

May 18, 2004

<h1 style="font-size: 4em; transform: rotate(-15deg); opacity: 0.5;">Agenda</h1>		<p><b>May 18, 2004</b>  <b>10:30 AM – 12:30 PM</b></p> <p><b>Federal Highway Administration</b>  <b>650 Capitol Mall Suite 4-100</b>  <b>Sacramento, CA 95814</b></p> <p>Wade Hobbs                  (916) 498-5027</p>	
<p><b>Meeting called by:</b> Rachel Falsetti  <b>Facilitator:</b> Muhaned Aljabiry  <b>Recorder/Time Keeper:</b> Abhijit Bagde</p>			
<p><b>Agenda topics</b></p>			
Item	Description	Time	Presenter
1	Topics/Agenda/Introductions	10:30	M. Aljabiry
2	Ground Rules	10:35	M. Aljabiry
3	Announcements / Approval of the 04/16/04 CFPG meeting minutes.	10:40	All
4	<p>Follow-Up Items from last meeting:</p> <ol style="list-style-type: none"> <li>1. New Start financial planning comments <span style="float: right;">May 18,2004</span></li> <li>2. TEA projects: who initiates changes? <span style="float: right;">May 18,2004</span></li> <li>3. Distribute draft Federal/State MOU for Administrative Amendments. <span style="float: right;">Soon</span></li> <li>4. Notify MCAG who their contact is for CMAQ/RSTP obligation info (Bost report) <span style="float: right;">April 13, 04</span></li> <li>5. Get info from Budgets about \$50 million <span style="float: right;">May 18,2004</span></li> <li>6. Support cost in CTIPS <span style="float: right;">May 18,2004</span></li> </ol>	10:45	<p>FTA- P. Page</p> <p>CT- Abhijit Bagde</p> <p>FHWA -Sue Kiser</p> <p>CT- Cathy Gomes</p> <p>CT- Abhijit Bagde</p> <p>CT-M. Aljabiry</p>
5	Grouped projects and minor changes.	11:00	Taskforce
6	Financial Constraint of the FSTIP - updates	11:10	All
7	<p>Apportionment carryover in the 2004 FTIP</p> <p>How can a large projects be programmed if it is more than a region's yearly apportionment?</p>	11:15	All
8	CMAQ, RSTP and STIP estimates for 2004 FTIP/FSTIP	11:25	M. Aljabiry
9	2004 FTIP Financial Summary Form	11:30	M. Aljabiry

10	FHWA Financial Plan Letter to MPOs	11:40	M. Sosa
11	Delivery Reports Enhancements by Local Assistance	11:45	D. Anbiah
12	Annual listing Taskforce Progress Report	12:00	Taskforce
13	Status of final HBRR/ Other state managed programs	12:05	A. Bagde
14	SHOPP categorization	12:10	A. Bagde
15	“exempt” status in TIP reporting	12:15	S. Kim
16	Additional clarification language to final financial planning requirement document	12:20	T. Matley
17	Open Forum & Next meeting date and location 2004 CFPG Meetings June 29, 2004           MTC August 9, 2004         CT September 21, 2004     SACOG November 3, 2004       FHWA (Wednesday) December 14, 2004     MTC	12:25	All

