

SAMPLE HRCR SHORT FORM

State of California Business, Transportation and Housing Agency				Department of Transportation		
HISTORICAL RESOURCES COMPLIANCE REPORT						
1. PROJECT / ACTIVITY DESCRIPTION AND LOCATION						
District	County	Route <i>(Local Agency)</i>	Local Assistance Project Prefix	Post Miles <i>(Project No.)</i>	Charge Unit <i>(Agreement)</i>	Expenditure Authorization <i>(Location)</i>
<i>(For Local Assistance projects off the highway system, use headers in italics)</i>						
Project Description:						
<i>(Insert project description here; refer reader to location and vicinity maps in HRCR)</i>						
2. PROJECT AREA LIMITS						
<p>The Project Area limits for the project were established in consultation with _____ <i>[name of Caltrans PQS]</i>, _____ <i>[PQS discipline/level]</i>, and _____ <i>[name of project manager / right of way agent / local assistance engineer]</i>, Project Manager/Local Assistance Engineer, on _____ <i>[date]</i>. The Project Area limits maps are located _____ <i>[specify technical study, figure or exhibit number]</i> in this Historical Resources Compliance Report (HRCR).</p> <p>The Project Area limits were established as _____ <i>[add brief description as to where and how boundaries were set]</i>.</p>						
3. CONSULTING PARTIES / PUBLIC PARTICIPATION						
<i>(For the following, check the appropriate line, list names, dates, and locations and results of contacts, as appropriate. List organizations/persons contacted and attach correspondence and summarize verbal comments received as appropriate. This instruction line and inapplicable lines may be deleted)</i>						
<ul style="list-style-type: none"> <input type="checkbox"/> Local Government <i>(Head of local government, Preservation Office / Planning Department)</i> <ul style="list-style-type: none"> • <input type="checkbox"/> Native American Tribes, Groups and Individuals <ul style="list-style-type: none"> • <input type="checkbox"/> Native American Heritage Commission <ul style="list-style-type: none"> • <input type="checkbox"/> Local Historical Society / Historic Preservation Group <i>(also if applicable, city archives, etc.)</i> <ul style="list-style-type: none"> • <input type="checkbox"/> Public Information Meetings <i>(list locations, dates below and attach copies of notices)</i> <ul style="list-style-type: none"> • <input type="checkbox"/> Other <ul style="list-style-type: none"> • 						
4. SUMMARY OF IDENTIFICATION EFFORTS						
<ul style="list-style-type: none"> <input type="checkbox"/> National Register of Historic Places Month & Year: 1979-2002 & supplements <input type="checkbox"/> California Register of Historical Resources Year: 1992 & supplemental information to date <input type="checkbox"/> California Inventory of Historic Resources Year: 1976 <input type="checkbox"/> California Historical Landmarks Year: 1995 & supplemental information to date <input type="checkbox"/> California Points of Historical Interest Year: 1992 & supplemental information to date <input type="checkbox"/> State Historic Resources Commission Year: 1980-present, minutes from quarterly meetings <input type="checkbox"/> Caltrans Historic Highway Bridge Inventory Year: 2006 & supplemental information to date <input type="checkbox"/> Archaeological Site Records <i>[List names of Institutions & date below]</i> <ul style="list-style-type: none"> • <input type="checkbox"/> Other sources consulted <i>[e.g., historical societies, city archives, etc. List names and dates below]</i> <ul style="list-style-type: none"> • <input type="checkbox"/> Results: <i>(provide a brief summary of records search and research results, as well as inventory findings)</i> <ul style="list-style-type: none"> • 						
[HRCR form: 08-12-08]						
Page 1						

State of California Business, Transportation and Housing Agency

Department of Transportation

HISTORICAL RESOURCES COMPLIANCE REPORT

5. EXEMPT FROM EVALUATION / NO CEQA HISTORICAL RESOURCES IDENTIFIED

(Check all that apply. *This instruction line and findings that are not applicable may be deleted.*)

