Evaluate High Potential Areas for Overweight Trucks and Truck Accidents in California

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To increase operational efficiency, reduce congestion, and meet federal requirements concerning truck size and weight limits, the California Department of Transportation (Caltrans) is exploring new plans and feasible projects designed to increase enforcement and reduce pavement damage due to overweight trucks. Installation of Weigh-in-motion (WIM) systems or Virtual Weigh Station (VWS) by Caltrans will provide information to the California Highway Patrol (CHP) that they can use to better enforce commercial vehicle laws in those areas. To support the effective deployment of WIM/VWIM facilities in California, this project was initiated to determine where future WIM/VWS should be located to maximize their effectiveness in commercial truck weight enforcement.

Overweight Commercial Trucks, Virtual Weight In Motion Stations, VWIM, VMS.
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Evaluate High-Potential Areas for Overweight Trucks and Truck Accidents in California

Final Report

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Executive Summary

To increase operational efficiency, reduce congestion, and meet federal requirements concerning truck size and weight limits, the California Department of Transportation (Caltrans) is exploring new plans and feasible projects designed to increase enforcement and reduce pavement damage due to overweight trucks. Installation of Weigh-in-motion (WIM) systems or Virtual Weigh Station (VWS) by Caltrans will provide information to the California Highway Patrol (CHP) that they can use to better enforce commercial vehicle laws in those areas. To support the effective deployment of WIM/VWIM facilities in California, this project was initiated to answer one basic question: where future WIM/VWS should be located to maximize their effectiveness in commercial truck weight enforcement.

To address the above concerns, this project aimed to pursue two issues. First, it evaluated high-risk areas for incidents of overweight trucks and truck-related crashes so as to identify locations most in need of better enforcement. These areas serve as candidate areas for Caltrans and CHP to determine which areas are most problematic, and to enhance enforcement activities. These locations may also be considered as candidate sites to be equipped with WIM systems and/or VWS or other types of enhanced enforcement. Second, since overweight trucks tend to bypass the facilities through alternative routes, this project developed techniques to identify possible bypass routes. These techniques can be applied to evaluating enforcement strategies for those problematic or high-risk areas.

Accordingly, the following six tasks were conducted in this project to provide a strategy for future WIM/VWS deployment:

1. The high-risk areas were identified by evaluating truck-related crashes,
2. The identified high-risk areas were then investigated to understand their attributes to help predict potential hazardous areas,
3. Areas that have high truck traffic volumes but little law enforcement, were identified based on truck traffic data and existing facility coverage. These areas, together with the high-risk areas, are the areas most in need of future WIM/VWS deployment.
4. Bypass route identification algorithms were developed to identify the alternative routes for bypassing Commercial Vehicle Enforcement Facilities (CVEFs).
5. Case studies were conducted to refine and verify the bypass route identification algorithms.
6. The deployment strategy for future WIM/VWS was developed based on the identified areas and the bypass route identification.

For Tasks 1-2, to identify the high-risk areas for truck-involved crashes, we analyzed three years (2006 through 2008) of crash data from Traffic Accidents Surveillance and Analysis System (TASAS). Our analysis used an approach as follows: 1) we partitioned the (continuous) state routes into 1-mile segments; 2) we counted the number of truck crashes within each segment; 3) the numbers of truck crashes were then color-coded and projected onto the geographical map. To understand the common attributes of the Truck Crash Concentration Locations (TCCLs), we evaluated the patterns of distribution and location shifts, as well as the relationship between overweight trucks and TCCLs. Our evaluation shows that (1) TCCLs are correlated with high truck traffic volumes and (2) TCCLs are often within the segments where merging/diverging truck movements are concentrated. Regarding the relationship between overweight trucks and...
TCCLs, we compared statistics of truck axle weights and total truck weights from WIM sites that are close to TCCLs with those from WIM sites that are far from TCCLs. Our analysis revealed that the WIM data does not indicate that larger numbers of overweight trucks cross WIM sites close to a TCCL or suggest that trucks at TCCLs are heavier.

For Task 3, areas with high truck traffic volumes but a low level of CVEF coverage are also in need of commercial truck weight enforcement. The truck traffic data from Caltrans Data Branch was examined to identify those areas with high truck traffic volumes. The locations of the CVEFs were then incorporated to map the existing CVEFs along state highways so as to evaluate existing CVEF coverage. Accordingly, all 242 state highways were categorized based on their truck traffic and CVEF coverage. Two-dimensional evaluation of truck traffic and CVEF coverage was conducted to reveal 15 high truck-traffic areas that lack CVEF coverage.

In Task 4, the bypass route identification algorithms were developed by formulating the identification of alternative routes as a route guidance problem, which selects a route between an origin to a destination based on certain criteria such as shortest distance, shortest travel time, and minimum number of traffic signals. Since the bypass routes are the alternative routes truck drivers use to bypass a weight station, the bypass route identification was then treated as a route guidance problem with an additional criterion that the optimum route should not include any road segment with a CVEF.

For Task 5, to verify the validity of the identified bypass routes, we selected three CVEF sites for case studies. The bypass route identification algorithms were applied to identify alternative routes for each selected CVEF. The identified routes were then verified through both a visual examination using Google Earth and a review by CHP officers. With the intention of further refining the algorithms, we conducted a focus group discussion with CHP officers and an interview with Caltrans Truck Service personnel to discuss factors that might influence the decisions of drivers of overweight trucks in selecting bypass routes. The discussions revealed that, other than freeway preferences and avoiding residential or narrow roads, there seem to be no consistent characteristics in truck drivers’ passing behavior. Therefore, no new factors needed to be incorporated into the bypass route identification algorithms.

Based on the above results, in Task 6 we proposed a three-step strategy for future WIM/VWS deployment. First, the identified TCCLs and high truck-traffic areas that lack CVEF coverage serve as the candidate areas for future WIM/VWS deployment. Once an area has been chosen for consideration of WIM/VWS deployment, the second step is to identify/select candidate site locations in the area and apply the bypass route identification algorithm for each candidate location. The third step is to compare the effectiveness of the candidate locations based on the ease of their corresponding bypass routes. The candidate locations that result in longer bypass routes are the recommended locations from the perspective of mitigating bypassing behavior. This strategy provides a procedure for Caltrans to locate and deploy future WIM/VWS to maximize the enforcement effectiveness of a deployment site.
1 Introduction

There are a number of areas in California that have a high incidence of overweight trucks and accidents involving overweight trucks. It is likely that there are a significant number of additional areas that are at risk, but have not recently had a significant number of accidents associated with them. These areas need to be identified so Caltrans can determine which areas are most problematic and should be equipped with Weigh-in-motion (WIM) systems and/or Virtual Weigh Station (VWS).

Overweight trucks tend to bypass the existing Commercial Vehicle Enforcement Facilities (CVEFs) by using alternative routes. Identifying these locations and alternative routes would serve the following two purposes. First, it gives Caltrans the option to install WIM systems or VWS on those routes that are used by a significant number of overweight trucks. The information about these alternative routes can also be used by the California Highway Patrol (CHP) to better enforce commercial vehicle laws in those areas. Second, the bypass route identification would also provide a tool for evaluating the deployment of candidate WIM/VWS sites.

To support the effective deployment of WIM/VWIM facilities in California, this project aimed to identify locations most in need of future WIM/VWS and to develop techniques that identify possible bypass routes so as to locate future facilities, such that they cannot be easily bypassed. The overall goal was to provide a strategy for future WIM/VWS deployment.

1.1 Background

State roadway operating agencies and Metropolitan Planning Organizations (MPOs) are facing a broad array of challenges attributable to increasing truck traffic. These include traffic congestion, transportation system deficiencies, safety, infrastructure deterioration, intermodal connections, environmental impacts, quality of life, economic development, and losses in productivity. The challenges that are most prevalent for state DOTs include congested urban highways, safety complications, and pavement deterioration.

Federal Highway Administration (FHWA) policy mandates that each state enforce vehicle size and weight laws to assure that violations are discouraged and that vehicles traversing the highway system do not exceed the legal limits. These size and weight limits are based upon design specifications and safety considerations, and enforcement shall be developed and maintained both to prevent premature deterioration of the highway pavement and structures, and provide a safe driving environment (23 CFR § 657.5).

The increasing numbers of commercial motor vehicles traveling on the nation’s roadways is the preeminent challenge faced by enforcement personnel. At the same time, the enforcement workforce is not increasing to keep pace with the growing truck volumes; in many states, commercial vehicle enforcement personnel staffing levels are less than their full complement. This disparity between truck volumes and enforcement staffing – which is expected to widen –

4 http://www.ops.fhwa.dot.gov/publications/fhwahop09051/sec03.htm
makes it difficult for the involved agencies to ensure the safe, secure, and legal movement of commercial vehicles.\(^5\)

In this environment, states require new ways to work “smart.” States are looking for systems that:
- Automatically process large numbers of commercial vehicles while delaying only those that pose a safety risk or exceed weight regulations;
- Expand the enforcement net to reach roadways and areas not adequately covered by existing enforcement operations, while conserving enforcement resources; and
- Augment current enforcement processes to help mitigate the growth of unsafe commercial vehicle traffic.

1.1.1 Safety Concerns and Operation Needs in California

In the State of California, Caltrans is responsible to build and maintain CVEFs and CHP is responsible to operate them and enforce the laws and regulations governing movement of commercial vehicles on State Highways.

Most weigh station facilities were built between 1958 and 1968. Even the newest facilities were built prior to 1997. Most facilities are undersized and don’t adequately support the current and/or future truck volumes on State Highways. There is significant backup and congestion in the vicinity of CVEFs. The existing facilities are in need of upgrades to increase operational efficiency, reduce congestion, and meet federal requirement concerning truck size and weight limits.

Construction of CVEFs is generally cost prohibitive, especially within metropolitan areas, and have significant environmental impact. Caltrans needs to come up with new plans and feasible projects to increase enforcement and reduce pavement damage due overweight trucks.

1.1.2 Weigh-in-Motion (WIM) and Virtual Weigh Stations (VWS)

**Weigh-In-Motion**\(^6\)

WIM scales measure approximate axle weights as a vehicle moves across sensors or scales and determine the gross vehicle weight and classification based on the axle weights and spacings. WIM systems have been used for commercial vehicle operations in the United States for many years. WIM is commonly deployed at weight enforcement facilities where static scales cannot handle truck traffic volumes. Traditionally, WIM has been used as a weight enforcement tool to sort trucks either on the approach ramp to a weigh station or on the mainline about a mile upstream of a weigh station. Mainline WIM uses variable message signs to call in trucks suspected of exceeding maximum allowable weight limits, which are directed to the static scale for compliance weighing.

Currently there are 106 data WIM collection sites in operation across California. Several of these sites are under construction, and further expansion of WIM systems are planned for the coming years.\(^7\) All Caltrans WIM system sensors are either bending plates on frames embedded in

\(^5\) [http://www.ops.fhwa.dot.gov/publications/fhwahop09051/sec03.htm](http://www.ops.fhwa.dot.gov/publications/fhwahop09051/sec03.htm)
\(^6\) [http://www.ops.fhwa.dot.gov/publications/fhwahop09050/sec02.htm](http://www.ops.fhwa.dot.gov/publications/fhwahop09050/sec02.htm)
\(^7\) [http://www.dot.ca.gov/hq/traffops/trucks/datawim/index.html](http://www.dot.ca.gov/hq/traffops/trucks/datawim/index.html)
concrete or piezo sensors epoxied into the pavement. Another alternative sensor is the load-cell. Inductive loops are placed before and after the WIM sensor array. Loops measure vehicle speed and overall length. Caltrans WIM systems are configured to calculate GVW (gross vehicle weight), individual axle weights, weight violations, vehicle speed, overall length, axle spacing, and vehicle classification (such as passenger vehicle, bus, or truck-tractor/semitrailer).⁸

**Virtual Weigh Stations**⁹

A virtual weigh station is a roadside enforcement facility that does not require continuous staffing and is monitored from another location. Virtual weigh stations are established for a variety of purposes depending on the priorities and needs of each jurisdiction. Typical purposes include safety enforcement, data collection, security (e.g., homeland security, theft deterrence), and size and weight enforcement. These sites may use a variety of sensor components, such as a weigh-in-motion (WIM) installation, a camera system, and wireless communication, to collect data.