			Name	Agency	Email	Telephone
1		N	Rosemary Ayala	SCAG	AYALA@scag.ca.gov	(213)236-1927
2		N	Dan Little	Shasta	dlittle@co.shasta.ca.us	(530)245-6819
3	X	P	Consuelo Medina	CT-D10	Consuelo.Medina@dot.ca.gov	(209)948-3975
4	X	P	Scott Sauer	CT-D3	Scott.sauer@dot.ca.gov	(916)274-0612
5	X	P	Susan Wilson	CT-D3	susan.wilson@dot.ca.gov	(916)274-0639
6	X	P	Abhijit Bagde	CT-HQ	abhijit_bagde@dot.ca.gov	(916)654-3638
7	X	P	Cathy Gomes	CT-HQ	Cathy.Gomes@dot.ca.gov	(916)654-3271
8	X	P	Denix Anbiah	CT-HQ	<u>Denix_Anbiah@dot.ca.gov</u>	(916)653-3581
9	X	P	Fardad Falakfarsa	CT-HQ	<u>Fardad_Falakfarsa@dot.ca.gov</u>	(916)654-3043
10	X	P	Muhaned Aljabiry	CT-HQ	Muhaned_aljabiry@dot.ca.gov	(916)654-3521
11	X	P	Rachel Falsetti	CT-HQ	Rachel.falsetti@dot.ca.gov	(916)654-2983
12	X	P	Leigh Levine	FHWA	Leigh.levine@fhwa.dot.gov	(916)498-5034
13	X	P	Mayela Sosa	FHWA	Mayela.Sosa@fhwa.dot.gov	(916)498-5022
14	X	P	Wade Hobbs	FHWA	wade.hobbs@fhwa.dot.gov	(916)498-5027
15	X	P	Sookyung Kim	SANDAG	ski@sandag.org	(619)699-6909
16	X	P	John Asuncion	SBCAG	jasuncion@sbcag.org	(805)961-8915
17	X	P	Cornelius N Harris Jr.	STANCOG	charris@stancog.org	(209)558-4864
18	X	P	Marc Reynolds	TRPA	mreynolds@trpa.org	(775)588-4547 ext. 302
19		T	Ivan Garcia	BCAG	igarcia@bcag.org	(530)879-2468
20	X	T	Rick Ballantyne	COFCG	rickb@fresnocog.org	(559)233-4148
21		T	Tammie Baumgarten	CT-D 11	Tammie.Baumgarten@dot.ca.gov	(619)688-3152
22		T	Don Doutt	CT-D 2	Don.Doutt@dot.ca.gov	(530)225-3574
23		T	Laura Fields	CT-D1	lfields@dot.ca.gov	(707)445-6358
24	X	T	Paul Fagan	CT-D8	Paul_Fagan@dot.ca.gov	(909)388-7016
25	X	T	Sue Hays	CT-D8	Sue_Hays@dot.ca.gov	(909)383-7589
26	X	T	Ted M. Matley	FTA	Ted.Matley@fta.dot.gov	(415)744-2590
27	X	T	Terri Lewis	MCAG	terri@mcag.cog.ca.us	(209)723-3153 ext. 307
28	X	T1	Nancy Wickersham	CT-D5	Nancy_Wickersham@dot.ca.gov	(805)549-3146
29	X	T1	Sarah Chesebro	CT-D5	Sarah_chesebro@dot.ca.gov	(805)549-3640
30	X	T1	Sharon Fasulo	CT-D5	Sharon.Fasulo@dot.ca.gov	(805) 549-3319
31	X		Todd Muck	AMBAG	tmuck@ambag.org	(831)883-3750
32			Bob Lowrie	CT-D 11	Bob.lowrie@dot.ca.gov	(619)688-6784
33			Gwendolyn Denny	CT-D 11	gwendolyn_denny@dot.ca.gov	(858)616-6526
34			Wendy Lonnberg	CT-D2	<u>Wendy_Lonnberg@dot.ca.gov</u>	(530)225-3416
35			Sima Memari	CT-D4	Sima_Memari@dot.ca.gov	(510)286-5762
36	X		Mac Cavalli	CT-D6	Mcavalli@dot.ca.gov	(559)445-5285
37			Chan Kuoch	CT-D7	Chan.Kuoch@dot.ca.gov	(213)897-2781
38			Bob Swensen	CT-HQ	Bob.swensen@dot.ca.gov	(916)654-4366
39			Doug Nguyen	CT-HQ	Dung.Nguyen@dot.ca.gov	(916)654-4843
40			Shannon MlCoch	CT-HQ	Shannon_Mlcoch@dot.ca.gov	(916)653-6750
41			Shaun Ng	CT-HQ	Shaun.Ng@dot.ca.gov	(916)654-4221
42			Jean Mazur	FHWA	Jean.mazur@fhwa.dot.gov	(916)498-5732
43			Sue Kiser	FHWA	<u>Sue.Kiser@fhwa.dot.gov</u>	(916)498-5009
44			Alex Smith	FTA	Alex.smith@fta.dot.gov	(415)744-2599
45			Donna Turchie	FTA	Donna.Turchie@fta.dot.gov	(415)744-2737
46			Hymie Luden	FTA	Hymie.luden@fta.dot.gov	(415)744-2732
47			Jerome Wiggins	FTA	Jerome.wiggins@fta.dot.gov	(415)744-2819
48			Lorraine Lerman	FTA	lorraine.lerman@fta.dot.gov	(415)744-2735
49			Paul Page	FTA	Paul.page@fta.dot.gov	(415)744-2734
50			Ray Sukys	FTA	Ray.sukys@fta.dot.gov	(415)744-2802

