	803,802	(17,592)			1,745,234	-	0.0%	1,745,234
Tehama <sup>6</sup>	1,281,839	498,757	(23,509)			1,757,087	-	0.0%	1,757,087
Tulare	10,127,406	4,629,957	(185,771)			14,571,592	1,884,343	12.9%	12,687,250
Tuolumne <sup>6</sup>	1,111,042	690,001	(20,377)			1,780,666	-	0.0%	1,780,666
Ventura	17,358,930	(1,060,326)	(318,399)			15,980,205	(1,805,992)	0.0%	17,786,196
Monterey <sup>8</sup>	4,778,214	(769,285)	(87,555)			3,921,374	-	0.0%	3,921,374
Santa Barbara <sup>8</sup>	4,925,454	2,525,210	(90,253)			7,360,411	536,706	7.3%	6,823,705
Exchange Counties <sup>6,7,8</sup>	15,693,521	795,210	(287,564)			16,201,167	(122,106)	0.0%	16,323,272
<b>TOTAL LOCAL AGENCIES</b>	<b>844,459,230</b>	<b>(164,607,231)</b>	<b>(15,490,345)</b>	<b>(12,617)</b>	<b>12,617</b>	<b>664,361,653</b>	<b>125,135,024</b>	<b>18.8%</b>	<b>539,226,629</b>
HBP	277,035,900	(79,267,585)	(5,042,463)			192,725,852	27,409,899	14.2%	165,315,953
Safety	61,473,203	187,704,269	(1,147,694)			248,029,778	6,911,601	2.8%	241,118,176
SRTS	-	47,588,019	-			47,588,019	2,916,850	6.1%	44,671,169
<b>TOTAL</b>	<b>1,182,968,333</b> <sup>1</sup>	<b>(8,582,528)</b> <sup>9</sup>	<b>(21,680,502)</b>	<b>(12,617)</b>	<b>12,617</b>	<b>1,152,705,302</b>	<b>162,373,375</b>	<b>14.1%</b>	<b>990,331,928</b>
FFY 2013 Formula OA Obligations YTD (1/31/13) (Total)						1,178,199,066	29,195,484	2.5%	1,149,003,582

*Prior year data displayed for informational purposes*

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**Information:**

< This January 31, 2014 report is based on the Department's Federal Aid Data System (FADS) transactions.  
< Obligations include obligations, deobligations, exchanges, and Federal Transit Administration transfers (FTAs).

**Footnotes:**

- <sup>1</sup> The Locals' estimated base federal fiscal year (FFY) 2013-14 (FFY 2014) Obligation Authority (OA) is based on the amount provided per the Federal Highway Administration's (FHWA's) 107-day Continuing Resolution (FHWA N4520.227 dated October 25, 2013) of \$932,198,359, which was then annualized to come up with our estimated base California FFY 2014 OA of \$3,179,928,982. The estimated Locals' annual base share is then calculated at 37.41% based on the current draft information we have received from our Department's Federal Resources Office (FRO). Since OA has only been received for 107 days, there has only been about \$350,000,000 of Local OA made available by FHWA. The estimated FFY 2014 OA information will be updated as further information becomes available from FHWA and/or FRO.
- <sup>2</sup> Balance of FFY 2013 OA (last FFY's OA balance) -- This is the amount a Region/Program must pay back if it over delivered or the amount a Region/Program will receive to "make whole" if under delivered based on the Division of Local Assistance's (DLA's) OA Management Policy. Amounts shown in parenthesis are amounts owed and positive (non-parenthesis numbers) amounts are to be repaid to Regions/Programs.
- <sup>3</sup> Required by Sections 182.6(n) and 182.7(k) of the California Streets and Highways Code. This estimated oversight amount is calculated based on the FFY 2014 advance apportionments dated November 1, 2013.
- <sup>4</sup> This is the FFY 2014 adjusted estimated base OA, which includes adjustments for FFY 2013 OA Over or Under Delivery, the FFY 2014 Oversight Adjustment, and contribution for the LS&R Needs Assessment.
- <sup>5</sup> Balance of FFY 2014 estimated OA per date of report -- This is the running balance of FFY 2014 estimated (later actual) OA that remains to be obligated prior to the close of the current FFY. At the end of the FFY, this is the amount a Region/Program must pay back if over delivered or the amount a Region/Program will receive to "make whole" if under delivered based on DLA's OA Management Policy. Amounts shown in parenthesis are amounts owed back and positive (non-parenthesis numbers) amounts are amounts owed to those Regions/Programs. If OA is lost to the State due to DLA under delivery as a whole, under delivered OA may not be available to repay Regions and/or Programs.
- <sup>6</sup> The Exchange Counties' subtotal above excludes those rural counties receiving CMAQ beginning in FFY 2006. As of May 31, 2013 this amount now includes Tehama County Transportation Commission.
- <sup>7</sup> The Prior terminology "Rural Counties" has been replaced with "Exchange Counties" to better reflect the OA usage/reporting. The Regions included in the "Exchange Counties" row only currently receive formula OA for RSTP any area funds where they qualify to exchange 100% of those funds. The Regions listed above the "Exchange Counties" receive either CMAQ, RSTP Urban, and/or RSTP Any Area (which is not eligible for exchange). Prior Regions above the "Rural Counties" line that are now under the "Exchange Counties" line are San Benito and Santa Cruz.
- <sup>8</sup> Both Monterey and Santa Barbara are remaining in the "Region" area. Although they both only receive "current" FFY apportionment and OA that may be exchanged, they both have a balance of carry-over RSTP any area funds that are not eligible for the RSTP exchange.
- <sup>9</sup> Based on the September 30, 2013 (FFY 2013) year-end reconciliation (please see September 30, 2013, OA delivery report for details on this amount). This results in an adjustment to the Locals' OA for this FFY (FFY 2014). This amount reduces the Locals' OA balance.
- <sup>10</sup> Received agreements from Region(s) for the Local Streets and Roads (LS&R) Statewide Needs Assessment Funding Concurrence.