### 1.2 Project Goals and Approaches

The goal of this project was to develop a strategy for effectively deploying future WIM/VWS in California. The basic question that needed to be answered is where the future WIM/VWS should be located so as to maximize their effectiveness in commercial truck weight enforcement. This question involves two aspects: (1) where are the areas that are most in need of future WIM/VWS, and (2) where to locate the future WIM/VWS in those identified areas so as to minimize the possibility of overweight vehicles bypassing the facilities. As a result, our proposed approach aimed to pursue these two main issues.

First, we identified areas that are most in need of future WIM/VWS. Such areas include locations that have a high concentration of truck-involved crashes, as well as locations that have high truck traffic volumes but currently lack commercial vehicle enforcement. With these areas identified, Caltrans can then determine which areas are most problematic and should be equipped with WIM systems and/or VWS.

Second, since overweight trucks tend to bypass the facilities through alternative routes, we developed bypass route identification algorithms to identify possible bypass routes. With the knowledge of the identified bypass routes, Caltrans may consider installing WIM/VWS on bypass routes that are used by a significant number of overweight trucks. CHP may also use the information of these alternative routes to better enforce commercial vehicle laws in those areas. Moreover, these bypass route identification algorithms could be applied to evaluate candidate WIM/VWS sites so that a site that results in longer bypass routes could be selected for WIM/VWS deployment.

Based on the proposed approach, the project then consisted of the following six tasks. The first task was to identify areas with a concentration of truck-involved crashes based on the crash data from the TASAS (Traffic Accident Surveillance and Analysis System) database. Instead of using the conventional regression analysis, we used an approach modified from the continuous risk

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profile method\textsuperscript{10} 1) we first partitioned the (continuous) state routes into 1-mile segments; 2) we then counted the number of truck crashes within each segment; 3) the number of truck crashes were color-coded and projected onto the geographical map. Based on this analysis, a truck crash density map for California was established and the high truck crash concentration locations (TCCLs) identified.

In the second task, the identified TCCLs were further evaluated to investigate their common attributes. These common attributes helped us understand what may contribute to the high risk areas and predict potentially hazardous areas. The analysis focused on (1) the patterns of distribution and recognizable location shifts over the years and (2) the relationship between TCCLs and overweight trucks.

The third task was to identify areas that have high truck traffic volume but lack commercial vehicle enforcement. In this task, truck traffic data from Caltrans Data Branch was analyzed to examine the distribution of truck traffic and to identify areas with high truck traffic volumes. Truck traffic along major corridors was also analyzed to evaluate traffic flow along the corridors. Also in this task, the CVEF location data was used to assess the coverage of the existing CVEFs. To facilitate the assessment, a mapping algorithm was developed to transfer the truck traffic count locations and the CVEF locations to a digital map of the California highway network.

In Task 4, bypass route identification algorithms were developed to identify the alternative routes for bypassing the existing CVEFs. The bypass route identification was formulated as an optimization problem that provides several paths between locations before and after a CVEF. In order to find the bypass routes, we chose a cost function that would be prohibitively large if a path were to go through the CVEF, and set up the optimization problem to minimize this cost function. As a result, the optimization yielded paths that would not go through the CVEF; that is, the resulting paths were the bypass routes. Route guidance techniques based on a labeled directed graph\textsuperscript{11} were used to define the optimization problem and develop the bypass route identification algorithms.

In Task 5, three CVEF sites were selected for case studies to evaluate and compare the bypass route identification algorithms. The evaluation included manual examination of alternative routes around the CVEF sites based on the digital map and discussions with local CHP officers. To further refine the algorithms, we attempted to identify the factors that influence the decision of overweight trucks’ operators in selecting bypass routes, so we could incorporate those factors into the bypass route identification algorithms. Therefore, in this task a focus group discussion with CHP officers was held and an interview with Caltrans Truck Service personnel was conducted to help identify those factors. The refined algorithms were then used in Task 6 to develop strategies for WIM/VWS deployment.

\textsuperscript{10} Truck crashes are rare events when compared to passenger vehicle crashes, and they have a tendency to be clustered (spatial autocorrelation). Such characteristics do not bode well with the assumptions underlying conventional regression analysis that is often used to model traffic crashes. The continuous risk profile method, however, does not require any assumption on crash occurring processes. Since truck crashes are too rare to use the continuous risk profile method directly, we made further modifications to the continuous risk profile method.

In the final task, the deployment strategies were developed to determine the optimum sites for deploying new WIM/VMS sites at areas with high safety risks or high truck traffic volume. The deployment strategies were built upon the bypass route identification algorithms developed in Task 4 and refined/verified in Task 5. The deployment strategies further included identifying highways in the area of interest, determining candidate sites for WIM/VMS deployment, evaluating each candidate site by applying the bypass route identification algorithms to find the corresponding bypass routes for each candidate site, and selecting the best site based on the evaluation. A candidate site that resulted in the highest cost function (and fewest bypass routes) was then selected as the optimum site for WIM/VWS deployment.

1.3 Report Organization

The remainder of the report is organized as follows: Section 2 describes the identification of truck-involved crash concentration locations; Section 3 presents the investigation of the common attributes of the identified TCCLs; Section 4 details the identification of areas with high truck traffic volumes but a low level of CVEF coverage; Section 5 presents the bypass route identification algorithms; Section 6 describes the case studies with an evaluation of the bypass route identification algorithms; Section 7 presents the proposed WIM/VWS deployment strategies; and Section 8 summarizes this report.
2 Identification of Truck-involved Crash Concentration Locations

To identify areas with a concentration of truck-involved crashes, we analyzed the historical truck crash data from the TASAS (Traffic Accident Surveillance and Analysis System) database. This section first presents the general statistics of the truck-involved crashes over a three-year period (2006 through 2008), then describes, in detail, the truck-involved crash concentration locations (TCCL).

2.1 Truck-involved Crash Data

In this analysis, we extracted three years (2006 through 2008) of crash data from TASAS. We used data from this three-year period because the database was only updated to the end of 2008, when we acquired the data to conduct the study. We used three years of data to provide sufficient representation and ensure data stability. Since the TASAS data involve not only trucks but also other vehicles, such as passenger cars, we further queried crashes involving trucks for this study. The query results included a total of 47,288 truck-involved crashes (105,599 parties were involved in these crashes), accounting for 647 fatalities and 16,938 injuries.

Figure 2.1 shows the number of truck-involved crashes during this 36-month period. The blue bars represent the number of crashes per month (starting January, 2006) and the black curved line is the second-order best-fit line. As shown in Figure 2.1, the number of truck-involved crashes in California decreased during this 36-month period in a noticeable trend. This trend is consistent with the trend in total number of crashes in the same period.

Figure 2.1 Number of truck-involved crashes in 36-month period (2006 – 2008)

12 TASAS is a computerized traffic crash database maintained by the California Department of Transportation (Caltrans).
Figure 2.2 Time of day when truck-involved crashes occurred during data period (2006 to 2008)

Figure 2.2 shows the time truck-involved crashes occurred during the day. It shows that the majority of truck-involved crashes occurred during the day and higher numbers of crashes were observed during rush hours.

Figure 2.3 shows the distribution of the primary crash factors of truck-involved crashes. Unlike general traffic crashes, where speeding is the dominant primary crash factors, these truck-involved crashes had other violations as the leading cause. These contributed to more than 40 percent of truck-involved crashes.

Figure 2.3 Primary crash factors of truck-involved crashes during data period (2006 to 2008)
Figure 2.4 shows the distribution of crash types for truck-involved crashes. Unlike general traffic crashes where rear-end crashes are the dominant crash type, sideswiping was the leading crash type in these truck-involved crashes.

Figure 2.4 Type of truck-involved crashes (2006 to 2008)

2.2 Identification of Truck Crash Concentration Locations (TCCLs)

To help improve traffic safety, it is important to identify locations where truck crashes are concentrated and to understand the attributes of those locations. Although truck crashes occur more rarely than other traffic crashes, they have the tendency to be clustered (i.e., exhibiting spatial autocorrelation). Moreover, crashes are also spatially distributed across road networks. Therefore, spatial analysis is necessary to identify TCCLs.

In this study, we used a geographical information system and identification procedure as follows. First, since the crash data records use post mile information to indicate the crash location, a post mile map was established by incorporating post mile information into a roadway map database. Second, the crash locations corresponding to truck-involved crashes were calibrated and geocoded based on the post mile map. Third, all California State Routes were divided into one mile segments and the number of truck-involved crashes for each one-mile road segment was counted. Fourth, all of the segments were then ranked based on their count of truck-involved crashes. The top 20 TCCLs in California for the years 2006-2008 are listed in Tables 2.1, 2.2, and 2.3 respectively.
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Table 2.3 Top 20 truck crash concentration locations (2008)

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Figure 2.5 shows the crash density maps for truck-involved crashes in the year 2008. In this map, the density of truck-crash occurrences was shown for all roadway segments for one direction in the left chart and the other direction in the right chart. There is an observable concentration in the major truck corridors in both Northern and Southern metropolitan areas. Particularly noticeable is the greater concentration in the Los Angeles area. While we only show charts for 2008, the other years have similar patterns.

We used Alameda County in San Francisco as a case study to provide a detailed look at the clustering of truck crashes along specific segments. Figures 2.6 and Figure 2.7 show the detailed crash density map for truck-involved crashes in Alameda County with traveling direction in north/east and south/west directions, respectively. SR-238 in Hayward and I-880 near Oakland both have high-concentration segments.
Figure 2.5 Crash density map for truck-involved crashes (California, 2008)
Figure 2.6 Crash density map (north/east direction, Alameda County, 2008)
Figure 2.7 Crash density map (south/west direction, Alameda County, 2008)
3 Investigation of Attributes of TCCLs

To understand what may contribute to the high-risk areas and may also help predict potentially hazardous areas, we further evaluated the identified TCCLs to investigate their common attributes. The analysis focused on the following three aspects:

- patterns of distribution and recognizable location shifts over the years;
- the relationship between TCCLs and the overweight trucks.

This section describes the analysis and corresponding findings.

3.1 Patterns of distribution and recognizable location shifts of TCCLs

Although the top 20 TCCLs varied from year to year, some locations did show up among the top 20 TCCLs in each of the three years we studied. To analyze the location patterns and the variations in truck-involved crashes, we examined the truck-involved crashes at the top 20 TCCLs in each year. Figures 3.1, 3.2, and 3.3 show the numbers of crashes for the top 20 locations in 2006, 2007, and 2008, respectively. In each chart, the three-year truck-involved crashes at each TCCL are also given to allow an inspection of variations in those three years. In each figure, the top 20 TCCLs for a specific year are listed along the x axis; the three colored bars drawn at each TCCL represents the number of truck-involved crashes that occurred at that location in 2006 (blue bars), 2007 (red bars), and 2008 (green bars). In addition, the Top 20 locations ranked by the average number of truck crashes in those three years are shown in Figure 3.4. The corresponding truck traffic volume in AADT (Annual Average Daily Traffic) for those top TCCLs are provided in Figure 3.5.

