ADDITIONAL QUESTIONS AND RESPONSES

TO: Prospective Respondents

RE: Call For Submissions #SUMMER2018DRISI

The purpose of this document is to answer written questions submitted by prospective respondents on or before the May 14, 2018 deadline in reference to Call For Submissions solicitation #SUMMER2018DRISI. Please note the following inquiries and responses below.

Project Number: 1268
Task #: 3237
Title: Soil Amendment Guidance for Infiltration and Stormwater Treatment

**Question 1:** The Division of Research, Innovation & System Information Research Initial Scope of Work Submittal Form - FY 18/19 Section V, Phase I, Task I discusses testing across four soil types (page 1-2). It assumes “that all native soil used for testing can be obtained from the Caltrans ROW within 100 miles of Davis, CA”. As long as all four soil types are utilized, I want to confirm that the soil testing in Task I does not necessarily have to come from Davis, nor does the Field Testing in Phase II need to occur within 100 miles of Davis, CA.

**Answer:** It is expected that all 4 soil types can be obtained within the limits of the stated geographic area. However if it is more economical to procure soil or locate field testing in other locations within Caltrans ROW that would be acceptable subject to approval of the Department contract manager .”

**Question 2:** Only public colleges, universities, and government agencies are allowed to submit research proposals in the call for submissions (page 1). Are private firms allowed to be subcontractors/team members? If private firms are allowed as subcontractors, are there any limitations or restrictions placed on total subcontract amounts or limits to the overhead private firms are allowed to charge?
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**Answer:** Private firms are allowed to subcontract. However, as noted, respondents are mandated by certain conditions which would include, but not limited to, providing a copy of the private subcontractors’ solicitation, which must correlate with services identified in the CFS. The current budgetary guidelines for subcontractors is a maximum of $50,000 or 25% of total contract amount.

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**Project Number:** 1264  
**Task #:** 3233  
**Title:** Fish Passage Engineering Research Proposal

**Question 1:** Fish Passage Engineering Research Proposal includes "field evaluation of full-span road stream crossings." The effort expected for field evaluation is not described and could be a large component of a project budget.

Is field evaluation intended to be longer-term monitoring of hydraulics and geomorphic response to different full-spanning solutions or one-time site assessments?

**Answer:** Respective of the site evaluation locations, the field portion would be a one-time survey/review with some potential software modeling or additional engineering considerations, based on those initial surveys/evaluations. The specifics of this effort are currently being detailed.

**Question 2:** What number of field sites is expected?

**Answer:** Approximately 18-20 locations, ranging in location from Del Norte to Santa Cruz.

**Question 3:** The Call for Submissions (CFS) states that: “Public institutions using subcontracts with private entities are subject to certain conditions and may not be eligible under this CFS process.” Does this include subcontracts to consulting engineers practicing in the relevant topical field? If so, is there a definition of the limited conditions such as percent of time or contract budget?

**Answer:** Private firms are allowed to subcontract. However, as noted, respondents are mandated by certain conditions which would include, but not limited to, providing a copy of the private subcontractors’ solicitation, which must correlate with services identified in the CFS. The current budgetary guidelines for subcontractors is a maximum of $50,000 or 25% of total contract amount.

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“Caltrans improves mobility across California”
Project Number: 1291  
Task #: 3263  
**Title:** Quantify the Effect of Roadside Barrier on Near Road Air Pollutant Dispersion and Concentration

**Question 1:** The RFP calls for measurements of concentrations of CO and BC during the tracer study. Are these measurements crucial to the success of the project?

**Answer:** Please refer to the scope of work. Proposals that do not address all tasks outlined in the scope of work may be considered as incomplete.

**Question 2:** There are many requirements an effective tracer gas must meet, and SF6 is the best of very few options for studies of this type. Since California now regulates SF6 due to its global warming potential, would it be possible to get an exemption to release small quantities of SF6 for this study?

**Answer:** Caltrans is aware of certain challenges and complexities associated to the proposed tasks. Submitters are encouraged to demonstrate their knowledge and expertise in the subject matter by identifying any challenges that may affect their proposed work plan, potential solutions, and alternative contingencies to insure the success of the project.

**Question 3:** Is there any interest in understanding how the roadside barriers may affect pollutant exposure for people traveling on the roadway?

**Answer:** Not at this time.

**Question 4:** The RFP provides flexibility in proposing a tracer study to fulfill the objectives of the project. Thus, the details of the scope of work will depend on the budget that Caltrans has allocated to the project. Can Caltrans provide information on the budget?

**Answer:** To protect the integrity of this solicitation, information regarding the budget will not be disclosed.

**Question 5:** Does Caltrans place limits on indirect costs?

**Answer:** The maximum indirect costs allowed for the 2018-19 fiscal year is 25%. This rate may be modified in future fiscal years.

“Caltrans improves mobility across California”
Project Number: 1228
Task #: 3151
Title: Results of Key Transit Investments

Question 1: Is there a way to get the preliminary results of the research concluded on March 2018 before the proposal deadline date?

Answer: Please access the following public links to review the report:

Report Title: Quantifying the Results of Key Transit Investments

Miscellaneous:

Questions 1: What are the limitations on funding requests in total and by fiscal year?

Answer: To protect the integrity of this solicitation, information regarding the budget/funding will not be disclosed.

Question 2: We are considering partnering with another public agency. Is there a limitation on the amount of work that we may sub-award to our partner?

Answer: The current budgetary guidelines for subcontractors is a maximum of $50,000 or 25% of total contract amount.

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