51	X		Terri King	KCAG	TKing@co.kings.ca.us	(559)582-3211 ext. 2678
52			Joseph Stramaglia	KCOG	jstramaglia@kerncog.org	(661)861-2191
53			Raquel Carabajal	KCOG	rcarabajal@kerncog.org	(661)861-2191
54	X		Bob Stone	MCTC	bob@maderactc.org	(559)675-0721
55			Patricia Taylor-Maley	MCTC	patricia@maderactc.org	(559) 675-0721
56	X		Raymond Odunlami	MTC	rodunlami@mtc.ca.gov	(510)464-7717
57			Ross McKeown	MTC	rmckeown@mtc.ca.gov	(510)464-7842
58			Jennifer Bergener	OCTA	jbergener@octa.net	(714)560-5462
59			Ken Lobeck	RCTC	KLobeck@rctc.org	(909) 787-7141
60			Shirley Medina	RCTC	SMEDINA@rctc.org	
61	X		Jason Crow	SACOG	Jcrow@sacog.org	(916)340-6219
62			Olin Woods	SACOG	owoods@sacog.org	(916)340-6220
63			Lisa Poe	SANBAG	LPoe@sanbag.ca.gov	(909) 884-8276 ext.156
64			Diane Nguyen	SJCOG	Dnguyen@sjcog.org	(209)468-3913
65			Nicole Gorman	SJCOG	Ngorman@sjcog.org	
66			Scott Butler	SJCOG	Sbutler@sjcog.org	(209)468-3913
67			Richard Murphy	SLOCOG		
68	X	T	Sue Hall	SLOCOG	Shall@slocog.org	(805)781-4255
69	X		Bruce Abanathie	STANCOG	BABANATHIE@Stancog.org	209)558-7830
70	X		Vince Angelino	STANCOG	Vangelino@stancog.org	(209)558-4867
71			Eddie Wendt	TCAG	Ewendt@co.tulare.ca.us	(559)733-6653
72	X		Ted Smalley	TCAG	TSmalley@co.tulare.ca.us	(559)733-6653 ext. 4888

P= In person  
T= Teleconference  
N= Not attending  
Blank= No response

**CALIFORNIA FEDERAL PROGRAMMING GROUP (CFPG)**  
**MEETING MINUTES – May 18, 2004**

The CFPG meeting was held at FHWA in Sacramento from 10:30 to 12:00.

**1. Topics/Agenda/Introductions:**

Meeting started with self-introduction of attendees and review of the agenda items.

**2. Ground Rules:**

Muhaned Aljabiry went over the following ground rules for the meeting,

- Since there are phone participants, everyone who speaks should state his/her name and agency.
- Keep comments as brief as possible
- Stick to the current agenda item. Additional items not in the agenda will be added to the end and will be discussed if time permits.
- Turn off cell phones and limit interruptions
- This is a forum to hear everyone's concerns comments and suggestions. Please make sure your voice is heard.
- Facilitator to ask before moving on to the next item if anyone on the phone has any additional comments on the item, then pause for a few seconds.
- Respond to follow up items and meeting notices by the deadlines.
- Except for follow up items, the minutes will include discussions that take place during the meeting only. If you do not want what you say during the meeting included in the minutes, state "off the record".
- When not speaking, phone participants to keep their phones on mute if possible.

