Merced County Association of Governments

369 W. 18th Street ▪ Merced, CA 95340 ▪ Phone (209)723-3153 ▪ FAX (209)723-0322

April 23, 2007

Cathy Gomes
Caltrans Division of Transportation Programming, MS 82
Office of Federal Transportation Management Program
P.O. Box 924874
Sacramento, CA 92474-0001

Subject: 2007 FEDERAL TRANSPORTATION IMPROVEMENT PROGRAM MAJOR AMENDMENT NO. 3

Dear Cathy,

On April 19, 2007, Merced County Association of Governments (MCAG) Governing Board formally approved by resolution Major Amendment No. 3 to the 2007 Federal Transportation Improvement Program (FTIP) as an emergency item. Caltrans District 10 notified MCAG of the need to program an additional $4,150,000 of SHOPP –Roadway Preservation funding in the 2007 FTIP to construct the I-5 Road Preservation project in this fiscal year.

This programming change is being handled separately from Formal Amendment No. 2 as Caltrans cannot wait until June 2007 for the anticipated federal approval. Caltrans needs federal approval of this amendment prior to May 15, 2007 such that construction of this Air Quality Exempt project can be constructed in this fiscal year and prior to the winter months when construction cannot occur. If this project cannot be constructed in this current fiscal year, the cost increases will continue to escalate.

MCAG provided a 10-day Interagency Consultation (IAC) period for comments. MCAG did not receive any IAC comments.

Major Amendment No. 2 makes the following funding changes to the Caltrans SHOPP – Roadway Preservation Lump Sum amount for the Construction (Const) phase:

Programmed funding	2007 FTIP	Amend 3 - 2007 FTIP
SHOPP - Roadway Pres Lump Sum	$25,750,000	$29,900,000

The following items are applicable to Major Amendment No. 3 to the 2007 FTIP:

- The CTIPS database has been modified;
- The project scope is unchanged:
- The project cost is **increased**; and

- Conforms to the fiscal constraint of the FTIP; and
- Conforms to the State Implementation Plan (SIP) for air quality; and
- Does not adversely affect the timely implementation of Transportation Control Measures (TCMs);
- This project is an exempt project that does not require an air quality conformity determination and/or regional emissions analysis requirement per 40 CFR Part 93.126-128 (Tables 2&3)

Caltrans-District 10 has requested that Caltrans Headquarters forward this request to FHWA (Federal Highway Administration) immediately for expedited federal approval. In a prior phone conversation with MCAG staff and Steve Luxenberg of FHWA, he was been made aware of the need to expedite this FTIP amendment.

If you have any questions regarding this amendment, contact Terri Lewis of my staff at (209) 723-3153 ext. 307.

Jesse B. Brown
Executive Director

Enclosures: CTIPS project data page
MCAG Governing Board Resolution
Financial Summary

cc:
Jane Perez, Caltrans District 10 Regional Planning
Pat Robledo, Caltrans District 10, DLAE

BEFORE THE
MERCED COUNTY ASSOCIATION OF GOVERMENTS (MCAG)
RESOLUTION NO. 2007/04-19-03

In the Matter of:
FORMAL AMENDMENT NO. 3
TO THE 2007 FEDERAL
TRANSPORTATION IMPROVEMENT
PROGRAM (FTIP)

RESOLUTION ADOPTING THE
MCAG FORMAL AMENDMENT
NO. 3 TO THE 2007 FTIP

WHEREAS, the Merced County Association of Governments (MCAG) is a Regional Transportation Planning Agency and a Metropolitan Planning Organization, pursuant to State and Federal designation; and

WHEREAS, federal planning regulations require that Metropolitan Planning Organizations prepare and adopt a short range Federal Transportation Improvement Program (FTIP) for their region; and

WHEREAS, Caltrans District 10 Project Management has requested the MCAG process Formal Amendment No. 3 to the 2007 FTIP, specifically, to modify the SHOPP- Roadway Preservation Lump Sum Program amount by adding $4,150,000 to the construction phase (per approved project cost request approved March 13, 2007) in FY06/07 to accommodate a project cost increase on the following project: I-5, In and near Los Banos – Ness Avenue in Fresno County to the Stanislaus County Line – Rehabilitate pavement; and

WHEREAS, formal Amendment No. 3 to the 2007 Federal Transportation Improvement Program (FTIP) has been prepared to comply with Federal and State requirements for local projects and through a cooperative process between the Federal Highway Administration (FHWA), the Federal Transit Administration (FTA), the State Department of Transportation (Caltrans), principal elected officials of general purpose local governments and their staffs, and public owner operators of mass transportation services acting through the MCAG forum and general public involvement (as required by 23 CFR Part 450); and

