|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **1. UNDERTAKING DESCRIPTION AND LOCATION** | | | | | | | | | | |
| **District** | | **County** | **Route** | **Post Mile(s)** | **EA** | **E-FIS Project Number** | | **FHWA Project ID Number** | | |
|  | |  |  |  |  |  | |  | | |
| *For Local Assistance projects off the highway system, use headers below in italics. Delete unused headers and this instruction line* | | | | | | | | | | |
| ***District*** | | ***County*** | ***Federal Project. Number. (Prefix, Agency Code, Project No.)*** | | ***Location*** | | ***Previous HPSR Submittal Date*** | | | |
|  | |  |  | |  | |  | | | |
| **The Supplemental Historic Property Survey Report (HPRS) should capture project changes, additional properties identified, and the overall finding for the Undertaking, which may be the same as the original finding or could be different because of the project changes. Delete this instruction line.**  The environmental review, consultation, and any other actions required by applicable Federal environmental laws for this project are being, or have been, carried out by Caltrans pursuant to 23 U.S.C. 327 and the Memorandum of Understanding dated May 27, 2022 and executed by FHWA and Caltrans. *Delete this section if project is being processed as a Categorical Exclusion under 23 USC 326. Confirm with project generalist if unsure.*  The studies for this undertaking were carried out in a manner consistent with Caltrans’ regulatory responsibilities under Section 106 of the National Historic Preservation Act (36 CFR Part 800) and pursuant to the January 2014 *First Amended Programmatic Agreement among the Federal Highway Administration, the Advisory Council on Historic Preservation, the California State Historic Preservation Officer, and the California Department of Transportation Regarding Compliance with Section 106 of the National Historic Preservation Act* (Section 106 PA), as well as under Public Resources Code 5024 and pursuant to the January 2015 *Memorandum of Understanding Between the California Department of Transportation and the California State Historic Preservation Office Regarding Compliance with Public Resources Code Section 5024 and Governor’s Executive Order W-26-92, addended 2019* (5024 MOU) as applicable. *Delete reference to 5024 MOU if not applicable.* | | | | | | | | | | | |
| **Changes to Project Description Since Previous Submittal** | | | | | | | | |
| (Insert **BRIEF** summary of the ***changes*** to the project since the previous HPSR submittals; refer reader to full project description, location and vicinity maps in attachments. Delete this instruction line.) | | | | | | | | | | |
| **2. CHANGES TO AREA OF POTENTIAL EFFECTS** | | | | | | | | | |

The revised APE was established as \_\_\_\_\_\_\_\_\_ [add brief description as to where and how boundaries were set. Include both vertical and horizontal boundaries. Describe how the APE has changed since the previous HPSR submittals. Indicate if there are no changes to the APE. Delete this instruction line.]

|  |  |
| --- | --- |
| **3. UPDATED Consulting Parties / PUBLIC PARTICIPATION** | |
| (Check all ***new*** consultations that apply. Do not include previous project consultation captured in the original project documentation. List organizations and/or persons, dates, and locations and results of contacts. Summarize ***updated*** verbal comments received and attach correspondence as appropriate. **Reference appendix that contains consultation logs**. Delete items below that are not applicable and this instruction line.) | |
|  | Local Government (Head of local government, Preservation Office / Planning Department) |
|  |  |
|  | Native American Heritage Commission |
|  |  |
|  | Native American Tribes, Groups and Individuals |
|  |  |
|  | Local Historical Society / Historic Preservation Group (also if applicable, city archives, etc.) |
|  |  |
|  | Public Information Meetings (list locations and dates below and attach copies of notices) |
|  |  |
|  | Other |
|  |  |
| **4. SUMMARY OF ADDITIONAL IDENTIFICATION EFFORTS** | |

(Check all ***new*** sources that apply. Do not repeat information from previous project identification efforts that are captured in the original project documentation. Delete items below that are not applicable and this instruction line.)

