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April 5, 2016

Mr. Bruce De Terra, Division Chief  
Department of Transportation, MS 82  
Division of Transportation Programming, Office of Federal Transportation Management Program  
1120 N Street  
Sacramento, CA 95814

ATTN: Lima Huy

Dear Mr. De Terra:

This letter serves as notification to Caltrans and the FHWA of Formal Amendment #11 to SBCAG's 2015 FTIP. The SBCAG Board of Directors approved Formal Amendment #11 to the 2015 FTIP at their March 17<sup>th</sup> meeting. The amendment was presented to the public per guidelines established in SBCAG's adopted Public Participation Plan. The amendment makes the following changes to the projects described below which is consistent with the Regional Transportation Plan and requirements in 23 CFR 450:

- **Transit Operating Assistance:** (MPO ID: GUAD4): \$319,306 in new FTA 5311 funds (FFY 2016 cycle) and local match programmed.
- **Transit Operating Assistance:** (MPO ID: SOLVANG02): \$769,782 in new FTA 5311 funds (FFY 2016 cycle) and local match programmed.

The 2015 FTIP remains financially constrained, meets air quality conformity, and does not interfere with the timely implementation of Transportation Control Measures contained in the State Implementation Plan.

If you have any further questions regarding this amendment, please contact Stephen VanDenburgh of my staff at (805) 961-8904 (email: [svandenburgh@sbacg.org](mailto:svandenburgh@sbacg.org)).

Sincerely,

Jim Kemp  
Executive Director

Member Agencies

Buellton ■ Carpinteria ■ Goleta ■ Guadalupe ■ Lompoc ■ Santa Barbara ■ Santa Maria ■ Solvang ■ Santa Barbara County

**SBCAG STAFF REPORT**

**SUBJECT:** FTA Section 5311 Program of Projects and Federal Transportation Improvement Program Amendment #11

**MEETING DATE:** March 17, 2016 **AGENDA ITEM:** 5D

**STAFF CONTACT:** Anne Jensen

**RECOMMENDATION:**

1. Approve the Program of Projects for Federal Transit Administration Section 5311 non-urbanized area transit funds (Section 5311) in Santa Barbara County for FY 2015/16.
2. Approve Formal Amendment #11 to the 2015 Federal Transportation Improvement Program (FTIP) to program FTA 5311 funding for FY 15/16.

**SUMMARY:**

Under Section 5311 of the Federal Transit Act, funds are made available annually for transit projects in non-urbanized areas. In California, the Section 5311 program is administered by Caltrans Division of Mass Transit with funds apportioned to each region on the basis of non-urbanized area population. Each year SBCAG is required to adopt a Program of Projects that includes all transit capital and operating projects proposed for Section 5311 funding. Staff is recommending that the board approve programming of the \$247,095 in FY 15/16 funds to the City of Guadalupe (\$58,067 for Guadalupe Flyer operations) and City of Solvang (\$189,028 for Santa Ynez Valley Transit operations).

**DISCUSSION:**

SBCAG has received the FTA 5311 apportionment figure for Federal Fiscal Year 2016 and has prepared the attached Program of Projects. The estimated apportionment for FY 2015/16 is \$247,095 and is divided between the City of Guadalupe (Guadalupe Flyer) and the City of Solvang (Santa Ynez Valley Transit - SYVT) based on the population served by the transit systems. The population figures used to determine funding amounts are taken from the California Department of Finance (2015).

The Guadalupe Flyer and Santa Ynez Valley Transit are the only operators receiving 5311 funds in Santa Barbara County. The operators have requested that all of the 5311 funds be programmed for operating assistance. A summary of the proposed distribution is listed below.

Recipient	Project	Funds to be programmed
Guadalupe	FY 15/16 Operations	\$58,067
Solvang	FY 15/16 Operations	\$189,028
	<b>Total</b>	<b>\$247,095</b>



It is recommended that the board approve the attached Program of Projects and Formal Amendment #11 to the FTIP for submittal to Caltrans. The 5311 funding must be amended into the FTIP in order for the agencies to receive the funds for their transit operations.

**FTIP Amendment #11**

The Federal Transportation Improvement Program (FTIP) is adopted by SBCAG and serves as a single document that the public and government agencies can review to understand where, when and how federal funding will be spent in Santa Barbara County. An accurate project description, including scope and funding allocated, must be listed in SBCAG's Federal Transportation Improvement Program before federal funds can be expended on the projects. After adoption, the FTIP must be periodically amended to facilitate expenditure. SBCAG's role is to amend the funding changes into the FTIP.

Amendment #11 programs new FTA 5311 Federal Fiscal Year 2016 funds for transit operations by the Cities of Guadalupe and Solvang, described in the above section and shown in the Program of Projects (Attachment A).

The projects included in the FTIP amendment are as follows:

**GUAD4: Guadalupe – Transit Operating Assistance**

New FTA 5311 funds programmed per above.

**SOLVANG02: Transit Operating Assistance**

New FTA 5311 funds programmed per above.