WHEREAS, MCAG's Public Participation Plan and federal regulations do not require a public hearing to modify exempt projects included in FTIP Lump Sum programs (exempt project); and

WHEREAS, MCAG circulated Formal Amendment No. 3 for public review and Interagency Consultation during the period of April 2 to April 17, 2007; and

WHEREAS, the FTIP formal amendment No. 3 project programming modification is consistent with the most recent state managed 2006 State Highway Operations Protection Program (SHOPP) for Merced County; and

WHEREAS, formal Amendment No. 3 to the 2007 FTIP contains the MPO's certification of the transportation planning process assuring that all federal requirements have been fulfilled; and

WHEREAS, formal Amendment No. 3 to the 2007 FTIP is financially constrained and the financial plan affirms that funding is available; and

WHEREAS, project programming modifications included in Formal Amendment No. 3 to the 2007 FTIP do not interfere with the timely implementation of the Transportation Control Measures (TCMs); and

WHEREAS, the SHOPP- Roadway Preservation Lump Sum project included in formal amendment No.3 to the 2007 FTIP is an exempt project that does not require an air quality conformity determination and/or regional emissions analysis requirement per 40 CFR Part 93.126-128 (Tables 2 & 3); and

NOW, THEREFORE, BE IT RESOLVED, that MCAG adopts Formal Amendment No. 3 to the 2007 FTIP; and

THE FOREGOING RESOLUTION was passed and adopted by MCAG this 19th day of April, 2007 by Mayor Jones who moved its adopton, which motion was duly seconded by Mayor Samra and which was adopted by the following vote:

AYES: Supervisors Pedrozo, Kelsey; Council Members Kelly, Rivero, Spriggs, Oliveira

NOES: None

ABSTAIN: Supervisors Crookham, O'Banion, Nelson

ABSENT:

I hereby certify that the foregoing is a true copy of a resolution of the MCAG duly adopted at a regular meeting thereof held on the 19th day of April, 2007.

Signed:

Jesse Brown, Executive Director
Merced County Association of Governments

Signed:

Bill Spriggs, Chairman
Merced County Association of Governments
Governing Board

Merced County Association of Governments - Federal Transportation Improvement Program
(Dollars in Whole)
State Highway System

DIST: 10	COUNTY: Merced County	TITLE (DESCRIPTION): Caltrans - SHOPP Roadway Preservation Lu (Caltrans - SHOPP Roadway Preservation Lump Sum Non-capacity increasing projects, ie safety, roadway/roadside rehabilitation, damage restoration (inc. Const, R/W, Support cost $))	MPO Aprv: / /
ROUTE: VAR	PM:		State Aprv: / /
PPNO: RP SHO	KP:		Federal Aprv: / /
EA:	MPO ID:		
CTIPS ID: 205-0000-0127			
IMPLEMENTING AGENCY: Caltrans		PRJ MGR:	EPA TABLE II or III EXEMPT CATEGORY: Pavement resurfacing and/or rehabilitation.
		PHONE:	

PROJECT VERSION HISTORY *(Printed Version is Shaded)* *(Dollars in whole)*

Version	Status	Official Date	Updated By	Change Reason	Amend No.	Prog Con	Prog RW	PE
2	Active	04/23/2007	TLEWIS	Amendment - Cost/Scope/Sch. Change	3	71,985,000		
1	Official	07/20/2006	TLEWIS	Adoption - Carry Over		67,850,000		

• SHOPP - Roadside Preservation -
• Fund Source 1 of 2
• Fund Type: SHOPP Advance Construction (AC)
• Funding Agency: Merced County Association of Governments

	PRIOR	06/07	07/08	08/09	09/10	10/11	11/12	BEYOND	TOTAL
PE									
RW									
CON		29,900,000			36,134,000				66,034,000
TOTAL		29,900,000			36,134,000				66,034,000

• SHOPP - Roadside Preservation -
• Fund Source 2 of 2
• Fund Type: State Cash
• Funding Agency: Caltrans

	PRIOR	06/07	07/08	08/09	09/10	10/11	11/12	BEYOND	TOTAL
PE									
RW									
CON		1,269,000			4,682,000				5,951,000
TOTAL		1,269,000			4,682,000				5,951,000

Project Total

	PRIOR	06/07	07/08	08/09	09/10	10/11	11/12	BEYOND	TOTAL
PE									
RW									
CON		31,169,000			40,816,000				71,985,000
TOTAL		31,169,000			40,816,000				71,985,000

Comments:

******** Version 2 - 03/30/2007 ********Caltrans Dist 10 has requested expedited formal amendment increasing construction cost to $29,900,000 on the I-5 SHOPP Roadway Preservation project in FY06/07.