The following observations can be made from a reading of these figures:

- On one hand, several TCCLs had relatively consistent numbers of truck-involved crashes over the three years, and are included in the top 20 TCCLs for each of the three years. Examples of such TCCLs include the road segment from post mile 18 to post mile 19 on south-bound Route 5 in Los Angeles (labeled as 5S-LOSANGELES-PM18, the 3rd TCCL in Figure 3.1), the road segment from post mile 17 to post mile 18 on south-bound Route 5 in Los Angeles (labeled as 5S-LOSANGELES-PM17, 4th TCCL in Figure 3.1), and the road segment from post mile 9 to post mile 10 on southbound Route 605 in Los Angeles (labeled as 605S-LOSANGELES-PM9, 6th TCCL in Figure 3.1). An inspection of several of these locations indicated that the TCCLs occurred at locations where significant truck traffic merging or diverging movements are involved.

- On the other hand, there could be significant variations in the number of truck-involved crashes from one year to another at some TCCLs. For example, the TCCL (labeled as “215S-RIVERSIDE-PM42.998” in Figure 3.1) that has the highest number of truck-involved crashes in 2006 and 2007, actually had less than 10 truck-involved crashes in 2008, and it is not included in the top 20 TCCLs for 2008. This type of short term change could be due to a short-term fluctuation or a temporary alteration of traffic patterns.

- The investigation of the causal factors of crashes and their concentration require more in-depth evaluation. It will be a topic worthy of further study, but is beyond the scope of this project, and thus will not be discussed further here.

- In Figure 3.4, where the top 20 locations are ranked for the three years, there are several clustered segments. For example,
  - I-710 Southbound, Los Angeles County, PM 21 and 22
- In Figure 3.5, the AADT for the top 20 TCCLs are shown. A majority of these locations has significant high truck volume, so there is a degree of correlation between truck volume and TCCLs. However, the correlation of crash numbers and truck volume is not clear. This illustrates a typical problem with truck crash analysis, as they are relatively rare events and there is a data stability issue in the attempt to model their relationship with other variables.

Figure 3.1 Number of truck-involved crashes at the top 20 TCCLs for 2006
Figure 3.2 Number of truck-involved crashes at the top 20 TCCLs for 2007

Figure 3.3 Number of truck-involved crashes at the top 20 TCCLs for 2008
Figure 3.4 Top 20 TCCLs over the years 2006-2008 by average number of truck crashes

Figure 3.5 Corresponding Truck AADT for Top 20 TCCLs in Figure 3.4
3.2 Relationship between TCCLs and Overweight Trucks

Overweight trucks are perceived to be a traffic safety hazard; therefore, we further investigated whether overweight trucks are an attribute of the TCCLs. Since the crash data did not have truck weight information, we did not have access to the direct information on the total weight or axle weight of trucks involved in accidents. Instead, we compared the weight of trucks at or near TCCLs with that of trucks that were far away from any TCCLs, using data obtained from weigh stations. Our data analysis examined the statistics of truck axle weights, total truck weight, as well as truck lengths and speeds. Data collected in multiple months in 2010 were used in the analysis. This section describes the analysis in detail.

To compare the statistics of the weight of trucks at or near TCCLs with those of trucks that are far away from any TCCLs, we first identified WIM sites that are at or near TCCLs; we treated the rest of the WIM sites as those that are far away from any TCCL. Table 3.1 lists the top 20 TCCLs for 2008, as well as the corresponding nearby WIM sites.

In Table 3.1, the left seven columns list the information of the 20 top TCCLs for 2008, with each row corresponding to one TCCL. For each TCCL, the information listed includes the district and county it resides in, the route and the corresponding segment defined by the post mile (PM) of its start and end locations, the travel direction, and the number of truck-involved crashes that occurred at the TCCL in 2008. For example, the TCCL listed in the second row is on Interstate 57 in Los Angeles County (LA), which is in District 7. This TCCL corresponds to the segment between post mile 3 and 4 on the northbound direction of Interstate 57. In 2008, a total of 37 truck-involved crashes occurred at this TCCL. The six columns to the right provide the information of the WIM sites that are closest to those TCCLs. The distances listed in the second column to the right are the distances from the closest WIM sites to the corresponding TCCLs. For example, the WIM site that is closest to the TCCL in the second row is WIM site #104, which is located at PM 21 on northbound Interstate 57 in Orange County (ORA). This WIM site is about 4 miles from the TCCL. All the identified nearby WIM sites except WIM site #111 are located on the same route with their corresponding TCCLs.

We used WIM data available from 2010 while TASAS data from 2008 due to limitations of data availability; therefore, this analysis is preliminary and only used to explore the linkage between the two data sets. Further analysis based on WIM data and crash data for the same years may be conducted following the approach and analysis described here.
Table 3.1 Top 20 TCCLs (2008) and the corresponding nearby WIM sites

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<td>25</td>
<td>95</td>
<td>SBD</td>
<td>60</td>
<td>7.9</td>
<td>13.7</td>
<td>E</td>
</tr>
<tr>
<td>7</td>
<td>LA</td>
<td>5</td>
<td>17</td>
<td>18</td>
<td>N</td>
<td>25</td>
<td>48</td>
<td>ORA</td>
<td>5</td>
<td>56.1</td>
<td>38.6</td>
<td>N</td>
</tr>
</tbody>
</table>

In addition to the WIM data, there is also a monthly WIM site status document that describes the status (or quality) of the data at each WIM site. The status types are listed in Table 3.2. Only the data for sites with status “GOOD DATA” were used in our analysis. For example, six WIM sites (site #47, #48, #59, #60, #104, and #105) were excluded from the nearby WIM sites and 26 WIM sites were excluded from the distant WIM sites when we analyzed the January 2010 data.

Table 3.2 Types of WIM site status

The WIM data consist of, not only the axle weight of each truck, but also the total weight, the number of axles, the axle spacing, length of the vehicle, and vehicle speed. Some data elements are measured directly (axle weight left and right, times between axles crossing the loops); some are calculated (speed, length, axle spacing); and others come from a look-up table (truck classification and allowable weights).

For this analysis, we focused on the total weight and axle weight of the trucks. Table 3.3 is an excerpt of a spreadsheet that lists the statistics of both the total truck weight and the axle weight.
for each WIM Site based on January 2010 data\textsuperscript{14}. The yellow shade highlights the WIM sites that are near a TCCL (as listed in Table 3.1). Note that two rows are allocated for each WIM site: the first row shows the percentage of trucks and truck axles in different weight bands, while the second row shows the exact number of trucks and truck axles in the corresponding weight bands.

For example, the first two rows show the statistics for WIM site #15, which is the closest WIM site to (about 35 miles away from) a TCCL that is located between post mile 17 and 18 on southbound Interstate 5 in Los Angeles County (as listed in Row 4 of Table 3.1). The first column in Table 3.3 shows that the number and percentage of trucks whose first axle had a weight between 4,000 pounds and 6,000 pounds. For WIM site #15, 54,069 trucks (i.e., 83 percent of the total number of trucks passing through this site in January 2010) had a first axle weighted between 4,000 pounds and 6,000 pounds. Columns 3 through 11 (i.e., columns under the caption “wpa statistics”) list the number and percentage of axles whose weight fell into specific ranges of weight per axle (wpa). For WIM site #15, 14,800 axles (about 4.54 percent of all the axles measured at that WIM site in January 2010) weighed less than 2,000 pounds, and 90,893 axles (about 27.9 percent of all the axles measured) weighed between 2,000 pounds and 4,000 pounds. No axle was detected to be over 20,000 pounds at WIM site #15, as shown in red in column 11. Columns 12 through 17 list the statistics of the total truck weight. For WIM site #15, 22,162 trucks (about 34 percent of all the trucks passing through this site) had a total weight less than 20,000 pounds, and 42,214 trucks (about 65 percent of all the trucks) had a total weight between 20,000 pounds and 40,000 pounds. Two trucks were detected to be over 80,000 pounds at this site in January 2010\textsuperscript{15}.

\textsuperscript{14} Such results can be obtained for each month, as well as for a whole year depending on the WIM data used.

\textsuperscript{15} Since only two trucks out of 65,143 trucks had a total weight over 80,000 pounds, its corresponding percentage is therefore only 0.0000308, i.e., 3.08E-05 as shown in Column 16 of Row 2.
Table 3.3 Statistics of truck weight and axle weight at WIM Sites (based on January 2010 data)

<table>
<thead>
<tr>
<th>WIM Site</th>
<th>wpa 4k-8klb</th>
<th>wpb 4k-8klb</th>
<th>truck total weight statistics</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>&lt;2klb</td>
<td>2k-4kklb</td>
<td>&gt;100klb</td>
</tr>
<tr>
<td>15</td>
<td>54069</td>
<td>14800</td>
<td>89089</td>
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<td>118489</td>
<td>76128</td>
<td>24599</td>
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<tr>
<td>16</td>
<td>0.832138</td>
<td>0.045433</td>
<td>0.279021</td>
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<tr>
<td></td>
<td>0.363734</td>
<td>0.233696</td>
<td>0.075513</td>
</tr>
<tr>
<td>17</td>
<td>0.59536</td>
<td>0.3272</td>
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<tr>
<td></td>
<td>0.11323</td>
<td>0.43417</td>
<td>12189</td>
</tr>
<tr>
<td>18</td>
<td>0.607196</td>
<td>0.098256</td>
<td>0.403125</td>
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<tr>
<td></td>
<td>0.331742</td>
<td>0.129382</td>
<td>0.036323</td>
</tr>
<tr>
<td>19</td>
<td>0.50306</td>
<td>0.212000</td>
<td>0.93131</td>
</tr>
<tr>
<td></td>
<td>0.87053</td>
<td>0.71158</td>
<td>0.35062</td>
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<td>20</td>
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<td>0.40361</td>
<td>12015</td>
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<td>0.11506</td>
<td>0.34114</td>
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<td>0.314361</td>
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<td>0.052343</td>
</tr>
<tr>
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<td>0.350174</td>
</tr>
<tr>
<td></td>
<td>0.396908</td>
<td>0.17359</td>
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</tr>
<tr>
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<td>0.201960</td>
<td>0.71760</td>
</tr>
<tr>
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<td>0.32478</td>
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<td>0.68063</td>
</tr>
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</tr>
<tr>
<td></td>
<td>0.331061</td>
<td>0.189038</td>
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</tr>
<tr>
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<td>0.15954</td>
<td>185815</td>
</tr>
<tr>
<td></td>
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<td>0.89718</td>
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<td>0.39401</td>
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<td>64284</td>
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<td>19726</td>
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<tr>
<td></td>
<td>0.378102</td>
<td>0.21423</td>
<td>0.090537</td>
</tr>
</tbody>
</table>

*Note: WIM = Weigh-In-Motion, WPA = Weigh-Plate Axle, WPB = Weigh-Plate Tractor, 4k-8klb = 4,000-8,000 pounds.*
As shown in Table 3.3, none of the WIM sites that are close to TCCLs (shaded in yellow) detected any axle with weight over 20,000 pounds, while some WIM sites that are distant from TCCLs detected one or two axles with weights over 20,000 pounds. Both WIM sites close to TCCLs and WIM sites away from TCCLs typically detected some trucks with total weights over 80,000 pounds. Although Table 3.3 consists of relatively detailed and complete information regarding the statistics of axle weight and total weight of trucks, it does not provide a straightforward overall view of the comparison. To facilitate the comparison, we generated figures to provide a different perspective of these statistics.