**ATTACHMENTS:**

- A. FTA Section 5311 Program of Projects – Federal Fiscal Year 2016
- B. EZTrak Report Amendment #11
- C. SBCAG Resolution 16-10
- D. SBCAG Resolution 16-11



**CALIFORNIA DEPARTMENT OF TRANSPORTATION  
DIVISION OF RAIL & MASS TRANSPORTATION  
Rural Transit and Intercity Bus Branch**

**FEDERAL TRANSIT ADMINISTRATION (FTA)  
SECTION 5311 REGIONAL PROGRAM OF PROJECTS (POP)**

**FEDERAL FISCAL YEAR 2016**



**All Section 5311, 5311(f), and Rural CMAQ Transit Applications and POPs are due April 15th, 2016.**  
However, if there are issues meeting the deadlines, please notify your HQ Liaison as soon as possible.

County/Region: Santa BarbaraDistrict: District 5Original Submission Date: 3/24/16

Revision No. \_\_\_\_\_

Revision Submission Date: \_\_\_\_\_

**FEDERAL FISCAL YEAR 2016****Section 5311 Program of Projects (POP)** Regular 5311     JARC 5311     CMAQ**(A) Available Funding:**

Carryover:	(+)	<u>0</u>
<i>Estimated</i> Apportionment [FFY 2016 ]:	(+)	<u>247,095</u>
<b>(A) TOTAL FUNDS AVAILABLE:</b>	=	<u>247,095</u>

**(B) Programming (POP): Complete Parts I and II**

			<i>Federal Share</i>
Part I. Operating Assistance - Total:	(+)	<u>247,095</u>	
Part II. Capital - Total:	(+)	<u>0</u>	
<b>(B) Total [Programmed]:</b>	(=)	<u>247,095</u>	

**(C) Balance**

			<i>Federal Share</i>
(A) Total Funds Available:	(+)	<u>247,095</u>	
(B) Total [Programmed]:	(-)	<u>247,095</u>	
<b>* Balance:</b>	(=)	<u>0</u>	

**\*BALANCE – Regional Apportionment Funds ONLY:**

- Please Note -
  - funds must be programmed in subsequent year
  - final approval to be determined by the Department
- Request/Letter to carryover funds should include -
  - justification for programming postponement
  - purpose and project plan
  - letter of support from local Transportation Planning Agency

**(D) Flexible Funds (CMAQ, STP or Federalized STIP): Complete Part III (For reference only).**

Request for transfer will be applied for directly through the District - Local Assistance District Engineer, and Headquarters' Division of Local Assistance. Division of Rail & Mass Transportation will receive a conformation once the transfer is completed.

			<i>Federal Share</i>
<b>(D) Part III. Flex Fund - Total:</b>		<u>0</u>	

***FUNDING SUMMARY***

			<i>Federal Share</i>
(B) Regional Apportioned - Total [Programmed]:	(+)	<u>247,095</u>	
(D) Flex Fund - Total:	(+)	<u>0</u>	
<b>GRAND TOTAL [Programmed]:</b>	(=)	<u>247,095</u>	

Contact Person/Title: Anne Jensen, Transportation Planner II  
Phone Number: 805-961-8915

Date: 3/8/16





**PART IV. Vehicle Replacement Information**

State Contract     Local Purchase     Piggyback     Other    Explain: \_\_\_\_\_

Vehicle Description							
Type	Number of Passengers	Fuel Type	Length	VIN. #	In Service Date	Current/End Mileage	Disposition Date

**INSTRUCTIONS**

**PART I – Operating Assistance**

- Do not list previously approved projects (i.e. projects listed in a prior grant).
- Funding split: 44.67% Local Share and 55.33% Federal Share.
- Third Party Contract Requirement – all third party contracts must contain federal clauses required under FTA Circular 4220.1E and approved by the State prior to bid release. .
- Net project cost does not include ineligible cost (i.e. farebox, other revenues, etc.).

**PART II – Capital (Vehicles, Construction, Preventive Maintenance and Planning)**

- **All** vehicles procured with Section 5311 program funds must be ADA accessible regardless of service type (fixed route or demand-response service).
- Capital projects must contain a **full description of project**: A PRELIMINARY ENVIRONMENTAL SURVEY (PES) is required for Capital projects other than vehicle procurement.(i.e. facility or shelter - include specifics, planning studies, preventative maintenance). The PES does not

satisfy the requirements for environmental review and approval. When the agency prepares the documentation for a categorical exclusion, the Environmental Justice Analysis must be included.

- Funding split: 11.47% Local Share and 88.53% Federal Share.
- Procurement Contract Requirement – all documents used for procuring capital projects must contain federal clauses required under FTA Circular 4220.1E and approved by DRMT prior to bid release.

**PART III. Section 5311 FLEXIBLE FUNDS [i.e. CMAQ, STP, or Federalized STIP\*] if applicable:**

- Request for transfer will be applied for directly through the District - Local Assistance District Engineer, and Headquarters' Division of Local Assistance. Division of Rail & Mass Transportation (DRMT) will receive a confirmation once the transfer is completed.
- Funding split: 11.47% Local Share and 88.53% Federal Share. CMAQ may be funded up to 100% at the discretion of the Regional Planning Agency/MPO.

**PART IV. Vehicle Replacement**

- For each vehicle identified as replacement and/or expansion of fleet in sections II and/or III the following information is required: type (van, bus, trolley, type 1, 2, 3, 4, etc), vehicle identification number (VIN #), vehicle length (i.e. 35 ft.), passenger capacity, fuel type, in service date, current/end mileage, disposition date, and procurement type (i.e. State contract, local procurement, piggyback, etc).