******** Version 1 - 07/03/2006 ********

Financial Summary Form

2007 FTIP Formal Amendment No. 3

Merced County Association of Governments

Programmed	2006/07	20007/08	2008/09	2009/10	TOTAL
State Highway Account (SHA) Funds *(State & State FHWA Funds)*					
SHOPP	$0	$0	$0	$0	$0
* SHOPP (AC) *Bridge Preservation, Collision Reduction, Mobility, Roadside Preservation*	$29,900,000	$51,343,000	$44,669,000	$45,928,000	$171,840,000
STIP-RIP/TE	$12,030,000	$17,894,000	$0	$0	$29,924,000
STIP-RIP/TE (AC)	$0	$0	$0	$0	$0
STIP-RIP/TE *Prior*	$0	$0	$0	$0	$0
Local Assistance					
Congestion Mitigation and Air Quality *(CMAQ)*	$2,437,000	$2,582,000	$2,609,000	$2,609,000	$10,237,000
Regional Surface Transportation Program *(RSTP)*	$2,513,000	$2,769,000	$2,818,000	$2,818,000	$10,918,000
Highway Bridge Program *(HBP)*	$1,310,000	$0	$0	$178,000	$1,488,000
Highway Safety Improvement Program *(HES,HSIP)*	$208,000	$0	$0	$0	$208,000
Minors Program	$2,095,000	$0	$0	$0	$2,095,000
Safe Routes to School Program *(SR2S)*	$0	$0	$0	$0	$0
Railway - Highway Crossings *(RR)*	$0	$0	$0	$0	$0
Other Federal Highway Programs					
Federal Lands Highway Program[1] *(Public Lands Highways Discretionary only)*	$90,000	$810,000	$0	$0	$900,000
High Priority Projects Program *(HPP) TEA-21 and SAFETEA-LU*	$9,098,000	$0	$0	$0	$9,098,000
Transportation Improvements *(TI) SAFETEA-LU*	$0	$0	$0	$0	$0
Coordinated Border Infrastructure Program	$0	$0	$0	$0	$0
Recreational Trails Program	$0	$0	$0	$0	$0
National Cooridor Infrastructure Improvement Program	$0	$0	$0	$0	$0
Ferry Boat Discretionary	$0	$0	$0	$0	$0
National Scenic Byways Program	$0	$0	$0	$0	$0
Projects of National and Regional Significance	$0	$0	$0	$0	$0
Emergency Relief Program *(ER)*	$50,000	$50,000	$50,000	$50,000	$200,000
Other (Describe)	$0	$0	$0	$0	$0
Federal Transit Administration Funds *(FTA)*					
5303 - Metropolitan Planning Program	$0	$0	$0	$0	$0
5307 - Urbanized Area Formula Program *(UZA)*	$2,242,000	$2,076,000	$2,093,000	$2,102,000	$8,513,000
5308 - Clean Fuel Formula Program	$0	$0	$0	$0	$0
5309 - Bus and Bus Facility Grants	$246,000	$783,000	$544,000	$565,000	$2,138,000
5309 - Capital Investment Grants "New Starts"	$0	$0	$0	$0	$0
5310 - Formula Program for Elderly & Persons with Disabilities	$0	$0	$0	$0	$0
5311 - Other Than Urbanized Area Formula Program	$750,000	$363,000	$394,000	$415,000	$1,922,000
5311 © - Public Transportation on Indian Reservations	$0	$0	$0	$0	$0
5316 - Job Access and Reverse Commute Program *(JARC)*	$0	$0	$0	$0	$0
5317 - New Freedom Program	$0	$0	$0	$0	$0
5320 - Transit in the Parks	$0	$0	$0	$0	$0
Non-Title 23/Federal Transit Funds *(Describe)*	$0	$0	$0	$0	$0
Other State Funds					
Traffic Congestion Relief Program *(TCRP)*	$12,926,000	$0	$0	$0	$12,926,000
Other - State Transit Assistance *(STA)*	$2,173,000	$2,173,000	$2,173,000	$2,173,000	$8,692,000
* Other - State Cash Fund *(state cash as: PPM, RIP augmentation, SR99)*	$39,538,104	$6,790,000	$5,551,000	$190,879,000	$242,758,104
Local Funds *(Agency Funds, City Funds, County Funds, LTF Funds, Private Funds)*	$9,670,000	$3,481,000	$3,708,000	$3,998,000	$20,857,000
Total Programmed	$127,276,104	$91,114,000	$64,609,000	$251,715,000	$534,714,104