- Not applicable.
- There are **no cultural resources** in the Project Area limits.
- The **only/only other cultural resources** present within the Project Area limits **are exempt from evaluation** because they meet the criteria set forth in the Section 106 Programmatic Agreement (Section 106 PA) Attachment 4 (Properties Exempt from Evaluation) and do not meet any criteria outlined in CEQA Guidelines §15064.5(a):
 - _____ [Name], [Indicate whether person is Caltrans or consultant architectural historian or archaeologist], who meets the Professionally Qualified Staff Standards in Section 106 Programmatic Agreement (Section 106 PA) Attachment 1 as a(n) _____ [Indicate applicable PQS level], has determined that the only resources present within the Project Area limits meet the criteria for Section 106 PA Attachment 4 (Properties Exempt from Evaluation).
 - Bridges listed as Category 5 in the Caltrans Historic Highway Bridge Inventory & updates and are not locally designated or otherwise identified as significant in a local survey meeting SHPO standards. Appropriate pages from the Caltrans Historic Bridge Inventory are attached.
- The following resources within the Project Area limits **previously were determined not eligible** for National Register inclusion, previously determined not to meet California Register eligibility criteria, and/or **previously determined not to be historical resources for purposes of CEQA** as outlined in CEQA Guidelines §15064.5(a)(1) - (3) (include date of determination; identified State-owned cultural resources as such):
 -
- Caltrans, pursuant to CEQA Guidelines §15064.5(a)(1) - (4), evaluated the following resources within the Project Area limits and has determined that they are **not historical resources for purposes of CEQA**:
 -

6. CEQA HISTORICAL RESOURCES IDENTIFIED

(Check the appropriate category, list resources, or refer reader to appropriate technical study attached, according to their National Register or CEQA status. Provide, as appropriate, complete address, period and level of significance, criteria, map reference, and any existing state or local designation, including date; **identify State-owned resources as such**. Do not include resources that are not within the Project Area limits. Attach previous SHPO determinations, as applicable. *This instruction line and findings that are not applicable may be deleted.*)

- Not applicable.
- Caltrans, pursuant to CEQA Guidelines §15064.5(a), evaluated the following resources within the Project Area limits and determined that they **do not meet National Register criteria but ARE historical resources for purposes of CEQA** because they: are listed in the **California Register** or were determined eligible for the California Register by the State Historical Resources Commission [§15064.5(a)(1)], are included in a **local register** or identified as **significant in a local survey** meeting OHP standards [§15064.5(2)], or Caltrans, as the lead agency, has determined that they meet the criteria for listing in the California Register [§15064.5(a)(3) - (4)]:
 -
- Caltrans has determined that the following **archaeological sites shall be considered eligible for the National Register and/or the California Register** without conducting subsurface testing or surface collection within the Project Area limits, for which the **establishment of an ESA** will protect the sites from any potential effects. See attached documentation.
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[HRCR form: 08-12-08]

Page 2

State of California Business, Transportation and Housing Agency

Department of Transportation

HISTORICAL RESOURCES COMPLIANCE REPORT

- The following resources within the Project Area limits were **previously listed or determined eligible for inclusion in the National Register**, were automatically listed in the California Register and **are significant resources for the purposes of CEQA**, pursuant to CEQA Guidelines §15064.5(a)(1) (include date of listing or determination):
 -
- Caltrans, pursuant to CEQA Guidelines §15064.5(a)(3) – (4), evaluated the following resources within the Project Area limits and determined that they **meet National Register and the California Register criteria and are historical resources for purposes of CEQA**:
 -
- Caltrans has evaluated the following **State-owned historical buildings and structures** within the Project Area limits, meet the National Register and/or California Historical Landmark criteria and **are to be added to the Master List**, per PRC §5024(b) and (d):
 -

7. CEQA IMPACT FINDINGS

(Check all that apply. Do not transmit to SHPO unless there are State-owned resources within the Project Area limits; file copy to CCSO. **This instruction line and findings that are not applicable may be deleted.**)