**FEDERAL FISCAL YEAR 2016: All Flexible (CMAQ) CAPITAL funded projects** - a complete 5311 application is required at the time a POP is submitted. **POP and application should be submitted by April 15, 2016.** Part II of the application (Regional Certifications and Assurances) must be complete (i.e. signature, specific project programming information).

**Santa Barbara County Association of Governments**  
**2015 Federal Transportation Improvement Project List**

SBCAG ID **GUAD4** Implementing Agency **Guadalupe, City of**

SBCAG ID # **GUAD4** Last Revised **Amendment 11**

Project Manager **Jim Talbott** Phone **(805) 922-8476**

Estimated Total Project Cost **\$524,000** RTP ID Number **Gu 3**

Project Title **Guadalupe - Transit Operating Assistance**

Project Description  
**Guadalupe - Transit operating assistance for non-urbanized areas. This is an ongoing transit project.**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$15.000	\$15.000
05/06	FTA 5311			\$18.000	\$18.000
06/07	FTA 5311			\$34.000	\$34.000
06/07	TDA			\$213.000	\$213.000
07/08	FTA 5311			\$37.000	\$37.000
08/09	FTA 5311			\$39.000	\$39.000
09/10	FTA 5311			\$35.000	\$35.000
10/11	FTA 5311			\$35.000	\$35.000
10/11	TDA			\$28.000	\$28.000
11/12	FTA 5311			\$35.000	\$35.000
11/12	TDA			\$35.000	\$35.000
12/13	FTA 5311			\$72.000	\$72.000
12/13	TDA			\$72.000	\$72.000
13/14	FTA 5311			\$73.979	\$73.979
13/14	TDA			\$224.000	\$224.000
14/15	FTA 5311			\$61.182	\$61.182
14/15	TDA			\$352.561	\$352.561
15/16	FTA 5311			\$58.067	\$58.067
15/16	TDA			\$261.239	\$261.239
		\$0	\$0	\$1,699,028	\$1,699,028

**Federal** Total Cost **\$1,699,028**

**Santa Barbara County Association of Governments**  
**2015 Federal Transportation Improvement Project List**

**Previously Approved FTIP**

SBCAG ID # **GUAD4** Last Revised **Amendment 3**  
 Project Manager **Jim Talbott** Phone **(805) 922-8476**  
 Estimated Total Project Cost **\$524,000** RTP ID Number **Gu 3**

Project Title  
**Guadalupe - Transit Operating Assistance**

Project Description  
 Guadalupe - Transit operating assistance for non-urbanized areas. This is an ongoing transit project.

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$15,000	\$15,000
05/06	FTA 5311			\$18,000	\$18,000
06/07	FTA 5311			\$34,000	\$34,000
06/07	TDA			\$213,000	\$213,000
07/08	FTA 5311			\$37,000	\$37,000
08/09	FTA 5311			\$39,000	\$39,000
09/10	FTA 5311			\$35,000	\$35,000
10/11	FTA 5311			\$35,000	\$35,000
10/11	TDA			\$28,000	\$28,000
11/12	FTA 5311			\$35,000	\$35,000
11/12	TDA			\$35,000	\$35,000
12/13	FTA 5311			\$72,000	\$72,000
12/13	TDA			\$72,000	\$72,000
13/14	FTA 5311			\$73,979	\$73,979
13/14	TDA			\$224,000	\$224,000
14/15	FTA 5311			\$61,182	\$61,182
14/15	TDA			\$352,561	\$352,561
		\$0	\$0	\$1,379,722	\$1,379,722

**Federal Project**

Total Cost **\$1,379,722**

**Santa Barbara County Association of Governments**  
**2015 Federal Transportation Improvement Project List**

SBCAG ID **SOLVANG02** Implementing Agency **Solvang, City of**

SBCAG ID #  
**SOLVANG02**

Last Revised  
**Amendment 11**

Project Manager  
**Matt Van Der Linden**

Phone  
**(805) 688-5575**

Estimated Total Project Cost  
**\$1,912,600**

RTP ID Number  
**SYVT 1**

Project Title  
**Transit Operating Assistance**

Project Description  
**City of Solvang - Transit Operating Assistance for Non-Urbanized Areas. This is an ongoing transit project.**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$56.000	\$56.000
05/06	FTA 5311			\$61.000	\$61.000
05/06	Loc Funds - City Funds			\$298.000	\$298.000
06/07	FTA 5311			\$122.000	\$122.000
06/07	TDA			\$487.000	\$487.000
07/08	FTA 5311			\$132.000	\$132.000
08/09	FTA 5311			\$139.000	\$139.000
09/10	FTA 5311			\$128.600	\$128.600
10/11	FTA 5311			\$128.500	\$128.500
10/11	TDA			\$103.500	\$103.500
11/12	FTA 5311			\$128.500	\$128.500
11/12	TDA			\$128.500	\$128.500
12/13	FTA 5311			\$155.000	\$155.000
12/13	TDA			\$155.000	\$155.000
13/14	FTA 5311			\$323.497	\$323.497
13/14	TDA			\$261.171	\$261.171
14/15	FTA 5311			\$317.674	\$317.674
14/15	TDA			\$291.464	\$291.464
15/16	FTA 5311			\$189.028	\$189.028
15/16	TDA			\$580.754	\$580.754
		\$0	\$0	\$4,186,188	\$4,186,188