Figure 3.6 shows the number of trucks with total weights greater than 80,000 pounds (i.e., overweight trucks according to the total weight limit) at all WIM sites. The numbers labeled in the figure are the WIM site number for sites where more than 20 overweight trucks were detected (based on January 2010 data). For example, the WIM site that had the maximum number (i.e., 66) of trucks with a total weight over 80,000 pounds is WIM site #5, plotted on the left. The WIM sites that are near a TCCL are shown in blue and the WIM sites that are away from TCCLs are shown in black. The data quality is also shown: red marks sites with bad data or partially bad data (see Table 3.2 for details); magenta marks sites with marginal data. This figure shows that none of the WIM sites that are close to a TCCL (those corresponding to the blue stems) detected more than 20 overweight trucks, while 12 of the WIM sites that are away from TCCLs (those corresponding to black stems) had more than 20 overweight trucks. This figure clearly implies that WIM sites close to TCCLs did not have a larger number of overweight trucks than WIM sites that are away from TCCLs.

Figures 3.7 and 3.8 show the number of overweight trucks at all WIM sites, based on March and May 2010 data. As shown in Figure 3.7, 10 WIM sites that are away from TCCLs detected more than 20 overweight trucks, while one WIM site that is close to TCCLs detected 21 overweight trucks. In general, the numbers of overweight trucks at WIM sites that are close to TCCLs are not noticeably larger than those at WIM sites that are away from TCCLs. Similar observations can be made from Figure 3.8 which is based on May 2010 data. These figures consistently show that, among all WIM sites, WIM sites near a TCCL do not have a larger number of overweight trucks than WIM sites that are far away from a TCCL. Similar figures can be plotted to show the number of axles that are more than 20,000 pounds (i.e., the axle weight limit) at all WIM sites. Those figures also support the observation that WIM sites near a TCCL are not associated with large numbers of overweight trucks.
Figure 3.6 The number of overweight trucks at all WIM sites (based on January 2010 data)
(Numbers listed by the stem are the WIM site number; blue: WIM sites near a TCCL; black: WIM sites far away from TCCLs; red: WIM sites with bad or partially bad data; magenta: WIM sites with marginal data)
Figure 3.7 The number of overweight trucks at all WIM sites (based on March 2010 data)
(Numbers listed by the stem are the WIM site number; blue: WIM sites near a TCCL; black: WIM sites far away from TCCLs; red: WIM sites with bad or partially bad data; magenta: WIM sites with marginal data)
Figure 3.8 The number of overweight trucks at all WIM sites (based on May, 2010 data)
(Numbers listed by the stem are the WIM site number; blue: WIM sites near a TCCL; black: WIM sites far away from TCCLs; red: WIM sites with bad or partially bad data; magenta: WIM sites with marginal data)
In summary, overweight trucks were detected at both WIM sites near a TCCL and WIM sites far away from TCCLs. The WIM data does not suggest more overweight trucks at WIM sites near a TCCL. More detailed analysis of the distributions of truck weight and axle weight statistics indicates that trucks at WIM sites near a TCCL are not heavier than WIM sites far away from TCCLs\textsuperscript{16}.

It is worth noting that the above results do not necessarily suggest that truck overweight is not relevant to truck-involved crashes. On one hand, the data of a WIM site near a TCCL does not strictly reflect the truck weight at the TCCL: an overweight truck may bypass the WIM near the TCCL. On the other hand, overweight trucks may still be more likely to be involved in crashes because heavier trucks are harder to slow down or maneuver although this proposition is not supported by the WIM data. The statistics based on WIM data provide a general picture of truck weights at the WIM sites, which to some degree reflect truck weights in surrounding areas. With that in mind, the above results suggest that trucks at WIM sites near a TCCL are not heavier than those at WIM sites far from a TCCL\textsuperscript{17}.

\textsuperscript{16} There are variations from one WIM site to another, but when considering the WIM sites close to TCCLs as a group and the WIM sites away from TCCLs as another group, the data do not suggest that trucks at WIM sites close to TCCLs are heavier on average or have weights more concentrated in higher weight values, when compared to trucks at WIM sites away from TCCLs.

\textsuperscript{17} Please note that this study is preliminary, since the WIM data used is 2010 data, while the TCCLs are identified based on the truck-crash data for 2006, 2007, and 2008 due to data availability at the time of the study. While some TCCLs have relatively consistent number of truck-involved crashes and are among the top 20 TCCLs in all three years, the mismatch in the duration of the data should not be ignored. Further analysis based on WIM data and crash data for the same years may be conducted using the approach and analysis described here.
4 Identification of Areas with High Truck Traffic Volumes but a Low Level of CVEF Coverage

To support the effective deployment of WIM/VWS, it is necessary to identify areas along highway corridors that are most in need of future WIM/VWS. These areas will then serve as candidate locations for deploying future WIM/VWS facilities, as well as a CHP presence.

4.1 Technical Approach

The areas that are most in need of future WIM/VWS usually have the following two characteristics: (1) they typically have relatively high truck traffic volumes; (2) and they typically lack CVEFs to support the weight enforcement.

The areas with high truck traffic volumes can be identified based on truck traffic data collected across California. In this project, we conducted a statistical analysis of the overall distribution of truck traffic, examining it along each state highway to identify high truck traffic areas.

To further examine whether those high truck-traffic areas have adequate coverage of existing CVEFs, we mapped the existing CVEFs along with truck traffic data for each state highway. Our examination then took two factors into consideration: the distance from high truck-traffic areas to the nearest CVEF; and the difference between truck traffic at the high truck-traffic areas and the truck traffic at the location of the nearest CVEF. The distance factor is straightforward: the closer the nearest CVEF, the better the coverage usually is. The difference in truck traffic, on the other hand, indicates changes in truck traffic from the high truck traffic areas to the nearest CVEF. A considerable decrease in truck traffic likely implies that trucks diverge to junction highways before reaching the nearest CVEF, or take alternative routes to bypass the nearest CVEF. In the former case, if there is inadequate CVEF coverage at the corresponding locations on the junction highways, such a decrease in truck traffic then indicates that the specific high truck traffic area lacks CVEF coverage.

However, obtaining truck traffic volumes at existing CVEFs is not straightforward. For conventional weigh stations as well as mini-site weigh stations, truck traffic at the location of the facilities is not readily available. On the other hand, a WIM facility records every truck that passes through it every day, resulting in a huge amount of data. However, these data are only available at the WIM stations. Therefore, in this project, truck traffic at a CVEF is approximated by truck traffic through the nearest traffic count location\(^\text{18}\).

Accordingly, the identification of areas that are most in need of future CVEFs involves three elements: 1) identification of areas with high truck traffic volumes based on truck traffic count data, 2) evaluation of CVEF coverage based on CVEF location data, and 3) identification of high truck-traffic areas that lack CVEF coverage. The next three sections present a detailed analysis and results for each of the three elements.

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\(^{18}\) Truck counting is done throughout the California in a program of continuous truck count sampling. The sampling includes a partial day, a 24-hour period, a 7-day cycle, and continuous vehicle classification counts. Traffic count locations occur at selected locations on the State Highway System. A more detailed description of count locations and CVEF locations is provided in Section 4.3 together with Figure 4.2.
4.2 Identification of Areas with High Truck Traffic Volumes

We used truck traffic data from the Traffic Data Branch of Caltrans\(^{19}\) to identify areas with high truck traffic volumes. In this data, California State Highways are listed in the legislative route number order. Each traffic count location is identified by its post mile value, and the post mile values increase from the beginning of a route within a county to the next county line, then restart at each county line. Usually, post mile values increase from south to north or west to east depending on the general direction the routes follow within the state.

Table 4.1 summarizes the statistics of the 2009 truck traffic, which reveals that more than 70 percent of the count locations had an Annual Average Daily Traffic (AADT) smaller than 5,000. These statistics later served as a reference in determining what could be considered as high truck traffic volumes.

<table>
<thead>
<tr>
<th>Year 2009</th>
<th>All</th>
<th>AADT &gt; 25k</th>
<th>AADT &gt; 20k</th>
<th>AADT &gt; 15k</th>
<th>AADT &gt; 10k</th>
<th>AADT &gt; 5k</th>
<th>AADT &lt;= 5k</th>
</tr>
</thead>
<tbody>
<tr>
<td># of locations</td>
<td>3498</td>
<td>22</td>
<td>70</td>
<td>218</td>
<td>450</td>
<td>986</td>
<td>2512</td>
</tr>
<tr>
<td>% of locations</td>
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<td>0.6</td>
<td>2</td>
<td>6.2</td>
<td>12.9</td>
<td>28.2</td>
<td>71.8</td>
</tr>
</tbody>
</table>

Table 4.1 Statistics of the 2009 Truck Traffic (AADT refers to truck AADT)

Since count locations are identified using post miles in each county and the post mile value restarts at each county line, we devised an aggregated post mile value to represent the post mile value of each count location from the beginning of the respective corridor. Without restarting at each county line, this aggregate post mile value can uniquely identify a count location along a corridor. As an example, Figure 4.1 shows the truck traffic flow along Interstate 5, where the aggregated post mile is on the x axis and each vertical stem represents the truck AADT at each count location.

By using the aggregate post mile to map count locations, we can conveniently examine the truck traffic flow along each route and identify areas with high truck traffic volumes. To give an example, the count locations with the two highest truck traffic volumes along Interstate 5 are labeled in Figure 4.1, specifying those two count locations.

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\(^{19}\) Caltrans Traffic Data Branch, [http://traffic-counts.dot.ca.gov/](http://traffic-counts.dot.ca.gov/).
Figure 4.1 Truck traffic along Interstate 5

4.3 Evaluation of the Coverage of Existing CVEFs

In California, three types of CVEFs have been implemented for commercial vehicle weight enforcement: weigh stations, mini-site weigh stations, and WIM systems. Similar to the traffic count locations, the CVEF locations are also specified in post miles. By computing the aggregate post mile from the beginning of the corresponding highway, we then located a CVEF along the highway in the same way we mapped the count locations. Figure 4.2 shows the locations of the CVEFs along Interstate 5 together with the truck traffic. The red dots represent the weigh stations while the black dots represent WIM sites (there are no mini-site weight stations on Interstate 5). The labels in Figure 4.2 provide detailed information (e.g., county, name, and facility type) of the corresponding CVEFs.

Plots like Figure 4.2 provided a great tool for us to evaluate CVEF coverage against truck traffic flow along corridors. In Figure 4.2, one area that stands out as a high truck traffic area that likely lacks CVEF coverage lies between WIM site #27 at Tracy (in San Joaquin County) and WIM site #1 at Lodi. The significantly low volume of truck traffic at these WIM sites in such close proximity to each other, indicates that most of the truck traffic in this area is not examined by these two WIM sites. Thus, this area appears to fit the category of a high truck traffic area that lacks CVEF coverage.

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However, it might be true that the majority of the truck traffic in that high truck traffic area could be examined by nearby CVEFs located at junction highways. Figure 4.3 shows the truck traffic and CVEFs around that high truck traffic area, together with the WIM location map of District 7. The map shows the junction routes include Interstates 205, 120, and 4. WIM site #44 on Interstate 205 is close to its junction with Interstate 5; it is possible that part of the truck traffic along that high truck traffic area on Interstate 5 comes from or exits to Interstate 205 and is examined by WIM site #44. Therefore, it is necessary to examine the CVEF coverage across different junction highways for a more accurate assessment.
4.4 Identification of High Truck Traffic Areas That Lack CVEF Coverage

Since there are a total of 242 state highways and 3,498 count locations in the 2009 truck traffic data, it would be time consuming to manually examine each state highway and its junction highways. Therefore, we adopted a two-step process as follows.

