

**EXHIBIT 2.11: REQUIRED COPIES AND REQUIRED
REVIEWS OF CULTURAL RESOURCES
DOCUMENTS**

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EXHIBIT 2.11: REQUIRED COPIES AND REQUIRED REVIEWS OF CULTURAL RESOURCES DOCUMENTS

TABLE A: SECTION 106 AND STATE-ONLY DOCUMENTS

Document	Copies to CCSO	Copies directly to SHPO
HPSR: "Findings – HPSR to File"	0	0
HPSR: "Findings – HPSR to SHPO"	1	1
Supplemental HPSR (with properties)	1	1
Finding of No Historic Properties Affected (If prepared separately from HPSR)	1	1
Finding of No Adverse Effect	2	0
Finding of No Adverse Effect with Standard Conditions	1	1
Finding of Adverse Effect	2	0
Draft MOA	2	0
HRCR with PRC §5024 involvement	1*	1
PRC §5024 correspondence with SHPO	1*	

* = Send to Built Environment Preservation Services Branch (BEPS)

**TABLE B: REQUIRED COPIES OF ARCHAEOLOGICAL
 DOCUMENTS***

Document	Copies to CCSO	Copies to Info Center	Copies to Consulting Parties (Tribes, etc.)	Copies directly to SHPO	Copies to Permitting Agencies (COE, USFS, etc.)
Proposals					
Extended Phase I	1	-	#		1
Phase II (Archaeological Evaluation)	1	-	#		1
Phase III (Data Recovery Plans, Treatment Plans, or Mitigation Proposals)	1	-	#		1
Reports					
DPR 523 Record forms	-	2	#		1*
ASR	1*	1	#		1*
Extended Phase I	1*	1	#		1*
Phase II (Archaeological Evaluation)	1*	1	#		1*
Phase III (Data Recovery, Final Excavation, or Mitigation Reports)	1	1	#	1	1

* = Include copies as attachments to management documents (HPSR or Findings of Adverse Effect).
 # = Send copies to appropriate groups as requested.

TABLE C: REQUIRED REVIEWS FOR CULTURAL RESOURCE DOCUMENTS

Document	Compliance Type	Peer review Caltrans Staff PQS Level	Review for Approval Caltrans Staff PQS Level	Final Approval
Screened Undertaking Memo to File	Satisfies Section 106 and CEQA, but is not a "Finding"	Lead ¹ and above, AH ² and above	Not required	Not Required
CE Section 106 Checklist	Satisfies Section 106 and CEQA, but is not a "Finding"	Lead and above, AH and above	Not required	Not Required
ASR No properties identified	Section 106 Finding CEQA Finding PRC §5024 Finding	Co PI ³ (Prehistoric or Historical Archaeology) and above	Co-PI (Prehistoric or Historical Archaeology) and above	District or CCSO EBC
ASR Properties identified	Section 106 Finding CEQA Finding PRC §5024 Finding	Co PI (Prehistoric or Historical Archaeology) and above	Co-PI (Prehistoric or Historical Archaeology) and above	District or CCSO EBC
Letter Report	Section 106 Finding when Section 106 PA does not apply	Co-PI and above and/or PAH ⁴ , as appropriate	Co-PI and above or PAH, as appropriate	District or CCSO EBC
AER Eligibility determination (eligible or not eligible)	Section 106 Finding CEQA Finding PRC §5024 Finding	PI (Prehistoric Archaeology)	PI (Prehistoric Archaeology)	District or CCSO EBC

Table C is continued on the following pages.

¹ Lead Archaeological Surveyor

² Architectural Historian

³ Co-Principal Investigator

⁴ Principal Architectural Historian

Document	Compliance Type	Peer review Caltrans Staff PQS Level	Review for Approval Caltrans Staff PQS Level	Final Approval
HRER Historical archaeology Eligibility determination (eligible or not eligible)	Section 106 Finding CEQA Finding PRC §5024 Finding	PI (Historical Archaeology)	PI (Historical Archaeology)	District or CCSO EBC
HRER Built environment (eligible or not eligible)	Section 106 Finding CEQA Finding PRC §5024 Finding	PAH	PAH	District or CCSO EBC
Bridge Evaluation Short Form	Section 106 Finding CEQA Finding PRC §5024 Finding	PAH	PAH	District or CCSO EBC
ESA Action Plan	Used in Section 106 consultation Supports CEQA Finding Supports PRC §5024 Finding	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District or CCSO EBC
XPI Proposal and Report	Used in Section 106 consultation Supports CEQA Finding Supports PRC §5024 Finding	PI (Prehistoric or Historical Archaeology, as appropriate)	PI (Prehistoric or Historical Archaeology, as appropriate)	District or CCSO EBC
Phase II Proposal and Report	Used in Section 106 consultation Supports CEQA Finding Supports PRC §5024 Finding	PI (Prehistoric or Historical Archaeology, as appropriate)	PI (Prehistoric or Historical Archaeology, as appropriate)	District or CCSO EBC
Phase III (Data Recovery) Proposal and Plan	Used in Section 106 consultation Supports CEQA Finding Supports PRC §5024 Finding	PI (Prehistoric or Historical Archaeology, as appropriate)	PI (Prehistoric or Historical Archaeology, as appropriate)	District or CCSO EBC
No Adverse Effect Finding w/Standard Conditions	Section 106 Finding	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District or CCSO EBC
No Adverse Effect Finding without Standard Conditions	Section 106 Finding	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District or CCSO EBC