**Federal**

Total Cost **\$4,186,188**

**Santa Barbara County Association of Governments**  
**2015 Federal Transportation Improvement Project List**

**Previously Approved FTIP**

SBCAG ID #  
**SOLVANG02**

Last Revised  
**Amendment 4**

Project Manager  
**Matt Van Der Linden**

Phone  
**(805) 688-5575**

Estimated Total Project Cost  
**\$1,912,600**

RTP ID Number  
**SYVT 1**

Project Title  
**Transit Operating Assistance**

Project Description  
**City of Solvang - Transit Operating Assistance for Non-Urbanized Areas. This is an ongoing transit project.**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$56,000	\$56,000
05/06	FTA 5311			\$61,000	\$61,000
05/06	Loc Funds - City Funds			\$298,000	\$298,000
06/07	FTA 5311			\$122,000	\$122,000
06/07	TDA			\$487,000	\$487,000
07/08	FTA 5311			\$132,000	\$132,000
08/09	FTA 5311			\$139,000	\$139,000
09/10	FTA 5311			\$128,600	\$128,600
10/11	FTA 5311			\$128,500	\$128,500
10/11	TDA			\$103,500	\$103,500
11/12	FTA 5311			\$128,500	\$128,500
11/12	TDA			\$128,500	\$128,500
12/13	FTA 5311			\$155,000	\$155,000
12/13	TDA			\$155,000	\$155,000
13/14	FTA 5311			\$323,497	\$323,497
13/14	TDA			\$261,171	\$261,171
14/15	FTA 5311			\$317,674	\$317,674
14/15	TDA			\$291,464	\$291,464
		\$0	\$0	\$3,416,406	\$3,416,406

**Federal Project**

Total Cost

**\$3,416,406**

A RESOLUTION OF THE SANTA BARBARA  
COUNTY ASSOCIATION OF GOVERNMENTS

AMENDING THE 2015 FEDERAL )  
TRANSPORTATION IMPROVEMENT )  
PROGRAM FOR MULTIPLE SOURCES )  
SOURCES IN THE 2015 FTIP )

RESOLUTION NO. 16-10

WHEREAS, Title 23 Code of Federal Regulations, part 450, and Title 49 Code of Federal Regulations, part 613, require the preparation and updating of a Federal Transportation Improvement Program (FTIP) by the Metropolitan Planning Organization; and

WHEREAS, the Santa Barbara County Association of Governments (SBCAG) has been designated as the Metropolitan Planning Organization for Santa Barbara County; and

WHEREAS, SBCAG, through the conduct of a continuing, comprehensive, and coordinated transportation planning process with applicable federal requirements, has prepared a 2015 FTIP; and

WHEREAS, the 2015 FTIP has been prepared in cooperation with state and local government agencies including Caltrans, local transit operators; and the Air Pollution Control District; and

WHEREAS, the 2015 FTIP must be consistent with the Regional Transportation Plan adopted pursuant to Government Code Section 6508; and

WHEREAS, the 2015 FTIP projects have been developed from the 2008 Regional Transportation Plan, 2003 Congestion Management Plan, and 2014 State Transportation Improvement Program; and

WHEREAS, all projects proposed for federal funding or approval under Title 23 Code of Federal Regulations and the Federal Transit Act must be included in a transportation improvement program; and

WHEREAS, because Santa Barbara County attained the federal eight-hour ozone standard, it is no longer required to follow air quality conformity measures nor the applicable State Implementation Plan (SIP) as required by the federal Clean Air Act (42 U.S.C. Section 7401 et seq.); and

WHEREAS, the 2015 FTIP was made available for public review and members of the public were given a reasonable opportunity to review the 2015 FTIP before it was adopted by the SBCAG Board on September 18, 2014; and

WHEREAS, revisions to the FTIP requested by Caltrans in order to incorporate the FTIP into the statewide FTIP by the end of the federal fiscal year were adopted by the SBCAG Board on September 18, 2014 after public review;

WHEREAS, the 2015 FTIP is financially constrained and funds needed to complete the 2015 FTIP are reasonably expected to be available.

NOW, THEREFORE, BE IT RESOLVED that the SBCAG Board of Directors amends the 2015 FTIP to include the changes identified in the Staff Report Item 5D, March 17, 2016.

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that development of the 2015 FTIP was made in accordance with public involvement procedures originally adopted by SBCAG on November 10, 1994 and updated on March 24, 2002 and December 20, 2007; and

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that 2015 FTIP is consistent with metropolitan planning regulations from 23 Code of Federal Regulations Part 450; and

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that the amended 2015 FTIP is consistent with the 2008 RTP.

BE IT FURTHER RESOLVED that the 2015 FTIP as amended is financially constrained and funds needed to complete the 2015 FTIP are reasonably expected to be available.

BE IT FURTHER RESOLVED that because Santa Barbara County attained the federal eight-hour ozone standard, the 2015 FTIP is not required to follow air quality conformity measures nor the applicable State Implementation Plan (SIP) as required by the federal Clean Air Act (42 U.S.C. Section 7401 et seq.); and

BE IT FURTHER RESOLVED that the inclusion of any federally-funded projects in the 2015 FTIP, including all amendments, constitutes the federal project selection procedures for Santa Barbara County, and any projects programmed in the FTIP may proceed to implementation without further project selection action by SBCAG.