Step 1: Categorization of State highways. For each state highway, its truck traffic and CVEFs were examined to identify high truck traffic areas and evaluate CVEF coverage. Each state highway was then classified into one of six categories.

Step 2: Two-dimensional evaluation of truck traffic and CVEF coverage. For each of the state highways that fall into the most critical category, all of its junction routes around the high truck traffic areas were identified and included in the analysis. The truck traffic data as well as CVEF locations along both the highway of interest and the junction highways were imported into Google Earth for a 2-dimensional evaluation to provide a more accurate assessment of CVEF coverage.

4.4.1 Categorization of State Highways based on Truck Traffic and CVEF Coverage

The goal of the categorization is to provide a relatively complete picture of truck traffic and CVEF coverage along all the state highways. Based on the 2009 truck traffic statistics shown in Table 4.1, we chose two AADT thresholds, 5,000 and 10,000, to distinguish three levels of truck traffic:

- Low truck traffic if the truck AADT is smaller than 5,000,
- Medium truck traffic if the truck AADT is between 5,000 and 10,000,
- High truck traffic if the truck AADT is larger than 10,000.
As summarized in Table 4.1, among the 3,498 count locations, there are 2,512 (71.8%) count locations with low truck traffic, 536 (15.3%) count locations with medium truck traffic, and 450 (12.9%) count locations with high truck traffic.

With the above truck traffic levels, six categories were defined for the evaluation of the truck traffic and CVEF coverage along state highways:

A. State highways with low truck traffic and at least one CVEF;
B. State highways with low truck traffic and no CVEF;
C. State highways with medium or high truck traffic and well-located CVEFs (which means that there are CVEFs located right at the areas with medium or high truck traffic);
D. State highways with medium truck traffic and at least one CVEF, however, the CVEFs are located away from the areas with medium truck traffic;
E. State highways with medium truck traffic but no CVEF;
F. State highways with high truck traffic and either no CVEF or CVEFs that are located away from areas with high truck traffic.

Generally speaking, among the six categories, Category A and C are most ideal in terms of CVEF coverage; Category B is not critical, due to its low truck traffic. Categories D and E are where the CVEF coverage could be improved, while Category F is the most critical; highways that fall into this category are most likely in need of future CVEFs. As described with Figure 4.3, the highlighted segment of Interstate 5 falls into Category F.

Another example is provided here with Interstate 680. Figure 4.4 shows the truck traffic and the CVEF locations along Interstate 680; unlike the truck traffic figures shown in previous sections, truck traffic traveling in (OK? sentence is unclear) the two directions of I-680 is plotted separately, with the upper plot showing the truck traffic for the ahead leg, and the lower subplot showing the truck traffic for the back leg. Similarly, the CVEFs are also plotted into separate subplots, according to the highway leg on which they are located. If a CVEF covers both directions of the highway, it will be plotted in both the upper and the lower subplots.

The maximum truck AADT along Interstate 680 is over 10,000; therefore, Interstate 680 was considered a highway with high truck traffic. Two weigh stations and one WIM site are located along Interstate 680. The weigh station at Mission Grade (shown at the left in the upper plot) is about 10 miles away from the count locations with the two largest truck AADT. The fluctuation of the truck traffic between these two count locations are not dramatic. Therefore, we consider the weigh station at Mission Grade to be relatively well located. Similarly, the weigh station at Walnut Creek is also well located to monitor the medium level truck traffic at its corresponding segment of Interstate 680. Thus, Interstate 680 was classified as Category C: state highways with medium or high truck traffic and well-located CVEFs.

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21 This means that the maximum truck AADT along the specific highway is smaller than 5,000.
22 The categorization is based on analysis along each highway; the effects of CVEFs located at junction highways will be taken into consideration in Step 2 of this analysis.
23 A leg is given for each count location and is denoted by an A (ahead leg), B (back leg), or O (equal volume both directions). Ahead leg corresponds to the route direction (from south to north or west to east). Back leg corresponds to the opposite of the route direction. The denotation O indicates that traffic volume is equal for both directions; in such cases the corresponding traffic volume is plotted in both the upper plot and the lower plots.
Following the same process, we classified each of the 242 highways from the 2009 truck traffic data into the six categories. Table 4.2 summarizes the classification results.

Table 4.2 Distribution of the Classification Results

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Number of Highways</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>State highways with low truck AADT and at least one CVEF</td>
<td>17</td>
</tr>
<tr>
<td>B</td>
<td>State highways with low truck traffic and no CVEF</td>
<td>169</td>
</tr>
<tr>
<td>C</td>
<td>State highways with medium or high truck traffic and well-located CVEFs</td>
<td>21</td>
</tr>
<tr>
<td>D</td>
<td>State highways with medium truck traffic and at least one CVEF, however, the CVEFs are located away from the areas with medium truck traffic</td>
<td>10</td>
</tr>
<tr>
<td>E</td>
<td>State highways with medium truck traffic but no CVEFs</td>
<td>9</td>
</tr>
<tr>
<td>F</td>
<td>State highways with high truck traffic and either no CVEFs exists or CVEFs are located far away from the areas with high truck traffic</td>
<td>15</td>
</tr>
</tbody>
</table>

The 15 state highways that fall into Category F, the most critical category, include eight state highways (Interstates 22, 51, 105, 110 134 237, 238, and 605), which have no CVEFs and seven
state highways (Interstates 5, 58, 65, 101, 120, 215, and 880) where CVEFs are located away from the locations with high truck traffic. These 15 highways are to be further examined together with truck traffic and CVEFs at junction routes in the next subsection.

4.4.2 Two-Dimensional Evaluation of Truck Traffic and CVEF Coverage

The two-dimensional evaluation was conducted by converting the post mile values of count locations and CVEF locations to GPS locations, then importing the truck traffic data and CVEF locations into Google Earth. Figure 4.5 shows the count locations and the CVEF locations along Interstate 5 in Google Earth. The blue and red drops represent count locations along the ahead and back legs, respectively. The magenta and red pins represent the locations of WIM and weigh stations, respectively (no mini-site weigh stations are located along Interstate 5).

Figure 4.5 View of Truck traffic and CVEF locations along Interstate 5 in Google Earth

24 When two count locations are at exactly the same location, Google Earth overlays them such that only one is visible from this distance. Thus, there appear to be more blue drops than red drops in Figure 5.5.
Case Study #1: Interstate 5
As described earlier with Figures 4.1 and 4.2, a high truck traffic area along the ahead leg (i.e., north-bound) of Interstate 5 between WIM sites #27 and #1 is likely not covered by an existing CVEF. In Google Earth, we could locate this specific area (marked by a pink square in Figure 4.6a) and zoom into this area (shown in Figure 4.6b). Figure 4.6b shows that a long stretch of the segment along Interstate 5 (see the circled area) had truck AADT above 20,000 but no CVEF coverage. The maximum truck AADT was 40,128, right at the junction with Interstate 205. Figure 4.6c shows the zoom-in view of the triangle formed by Interstates 5, 205, and 580. Although there is a WIM site (#44) on Interstate 205, truck traffic through that WIM site is about 12,240, only one quarter of the maximum truck AADT on Interstate 5.

On the other hand, the truck AADT reduces from its maximum value of 40,128 to 25,900 at the next count location along the ahead leg of Interstate 5. Examination of truck traffic on Interstate 120 at this junction revealed that a truck AADT of 12,328 showed up at a count location on Interstate 120, indicating that a quarter of the truck traffic left Interstate 5 and entered Interstate 120. The truck traffic at the next count location along Interstate 120 reduced to 2,073 and there are no CVEFs in between; therefore, those trucks were not examined by any CVEFs. As a result, we concluded that the high truck traffic area on Interstate 5 between WIM sites #27 and #1 (i.e., the area marked by the red circle in Figure 4.6b), indeed lacks CVEF coverage.

![Figure 4.6 Two-dimensional analyses (Interstate 5) using Google Earth (The numbers shown are the truck AADT): (a) overall view of Interstate 5; (b) view of the high truck traffic area; (c) view of the junction highways](image)

Case study #2: Interstates 101 and 134
Interstate 101 was also classified as Category F and Figures 4.7 and 4.8 depict the situation. Figure 4.7 shows the truck traffic and CVEFs along a segment of Interstate 101. The yellow and pink shaded areas highlight where the high truck traffic areas are, while the blue shaded area marks the nearest CVEFs. Around the nearest CVEFs, the truck AADT reduced by more than 15,000 from the maximum truck AADT value, suggesting that the majority of the trucks in the high truck traffic areas did not pass through those CVEFs. Figure 4.8 shows the same segment of Interstate 101 in Google Earth with the shaded areas corresponding to the shaded areas in Figure 4.7.
To facilitate the two-dimensional evaluation, the truck AADT along Interstate 101 and the junction highways (Interstates 405 and 134) is marked at the corresponding count locations as shown in Figure 4.8. At the junction between Interstates 101 and 134, a large number of trucks (approximately 14,546, based on the truck AADT on a count location on Interstate 134 before the junction) entered Interstate 101 from Interstate 134, leading the truck AADT on Interstate 101’s ahead leg to increase from 1,7942 to 28,792. On the back leg of Interstate 101, the truck AADT increased from 10,283 to 18,860 where Interstate 101 meets Interstate 405, while the truck AADT decreased by about 3,000 on Interstate 405. These changes likely indicated that more trucks entered Interstate 101’s back leg from Interstate 405. Similarly, there was an approximately 9,000 decrease in truck AADT along the back leg of Interstate 101 when Interstate 101 and Interstate 134 diverge; this decrease likely indicated that a large number of trucks continued to Interstate 134 instead of staying on Interstate 101. However, there is no CVEF on Interstate 134; therefore, a large number of trucks (approximately 14,000 on each direction) were not covered by any CVEF. As a result, we concluded that the area marked by the red ellipse in Figure 4.9 is a high truck traffic area that lacks CVEF coverage.