|  |  |  |  |
| --- | --- | --- | --- |
|  | National Register of Historic Places (NRHP) |  | California Points of Historical Interest |
|  | California Register of Historical Resources (CRHR) |  | California Historical Resources Information System (CHRIS) |
|  | National Historic Landmark (NHL) |  | Caltrans Historic Bridge Inventory |
|  | California Historical Landmarks (CHL) |  | Caltrans Cultural Resources Database (CCRD) |
|  | Other Sources consulted: |  |  |
|  |  | | |
|  | Results: (Provide a brief summary of additional research results, as well as additional inventory findings.) | | |
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| **5. ADDITIONAL PROPERTIES IDENTIFIED** | | | | | |
| (Check all that apply. ***Only identify properties that were not included in previous HPSR submittals*** and refer reader to appropriate technical study attached. Identify State-owned resources as such and state whether they are on the Master List of Historical Resources. Do not include properties that are outside the revised APE. Attach previous SHPO determinations, as applicable. Delete statements below that are not applicable and this instruction line.) | | | | | |
|  | **No additional cultural resources are present** within the revised APE. Previous identification efforts included in the previous HPSR submittals remain adequate. | | |
|  | [Name of Caltrans or consultant architectural historian or archaeologist], who meets the Professionally Qualified Staff (PQS) Standards in Section 106 PA Attachment 1 as a(n) [Indicate applicable PQS level], has determined that the only/only additional properties present within the [revised] APE meet the criteria for Section 106 PA Attachment 4 (**Properties Exempt from Evaluation**). | | |
|  |  | | |
|  | Caltrans, in accordance with Section 106 PA Stipulation VIII.C.5, has determined there are additional cultural resources within the [revised] APE that were **previously determined not eligible** for inclusion in the NRHP with SHPO concurrence, and those determinations remain valid. Copy of SHPO/Keeper correspondence is attached. (List resources below and indicate if State-owned.) | | |
|  |  | | |
|  | |  | Additional bridges listed as **Category 5** (previously determined not eligible for listing in the NRHP) in the Caltrans Historic Bridge Inventory are present within the [revised] APE and those determinations remain valid. Appropriate pages from the Caltrans Historic Bridge Inventory are attached. (List bridge numbers below) |
|  | Caltrans has determined there are additional cultural resources within the [revised] APE that were evaluated as a result of this project and are **not eligible** for inclusion in the NRHP. Under Section 106 PA Stipulation VIII.C.6, Caltrans requests SHPO’s concurrence in this determination. (List resources below and indicate if State-owned.) | | |
|  |  | | |
|  | The following additional archaeological sites within the [revised] APE are **considered eligible** for inclusion in the NRHP for the purposes of this project only because they will be protected in their entirety from any potential effects through the establishment of an Environmentally Sensitive Area (ESA), in accordance with Section 106 PA Stipulation VIII.C.3. See attached documentation. (List properties below and indicate if State-owned.) | | |
|  |  | | |
|  | The following additional properties within the [revised] APE are **considered eligible** for inclusion in the NRHP for the purposes of this project only because evaluation was not possible, in accordance with Section 106 PA Stipulation VIII.C.4. (Requires written CSO approval prior to completion of the HPSR; include as attachment. List properties below, indicate if State-owned; attach CSO correspondence.) | | |
|  |  | | |
|  | Caltrans, in accordance with Section 106 PA Stipulation VIII.C.5, has determined there are additional properties within the [revised] APE that were **previously determined eligible** for inclusion in the NRHP and those determinations remain valid. Copy of SHPO/Keeper correspondence is attached. (List properties below; indicate if State-owned and whether they are on the Master List of Historical Resources; include date of listing or determination.) | | |
|  |  | | |
|  | Caltrans has determined there are additional properties within the [revised] APE that were evaluated as a result of this project and are **eligible** for inclusion in the NRHP. Under Section 106 PA Stipulation VIII.C.6, Caltrans requests SHPO’s concurrence in this determination. (Include the following statement if applicable; delete if not:) Pursuant to PRC 5024(d), Caltrans also requests that SHPO add these resources to the Master List of Historical Resources. (List properties below and indicate if State-owned and whether they need to be added to the Master List of Historical Resources.) | | |
|  |  | | |
| **6. REVISED FINDING FOR THE UNDERTAKING** | | | | | |