- Not applicable; Caltrans is not the lead agency under CEQA.
- Caltrans has determined a **finding of no impact** is appropriate because there are no historical resources within the Project Area limits, or there are no impacts to historical resource(s), pursuant to CEQA Guidelines §15064.5(b)(3).
- Caltrans has determined a **finding of no substantial adverse change - ESAs**, because the impacts to the following historical resources within the Project Area limits will be mitigated to below the level of significance by using the *Secretary of the Interior's Standards for the Treatment of Historic Properties With Guidelines for Preserving, Rehabilitating, Restoring & Reconstructing Historic Buildings (Standards)* pursuant to CEQA Guidelines §15064.5(b). Establishment of Environmentally Sensitive Areas (ESA), enforcement measures and conditions that utilize the *Standards* are included in the attached documentation. _____ [Name], who meets the Professionally Qualified Staff Standards in Section 106 Programmatic Agreement (Section 106 PA) Attachment 1 as a(n) _____ [Indicate applicable PQS level], has reviewed the attached documentation and determined that it is adequate. (Include description of ESAs and enforcement measures below; attach ESA Action Plan as appropriate.)
 -
- Caltrans has determined a **finding of no substantial adverse change – rehabilitation / repair / maintenance / direct or indirect alteration / transfer with protective easements, covenants and/or agreements** because the impacts to the following historical resources within the Project Area limits will be mitigated below the level of significant impact by using the *Secretary of the Interior's Standards for the Treatment of Historic Properties With Guidelines for Preserving, Rehabilitating, Restoring & Reconstructing Historic Buildings (Standards)*, pursuant CEQA Guidelines §15064.5(b). _____ [Name of Caltrans PQS], _____, who meets the Professionally Qualified Staff Standards in Section 106 PA Attachment 1 as a _____ [Indicate applicable PQS level: Principal Architectural Historian or Principal Investigator], and has the appropriate education and experience, has reviewed the documentation and determined that the measures meets the *Standards*. (Include description of rehabilitation below or indicate below the title of the HRCR attachment that contains the description.)
 -
- Caltrans has determined that the project is a **Class 31 project and is categorically exempt** because the **project is limited to maintenance, repair, stabilization, rehabilitation, restoration, conservation or reconstruction** of the following historical resources in a manner consistent with the *Secretary of the Interior's Standards for the Treatment of Historic Properties With Guidelines for Preserving, Rehabilitating, Restoring & Reconstructing Historic Buildings*, pursuant to CEQA Guidelines §15331. See attached documentation.
 -
- Caltrans has determined that a **finding of substantial adverse change to historical resources** is appropriate for this project, pursuant to CEQA Guidelines §15064.5(b) because the project will cause adverse change to the following historical resources within the Project Area limits. See attached documentation.
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[HRCR form: 08-12-08]

Page 3

State of California Business, Transportation and Housing Agency

Department of Transportation

HISTORICAL RESOURCES COMPLIANCE REPORT

8. MITIGATION PLAN

(List the impacted historical resource and describe its mitigation plan below or indicate below the title of the HRCR attachment that contains the description. Archaeological sites: summarize proposed data recovery. For mitigation plans that are not complete, describe the range of suitable mitigation options. **This instruction line and findings that are not applicable may be deleted**)

- Not applicable.
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9. STATE-OWNED HISTORICAL RESOURCES FINDINGS

(Check all that apply. Copy to CCSO. Transmit to SHPO if State-owned resources were evaluated or there are State-owned historical resources within the Project Area limits. **This instruction line and findings that are not applicable may be deleted.**)

- Not applicable; project does not involve Caltrans right-of-way or Caltrans-owned property.
- Caltrans has determined that there are **no State-owned cultural resources** within the project's APE.
- Caltrans has determined that **State-owned resources** (built environment and archaeological resources) within the project's APE **are exempt from evaluation** because they meet the criteria set forth in the Section 106 Programmatic Agreement (Section 106 PA) Attachment 4 (Properties Exempt from Evaluation) **or were previously determined not eligible** for inclusion in the National Register and/or registration as a California Historical Landmark and that determination is still valid.
- Caltrans has evaluated and determined that the following **State-owned buildings and structures** within the project's APE **do NOT meet National Register and/or California Historical Landmark** eligibility criteria; and is providing notice and summary to, and seeks comments from, SHPO pursuant to PRC §5024(b).
 -
- Caltrans has evaluated and determined that the following **State-owned archaeological sites, objects, districts, landscapes** within the project's APE **do NOT meet the National Register and/or California Historical Landmark** eligibility criteria, and is providing notice and summary to, SHPO pursuant to PRC §5024(a) and (b):
 -
- Caltrans has determined that the following **State-owned buildings and structures previously included in the Master List of Historical Resources** are within the project's APE.
- Caltrans has determined that the following **State-owned archaeological sites, objects, districts, landscapes** within the project's APE **previously were listed or determined eligible** for or listed in the National Register and/or eligible or registered as a California Historical Landmark.
- Caltrans has evaluated and determined that the following **State-owned buildings and structures** that **meet National Register and/or the California Historical Landmarks** eligibility criteria are within the project's APE and **requests that SHPO add these resources to the Master List** of Historical Resources pursuant to PRC §5024(d).
- Caltrans has evaluated and determined that the following **State-owned archaeological sites, objects, districts, landscapes** within the project's APE **meet the National Register and/or California Historical Landmark** eligibility criteria, and is providing notice and summary to SHPO pursuant to PRC §5024(a) and (b):
- Caltrans has determined that this project will have **no effect** to **state-owned archaeological sites, objects, districts, landscapes** within the project's APE that meet National Register and/or California Historical Landmarks eligibility criteria and is providing notice and summary to SHPO pursuant to PRC §5024(f).
- Caltrans has determined that this project will have **no effect** on **state-owned buildings and structures** within the project's APE that meet National Register and/or California Historical Landmarks eligibility criteria and is providing notice and summary to, and seeks comments from, SHPO pursuant to PRC §5024.5..