![Figure 4.9 Two-dimensional Analyses (Interstate 101) using Google Earth](image_url)

By applying this analysis process to the 15 highways in Category F, we were able to verify that the high truck traffic areas identified along each of the 15 highways indeed lacked CVEF coverage. Table 4.3 summarizes those areas in terms of their corresponding count locations.
Table 4.3 High truck traffic areas that lack CVEF coverage

<table>
<thead>
<tr>
<th>Interstate</th>
<th>Maximum Truck AADT</th>
<th>County</th>
<th>Post mile (A: ahead leg, B: back leg)</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>40128</td>
<td>SJ</td>
<td>12.623 ~ 32.9 (A), 20.951~25.365 (B)</td>
</tr>
<tr>
<td>22</td>
<td>12006</td>
<td>ORA</td>
<td>0.66<del>7.829(A), 7.829</del>10.478 (B)</td>
</tr>
<tr>
<td>51</td>
<td>15418</td>
<td>SAC</td>
<td>3.688 (B)</td>
</tr>
<tr>
<td>58</td>
<td>16250</td>
<td>KER</td>
<td>52.36~55.404 (A), 51.807 (B)</td>
</tr>
<tr>
<td>65</td>
<td>15855</td>
<td>PLA</td>
<td>4.863 (A)</td>
</tr>
<tr>
<td>101</td>
<td>28792</td>
<td>LA</td>
<td>11.747~19.99 (A and B)</td>
</tr>
<tr>
<td>105</td>
<td>17829</td>
<td>LA</td>
<td>2.106~17.823 (A and B)</td>
</tr>
<tr>
<td>110</td>
<td>20196</td>
<td>LA</td>
<td>4.061~25.751 (A and B)</td>
</tr>
<tr>
<td>120</td>
<td>12328</td>
<td>SJ</td>
<td>0.493 (A)</td>
</tr>
<tr>
<td>134</td>
<td>14546</td>
<td>LA</td>
<td>5.47 (A and B)</td>
</tr>
<tr>
<td>215</td>
<td>10085</td>
<td>RIV</td>
<td>23.537<del>38.339(A), 35.76</del>43.27 (B)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>SBD</td>
<td>0.402<del>9.364 (A), 4.052</del>8.603 (B)</td>
</tr>
<tr>
<td>237</td>
<td>9140</td>
<td>SCL</td>
<td>9.335 (B)</td>
</tr>
<tr>
<td>238</td>
<td>16625</td>
<td>ALA</td>
<td>14.469~14.951 (A)</td>
</tr>
<tr>
<td>605</td>
<td>25344</td>
<td>LA</td>
<td>5.046~20.189 (A and B)</td>
</tr>
<tr>
<td>880</td>
<td>24182</td>
<td>ALA</td>
<td>8.842~31.091 (A and B)</td>
</tr>
</tbody>
</table>

In summary, this section describes the identification of areas that have high truck traffic volumes but lack CVEF coverage. Our analysis involved three elements: the identification of areas with high truck traffic volumes based on truck traffic data, the evaluation of CVEF coverage based on CVEF location data, and the identification of high truck traffic areas that lack CVEF Coverage. The identification of areas with relatively high truck traffic volumes was based on truck traffic data collected across California, while the evaluation of CVEF coverage was conducted by locating the CVEFs along each corridor and examining their locations against the truck traffic. A high truck traffic area was considered well-covered if there was at least one CVEF nearby and the truck traffic did not decrease significantly at the CVEF. All of the 242 state highways were then classified into six categories, according to their truck traffic and the corresponding CVEF coverage. Among them, 15 state highways were classified into the most critical category: state highways with high truck traffic and either no CVEFs exist or CVEFs are located far away from the areas with high truck traffic. These 15 state highways were then further examined together with truck traffic and CVEFs at junction routes to obtain a more accurate assessment. Accordingly, the high truck traffic areas lacking CVEF coverage were identified and summarized in Table 4.3.
5 Bypass Route Identification

Overweight trucks tend to bypass the existing CVEFs by using alternative routes. Identifying these routes and locations would give Caltrans the option to install WIM systems or VWS on those routes and provide information to the CHP that they can use to better enforce commercial vehicle laws in those areas.

The identification of alternative routes falls into the category of route guidance problems, which select a route from an origin (i.e., a source location) to a destination based on certain criteria. This section starts with a brief description of the route guidance problem, then proceeds to apply route guidance techniques to the identification of bypass routes.

5.1 Route Guidance

The route guidance problem is to find an optimum route from an origin to a destination. There are many characteristics of a trip that can be considered in defining an optimum route. Those characteristics may include the shortest distance, shortest travel time, minimum number of traffic signals, and the route with the least amount (or maximum amount) of freeway driving.

Traditionally, the problem of finding the optimum route is modeled as a minimum cost path on a labeled directed graph. Figure 5.1 shows such a labeled directed graph (referred to as G), which consists of (1) vertices that represent decision points (such as intersections, road junctions, highway entry and exit points) on the map of interest, and (2) edges (i.e., lines between pairs of vertices) that represent road segments between two adjacent decision points. For each edge, a cost function is assigned to represent the optimization criteria. Accordingly, given two distinguished vertices, an origin s and a destination d, we define the optimal route, p(s,d), as the path in G from s to d with the minimal cost.

Various optimization algorithms have been developed to find the optimal route given different criteria (i.e., cost functions); for example, one of the well-established shortest path algorithms is the Dijkstra algorithm. With the labeled directed graph in Figure 5.1, if we choose vertex #1 as the origin and vertex #15 as the destination, the shortest path from vertices #1 to #15 is shown in red in Figure 5.2. This shortest path is through the following vertices: #1, #37, #47, #28, #4, #10, #29, and the final destination, #15.

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Figure 5.1 Labeled Directed Graph

Figure 5.2 Shortest path from Vertex #1 to Vertex #15
5.2 Bypass Route Identification Based on Route Guidance

Since the bypass routes are alternative routes truck drivers use to bypass a CVEF, the bypass route identification can be treated as a route guidance problem with an additional criterion that the optimum route should not include any road segment (i.e., edge in the labeled directed graph) with a CVEF. This additional criterion can be incorporated by removing edges with CVEFs from the labeled directed graph.

Let’s use the labeled direct graph in Figure 5.1 as an example. If we assume there is a CVEF located on the edge between vertices #28 and #4 and remove that edge from the labeled direct graph, the shortest path from the origin, vertex #1, to the destination, vertex #15, then goes through vertex #33 instead of vertex #4. Figure 5.3 shows this new shortest path, which is the shortest bypass route that can be used to bypass the CVEF while going from the origin (vertex #1) to the destination (vertex #15).

Figure 5.3 The shortest bypass route from vertex #1 to vertex #15 (a CVEF is located on the edge between vertices #28 and #4)

Figure 5.3 shows the example using the shortest distance criterion; different criteria can certainly be applied. The basic idea is that, by removing the edges (i.e., road segments) with CVEFs from the labeled directed graphs, route guidance techniques can be applied to find bypass routes based on various criteria.

It is worth mentioning that there is one major difference between the typical route guidance problem and the bypass route identification problem. In the typical route guidance problem, the origin and the destination are usually given, while in the bypass route identification problem, the
source and the destination are not certain. In fact, for any given CVEF, there could be multiple (or infinite) origin-destination pairs that define the start and end locations of bypass routes; and the selection of a specific origin-destination pair depends on factors such as truck driver’s preferences, freeway distribution in the local area, traffic conditions, and so on. It has been known that some truck drivers will leave a freeway several-hour driving distance ahead of a CVEF, then return to the freeway after bypassing the CVEF. The goal of this task was to develop a baseline bypass route-identification algorithm to find alternative routes to bypass CVEFs. The chosen origin and destination locations will be taken into consideration based on understanding truck drivers’ preferences through the case studies in Task 6.

5.3 Design of Bypass Route Identification

In California, a CVEF location is specified using the legislated route number of the highway where it is located, and the corresponding county and post mile of its location along the highway. The previous section shows that bypass route identification can be treated as a route guidance issue; however, to apply route guidance techniques, the labeled directed graph around the location of a CVEF needs to be built. To establish such a labeled directed graph, the local map around the CVEF location needs to be obtained first. Accordingly, we proposed the design of the bypass route identification algorithm, as shown in Figure 5.4.

![Figure 5.4 Design of the Bypass Route Identification](image)

Our proposed design involves four main components, or steps:

1. Obtaining CVEF locations: since most maps use longitude and latitude to represent a location, the CVEF locations need to be converted to their corresponding longitude and latitude values, based on their route numbers, county, post mile, and route direction (e.g., from north or south or east to west).

2. Building the local map, which includes two sub-steps:
   - Establishing a California map database: in order to obtain the local map information around any CVEF in California, a California map database is required.
   - Extracting the local map information by querying the California map database with CVEF location (i.e., longitude and latitude values) and the specified area
size. Additional constraints may be added to the query so as to reduce the size of the local map data.

3. Bypass routing, which also includes two sub-steps:
   - Deriving a labeled directed graph: in this step, the local map is converted into a labeled directed graph, in which the edges (i.e., road segment) with CVEFs are removed to prohibit a direct path through the CVEF.
   - Applying route guidance techniques to find the optimum bypass route based on a pre-determined cost function.

4. Presenting bypass routes: the bypass route identified, based on route guidance techniques consists of a sequence of vertices that are connected with edges between any two adjacent vertices. In this step, the bypass route identified is converted to a typical map representation (i.e., longitude and latitude) for the ease of visualization.

Converting the post mile locations of the CVEF to longitudinal and latitude values was conducted using an online tool developed by Safe Transportation Research & Education Center (SafeTrec)\(^{26}\) of UC Berkeley. Importing these longitude and latitude values into Google Earth yielded the view shown in Figure 5.5; the existing CVEFs throughout California, weigh stations, weigh station mini-sites, and WIMs, are represented by red pins, blue pins, and magenta pins, respectively.

\(^{26}\) [http://safetrec.berkeley.edu/index.html](http://safetrec.berkeley.edu/index.html)
To build the local map around a given CVEF, we first established the California map. Currently, several map services are available to the public, including Google Map\(^{27}\), MapQuest\(^{28}\), and OpenStreetMap\(^{29}\). Since we needed to manipulate map data to “remove” the road segments with CVEFs in order to develop bypass route identification, we needed to be able to access the map data itself, not just the free map services. However, neither Google Map nor MapQuest offers free access to its map data; only OpenStreetMap offers free geographic data. In fact,

\(^{27}\) http://maps.google.com/
\(^{28}\) http://www.mapquest.com/
\(^{29}\) http://www.openstreetmap.org/
OpenStreetMap is a truly free, editable map that allows one to view, edit, and use geographical data in a collaborative way from anywhere on Earth.

The map data created by OpenStreetMap are available for free downloading at Cloudmade\textsuperscript{30}. The downloaded California map is in .osm format. We converted it to SQLite database using open source OSM library\textsuperscript{31} to support queries for local map information. SQLite is an embedded relational database management system that implements a self-contained, serverless, zero-configuration, transactional SQL database engine. We chose SQLite because it is the most widely deployed SQL database engine in the world, and its source code is in the public domain\textsuperscript{32}.

To extract the local map information, a C++ program was developed. The area of interest was specified as a rectangular area around the CVEF. The program took the longitude and latitude of the top-left corner and those of the bottom-right corner of this rectangular area as inputs.

To briefly explain the bypass routing algorithm, we used the weigh station at Interstate 880 as an example. Figure 5.6a shows the location of this weigh station in Google Earth. The black dash line defines a rectangular area (approximately 11 by 11 miles) around this weigh station location. Figure 5.6b shows the retrieved local map information by querying the California map using the defined area.

The extracted local map information consisted of a large amount of data (36,101 data points) corresponding to the specified area of 122 square miles. However, truck drivers typically don’t drive through residential areas and they cannot use roads that are too narrow. Therefore, we further refined the query so as to reduce the local map data for efficiency purpose. By excluding

\textsuperscript{30} http://downloads.cloudmade.com/
\textsuperscript{31} http://osmlib.rubyforge.org/osmlib-export/rdoc/index.html
\textsuperscript{32} http://en.wikipedia.org/wiki/SQLite
residential and narrow roads, as well as unclassified roads, we significantly reduced the retrieved local map data without a negative impact on identifying those bypass routes that truck drivers use. Figure 5.7 shows a comparison of the originally retrieved local map data (Figure 5.7a) and the reduced local map data after excluding residential roads and narrow roads (Figure 5.7b). In this specific case, the number of data points was reduced from 36,101 to 4,537. Each color in Figure 5.7b indicates a different road type.

![Figure 5.7](image)

Figure 5.7 (a) extracted local map information (36,101 data points), (b) reduced local map information (4,537 data points)

Before applying route guidance techniques to find bypass routes, we converted the local map information to a labeled directed graph and removed the edge (i.e., road segment) with the CVEF to prohibit a direct path through the CVEF. The first building block of the labeled directed graph is the vertices, i.e., road junctions. Figure 5.8 shows the reduced local map and the corresponding road junctions. These road junctions formed the set of vertices of this labeled directed graph; a total of 649 vertices were identified on this local map.