**3. Announcements/Approval of the 04/06/04 CFPG meeting minutes:**

Muhaned announced that Rachel Falsetti is back from leave. He also announced that Jim Nicholas, CT - Division Chief for Transportation Programming will be retiring in June and Ross Chittenden will be the new Division Chief. Meeting minutes for April 6, 2004 CFPG meeting were approved without comments or changes.

**4. Follow-up items from last meeting:**

1. New Start financial planning comments (Handout 1):

Leigh Levine announced that the response to the comments received on the Financial Planning Guidelines were addressed and included in the final guidelines. The changes received have been incorporated into the final published document. He also stated that efforts should be made for cost projection of a "Mega Project" at the RTP stage and not at FTIP development, and FHWA and FTA will provide more guidance in the future. The Financial Planning Guidelines are now posted on FHWA's website and will be posted on Caltrans' (FTIP) website.

2. TEA projects: who initiates changes?

Abhijit Bagde announced that local agencies planning to make changes to the TEA projects should contact Howard Reynolds, Caltrans TEA Coordinator. Also they need to coordinate with Caltrans' STIP coordinator if the proposed change requires a STIP amendment.

3. Distribute draft Federal/State MOU for Administrative Amendments:

Wade Hobbs told the group that the draft MOU would be released to the group in the near future and FHWA could not commit to a date.

4. Notify MCAG who their contact is for CMAQ/RSTP obligation info (Bost report):  
Info was provided to MCAG by Cathy Gomes.

5. Get info from Budgets about \$50 million:

Fardad Falakfarsa stated that starting federal Fiscal Year 2005/06 and for the following three subsequent years, the State will provide \$50 million of additional Obligation Authority (OA) per year to the regions. Denix Anbiah told the group that there are no federal or state laws for distribution of OA. Local Assistance manages OA and the additional \$50 million OA will be prorated to the regions and will be made available till June 1st of every year. After June 1st, OA is available to the regions on first-come-first-serve basis. He also stated that OA cannot be rolled over from one year to the next year and Caltrans would use remaining OA after September 15<sup>th</sup> if not used by other regions. Fardad stated that this year \$200 million of OA is available for the regions, which is in addition to the payback mentioned above.

6. Support cost in CTIPS:

Muhaned told the group that it is not feasible to change the transfer mechanism in CTIPS to combine the support costs with appropriate capital phase when the projects are transferred from STIP/SHOPP into FTIP as sometimes SHOPP reports capital costs under "Environmental and Permits Phase". Wade stated that FHWA does not have any position on this but would like to see uniformity. Raymond Odunlami stated that all MPOs support the change in CTIPS and suggested that a committee be formed to include MPOs, Caltrans Office of Federal Transportation Management Program and CTIPS Office to explore the possibility of implementing the change in CTIPS. Raymond Odunlami volunteered to be on this committee. Muhaned said that he will set up a meeting to discuss this issue further.

**5. Grouped projects and minor changes:**

Wade Hobbs announced that the work is in progress to finalize the draft MOU. He also stated that no date has been decided yet when the guidelines would be released. Raymond Odunlami mentioned that MTC is planning to adopt the guidelines as part of its 2004 FTIP.

**6. Financial constraint of FSTIP - updates:**

Abhijit Bagde announced that SLOCOG recently re-demonstrated financial constraint of its 2002 FTIP. He also stated that any MPO planning to re-demonstrate financial constraint of its 2002 FTIP should contact the Caltrans Office of Federal Transportation Management Program in advance, even prior to releasing the draft document for public review. Muhaned announced that MCAG is currently working on re-demonstrating financial constraint of its 2002 FTIP.

**7. Apportionment carryover in the 2004 FTIP:**

**How can a large project be programmed if it is more than a region's yearly apportionment?**

Abhijit stated that a region planning to program a project for which the total cost exceeds the region's yearly apportionment has the following options:

- A. A region may enter into an agreement with another region to borrow OA for a particular FY and repay it at a later date. In such case a copy of the agreement should be provided to FHWA, FTA and Caltrans. Also both the regions should include this mechanism in their financial plans.

