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PARTNERSHIP OR CORPORATION

FINANCIAL STATEMENT OF Received at \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Branch

Name \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Business \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ At Close of Business \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 20 \_\_\_

TO:

| ASSETS | | | | | | | | | | DOLLARS | | CENTS | | LIABILITIES | | | | | | | | DOLLARS | CENTS | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Cash In |  | | | | | | | | | |  |  | Notes Payable to Banks | |  | | | | | | |  |  |
| Cash on Hand | | (Name of Bank) | | | | | | | | |  |  | Notes Payable and Trade Acceptances for Merchandise | | | | | | | | |  |  |
| Notes Receivable and Trade  Acceptance (Includes $\_\_\_\_\_ Past Due | | | | | | | | | | |  |  | Notes Payable to Others | |  | | | | | | |  |  |
| Amounts Receivable−$\_\_\_\_\_ Less Reserves  $ | | | | | | | | |  | |  |  | Accounts Payable (Includes $\_\_\_\_\_ Past Due) | | | | | | | | |  |  |
| Customer’s (Includes $\_\_\_\_\_ Past Due) | | | | | | | | | | |  |  | Due to Partners, Employees, Relatives,  Officers, Stockholders or Allied Companies | | | | | | |  | |  |  |
| Merchandise−Finished−How Valued | | | | |  | | | | | |  |  | Chattel Mortgages and Contracts Payable  (Describe Monthly Payments) $ | | | | | |  | | |  |  |
| Merchandise−Unfinished−How Valued | | | | | |  | | | | |  |  | Federal and State Income Tax | | |  | | | | | |  |  |
| Merchandise−Raw Material−How Valued | | | | | | |  | | | |  |  | Accrued Liabilities (Interest, Wages, Taxes, etc.) | | | | | | | |  |  |  |
| Supplies on Hand | | |  | | | | | | | |  |  | Portion of Long Term Debt Due Within One Year | | | | | | | |  |  |  |
| Stocks and Bonds−Listed (See Schedule B) | | | | | | | |  | | |  |  |  | | | | | | | | |  |  |
|  | | | | | | | | | | |  |  |  | | | | | | | | |  |  |
| TOTAL CURRENT ASSETS | | | | | | | | | | |  |  | TOTAL CURRENT LIABILITIES | | | | | | | | |  |  |
| Real Estate−Less Depreciation of: $\_\_\_\_\_ Net  (See Schedule A) | | | | | | | | | | |  |  | Liens on Real Estate (See Schedule A) $\_\_\_\_\_ | | | | | | | | |  |  |
| Machinery and Fixtures−  Less Depreciation of: $\_\_\_\_\_ Net | | | | | | | | | | |  |  | Less Current Portion Included Above $\_\_\_\_\_ Net | | | | | | | | |  |  |
| Automobiles and Trucks− | | | | | | | | | | |  |  |  | | | | | | |  | |  |  |
| Less Depreciation of: $\_\_\_\_\_ Net | | | | | | | | | | |  |  |  | | | | | | |  | |  |  |
| Stocks and Bonds−Unlisted (See Schedule B) | | | | | | | | |  | |  |  | Capital Stock−Preferred | |  | | | | | | |  |  |
| Due from Partners, Employees, Relatives, | | | | | | | | |  | |  |  |  | |  | | | | | | |  |  |
| Officers, Stockholders of Allied Companies | | | | | | | | |  | |  |  | Capital Stock−Common | |  | | | | | | |  |  |
| Cash Surrender Value of Life Insurance | | | | | |  | | | | |  |  | Surplus−Paid In | | | | |  | | | |  |  |
| Other Assets (Described) | | | |  | | | | |  | |  |  | Surplus−Earned and Undivided Profits | | | | | |  | | |  |  |
|  | | | | | | | | |  | |  |  |  | | | | | | |  | |  |  |
|  | | | | | | | | |  | |  |  | Net Worth (If Not Incorporated) | | | |  | | | | |  |  |
| TOTAL | | | | | | | | |  | |  |  | TOTAL | | | | | | | | |  |  |