PASSED AND ADOPTED this 17<sup>th</sup> day of March 2016 by the following vote:

AYES: FARR, ADAM, LAVAGNINO, BENNETT, RICHARDSON, LIZALDE, MOSBY  
CLARK, WHITE, PATINO AND WOLF

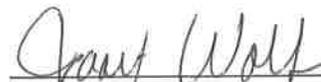
NOES:

ABSENT: CARBAJAL, WOLF

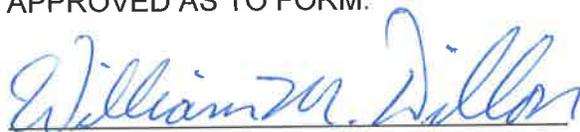
ABSTAIN:

ATTEST:

  
\_\_\_\_\_  
Jim Kemp  
Executive Director

  
\_\_\_\_\_  
Chair  
Santa Barbara County  
Association of Governments

APPROVED AS TO FORM:

  
\_\_\_\_\_

William M. Dillon  
Senior Deputy, County Counsel

A RESOLUTION OF THE SANTA BARBARA  
COUNTY ASSOCIATION OF GOVERNMENTS

APPROVAL OF PROJECTS SUBMITTED FOR )  
SECTION 5311 FOR FEDERAL FISCAL YEAR )  
2016 AND AUTHORIZATION FOR THE )  
EXECUTION OF THE CERTIFICATIONS AND )  
ASSURANCES )

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RESOLUTION NO. 16-11

WHEREAS, the U.S. Department of Transportation is authorized to make grants to states through the Federal Transit Administration to support capital/operating assistance projects for non-urbanized public transportation systems under Section 5311 of the Federal Transit Act (FTA C 9040.1F and FTA C 9050.1); and

WHEREAS, the California Department of Transportation has been designated by the Governor of the State of California to administer Section 5311 grants for transportation projects for the general public for the rural transit and intercity bus system; and

WHEREAS, the Santa Barbara County Association of Governments (SBCAG) has been designated as the Regional Transportation Planning Agency and Metropolitan Planning Organization; and

WHEREAS, SBCAG has received FTA 5311 applications for funding available for Federal Fiscal Year 2016 from the City of Guadalupe and the City of Solvang; and

WHEREAS, the allocation request is consistent with the FTA 5311 Program;

WHEREAS, SBCAG has, to the maximum extent feasible, coordinated with other transportation providers and users in the region (including social service agencies).

NOW, THEREFORE, BE IT RESOLVED that the Santa Barbara County Association of Governments does hereby authorize the City of Guadalupe and the City of Solvang to file and execute an application to the Department to aid in the financing of operating assistance projects pursuant to Section 5311 of the Federal Transit Act (FTA C 9040.1F and FTA C 9050.1), as amended.

IT IS FURTHER RESOLVED that the designated contacts in the application for the City of Guadalupe, the City of Solvang, and SBCAG are authorized to execute and file all certifications of assurances, contracts or agreements or any other document required by the Department.

IT IS FURTHER RESOLVED that the designated contacts are authorized to provide additional information as the Department may require in connection with the application for the Section 5311 projects.

IT IS FURTHER RESOLVED that the designated contacts are authorized to submit and approve request for reimbursement of funds from the Department for the Section 5311 project(s).

IT IS FURTHER RESOLVED by the Santa Barbara County Association of Governments that the fund recipient agrees to comply with all conditions and requirements set forth in the Certification and Assurances document and applicable statutes, regulations and guidelines for all FTA funded transit projects.

PASSED AND ADOPTED this 17<sup>th</sup> day of March 2016 by the following vote:

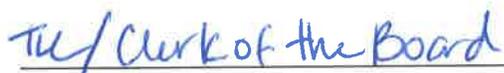
AYES: FARR, ADAM, LAVAGNINO, BENNETT, RICHARDSON, LIZALDE, MOSBY  
CLARK, WHITE, PATINO AND WOLF

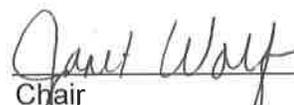
NOES:

ABSENT: CARBAJAL, SIERRA

ABSTAIN:

ATTEST:

  
\_\_\_\_\_  
Jim Kemp  
Executive Director

  
\_\_\_\_\_  
Chair  
Santa Barbara County  
Association of Governments

APPROVED AS TO FORM:

  
\_\_\_\_\_  
William M. Dillon  
Senior Deputy, County Counsel

## Summary of Changes

### SBCAG 2015 Formal Amendment No. 11

No. of Project	NEW or EXISTING	PROJECT ID	PROJECT TITLE	DESCRIPTION OF CHANGE	COST BEFORE	COST REVISED	COST DIFFERENCE	% CHANGE	COMMENTS
1	Existing	GUAD4	Guadalupe - Transit Operating Assistance	Program new 5311 funds and match	\$413,743	\$733,049	\$319,306	77.2%	FFY 2016 Cycle
2	Existing	SOLVANG02	Transit Operating Assistance	Program new 5311 funds and match	\$609,138	\$1,378,920	\$769,782	126.4%	FFY 2016 Cycle

TABLE 1: REVENUE

**Santa Barbara County Association of Governments**  
**2014/15 - 2017/18 Federal Transportation Improvement Program**  
**Amendment 11**  
**(\$ in 1,000)**