1 Federal Lands Highway Program includes: Indian Reservation Roads, NPS Parkway and Park Roads, F&WS Refuge Roads, Public Lands Program (Forest Highways, Public Land Highways Discretionary)

* Formal Amendment 3 (SHOPP Roadway Preservation)

Financial Summary Form

2007 FTIP Formal Amendment No. 3

Merced County Association of Governments

Revenue	2006/07	2007/08	2008/09	2009/10	TOTAL
State Highway Account (SHA) Funds *(State & State FHWA Funds)*					
SHOPP	$0	$0	$0	$0	$0
* SHOPP (AC) *Bridge Preservation, Collision Reduction, Mobility, Roadside Preservation*	$29,900,000	$51,343,000	$44,669,000	$45,928,000	$171,840,000
STIP-RIP/TE	$12,030,000	$17,894,000	$0	$0	$29,924,000
STIP-RIP/TE (AC)	$0	$0	$0	$0	$0
STIP-RIP/TE *Prior*	$0	$0	$0	$0	$0
Local Assistance					
Congestion Mitigation and Air Quality *(CMAQ)*	$2,437,000	$2,582,000	$2,609,000	$2,609,000	$10,237,000
Regional Surface Transportation Program *(RSTP)*	$2,513,000	$2,769,000	$2,818,000	$2,818,000	$10,918,000
Highway Bridge Program *(HBP)*	$1,310,000	$0	$0	$178,000	$1,488,000
Highway Safety Improvement Program *(HES,HSIP)*	$208,000	$0	$0	$0	$208,000
Minors Program	$2,095,000	$0	$0	$0	$2,095,000
Safe Routes to School Program *(SR2S)*	$0	$0	$0	$0	$0
Railway - Highway Crossings *(RR)*	$0	$0	$0	$0	$0
Other Federal Highway Programs					
Federal Lands Highway Program[1] *(Public Lands Highways Discretionary only)*	$90,000	$810,000	$0	$0	$900,000
High Priority Projects Program *(HPP) TEA-21 and SAFETEA-LU*	$9,098,000	$0	$0	$0	$9,098,000
Transportation Improvements *(TI) SAFETEA-LU*	$0	$0	$0	$0	$0
Coordinated Border Infrastructure Program	$0	$0	$0	$0	$0
Recreational Trails Program	$0	$0	$0	$0	$0
National Cooridor Infrastructure Improvement Program	$0	$0	$0	$0	$0
Ferry Boat Discretionary	$0	$0	$0	$0	$0
National Scenic Byways Program	$0	$0	$0	$0	$0
Projects of National and Regional Significance	$0	$0	$0	$0	$0
Emergency Relief Program *(ER)*	$50,000	$50,000	$50,000	$50,000	$200,000
Other (Describe)	$0	$0	$0	$0	$0
Federal Transit Administration Funds *(FTA)*					
5303 - Metropolitan Planning Program	$0	$0	$0	$0	$0
5307 - Urbanized Area Formula Program *(UZA)*	$2,242,000	$2,076,000	$2,093,000	$2,102,000	$8,513,000
5308 - Clean Fuel Formula Program	$0	$0	$0	$0	$0
5309 - Bus and Bus Facility Grants	$246,000	$783,000	$544,000	$565,000	$2,138,000
5309 - Capital Investment Grants "New Starts"	$0	$0	$0	$0	$0
5310 - Formula Program for Elderly & Persons with Disabilities	$0	$0	$0	$0	$0
5311 - Other Than Urbanized Area Formula Program	$750,000	$363,000	$394,000	$415,000	$1,922,000
5311 © - Public Transportation on Indian Reservations	$0	$0	$0	$0	$0
5316 - Job Access and Reverse Commute Program *(JARC)*	$0	$0	$0	$0	$0
5317 - New Freedom Program	$0	$0	$0	$0	$0
5320 - Transit in the Parks	$0	$0	$0	$0	$0
Non-Title 23/Federal Transit Funds ***(Describe)***	$0	$0	$0	$0	$0
Other State Funds					
Traffic Congestion Relief Program *(TCRP)*	$12,926,000	$0		$0	$12,926,000
Other - State Transit Assistance *(STA)*	$2,173,000	$2,173,000	$2,173,000	$2,173,000	$8,692,000
*Other - State Cash Fund *(PPM) ,other)*	$39,538,104	$6,790,000	$5,551,000	$190,879,000	$242,758,104
Local Funds *(Agency Funds, City Funds, County Funds, LTF Funds, Private Funds)*	$9,670,000	$3,481,000	$3,708,000	$3,998,000	$20,857,000
Total Revenue	$127,276,104	$91,114,000	$64,609,000	$251,715,000	$534,714,104