[HRCR form: 08-12-08]

Page 4

HISTORICAL RESOURCES COMPLIANCE REPORT

- Caltrans has determined that this project will have **no adverse effect** to **state-owned archaeological sites, objects, districts, landscapes** within the project's APE that meet National Register and/or California Historical Landmarks eligibility criteria and is providing notice and summary to SHPO pursuant to PRC §5024(f). *(Include description of proposed treatments, ESAs, conservation easements, protective covenants, etc., below or indicate below which HRCR attachment contains the description.)*

- Caltrans has determined that this project will have **no adverse effect** on **state-owned buildings and structures** within the project's APE that meet National Register and/or California Historical Landmarks eligibility criteria. _____ *[Name of Caltrans PQS]*, who meets the Professionally Qualified Staff Standards in Section 106 PA Attachment 1 as Principal Architectural Historian, and has the appropriate education and experience, has reviewed the documentation and determined that it meets the Secretary of the Interior's Standards for the Treatment of Historic Properties. Caltrans providing notice and summary to, and seeks comments from, SHPO pursuant to PRC §5024.5. *(Include description of proposed repairs, rehabilitation, ESAs, conservation easements, protective covenants, etc., below or indicate below, which HRCR attachment contains the description.)*

- Caltrans has determined that this project will have an **adverse effect** to **state-owned archaeological sites, objects, districts, landscapes** within the project's APE that meet National Register and/or California Historical Landmarks eligibility criteria. _____ *[Name of Caltrans PQS]*, _____ *[applicable PQS level: Principal Architectural Historian or Principal Investigator]* has reviewed the documentation and determined that it meets the Secretary of the Interior's Standards for the Treatment of Historic Properties. Caltrans providing notice and summary to SHPO pursuant to PRC §5024(f). *(Include below a description of alternatives considered and proposed mitigation measures, or indicate below which HRCR attachment contains the description.)*

- Caltrans has determined that this project will have an **adverse effect** on **state-owned buildings and structures** within the project's APE that meet National Register and/or California Historical Landmarks eligibility criteria. Caltrans providing notice and summary to, and seeks comments from, SHPO pursuant to PRC §5024.5. *(Include below a description of alternatives considered and proposed mitigation measures, or indicate below which HRCR attachment contains the description.)*

- For **State-owned qualified historical buildings and properties** within the Project Area limits, Caltrans has **applied the California Historical Building Code (CHBC)** to relevant sections of the current code(s) and/or standards and, if applicable, has consulted with the State Historical Building Safety Board (SHBSB) through its Executive Director pursuant to Health and Safety Code Section 18961 and its implementing regulations at California Code of Regulations Title 24 Part 8 Section 8-103.2. *[Indicate below whether use of current code(s) and standards adversely affected character-defining features of the property and describe the alternative solutions under the CHBC, or indicate below which HRCR attachment contains the description. If applicable, attach copies of correspondence with the SHBSB or its Executive Director.]*

[HRCR form: 08-12-08]

State of California Business, Transportation and Housing Agency	Department of Transportation								
HISTORICAL RESOURCES COMPLIANCE REPORT									
10. LIST OF ATTACHED DOCUMENTATION									
<p><i>(Provide the author/date and peer reviewer/date of the technical report. This instruction line and documentation that is not applicable may be deleted.)</i></p> <ul style="list-style-type: none"> - Project Vicinity, Location, and Project Area Limits Maps - California Historic Bridge Inventory sheet - Historical Resources Evaluation Report (HRER) <ul style="list-style-type: none"> • - Archaeological Survey Report (ASR) <ul style="list-style-type: none"> • - Archaeological Evaluation Report (CARIDAP, XPI, PII, PIII) <ul style="list-style-type: none"> • - Other <i>(Specify below)</i> <ul style="list-style-type: none"> • 									
11. HRCR PREPARATION AND CALTRANS APPROVAL									
Prepared by <i>(sign on line)</i> :									
District ___ Caltrans PQS:	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; border-bottom: 1px solid black;">[Name]</td> <td style="width: 20%; border-bottom: 1px solid black;">Date</td> </tr> <tr> <td colspan="2" style="border-bottom: 1px solid black;">[PQS level and discipline]</td> </tr> </table>	[Name]	Date	[PQS level and discipline]					
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Reviewed for approval by: <i>(sign on line)</i>									
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<p>[HRCR form: 08-12-08] Page 6</p>									