LG: 10/1/2014

Funding Source		4 YEAR (FSTIP Cycle)									
		2014/15		2015/16		2016/17		2017/18		CURRENT TOTAL	
		Amendment		Amendment		Amendment		Amendment			
		Prior	Current	Prior	Current	Prior	Current	Prior	Current		
		No. 10	No. 11	No. 10	No. 11	No. 10	No. 11	No. 10	No. 11		
LOCAL	Sales Tax										
	-- City										
	-- County										
	Gas Tax										
	-- Gas Tax (Subventions to Cities)										
	-- Gas Tax (Subventions to Counties)										
	Other Local Funds	\$1,771	\$1,771	\$2,896	\$2,896	\$10,881	\$10,881	\$126	\$126	\$15,674	
	-- County General Funds	\$910	\$910	\$494	\$494	\$1,995	\$1,995			\$3,399	
	-- City General Funds	\$861	\$861	\$2,402	\$2,402	\$8,886	\$8,886	\$126	\$126	\$12,275	
	-- Street Taxes and Developer Fees										
	-- RSTP Exchange funds										
	Transit										
-- Transit Fares											
Tolls (e.g. non-state owned bridges)											
Other (See Appendix 1)	\$26,605	\$26,605	\$26,744	\$27,586	\$26,158	\$26,158	\$26,444	\$26,444	\$106,793		
Local Total	\$28,376	\$28,376	\$29,640	\$30,482	\$37,039	\$37,039	\$26,570	\$26,570	\$122,467		
REGIONAL	Tolls										
	-- Bridge										
	-- Corridor										
	Regional Transit Fares/Measures										
	Regional Sales Tax	\$20,100	\$20,100					\$14,178	\$14,178	\$34,278	
	Regional Bond Revenue										
	Regional Gas Tax										
	Vehicle Registration Fees (CARB Fees, SAFE)										
	Other (See Appendix 2)										
	Regional Total	\$20,100	\$20,100					\$14,178	\$14,178	\$34,278	
STATE	State Highway Operations and Protection Program	\$33,468	\$33,468	\$53,019	\$53,019	\$8,687	\$8,687	\$85,988	\$85,988	\$181,162	
	SHOPP	\$32,088	\$32,088	\$53,019	\$53,019	\$8,687	\$8,687	\$85,988	\$85,988	\$179,782	
	SHOPP Prior										
	State Minor Program	\$1,380	\$1,380							\$1,380	
	State Transportation Improvement Program	\$8,883	\$8,883	\$78,146	\$78,146	\$24,462	\$24,462	\$235	\$235	\$111,726	
	STIP	\$8,883	\$8,883	\$65,848	\$65,848	\$24,462	\$24,462	\$235	\$235	\$99,428	
	STIP Prior			\$12,298	\$12,298					\$12,298	
	Transportation Enhancement Prior										
	Proposition 1 A										
	Proposition 1 B	\$325	\$325	\$23	\$23	\$184	\$184			\$532	
	GARVEE Bonds (Includes Debt Service Payments)										
	Highway Maintenance (HM)	\$3,844	\$3,844	\$6,224	\$6,224					\$10,068	
	Traffic Congestion Relief Program (TCRP)										
	State Transit Assistance (STA)(e.g., population/revenue based, Prop 42)										
	Active Transportation Program	\$3,320	\$3,320	\$10,037	\$10,037					\$13,357	
Other (See Appendix 3)											
State Total	\$49,840	\$49,840	\$147,449	\$147,449	\$33,333	\$33,333	\$86,223	\$86,223	\$316,845		
FEDERAL TRANSIT	5307 - Urbanized Area Formula Grants	\$7,533	\$7,533		\$8,817		\$9,890		\$9,389	\$35,629	
	5308 - Clean Fuel Formula Program										
	5309 - Fixed Guideway Capital Investment Grants										
	5309b - New and Small Starts (Capital Investment Grants)										
	5309c - Bus and Bus Related Grants										
	5310 - Mobility of Seniors and Individuals with Disabilities	\$336	\$336							\$336	
	5311 - Formula Grants for Rural Areas	\$379	\$379		\$247					\$626	
	5311f - Intercity Bus	\$378	\$378	\$98	\$98					\$476	
	5316 - Job Access and Reverse Commute Program	\$164	\$164	\$132	\$132					\$296	
	5317 - New Freedom										
	5320 - Transit in the Parks										
	5324 - Emergency Relief Program										
	5329 - Public Transportation Safety Program										
	5337 - State of Good Repair Grants										
	5339 - Bus and Bus Facilities Formula Grants										
	FTA Transfer from Prior FTIP										
	Other (See Appendix 4)										
	Federal Transit Total	\$8,790	\$8,790	\$230	\$9,294		\$9,890		\$9,389	\$37,363	
	FEDERAL HIGHWAY	Congestion Mitigation and Air Quality (CMAQ) Improvement Program									
		Construction of Ferry Boats and Ferry Terminal Facilities									
Coordinated Border Infrastructure											
Corridor Infrastructure Improvement Program											
Federal Lands Access Program		\$3,600	\$3,600							\$3,600	
Federal Lands Transportation Program											
High Priority Projects (HPP) and Demo				\$995	\$995	\$855	\$855			\$1,850	
Highway Bridge Program (HBP)		\$7,304	\$7,304	\$7,621	\$7,621	\$4,651	\$4,651	\$7,858	\$7,858	\$27,434	
Highway Safety Improvement Program (HSIP)		\$5,443	\$5,443	\$174	\$174	\$1,211	\$1,211	\$537	\$537	\$7,365	
Projects of National/Regional Significance											
Public Lands Highway											
Railway Highway Crossings		\$1,433	\$1,433							\$1,433	
Recreational Trails											
Safe Routes to School (SRTS)				\$830	\$830					\$830	
Surface Transportation Program (RSTP)											
Tribal High Priority Projects (THPP)											
Tribal Transportation Program											
Other (see Appendix 5)											
Federal Highway Total	\$17,760	\$17,760	\$9,620	\$9,620	\$9,321	\$9,321	\$8,858	\$8,858	\$45,578		
Other Federal Railroad Administration (see Appendix 6)											
	Federal Railroad Administration Total										
Federal Total	\$26,570	\$26,570	\$9,850	\$18,914	\$9,321	\$19,211	\$8,858	\$18,247	\$82,942		
INNOVATIVE FINANCE	TIFIA (Transportation Infrastructure Finance and Innovation Act)										
	Other (See Appendix 7)										
Innovative Financing Total											
REVENUE TOTAL	\$124,886	\$124,886	\$186,939	\$196,845	\$79,693	\$89,583	\$135,629	\$145,218	\$556,532		