1. Federal Lands Highway Program includes: Indian Reservation Roads, NPS Parkway and Park Roads, F&WS Refuge Roads, Public Lands Program (Forest Highways and Public Lands Highways Discretionary)

**Formal Amendment No. 3 (SHOPP- Roadway Preservation)*

Financial Summary Form

2007 FTIP Formal Amendment No. 3

Merced County Association of Governments

Revenue versus Programmed *(difference if any)*	2006/07	20007/08	2008/09	2009/10	TOTAL
State Highway Account (SHA) Funds *(State & State FHWA Funds)*					
SHOPP	$0	$0	$0	$0	$0
*SHOPP (AC)	$0	$0	$0	$0	$0
STIP-RIP/TE	$0	$0	$0	$0	$0
STIP-RIP/TE (AC)	$0	$0	$0	$0	$0
STIP-RIP/TE *Prior*	$0	$0	$0	$0	$0
Local Assistance	$0	$0	$0	$0	$0
Congestion Mitigation and Air Quality *(CMAQ)*	$0	$0	$0	$0	$0
Regional Surface Transportation Program *(RSTP)*	$0	$0	$0	$0	$0
Highway Bridge Program *(HBP)*	$0	$0	$0	$0	$0
Highway Safety Improvement Program *(HES,HSIP)*	$0	$0	$0	$0	$0
Minors Program	$0	$0	$0	$0	$0
Safe Routes to School Program *(SR2S)*	$0	$0	$0	$0	$0
Railway - Highway Crossings *(RR)*	$0	$0	$0	$0	$0
Other Federal Highway Programs					
Federal Lands Highway Program[1] *(Public Lands Highways Discretionary only)*	$0	$0	$0	$0	$0
High Priority Projects Program *(HPP) TEA-21 and SAFETEA-LU*	$0	$0	$0	$0	$0
Transportation Improvements *(TI) SAFETEA-LU*	$0	$0	$0	$0	$0
Coordinated Border Infrastructure Program	$0	$0	$0	$0	$0
Recreational Trails Program	$0	$0	$0	$0	$0
National Cooridor Infrastructure Improvement Program	$0	$0	$0	$0	$0
Ferry Boat Discretionary	$0	$0	$0	$0	$0
National Scenic Byways Program	$0	$0	$0	$0	$0
Projects of National and Regional Significance	$0	$0	$0	$0	$0
Emergency Relief Program *(ER)*	$0	$0	$0	$0	$0
Other (Describe)	$0	$0	$0	$0	$0
Federal Transit Administration Funds *(FTA)*					
5303 - Metropolitan Planning Program	$0	$0	$0	$0	$0
5307 - Urbanized Area Formula Program *(UZA)*	$0	$0	$0	$0	$0
5308 - Clean Fuel Formula Program	$0	$0	$0	$0	$0
5309 - Bus and Bus Facility Grants	$0	$0	$0	$0	$0
5309 - Capital Investment Grants "New Starts"	$0	$0	$0	$0	$0
5310 - Formula Program for Elderly & Persons with Disabilities	$0	$0	$0	$0	$0
5311 - Other Than Urbanized Area Formula Program	$0	$0	$0	$0	$0
5311 © - Public Transportation on Indian Reservations	$0	$0	$0	$0	$0
5316 - Job Access and Reverse Commute Program *(JARC)*	$0	$0	$0	$0	$0
5317 - New Freedom Program	$0	$0	$0	$0	$0
5320 - Transit in the Parks	$0	$0	$0	$0	$0
Non-Title 23/Federal Transit Funds *(Describe)*	$0	$0	$0	$0	$0
Other State Funds					
Traffic Congestion Relief Program *(TCRP)*	$0	$0	$0	$0	$0
Other - State Transit Assistance *(STA)*	$0	$0	$0	$0	$0
*Other - State Cash Fund *(state cash as: PPM, RIP augmentation, SR99)*	$0	$0	$0	$0	$0
Local Funds *(Agency Funds, City Funds, County Funds, LTF Funds, Private Funds)*	$0	$0	$0	$0	$0

1. Federal Lands Highway Program includes: Indian Reservation Roads, NPS Parkway and Park Roads, F&WS Refuge Roads, Public Lands Program (Forest Highways, Public Land Highways Discretionary)

* Formal Amendment No. 3 - SHOPP Roadway Preservation Lump Sum