- B. A region may engage in an agreement with the State to borrow OA for a particular FY and repay it to the State at a later date. In such case the State needs to know in advance so that it can assess/adjust its own programming capacity in order to use additional OA in the beginning (e.g. for first two years of FTIP) and make it available to the region at a later date (e.g. in the third year of FTIP). Caltrans Office of Federal Resources is working on way to accomplish the trading of OA.

Muhaned told the group that regions may make adjustment within their partner agencies to make additional OA available for a particular member agency. He also stated that the State evaluates the financial constraint of FTIP at the MPO level and not at the individual agency level.

#### **8.CMAQ, RSTP and STIP Estimates for 2004 FTIP/FSTIP (Handout 2):**

Muhaned mentioned that the above estimates were distributed to MPOs by FHWA on May 7, 2004. He also stated that the State and FHWA prepared these estimates together, and will be used for financial constraint determination of FTIP and FSTIP. These estimates are the same as the estimates that the State released to the MPOs in the fund estimate and by way of the CMAQ and RSTP tables sent out by the Caltrans Programming Office.

#### **9. 2004 FTIP Financial Summary Form (Handout 3):**

Muhaned told the group that this spreadsheet has been sent to MPOs via e-mail. Muhaned mentioned that the spreadsheet includes funding summary for revenues available and total programmed for 2004 FTIP and that MPOs are required to submit the completed form along with their 2004 FTIPs. The State will then compile all the information into one sheet to show the statewide financial constraint of the FSTIP. . Note, that an updated table is being sent to the MPO's by the end of May.

#### **10. FHWA Financial Plan Letter to MPOs:**

Mayela Sosa discussed the letter FHWA sent MPOs requesting them to provide FHWA with copies of their draft financial plans as soon as they are developed. Wade Hobbs told the group that for conformity determination of FTIPs, FHWA would need to make financial constraint determination. Therefore, FHWA would like to receive the information on financial plans as soon as possible.

#### **11. Delivery Reports Enhancements by Local Assistance (Handout 4):**

Denix Anbiah told the group that the old project delivery report (AKA Bost Report) had problems in the past and the software used for this report is no longer supported. Also Local Assistance does not have trained staff to run these reports frequently. He presented the handout that described the proposed reporting mechanism. Denix requested volunteers from CFPG group to participate in the Task Force which would finalize the report by September 2004.

It was decided that the "Annual Listing Task Force" would be members of this task force this task.

#### **12. Annual listing Taskforce Progress Report:**

Jason Crow presented the following updates:

##### **FMIS DATABASE REQUEST:**

February 5, 2004 - The Annual Listing Task Force transmitted a request to Caltrans. This letter requested that MPO's have the capability of retrieving obligational information from the FMIS database.

May 12, 2004 - Caltrans transmitted their letter of support on the Annual Listing Task force letter dated February 5, 2004 to the FHWA's Sacramento Division.

#### FTA TEAM DATABASE REQUEST:

April 27, 2004 - The Annual Listing Task Force transmitted a request for exploring the possibility of TEAM database enhancement and reporting capability to the TEAM Helpdesk. The request is still pending and the Help desk is not sure when and if FTA will approve the enhancement. Help desk assured that they would check with FTA and notify Rosemary Ayala.

#### **13. Status of final HBRR/ Other state managed programs:**

Abhijit Bagde announced that the revised project listings and funding summaries for HBRR, Seismic and HES programs were forwarded to MPOs on May 14, 2004. He also stated that HBRR and Seismic are separate programs and both are funded with HBRR funds (80% federal, 20% local match is required).

#### **14. SHOPP Categorization:**

Abhijit Bagde told the group that the Caltrans Office of Federal Transportation Management Program has been working with the CTIPS office to generate a report for the SHOPP 2004 projects per the program categories identified in the "Communication Process For State-Managed Grouped Project Listings". He also stated that the information would be provided to MPOs by May 28, 2004. Raymond Odunlami mentioned that MTC's draft 2004 FTIP includes single SHOPP lump sum category and asked if MTC has to follow another public involvement process if the existing SHOPP lump sum project is replaced with new SHOPP lump sum categories. Mayela Sosa mentioned that FHWA would not require another public review process. Abhijit also mentioned that the total funding programmed in the SHOPP lump sum would not change except the format.