| PROFIT AND LOSS STATEMENT  FOR THE PERIOD FROM \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ TO \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | RECONCILIATION OF NET WORTH OR EARNED | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Net Sales (After Return Sales and Allowances) | | | |  |  | Net Worth or Earned Surplus at Beginning of Period | | | | |  | |  | |
| Cost of Sales | | | |  |  | Add Net Profit or Deduct Net Loss | | |  | |  | |  | |
| Beginning Inventory | | | |  |  | Total | | | | |  | |  | |
| Purchases (or Cost of Goods  Mfg) | | |  |  |  | Other Additions (Describe) | | |  | |  | |  | |
|  | | |  |  |  | Total | | | | |  | |  | |
| Total | | |  |  |  | Less: Withdrawals or Dividends | | |  | |  | |  | |
| Less Closing Inventory | | |  |  |  | Other Deductions (Explain) | | |  | |  | |  | |
|  | | Gross Profits on Sale | |  |  |  | | | Total Deductions | |  | |  | |
| Operating Expenses: | | |  |  |  | Net Worth or Capital Funds on this Financial Statement | | | | |  | |  | |
|  | |  |  |  |
| Salaries−Officers or Partners | |  |  |  | CONTINGENT LIABILITIES (Not Included Above) | | | | | | | | |
| Salaries and Wages−Other | |  |  |  | There are no lease liabilities in existence nor are there assets pledged to secure leases, except as listed in attached schedule. | | | | | | | | |
| Rent | |  |  |  | As Guarantor or Endorser or  service indebtedness or  under agreements to purchase | | |  | | |  | |  |
| Depreciation | |  |  |  | Accounts, Notes, or Trade Acceptances Discounted or Pledged | | | | | |  | |  |
| Bad Debts | |  |  |  | Surety on Bonds or Other Contingent Liability | | | |  | |  | |  |
| Advertising | |  |  |  | Letters of Credit | | | |  | |  | |  |
| Interest | | |  |  |  | Judgments Unsatisfied or Suits Pending | | | |  | |  | |  |
| Taxes−Other Than Income | | |  |  |  | Lease Liabilities | | | |  | |  | |  |
| Insurance | | |  |  |  | Merchandise Commitments and  Unfinished Contractors or  Merchandise Held on  Consignment From Others | | | | | |  | |  |
| Other Expenses | |  |  |  | Unsatisfied Tax Liens or  Notices From the Federal or  State Governments of  Intention to Assess Such Liens | |  | | | |  | |  |
|  | |  |  |  |  | |  | | | |  | |  |
| Net Profit from Operations | |  |  |  | DETAIL OF INVENTORY | | | | | | | | |
| Other Income | |  |  |  | Is Inventory Figure Actual or Estimated? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | | |
| Less Other Expense | | |  |  |  |  | | | | | | | | |
| Net Profit Before Income Tax | | |  |  |  | By Whom Taken or Estimated \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ When? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | | |
| Federal and State Income Tax | | |  |  |  | Buy Principally From \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | | |
| Net Profit or Loss | | |  |  |  | Average Terms of Purchase \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Sale \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | | |
| (To Net Worth or Earned Surplus) | | | |  |  | Time of Years Inventory Maximum \_\_\_\_\_\_\_\_\_\_\_\_\_ Minimum \_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | | | |

| **SCHEDULE A** LIST OF REAL ESTATE AND IMPROVEMENTS WITH ENCUMBRANCES THEREON | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Description, Street Number, Location | TITLE IN | BOOK TERMS OF VALUE | | MORTGAGES OR LIENS | | TERMS | HOLDER OF LIEN |
| NAMES OF | LAND | IMPROVEMENTS | MATURITY | AMOUNT | PAYMENT |
|  |  | $ | $ |  | $ | $ |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| TOTALS |  | $ | $ |  | $ | $ |  |

**SCHEDULE B** STOCKS & BONDS: Describe Fully. Use Supplemental Sheet if Necessary. Indicate if Stocks

Are Common or Preferred. Give Interest Rate and Maturity of Bonds.