Document	Compliance Type	Peer review Caltrans Staff PQS Level	Review for Approval Caltrans Staff PQS Level	Final Approval
Finding of Adverse Effect	Section 106 Finding	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District or CCSO EBC
Memorandum of Agreement	Section 106 Finding	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District Director
HPSR TO FILE No cultural resources in the APE	Section 106 Finding	Lead and above, AH and above	Lead and above, AH and above	District or CCSO EBC
HPSR TO FILE Resources Exempt from Evaluation	Section 106 Finding	Lead and above, AH and above	Lead and above, AH and above	District or CCSO EBC
HPSR TO FILE No Historic Properties Affected: APE contains previously evaluated as not eligible for the National Register	Section 106 Finding	Lead and above, AH and above	Lead and above, AH and above	District or CCSO EBC
HPSR TO FILE No Historic Properties Affected: National Register listed/eligible properties are within the APE	Section 106 Finding	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District or CCSO EBC
HPSR to SHPO No Adverse Effect with Standard Conditions	Summary document SHPO notification	* ⁵ PI and/or PAH, as appropriate	* PI and/or PAH, as appropriate	District or CCSO EBC
HPSR to SHPO Resources in the APE required evaluation, both ineligible and eligible resources	Summary document, SHPO consultation	* Lead and above, AH and above	* Lead and above, AH and above	District or CCSO EBC
HRCR TO FILE No cultural resources in the PAL ⁶	CEQA Finding PRC §5024 Finding	Lead and above, AH and above	Lead and above, AH and above	District or CCSO EBC

⁵ * Attached reports and studies must be peer reviewed and approved by appropriate Caltrans PQS at the Principal Investigator (Prehistoric Archaeology, Historical Archaeology) or Principal Architectural Historian level

Document	Compliance Type	Peer review Caltrans Staff PQS Level	Review for Approval Caltrans Staff PQS Level	Final Approval
HRCR TO FILE Resources Exempt from Evaluation	CEQA Finding PRC §5024 Finding	Lead and above, AH and above	Lead and above, AH and above	District or CCSO EBC
HRCR to File Resources in PAL required evaluation, both ineligible and eligible resources, but no evaluated resources are state- owned	Summary document	* Lead and above, AH and above	* Lead and above, AH and above	District or CCSO EBC
HRCR to SHPO Resources in PAL required evaluation, both ineligible and eligible resources, and include state-owned resources that were evaluated.	Summary document SHPO PRC §5024 notification and/or consultation	* Lead and above, AH and above	* Lead and above, AH and above	District or CCSO EBC
HRCR TO FILE No Impact to Historical Resources: PAL contains resources previously evaluated as not eligible for the National Register, California State Historical Landmark, California Register or as a historical resource under CEQA	Summary document	Lead and above, AH and above	Lead and above, AH and above	District or CCSO EBC
HRCR TO FILE No Impact to Historical Resources: Historical resources are within the PAL and none are state-owned	Summary document	* Lead and above, AH and above	* Lead and above, AH and above	District or CCSO EBC
HRCR TO SHPO No Impact to Historical Resources / No Effect: PAL contains historical resources, including state-owned historical resources	Summary document SHPO PRC §5024 notification	* Lead and above, AH and above	* Lead and above, AH and above	District or CCSO EBC

⁶ Project Area Limits

Document	Compliance Type	Peer review Caltrans Staff PQS Level	Review for Approval Caltrans Staff PQS Level	Final Approval
HRCR to File No Substantial Adverse Change: PAL contains historical resources and none of the historical resources are state-owned	Summary document	* Lead and above, AH and above	* Lead and above, AH and above	District or CCSO EBC
HRCR to SHPO No Substantial Adverse Change / No Adverse Effect: PAL contains historical resources and include no adversely affected state-owned historical resources , regardless of whether Standard Conditions apply to state-owned historical resources	Summary document SHPO PRC §5024 notification and/or consultation	* Lead and above, AH and above	* Lead and above, AH and above	District or CCSO EBC
HRCR to File Substantial Adverse Change: PAL contains historical resources are and none of the historical resources are state-owned	Summary document	* Lead and above, AH and above	* Lead and above, AH and above	District or CCSO EBC
HRCR to SHPO Substantial Adverse Change / Adverse Effect: PAL contains historical resources are and include adversely affected state-owned historical resources	Summary document SHPO PRC §5024 notification and/or consultation	* Lead and above, AH and above	* Lead and above, AH and above	District or CCSO EBC