#### **15. "Exempt" Status in TIP Reporting:**

Sookung Kim talked about "NCI (non capacity increasing)" exempt project status identified in CTIPS. She also stated that FHWA would like to see more information on the type project for this category. Sookyung told the group that the CTIPS report generated in the "SANDAG" format provides information on the types of projects that can be programmed under this category.

#### **16. Additional Clarification Language to Final Financial Planning Requirement Document:**

This item was covered under Item 4(1).

## 17. Open Forum & Next Meeting Date and Location:

### 2004 CFPG Meetings:

<b>DATE</b>	<b>LOCATION</b>
June 29, 2004	MTC
August 9, 2004	CT
September 21, 2004	SACOG
November 3, 2004	FHWA (Wednesday)

### FOLLOW UP ITEMS:

Item	Topic	Who	When
5	Distribute draft Federal/State MOU for Administrative Amendments.	FHWA	soon
14	SHOPP report to MPOs	CT- Abhijit Bagde	May 28
12	Check with TEAM database help desk to explore possibility of changes to database	FTA – Ted Matley	June 29
4(6)	Formation of Task Force for “Support Cost in CTIPS”	CT and MPOs	Done
10	Financial Plans to FHWA	MPOs	ASAP

**(Handout 1)**

**Financial Planning Guidelines Comments & Response – Revised 04/26/04**

**Comment from Raymond 'Dapo Odunlami, MTC**

1. On page 6 it describes "Available or Committed Funding." This description does not give consideration to committed funds generated through the State that the Governor has no control over like the LTF, TDA, STA funds.

Response: We would assume that funding categories such as LTF, TDA, STA are still provided under authority of the Governor, though the issue of control is a good point. We would consider such categories of funds as "available", if not "committed", as they have historically been allocated for transportation purposes, and so would still fall under the umbrella of "Available or Committed Funding" – the standard for funds included in the first 2 years of the FTIP for nonattainment and maintenance areas. MPOs may develop their own expanded definitions for "Available or Committed Funding" as long as they are not in conflict with any federal or state requirements.

2. On Page 7, the document limits committed Federal Funds "not to exceed yearly OA limits." If 23 CFR allows us to program up to appropriations limit, why are you limiting "committed funds" in the guide. We feel the "OA limits" should be changed to "appropriations limit." Some regions sometimes able to obligate up to their appropriations limits.

Response: We will remove the sentence on page 7 that says total available or committed Federal funding in the FTIP should not exceed yearly obligational authority (OA) limits (see Comment from Sue Kiser, FHWA). We will also make sure to provide and emphasize documentation in our guide that describes the requirement that total available or committed federal funds should not exceed annual apportionment levels (see Comment from Abhijit Bagde, Caltrans).

**Comment from Sookyung Kim, SANDAG**

1. Page 6 for FTA New Starts projects: In order for an agency to be considered for New Starts funding, each project undergoes a rather rigorous and comprehensive financial plan. If the New Starts 'package' to FTA (done annually) already includes a feasible financial plan, why do we need to provide 'enhanced scrutiny'? Perhaps we can include in the FTIP the section of the New Starts financial discussion?

Response: In order to limit large and significant changes in the cost estimates for major projects, and to ensure that fiscal constraint is correctly evaluated in the Regional Transportation Planning Process, MPO's are encouraged to

consider additional efforts to enhance their cost estimation procedures for projects when they are included in the Regional Transportation Plan (RTP).

This additional scrutiny should be focused on major capacity and service enhancement projects. Referencing a financial plan that is normally developed later in the project development process does not address this issue, since this focus is on improving cost estimates for projects at the Regional Transportation Plan stage, not the FTIP stage. The purpose is to improve cost estimates early in the process,

specifically at the time of the inclusion of the project in the RTP, and to promote greater consistency between RTP-project cost estimates in the RTP and later FTIP cost estimates and detailed financial plans.