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33 Please refer to the Summary on Task 7 for details on the local map information reduction.
Figure 5.8 (a) reduced local map; (b) the corresponding 649 vertices (road junctions) of the labeled directed graph

Figure 5.9 Illustration of the labeled directed graph (each line represents an edge between two vertices and the red diamond indicates the location of the weigh station)
The second building block of the labeled directed graph is the set of edges, i.e., road segments between two adjacent vertices (i.e., road junctions). Based on the OpenStreetMap format, two map data points that share the same way number (which uniquely represents a road) are connected. Therefore, two vertices are connected with an edge if these two vertices share a common way number. Figure 5.9 illustrates those edges by drawing a line between any two vertices that have an edge in between. The red diamond represents the location of the weigh station on Interstate 880. The edge with the weigh station is still drawn in Figure 5.9 but it will be removed in the subsequent bypass routing.

The third building block is the cost function on each edge. In order to best identify the alternative routes that drivers of overweight trucks tend to use to bypass CVEFs, we consider cost functions, since they reflect truck driver preferences. Two cost functions were chosen as examples for the algorithm development: travel distance (one of the most common cost functions) and the travel distance with a preference for maximum freeway driving. Figure 5.10 shows the optimum path without the requirement of bypassing the weigh station. Figure 5.11 shows the two optimum bypass routes, i.e., the shortest bypass route and the shortest bypass route with maximum freeway driving. Clearly, the optimum bypass route in Figure 5.11b stays on Interstate 880 for as long as possible; it deviates from Interstate 880 at the exit right before the weigh station and returns to Interstate 880 at the highway entry point immediately after the weigh station. Such results reflect the different effects of the two cost functions.

Figure 5.10 the optimum path without the requirement of bypassing the weigh station
In order for law enforcement personnel to effectively use these bypass routes, it is desirable to present these routes in maps. Therefore, we converted the identified bypass routes (i.e., a sequence of vertices) to typical map data (i.e., longitude and latitude). The conversion involved identifying map data between two vertices (i.e., road junctions) and associating the map data according to the sequence of the vertices. Figure 5.12 shows the shortest bypass route and the shortest bypass route with maximum freeway driving in Google Earth.

Figure 5.11 (a) The shortest bypass route and (b) the shortest bypass route with maximum freeway driving

Figure 5.12 View in Google Earth: (a) the shortest bypass route (the red balloon indicates where the weigh station is), (b) the shortest bypass route with maximum freeway driving
By further blocking other road segments (i.e., removing their corresponding edges in the labeled directed graph), we identified new alternative routes. Figure 5.13 shows the shortest bypass routes if we further block one and two road segments on the shortest bypass routes, shown in Figure 5.11a. To mark the blocked road segments, we drew a red “X” on the corresponding edge. The above results show that (1) the bypass route identification algorithm is capable of identifying an optimum alternative route to bypass a CVEF, according to a pre-specified cost function; and (2) it is flexible enough to work with different cost functions. Furthermore, there are regulations that apply specifically to truck driving (for example, trucks cannot be driven on some urban roads). Some urban roads have tight turns that trucks can’t maneuver. Such information is typically not included in the map data, but the bypass identification algorithm allows us to incorporate those constraints by modifying the labeled directed graph, as shown in Figure 5.13.
6 Case Studies of Bypass Route Identification

The bypass route identification algorithms described in Section 5 provide a potential tool to find alternative routes to bypass a CVEF. Subsequently, we conducted case studies to evaluate and refine the bypass route identification algorithms. These case studies served two purposes: (1) to evaluate and verify the algorithms’ capability to identify bypass routes; and (2) to help identify the specific patterns and preferences truck drivers might have when choosing bypass routes. The identified patterns and preferences will be incorporated into the algorithms in order to identify those alternative routes most likely chosen by drivers.

We selected three CVEF sites from the case studies and applied the developed algorithms to identify alternative routes for each selected CVEF. We used either the minimum travel distance or the minimum travel distance with freeway preference as the criteria in the bypass route identification. To verify the validity of the identified bypass routes, we conducted manual examination of the identified routes, based on Google Earth’s digital maps and reviewed the identified routes with local CHP officers. To understand truck drivers’ preferences and route patterns regarding their bypassing behavior, we interviewed local CHP officers and Caltrans Truck Service personnel through two focus group discussions.

This section presents the case studies using bypass routing at one selected CVEF site as an example. Discussions with CHP officers and Caltrans Truck Service are then presented.

6.1 Identification of Bypass Routes at a Selected CVEF

![Figure 6.1 WIM sites in the Sacramento area](image)

<table>
<thead>
<tr>
<th>Site #</th>
<th>DIST</th>
<th>CO</th>
<th>RTE</th>
<th>PM</th>
<th>LOCATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>3</td>
<td>SAC</td>
<td>80</td>
<td>15</td>
<td>ANTELOPE (EB)</td>
</tr>
<tr>
<td>4</td>
<td>3</td>
<td>SAC</td>
<td>80</td>
<td>17.2</td>
<td>ANTELOPE (WB)</td>
</tr>
</tbody>
</table>

The bypass route identification started with obtaining the CVEF locations as longitude and latitude values as shown in Figure 5.4. To prepare an interview with CHP personnel at their headquarters in Sacramento, we used, as a case study, those CVEFs in Sacramento and the
surrounding area. Figure 6.1 shows the WIM sites in District 3 in the Sacramento vicinity. We also preferred a WIM site where there was considerable truck traffic. As a result, we chose WIM site #4 as a case study.

To identify the bypass routes, we designed a scenario where a truck is traveling westbound on Interstate 80 driving so that its direct path passed through WIM site #4 on the way to its destination, all along Interstate 80. Figure 6.2 shows the direct path in pink; the origin is located at the left upper end of the path and the destination is located at the right lower end of the path.

Applying the minimum traveling distance criteria, the optimum alternative route identified by the bypass route identification algorithms is shown in Figure 6.3a. Further blocking different road segments along this optimum alternative route, we then identified the next optimum alternative routes (as shown in Figure 6.3b through Figure 6.3d).

Similarly, if we use the minimum travel distance with freeway preference as the criteria and further block road segments, we then identified a different set of bypass routes. Figure 6.4 shows examples of the resulting bypass routes.
Figure 6.3 Bypass routes (optimization criteria: minimum travel distance)
Figure 6.4 Bypass routes (criteria/cost: minimum travel distance with freeway preference)
We could continue to identify more and more bypass routes by blocking different road segments. We could also move the origin (and the destination) further upstream (and downstream) along Interstate 80 to include bypass routes that divert traffic from Interstate 80 earlier and return to Interstate 80 later. In other words, the bypass route identification could be continued indefinitely, in theory, resulting in an unlimited number of bypass routes. Therefore, it is necessary to find out whether truck drivers have preferences or specific patterns when choosing bypass routes. If so, we can incorporate those patterns into the bypass route identification, for example, by including those preferences and patterns as optimization criteria.

6.2 Discussions with CHP and Caltrans Truck Service Personnel

As shown in Section 6.1, given a specific CVEF, numerous bypass routes could be identified by blocking different road segments and extending origins and destinations further away from the CVEF. Therefore, it would be helpful to identify and incorporate factors that affect truck drivers in their selection of bypass routes, so that the bypass routing algorithms could be refined to be more efficient and effective.

To help achieve this, we conducted a focus group discussion with CHP or officers at their Sacramento headquarters. The core question was how the drivers of overweight trucks choose bypass routes. More specifically, the discussion covered four aspects that might affect truck drivers’ bypass behavior. The first aspect included common factors that affect all typical drivers (including passenger car drivers) when choosing a route from an origin to a destination. Such factors include the travel distance, freeway preference, traffic conditions, travel time, number of traffic signals, and so on. Typically, the bypass route would be longer or require longer travel time than the direct path through the CVEFs. We expected that drivers of overweight trucks would be willing to travel longer distances (or drive longer hours) in order to bypass a CVEF; the question was whether a bypass route would ever be considered too long, even for the drivers of overweight trucks.

The second aspect related to road geometries and regulations. In the bypass route identification design, residential streets and narrow roads were excluded. That is, the identified bypass route would not consist of residential streets or narrow roads. But were there other considerations related to road geometry that should be incorporated in the bypass route identification?

The third aspect related to the presence of law enforcement or the possibility of such presence. If CHP officers were more likely to show up at specific road segments near a CVEF, drivers of the overweight trucks might deliberately avoid those road segments when choosing bypass routes. If there were consistent patterns of “CHP-preferred” locations, we might incorporate them into our bypass route identification.

The fourth aspect included CHP officers’ experience with drivers of overweight trucks not already included in the above three aspects. This could include any other observation related to what those drivers tend to do or tend not to do when bypassing a CVEF. It could also include what prevents them from choosing particular bypass routes.

In addition to the focus group discussion with CHP officers, we interviewed Caltrans Truck Service Division personnel. The four aspects mentioned above were covered in that interview.
Throughout our focus group discussion and the interview, CHP officers and Caltrans Truck Service Division personnel unanimously agreed upon one fundamental observation about drivers’ bypassing behavior: the drivers of overweight trucks would go out of their way to avoid CVEFs. A longer distance is not prohibitive and there are basically no bypass routes that are too long for those drivers. It is not uncommon that they drive more than two additional hours just to bypass a CVEF. CHP officers in southern California have consistently observed them driving as much as four extra hours to bypass a specific CVEF. On the other hand, the freeway preference seems to be generally true, since it usually saves time and freeway driving is easier than taking local roads with traffic lights.

As for road geometries, it is generally true that truck drivers typically do not use residential streets or narrow roads as they can get around almost any CVEF in California by using legal truck routes. However, there are exceptions. Some truck drivers use residential streets as short cuts; some even try to use narrow roads and get their trucks stuck at small intersections.

Regarding truck route regulations, some truck drivers might still take their chances and drive on roads where they are not permitted. Moreover, truck route regulation information is not always readily available. Therefore, it is difficult to incorporate such information in the bypass route identification.

The presence of CHP officers can often deter drivers of overweight trucks from taking the corresponding road segments if they have advanced knowledge of their presence. To make law enforcement effective, the officers frequently change locations to make their presence unpredictable. In fact, they sometimes try to follow the overweight trucks. They are more likely to show up at just the locations where the overweight trucks are likely to show up. If a road segment is used more frequently by overweight trucks, the CHP officers will be there more often. As a result, those drivers will begin detecting the patterns and start avoiding those road segments. The CHP officers will then change to other road segments, which the truck drivers have switched to. In other words, the presence of CHP officers and the presence of overweight trucks change dynamically, reacting to each other. Consequently, there are no “CHP-preferred” locations that can be incorporated into the bypass route identification.

According to the experiences related by both CHP officers and the Caltrans Truck Service personnel, the drivers of overweight trucks appear to be willing to take whatever routes necessary to bypass a CVEF. There seems to be no consistent characteristics in their bypassing behavior other than that they try to drive as normally as they can to avoid attracting attention from law enforcement officers. This also explains why most of them would avoid residential roads and try to stick to legal truck routes (although there are exceptions).

In summary, the focus group discussion with CHP officers and interview with the Caltrans Truck Service personnel led to the following conclusions.

- In general, most truck drivers prefer freeway preference and avoid residential or narrow roads.
- A longer distance does not discourage or prevent truck drivers from choosing a bypass route, if such a bypass route can increase their chance of bypassing a CVEF without being detected by a CHP officer.
Other than freeway preference and exclusion of residential or narrow roads, no other consistent characteristics have emerged in the bypassing behavior of the drivers of overweight trucks.

