



U.S. Department  
of Transportation  
**Federal Highway  
Administration**

**California Division**

December 15, 2011

650 Capitol Mall, Suite 4-100  
Sacramento, CA 95814  
(916) 498-5001  
(916) 498-5008 (fax)

In Reply Refer To:  
HDA-CA  
Doc#: 63,246

Mr. Malcolm Dougherty, Acting Caltrans Director  
California Department of Transportation  
1120 N Street  
Sacramento, CA 95814

Attention: Federal Resources Office, M.S. 82  
For Rachel Falsetti, Division of Transportation Programming

Dear Mr. Dougherty:

SUBJECT: SBCAG 2010/11 – 2013/14 FTIP/FSTIP AMENDMENT NO. 10

The Federal Highway Administration (FHWA) and Federal Transit Administration (FTA) have completed our reviews of Amendment No. 10 to the Santa Barbara County Association of Governments' (SBCAG) 2010/11 – 2013/14 Federal Transportation Improvement Program (FTIP) that was submitted by your letter dated November 23, 2011. The SBCAG Board of Directors adopted Resolution No. 11-22 on October 20, 2011 approving this FTIP modification. As detailed in the enclosure to your letter, this SBCAG FTIP amendment requests to add eight (8) new individual and one (1) grouped project listings and to modify two (2) individual project listings previously included in SBCAG's FTIP and California's 2010/11 – 2013/14 Federal Statewide Transportation Improvement Program (FSTIP).

The proposed changes to the FSTIP by this amendment are for projects listed in an area within the State of California that is designated as attainment or unclassified for Federal air quality standards. In consequence, these projects are exempt and not subject to the requirement that an air quality conformity determination be made.

Pursuant to the July 15, 2004 *Memorandum of Understanding (MOU)*, between the FHWA – California Division and FTA – Region IX, and based on our review of information submitted with the State's proposed 2010/11 – 2013/14 FSTIP, which includes revenues, proposed project funding information to demonstrate financial constraint, and statewide and metropolitan planning process documentation, we accept this FSTIP modification proposed for the SBCAG region in accordance with the Final Rule on Statewide and Metropolitan Transportation Planning that was published in the February 14, 2007 Federal Register. We have determined that the amended SBCAG FTIP, including Amendment No. 10, is financially constrained as required by the Federal surface transportation programs authorizing legislation and statewide planning, metropolitan planning, and programming regulations. SBCAG's FTIP was developed through a continuing, cooperative, and comprehensive transportation planning process in accordance with the metropolitan transportation planning provisions of 23 United States Code (U.S.C.) §



134 and 49 U.S.C. Chapter 53. Any project or project phase, however, listed in SBCAG's FTIP that is not included in SBCAG's Regional Transportation Plan (RTP) is not approved for inclusion in the FSTIP pursuant to 23 CFR § 450.216(k) and § 450.324(g).

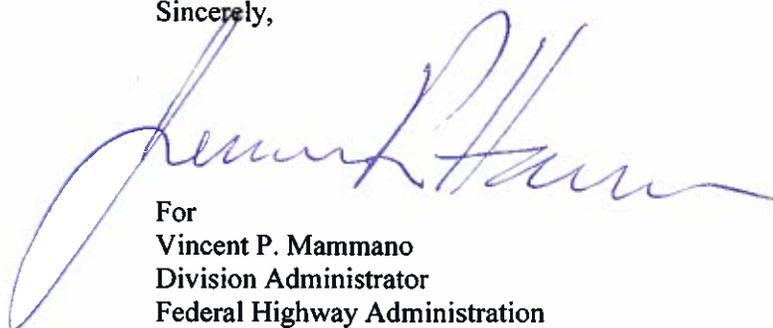
Project listings and/or project phases that indicate no funds are proposed for obligation during the four-year SBCAG FTIP period cannot be advanced to implementation without an action by the FHWA and FTA on the FSTIP pursuant to 23 CFR §§ 450.216(l) and 450.328(e). In addition, project or project phase funding included in SBCAG's FTIP proposed for obligation outside the fiscally constrained portion of the FTIP is accepted by the FHWA and FTA as "informational" in accordance with 23 CFR §§ 450.216(a) and 450.324(a).

We are approving the 2010/11 – 2013/14 FSTIP with the understanding that the eligibility of individual projects for funding is subject to the applicant's satisfaction of all administrative and statutory requirements. This joint FHWA and FTA approval of the FSTIP does not constitute an eligibility determination for the federal funds proposed for obligation on the projects listed. If you have any questions or need additional information concerning our FSTIP approval for this SBCAG FTIP amendment, please contact Michael Morris of the FHWA California Division's Cal-South office at (213) 894-4014, or by email at [michael.morris@dot.gov](mailto:michael.morris@dot.gov); or Lucinda Eagle of the FTA Region IX office at (415) 744-0140, or by email at [lucinda.eagle@dot.gov](mailto:lucinda.eagle@dot.gov).

Sincerely,

*/s/ Leslie T. Rogers*

Leslie T. Rogers  
Regional Administrator  
Federal Transit Administration

  
For  
Vincent P. Mammano  
Division Administrator  
Federal Highway Administration

cc: (email)

Jim Kemp, SBCAG ([jkemp@sbcag.org](mailto:jkemp@sbcag.org))

Steve VanDenburgh, SBCAG ([svandenburgh@sbcag.org](mailto:svandenburgh@sbcag.org))

Peter Imhof, SBCAG ([pimhof@sbcag.org](mailto:pimhof@sbcag.org))

Sarkes Khachek, SBCAG ([skhachek@sbcag.org](mailto:skhachek@sbcag.org))

Lucinda Eagle, FTA Region IX

Kang Tang, Caltrans Programming ([kang\\_tang@dot.ca.gov](mailto:kang_tang@dot.ca.gov))

Muhaned Aljabiry, Caltrans Programming ([muhaned\\_aljabiry@dot.ca.gov](mailto:muhaned_aljabiry@dot.ca.gov))

Lisa Hanf, EPA Region IX

Michael Morris, FHWA-CA

cc: (other)

SBCAG 2010/11 FTIP Binder