2. Page 6 for demonstrating financial constraint: The past sentence sounds as if an MPO has a Plan with sound financial chapter, that along would suffice. Then there isn't a need for a financial chapter for the TIP? Later on in this document, financial chapters for both the Plan and the FTIP are discussed. This section should be clarified.

Response: While we are flexible regarding how the MPO's overall financial plan is documented, financial constraint must be demonstrated for both the FTIP and RTP. To make this more clear, on Page 6, under the heading Demonstrating Financial Constraint for the Metropolitan Plan (RTP) and Program (FTIP), we will reverse the order of sentences to begin with "Because financial planning requirements and financial constraint are applicable to both work products . . . "

3. page 14 provide estimated total cost: what if the project goes beyond the current FY cycle? there was discussion at SANDAG's triennial review to include total cost of project even if the FY is beyond the current FTIP cycle. This section should be clarified, estimate beyond the cycle or only within the cycle.

Response: Yes, total cost means all funding resources required to fully implement the project, from start to finish. Project funding obligated either prior to the current triennial element of the FTIP, or anticipated for years beyond the triennial element should be documented accordingly. We will change wording to read: "Estimated total cost for the fully completed project is provided for all projects."

4. page 14 include add'l projects if add'l resources available: what about advancement of current projects (which would result in cost savings in the long run) if add'l resources were available? Also, at least for SANDAG, our Plan includes 3 scenarios – revenue constrained, reasonably expected, and optimistic. So the 'include add'l projects is already discussed with the other two scenarios. Perhaps this section is more appropriate for the Plan rather than the TIP?

Response: Advancement of projects within the triennial element of the FTIP can occur via the administrative amendment process. Advancing projects from beyond

the triennial element into the triennial element of the FTIP is considered adding a new project, and would require a formal amendment that would have to demonstrate financial constraint. There may be more value in providing a listing of additional projects that could be funded if additional resources were available in the Plan versus the TIP. These additional unconstrained or illustrative project listings are not required, however we will leave reference to such listings on the checklist and throughout the document, as it is a commonly accepted practice. Note on pages 11-12 that the new supplement to the state's 1999 Regional Transportation Plan Guidelines recommends a list of unconstrained projects.

5. page 15 'total share of Section 5309 for first year does not exceed funding levels committed to the area: OK for discretionary programs, but there should be clarification for 5309 funds that has a signed Full Funding Grant Agreement.

Response: Language will be added to clarify that funds included in a FFGA should be considered as reasonably available in any out year of the FSTIP they are included in.

#### **Comment from Ray Sukys, FTA**

To assist the federal agencies in their determinations of financial constraint, MPO financial documentation should be provided in a summary sheet format that displays revenue and cost information under 2 categories (transit and highway programs), and provides this information by year. A sample format should be provided with the guidance document. The document should also include a listing of federal funding categories for transit and highway programs.

Response: Language will be added to the guide recommending this format for demonstrating financial constraint. This guidance is a living document. The federal agencies and Caltrans will look for good examples of financial constraint documentation to provide as an additional appendix. We will include FHWA and FTA website links to information on funding categories and eligibility information.

#### **Comment from Sue Kiser, FHWA**

Suggests we remove the sentence "Total available or committed Federal funding in the FTIP should not exceed yearly obligational authority (OA) limits." on page 7.

Response: We will remove this sentence from the document.

#### **Comment from Abhijit Bagde, Caltrans**

The document should clearly indicate that total available or committed federal funds should not exceed annual apportionment levels.

Response: Language will be added and emphasized as necessary.