Accordingly, we examined our findings with the bypass route identification described in Section 5. The related algorithms already excluded residential and narrow roads. Among the two optimization criteria used in the bypass route identification, the minimum travel distance with freeway preference would be preferred over just the minimum travel distance. Therefore, no additional factors needed to be incorporated into the bypass route identification. The bypass route identification algorithms were considered ready to be used to develop WIM deployment strategies.
7 Development of Strategies for WIM/VWS Deployment

As described in previous sections, deployment strategies for future WIM/VWS deployment depend on the answers to two central questions: where are the areas most in need of future WIM/VWS; how best to locate the system in the identified areas so as to discourage bypass behavior. As illustrated in previous sections, truck traffic counts and CVEF locations can be used to screened for sites with high truck traffic but lacking CVEF coverage. Those areas serve as candidate areas for Caltrans to review and evaluate for future WIM/VWS deployment.

Once an area is chosen for future WIM/VWS deployment, the pressing question is how to most effectively locate the WIM/VWS site so as to mitigate drivers intent on bypassing. As described in Section 6, our focus group discussions with CHP and Caltrans personnel revealed no consistent characteristics in the behavior of those drivers other than freeway preference and exclusion of residential or narrow roads. Although a longer distance does not prohibit those drivers from using alternative routes, longer bypass routes still require increased driving effort than shorter bypass routes. Therefore, site locations resulting in longer bypass routes would be preferable over those resulting in shorter bypass routes for enforcement purposes.

Accordingly, we proposed a three-step WIM/VWS deployment strategy. First, among the identified sites, we need to review and prioritize those areas for WIM/VWS deployment with consideration of Caltrans policies and other considerations of WIM/VWS deployment (such as feasibility of setting up a site in the problematic areas). Since such review and prioritization are out of the scope of this project, we will choose an area identified from previous sections as an example to describe the subsequent steps in Section 7.1.

The next step is to identify or select specific candidate site locations in the area and apply bypass route identification algorithms to each potential location. Two approaches can be taken here. One is to select the candidate sites based on the geometrical and infrastructural requirements for WIM/VWS facilities, so as to ensure the WIM/VWS can function properly at those sites. Alternatively, site selection can first be based on the road network from the perspective of bypass routing, and then on whether the site meets the necessary geometrical and infrastructural requirements.

The third and final step is to compare the effectiveness of the candidate locations based on the ease of their corresponding bypass routes. The candidate locations that result in longer bypass routes are the recommended locations from the perspective of mitigating bypassing behavior. If other site selection requirements have not been taken into consideration in the second step, we recommend applying those requirements into the recommended locations first to select a precise site location.

34 It is worth noting the site selection for WIM/VWS systems have various other requirements, such as even terrain, proximity to existing operational inspection facility, proximity to and availability of communications and power, and so on. This project provides another aspect of the consideration in site selection, which is related to the bypassing behavior of drivers of overweight trucks.
7.1 Example of the WIM/VWS Deployment Strategy

Let’s take the first area listed in Table 4.3 as an example. This area is located on Interstate 5 in San Joaquin County, from post mile 20 mile to post mile 25 mile for the southbound direction and from post mile 12 mile to post mile 32 mile for the northbound direction. Figure 7.1 shows this area marked by a red circle for the southbound direction and the blue circle for the northbound direction. The blue and red balloons in the figure mark the traffic count locations and the numbers shown are the truck AADT.

The corresponding local map extracted from the California map database (with residential roads and narrow lanes removed) is shown in Figure 7.2a. The blue circle marks the same area as that marked by the blue circle in Figure 7.1 to provide a reference of the area of interest.

Figure 7.1 Area along Interstate 5 that has high truck incidence but lacks CVEF coverage
The freeway network around the area divides Interstate 5 into three segments (shaded in green, purple, and blue) as shown in Figure 7.2b; candidate site locations can be selected in each of the segments based on various site requirements. From the perspective of bypass routing, there is little difference between one candidate location and another if they are located in the same segment. Therefore, we chose three candidate locations (marked with stars), one in each segment, to evaluate their effectiveness in mitigating truck drivers’ bypassing behavior.

By applying the bypass route identification algorithms and using minimum travel distances with freeway preference as the optimization criteria, we found the optimum route (using the same origin and destination) for each of the three candidate site locations. Figure 7.3 shows the resulting bypass routes and their corresponding lengths. The optimal bypass routes for Location A and B have similar lengths, 103 miles and 105 miles, respectively, while Location C results in a 140-mile optimum bypass route. Therefore, among the three candidate locations, Location C forces truck drivers to travel at least 40 miles more just to bypass the facility. Thus, solely from the perspective of making the facility more difficult to bypass, Location C would be preferred over Location A or B. Thus, the optimal site for the WIM/VWS is shown in the segment shaded in blue in Figure 7.2b.
In summary, this section describes the WIM/VWS deployment strategy we developed based on identified high-risk areas and bypass route identification algorithms. The strategy consists of three components: 1) evaluating and prioritizing the identified high-risk areas (including the TCCLs and high truck traffic areas that lack CVEF coverage), and selecting the areas for WIM/VWS deployment; 2) identifying candidate deployment locations for a selected area and applying bypass route identification algorithms to find the optimum bypass route for each candidate location; 3) comparing the effectiveness of the candidate locations based on the ease of their corresponding bypass routes. The candidate locations that result in longer bypass routes are the recommended locations. Such a deployment strategy provides a statewide view of the high-priority areas most in need of enforcement, and a technique to maximize enforcement effectiveness of a deployment site.
8 Summary

This project aimed to develop a strategy for deploying future WIM/VWS in California. The strategy would need to answer two questions: what are the areas that are most in need of future WIM/VWS and how to locate the WIM/VWS in these areas to maximize their effectiveness. To answer those questions and develop the deployment strategy, the following six tasks were conducted. First, high-risk areas for truck accidents were identified by evaluating truck-involved crashes. Second, the identified high-risk areas were investigated to understand their common characteristics to help determine potentially hazardous areas. Third, areas with high truck traffic but lacking law enforcement were identified using truck traffic data and the existing facility coverage. These areas, together with the high-risk areas, were recommended as the areas most in need of future WIM/VWS deployment. Fourth, bypass route identification algorithms were developed to identify alternative routes for bypassing CVEFs. Fifth, case studies were conducted to refine and verify the bypass route identification algorithms. And sixth, a deployment strategy for future WIM/VWS was developed based on the identified areas and bypass routes. The remainder of this section provides short summaries for each task.

Identify High-risk Areas by Evaluating Truck-related Crashes
Since truck crashes are rare events (compared to passenger vehicle crashes) and they tend to be clustered (spatial autocorrelation), we proposed and used an approach to identify the concentration of truck crashes. In this approach, we first partitioned the continuous state routes into 1-mile segments; we counted the number of truck crashes within each segment; we then color-coded and projected the numbers of truck crashes onto the geographical map.

Based on this analysis, the truck crash density map for all of California was established for the years 2006 through 2008. The density maps show where hot spots are located. The top 20 high truck crash concentration locations (TCCLs) were identified. The top 20 TCCLs were identified over the three-year period, and significant numbers of TCCLs were clustered at those spots.

Investigate Attributes of the TCCLs
To understand what may contribute to the high-risk areas and also help predict potentially hazardous areas, we further evaluated the identified TCCLs to investigate their common attributes. The review of these TCCLs led the following observation: (1) TCCLs are correlated with high truck traffic volume; and (2) TCCLs are within the segments where merging/diverging truck movements are concentrated.

Furthermore, the relationship between overweight trucks and truck characteristics (e.g., number of axles) was investigated based on data from WIM sites. The data analysis examined the statistics of truck axle weights and total truck weights, and follow-up studies compared statistics from WIM sites near TCCLs as well as WIM sites distant from TCCLs. The analysis of WIM data does not indicate that there are more overweight trucks at WIM sites close to a TCCL, nor does it suggest that trucks at TCCLs are heavier.

Identify areas with high truck traffic volumes, but low levels of CVEF coverage
In addition to TCCLs, areas with high truck traffic volumes but a low level of CVEF coverage are also in need of commercial truck weight enforcement. We analyzed truck traffic data from the Caltrans Data Branch to examine the distribution of truck traffic and identify areas with high
truck traffic volumes. The location data of the CVEFs were then used to map existing CVEFs along state highways so as to evaluate the existing CVEF coverage. Our evaluation was based on the distance from the high truck traffic areas to the nearest CVEF, and more importantly, the difference between truck traffic at high truck traffic areas and truck traffic at the location of the nearest CVEF.

Accordingly, six categories were defined to evaluate all 242 state highways, based on their truck traffic and CVEF coverage. Two-dimensional evaluations of truck traffic and CVEF coverage were conducted. They revealed 15 high truck traffic areas that lack CVEF coverage. These areas were recommended as candidate areas for Caltrans’ consideration for future WIM/VWS deployment.

**Bypass Route Identification**

Overweight trucks tend to bypass existing CVEFs by using alternative routes. This project developed bypass routing algorithms by formulating the identification of alternative routes as a route guidance problem, which selects a route between an origin and a destination based on certain criteria, such as shortest distance, shortest travel time, and minimum number of traffic signals. Since the bypass routes are the alternative routes truck drivers use to bypass a weigh station, bypass route identification was then treated as a route guidance problem with an additional criterion that the optimum route should not include any road segment with a CVEF.

**Case Studies for Bypass Route Identification**

To verify the validity of the identified bypass routes, we selected a few CVEF sites and applied the bypass route identification algorithms to identify alternative routes for each selected CVEF. We examined the identified alternative routes using Google Earth and reviewed them with CHP officers to verify the accuracy of the bypass routes. To further refine the algorithms, we then tried to identify those factors that influence truck drivers’ decisions when selecting bypass routes (so as to incorporate those factors in the bypass route identification algorithms). We conducted a focus group discussion with CHP officers and an interview with Caltrans Truck Service personnel. Both discussions revealed that, other than the freeway preference and excluding residential or narrow roads, no consistent characteristics were identified in the behavior of the bypassing drivers of overweight trucks. As a result, no additional factors were incorporated into the bypass route identification algorithms developed in the previous task.

**Develop Strategies for WIM/VWS Deployment**

Accordingly, we proposed that the WIM/VWS deployment strategies should consist of three steps. First, Caltrans should evaluate the identified high truck traffic areas as candidate areas for future WIM/VWS deployment. Once an area is chosen for consideration of WIM/VWS deployment, the second step is to identify/select candidate site locations in the area and apply bypass route identification algorithms for each location. The third step is to compare the effectiveness of the candidate locations based on the ease of their corresponding bypass routes. The candidate locations that result in longer bypass routes are the recommended locations from the perspective of mitigating bypassing behavior.

**Concluding Remarks**
In summary, this project identified both the high-risk areas where truck-involved crashes are concentrated and the high truck traffic areas that lack law enforcement. Both areas are in need of future WIM/VWS deployment and serve as candidate areas for Caltrans’ consideration of future WIM/VWS deployment. The analysis leading to these identified areas provides a statewide view of the high-priority areas. On the other hand, the bypass route identification algorithms provide a tool for Caltrans to evaluate candidate site locations and the sites’ likelihood of mitigating bypassing truck driver behavior. The final deployment strategy integrates the above findings and provides a procedure for Caltrans to locate and deploy future WIM/VWS in order to maximize the enforcement effectiveness of a deployment site.