MPO Financial Summary Notes:



TABLE 2: PROGRAMMED

**Santa Barbara County Association of Governments**  
**2014/15 - 2017/18 Federal Transportation Improvement Program**  
**Amendment 11**  
**(\$ in 1,000)**

Funding Source		N O T E S	4 YEAR (FSTIP Cycle)								CURRENT TOTAL
			2014/15		2015/16		2016/17		2017/18		
			Amendment		Amendment		Amendment		Amendment		
			Prior No. 10	Current No. 11	Prior No. 10	Current No. 11	Prior No. 10	Current No. 11	Prior No. 10	Current No. 11	
LOCAL	<b>Local Total</b>		\$28,376	\$28,376	\$29,640	\$30,482	\$37,039	\$37,039	\$26,570	\$26,570	\$122,467
REGIONAL	Tolls										
	-- Bridge										
	-- Corridor										
	Regional Transit Fares/Measures										
	Regional Sales Tax		\$20,100	\$20,100					\$14,178	\$14,178	\$34,278
	Regional Bond Revenue										
	Regional Gas Tax										
	Vehicle Registration Fees (CARB Fees, SAFE)										
	Other (See Appendix A)										
	<b>Regional Total</b>		\$20,100	\$20,100					\$14,178	\$14,178	\$34,278
STATE	<b>State Highway Operations and Protection Program</b>		\$33,468	\$33,468	\$53,019	\$53,019	\$8,687	\$8,687	\$85,988	\$85,988	\$181,162
	SHOPP		\$32,088	\$32,088	\$53,019	\$53,019	\$8,687	\$8,687	\$85,988	\$85,988	\$179,782
	SHOPP Prior										
	State Minor Program		\$1,380	\$1,380							\$1,380
	<b>State Transportation Improvement Program</b>		\$8,883	\$8,883	\$78,146	\$78,146	\$24,462	\$24,462	\$235	\$235	\$111,728
	STIP		\$8,883	\$8,883	\$65,848	\$65,848	\$24,462	\$24,462	\$235	\$235	\$99,428
	STIP Prior				\$12,298	\$12,298					\$12,298
	Transportation Enhancement Prior										
	Proposition 1 A										
	Proposition 1 B		\$325	\$325	\$23	\$23	\$184	\$184			\$532
	GARVEE Bonds (Includes Debt Service Payments)										
	Highway Maintenance (HM)		\$3,844	\$3,844	\$6,224	\$6,224					\$10,068
	Traffic Congestion Relief Program (TCRP)										
	State Transit Assistance (STA)(e.g., population/revenue based, Prop 42)		\$3,320	\$3,320	\$10,037	\$10,037					\$13,357
	Active Transportation Program										
Other (See Appendix B)											
<b>State Total</b>		\$49,840	\$49,840	\$147,449	\$147,449	\$33,333	\$33,333	\$86,223	\$86,223	\$316,845	
FEDERAL TRANSIT	5307 - Urbanized Area Formula Grants		\$7,533	\$7,533		\$8,817		\$9,890		\$9,389	\$35,629
	5308 - Clean Fuel Formula Program										
	5309 - Fixed Guideway Capital Investment Grants										
	5309b - New and Small Starts (Capital Investment Grants)										
	5309c - Bus and Bus Related Grants										
	5310 - Mobility of Seniors and Individuals with Disabilities		\$336	\$336							\$336
	5311 - Formula Grants for Rural Areas		\$379	\$379		\$247					\$626
	5311f - Intercity Bus		\$378	\$378	\$98	\$98					\$476
	5316 - Job Access and Reverse Commute Program		\$164	\$164	\$132	\$132					\$296
	5317 - New Freedom										
	5320 - Transit in the Parks										
	5324 - Emergency Relief Program										
	5329 - Public Transportation Safety Program										
	5337 - State of Good Repair Grants										
	5339 - Bus and Bus Facilities Formula Grants										
	FTA Transfer from Prior FTIP										
	Other (See Appendix C)										
	<b>Federal Transit Total</b>		\$8,790	\$8,790	\$230	\$9,294		\$9,890		\$9,389	\$37,363
FEDERAL HIGHWAY	Congestion Mitigation and Air Quality (CMAQ) Improvement Program										
	Construction of Ferry Boats and Ferry Terminal Facilities										
	Coordinated Border Infrastructure										
	Corridor Infrastructure Improvement Program										
	Federal Lands Access Program		\$3,600	\$3,600							\$3,600
	Federal Lands Transportation Program										
	High Priority Projects (HPP) and Demo				\$995	\$995	\$855	\$855			\$1,850
	Highway Bridge Program (HBP)		\$7,304	\$7,304	\$7,621	\$7,621	\$4,651	\$4,651	\$7,858	\$7,858	\$27,434
	Highway Safety Improvement Program (HSIP)		\$5,443	\$5,443	\$174	\$174	\$1,211	\$1,211	\$537	\$537	\$7,365
	Projects of National/Regional Significance										
	Public Lands Highway										
	Railway Highway Crossings		\$1,433	\$1,433							\$1,433
	Recreational Trails										
	Safe Routes to School (SRTS)				\$830	\$830					\$830
	Surface Transportation Program (RSTP)										
Tribal High Priority Projects (THPP)											
Tribal Transportation Program											
Other (see Appendix D)						\$2,604	\$2,604	\$463	\$463	\$3,067	
<b>Federal Highway Total</b>		\$17,780	\$17,780	\$9,620	\$9,620	\$9,321	\$9,321	\$8,858	\$8,858	\$45,579	
Other Federal Railroad Administration (see Appendix E)											
<b>Federal Railroad Administration Total</b>											
<b>Federal Total</b>		\$26,570	\$26,570	\$9,850	\$18,914	\$9,321	\$19,211	\$8,858	\$18,247	\$82,942	
INNOVATIVE FINANCE	TIFIA (Transportation Infrastructure Finance and Innovation Act)										
	Other (See Appendix F)										
	<b>Innovative Financing Total</b>										
<b>PROGRAMMED TOTAL</b>			\$124,886	\$124,886	\$186,939	\$196,845	\$79,693	\$89,583	\$135,829	\$145,218	\$556,532