| NUMBER OF SHARES | NAME AND ISSUE (DESCRIBE FULLY) | BOOK VALUE | | MARKET VALUE | | |
| --- | --- | --- | --- | --- | --- | --- |
| AMOUNT OF BONDS | LISTED | UNLISTED | PRICE | VALUE | |
|  |  | $ | $ |  | $ | |
|  |  |  |  |  |  | |
|  |  |  |  |  |  |
|  |  |  |  |  |  | |
|  |  | $ | $ |  | $ | |

**SCHEDULE C** Complete if Statement is for an Individual or Sole Proprietorship

|  |
| --- |
| Age Number of Years in Present Business Date of Filing Fictitious Trade Style |
| What Property Listed in This Statement is in Joint Tenancy? Name of Other Party |
| What Property Listed in This Statement is Community Property? Name of Other Party |
| With What Other Business Are You Connected? Have You Filed Homestead? |
| Do You Deal With or Carry Accounts With Stockbrokers? Amount $ Name of Firm |

**SCHEDULE D**

| NAME OF PARTNERS  (Indicate Special Partners) | AGE | AMOUNT  CONTRIBUTED | OUTSIDE  NET WORTH | OTHER BUSINESS CONNECTIONS |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
| Date of Organization Limited or General? Terminates | | | | |
| If Operating Under Fictitious Trade Style, Date of Filing | | | | |

**SCHEDULE E** Complete if Statement is a Corporation

|  | AUTHORIZED | PAR  VALUE | OUTSTANDING | | CASH | ISSUE FOR  OTHER (DESCRIBE) |
| --- | --- | --- | --- | --- | --- | --- |
|  | SHARES | AMOUNT |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| Bonds─Total Issue $ Outstanding Due Interest Rate | | | | | | |

Date Incorporated Under Laws of State of

| OFFICERS | AGE | SHARES OWNED  COMMON **|** PREFERRED | | DIRECTORS AND PRINCIPAL STOCKHOLDERS | SHARES OWNED  COMMON **|** PREFERRED | |
| --- | --- | --- | --- | --- | --- | --- |
| President |  |  |  | Director |  |  |
| Vice President |  |  |  | Director |  |  |
| Secretary |  |  |  | Director |  |  |
| Treasurer |  |  |  |  |  |  |

Complete in ALL Cases INSURANCE

|  |  |  |
| --- | --- | --- |
| Are Your Books Audited by  Outside Accountants? \_\_\_\_\_\_\_\_\_\_ Name \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  To What Date Has the U.S.  Internal Revenue Department  Date of Examined Your  Last Audit \_\_\_\_\_\_\_\_\_\_ Books? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Are You Borrowing From  Any Other  Branch of This Bank? \_\_\_\_\_\_\_\_\_\_ Which? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Are You Applying for Credit  At Any Other Source? \_\_\_\_\_\_\_\_\_\_ Where? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  If So, Attach a Complete  Have You Ever Failed Explanation and State Basis of  in Business? \_\_\_\_\_\_\_\_\_\_ Settlement With Creditors  Years to Run, With Monthly  Lease Has \_\_\_\_\_\_\_\_\_\_ Rental of $\_\_\_\_\_ | Merchandise \_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_    Machinery & Fixtures \_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_  Buildings \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_    Earthquake \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_    Is Extended Coverage  Endorsement Included? \_\_\_\_\_\_\_\_\_\_    Do You Carry Workmen’s  Compensation Insurance? Preferred \_\_\_\_\_ | Automobiles and Trucks    Public Liability $\_\_\_\_\_ M/$\_\_\_\_\_  M      Collision \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_      Property Damage \_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_      Life Insurance \_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_    Name of  Beneficiary \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
|  | The undersigned solemnly declares and certifies that the above statement (or in  lieu thereof, the attached statement, as the case may be) and supporting  schedules, both printed and written, give a full, true, and correct statement of  the financial condition of the undersigned of the date indicated.  Signature \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  By \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  (TITLE, IF CORPORATION) | |