| (Check **ONLY ONE** overall finding for the project. Delete all findings that are not applicable and this instruction line.) | | | |
|  | Caltrans, pursuant to Section 106 PA Stipulation IX.A has determined a Finding of **No Historic Properties Affected** is appropriate for this undertaking because there are no historic properties within the revised APE **/** the following historic properties will not be affected. (Choose one or the other of the above statements. If there are previously listed/eligible historic properties within the APE, explain why they would not be affected.) | | |
|  | Caltrans, pursuant to Section 106 PA Stipulation IX.B, has determined that there are historic properties within the revised APE that may be affected by the undertaking. **Effects are still undetermined**, so in accordance with Section 106 PA Stipulation X, Caltrans will continue consultation with CSO and/or SHPO in the future on the assessment of effects. | | |
|  | Caltrans, pursuant to Section 106 PA Stipulation X.B.1.a/b and Attachment 5, has determined a **Finding of No Adverse Effect with Standard Conditions-[select one or both: ESA and/or SOIS]**, is appropriate for this undertaking, and requests CSO’s approval of this finding. [Name], who meets the PQS Standards in Section 106 PA Attachment 1 as a(n) [Indicate applicable PQS level], has reviewed the attached documentation and determined that it is adequate. | | |
|  | Caltrans, pursuant to Section 106 PA Stipulation X.B.2, has determined a **Finding of No Adverse Effect (without Standard Conditions)** is appropriate for this undertaking, and requests SHPO’s concurrence in this determination. | | |
|  | Caltrans, pursuant to Section 106 PA Stipulation X.C, has determined a **Finding of Adverse Effect** is appropriate for this undertaking, and requests SHPO’s concurrence in this determination. | | |
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| **7. ADDITIONAL CEQA CONSIDERATIONS** | | | | | |
| (Consultation with SHPO is not required under CEQA. **Only identify properties that were not included in previous HPSR submittals**. Delete findings that are not applicable and this instruction line. Discuss potential impacts to historic resources with PDT to determine appropriate impact findings for the environmental document.) | | | |
|  | | Not applicable; **Caltrans is not the lead agency under CEQA**. | | | |
|  | | Caltrans PQS has determined there are **No Additional Historical Resources present**, as outlined in CEQA Guidelines 15064.5(a). | | | |
|  | | Caltrans PQS has determined the following additional resources are **not significant resources** under CEQA: (List resources below and indicate if State-owned.) | | | |
|  | | Caltrans PQS has determined that there are additional resources that **do NOT meet NRHP criteria, but ARE historical resources for the purposes of CEQA**. (List the resources and how they were determined a CEQA resource). | | | |
|  | | The following are additional **historical resources** for the purposes of CEQA. (List resources below and indicate if State-owned and whether they are on the Master List of Historical Resources.) | | | |
| **8. LIST OF ATTACHED DOCUMENTATION** | | | | | |
| (Provide the author/date of the technical report. Note number or letter of corresponding attachment. Delete documentation that is not applicable and this instruction line.) | | | | | |
|  | Previous HPSR Submittals, as appropriate | | |
|  | Revised Project Vicinity, Location, and APE Maps (Note which attachment(s) contain the maps – do not need to attach separately if included within other attachments) | | |
|  | Caltrans Historic Bridge Inventory Sheet | | |
|  | Supplemental Historical Resources Evaluation Report (HRER) | | |
|  |  | | |
|  | Supplemental Archaeological Survey Report (ASR) | | |
|  |  | | |
|  | Supplemental Extended Phase One Report (XPI) | | |
|  |  | | |
|  | Supplemental Archaeological Evaluation Report (AER, PII) | | |
|  | Supplemental Environmentally Sensitive Area (ESA) Action Plan | | |
|  |  | | |
|  | Supplemental Secretary of the Interior’s Standards for the Treatment of Historic Properties (SOIS) Action Plan | | |
|  |  | | |
|  | CSO Approval of Assumption of Eligibility | | |
|  |  | | |
|  | Other (Specify below) | | |
|  |  | | |
| **9. SUPPLEMENTAL HPSR PREPARATION AND CALTRANS APPROVAL** | | | | | |

Prepared by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

District \_\_\_ Caltrans PQS [Level and discipline] Date

Prepared by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[Consultant / Discipline] Date

[Appropriate PQS discipline equivalent Firm/company and location]

Reviewed for

Approval by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

District \_\_\_ Caltrans PQS [Level and discipline] Date

Approved by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

District \_\_\_ EBC [Environmental Branch Name] Date