MPO Financial Summary Notes:



**TABLE 3: REVENUE-PROGRAMMED**

**Santa Barbara County Association of Governments**  
**2014/15 - 2017/18 Federal Transportation Improvement Program**  
**Amendment 11**  
**(\$ in 1,000)**

Funding Source		4 YEAR (FSTIP Cycle)								CURRENT TOTAL
		2014/15		2015/16		2016/17		2017/18		
		Amendment		Amendment		Amendment		Amendment		
		Prior No. 10	Current No. 11	Prior No. 10	Current No. 11	Prior No. 10	Current No. 11	Prior No. 10	Current No. 11	
LOCAL	Local Total									
REGIONAL	Tolls									
	-- Bridge									
	-- Corridor									
	Regional Transit Fares/Measures									
	Regional Sales Tax									
	Regional Bond Revenue									
	Regional Gas Tax									
Vehicle Registration Fees (CARB Fees, SAFE)										
Other										
	Regional Total									
STATE	State Highway Operations and Protection Program									
	SHOPP									
	SHOPP Prior									
	State Minor Program									
	State Transportation Improvement Program									
	STIP									
	STIP Prior									
	Transportation Enhancement Prior									
	Proposition 1 A									
	Proposition 1 B									
	GARVEE Bonds (Includes Debt Service Payments)									
	Highway Maintenance (HM)									
	Traffic Congestion Relief Program (TCRP)									
	State Transit Assistance (STA)(e.g., population/revenue based, Prop 42)									
Active Transportation Program										
Other										
	State Total									
FEDERAL TRANSIT	5307 - Urbanized Area Formula Grants									
	5308 - Clean Fuel Formula Program									
	5309 - Fixed Guideway Capital Investment Grants									
	5309b - New and Small Starts (Capital Investment Grants)									
	5309c - Bus and Bus Related Grants									
	5310 - Mobility of Seniors and Individuals with Disabilities									
	5311 - Formula Grants for Rural Areas									
	5311f - Intercity Bus									
	5316 - Job Access and Reverse Commute Program									
	5317 - New Freedom									
	5320 - Transit in the Parks									
	5324 - Emergency Relief Program									
	5329 - Public Transportation Safety Program									
	5337 - State of Good Repair Grants									
	5339 - Bus and Bus Facilities Formula Grants									
	FTA Transfer from Prior FTIP									
	Other									
	Federal Transit Total									
FEDERAL HIGHWAY	Congestion Mitigation and Air Quality (CMAQ) Improvement Program									
	Construction of Ferry Boats and Ferry Terminal Facilities									
	Coordinated Border Infrastructure									
	Corridor Infrastructure Improvement Program									
	Federal Lands Access Program									
	Federal Lands Transportation Program									
	High Priority Projects (HPP) and Demo									
	Highway Bridge Program (HBP)									
	Highway Safety Improvement Program (HSIP)									
	Projects of National/Regional Significance									
	Public Lands Highway									
	Railway Highway Crossings									
	Recreational Trails									
	Safe Routes to School (SRTS)									
	Surface Transportation Program (RSTP)									
	Tribal High Priority Projects (THPP)									
	Tribal Transportation Program									
	Other									
	Federal Highway Total									
	Other Federal Railroad Administration									
	Federal Railroad Administration Total									
	Federal Total									
INNOVATIVE FINANCE	TIFIA (Transportation Infrastructure Finance and Innovation Act)									
	Other									
	Innovative Financing Total									
<b>REVENUE - PROGRAM TOTAL</b>										