(IN THOUSANDS)

MPO	STIP 04-05		FY 04/05				STIP 05-06				STIP 06-07				Total 3-year TIP Cycle		
	RTIP 04-05 Total	ITIP 04-05 Total	CMAQ 04-05 (Estimated)	RSTP 04-05 (Estimated)	Total STIP / CMAQ / RSTP	RTIP 05-06 Total	ITIP 05-06 Total	CMAQ 05-06 (Estimated)	RSTP 05-06 (Estimated)	FY 05/06 Total STIP / CMAQ / RSTP	RTIP 06-07 Total	ITIP 06-07 Total	CMAQ 06-07 (Estimated)	RSTP 06-07 (Estimated)		FY 06/07 Total STIP / CMAQ / RSTP	
AMBAG	\$9,075	\$0	\$4,560	\$7,415	\$21,050	\$68,019	\$0	\$4,652	\$7,564		\$57,742	\$0	\$4,745	\$7,715	\$70,202	\$253,663	2.43%
BCAG	\$1,631	\$0	\$1,310	\$2,120	\$5,061	\$9,731	\$67,227	\$1,336	\$2,163	\$80,456	\$8,293	\$0	\$1,362	\$2,206	\$11,861	\$193,126	1.85%
COFCG	\$3,185	\$0	\$9,271	\$8,342	\$20,798	\$8,959	\$56,289	\$9,456	\$8,509	\$83,213	\$7,801	\$30	\$9,645	\$8,679	\$26,155	\$257,147	2.46%
KCOG	\$9,826	\$3,255	\$7,687	\$6,904	\$27,672	\$66,446	\$936	\$7,841	\$7,043	\$82,265	\$56,502	\$99	\$7,997	\$7,184	\$71,782	\$353,613	3.38%
Kings	\$1,064		\$1,502	\$1,351	\$3,916	\$6,003		\$1,532	\$1,378	\$8,913	\$5,121		\$1,562	\$1,406	\$8,089	\$40,772	0.39%
Madera	\$766	\$0	\$1,437	\$1,284	\$3,487	\$3,527	\$36,590	\$1,465	\$1,310	\$42,892	\$3,023	\$670	\$1,495	\$1,337	\$6,525	\$105,040	1.00%
MCAG	\$1,864	\$0	\$2,490	\$2,197	\$6,551	\$11,050	\$62,951	\$2,540	\$2,241	\$78,782	\$9,420	\$32,056	\$2,591	\$2,286	\$46,353	\$261,507	2.50%
MTC	\$32,852	\$6,785	\$63,928	\$70,789	\$174,354	\$164,599	\$65,945	\$65,206	\$72,207	\$367,957	\$140,802	\$12,450	\$66,510	\$73,700	\$293,462	\$1,638,694	15.67%
SACOG	\$8,953	\$130	\$24,019	\$19,721	\$52,823	\$39,582	\$11,326	\$24,499	\$20,116	\$95,523	\$33,963	\$94,588	\$24,989	\$20,519	\$174,059	\$635,857	6.08%
SANDAG	\$11,106	\$6,220	\$30,033	\$29,363	\$76,721	\$43,706	\$86,360	\$30,634	\$29,951	\$190,650	\$37,621	\$9,108	\$31,246	\$30,550	\$108,525	\$740,688	7.08%
SBCAG	\$5,832	\$0	\$3,810	\$4,167	\$13,810	\$43,355	\$3,261	\$3,887	\$4,251	\$54,753	\$36,810	\$0	\$3,964	\$4,336	\$45,110	\$221,515	2.12%
SCAG	\$84,617	\$33,578	\$220,657	\$170,950	\$509,801	\$440,558	\$41,272	\$225,070	\$174,372	\$881,272	\$376,532	\$84,329	\$229,571	\$177,862	\$868,294	\$4,434,118	42.40%
Shasta	\$1,596	\$390		\$1,704	\$3,690	\$8,828	\$544		\$1,738	\$11,110	\$7,535	\$0		\$1,773	\$9,308	\$46,619	0.45%
SJCOG	\$4,702	\$0	\$6,766	\$5,882	\$17,350	\$31,278	\$0	\$6,901	\$5,999	\$44,178	\$26,604	\$58,121	\$7,039	\$6,119	\$97,883	\$314,120	3.00%
SLCOG	\$3,548	\$23,890		\$2,574	\$30,012	\$22,897	\$4,481		\$2,626	\$30,004	\$19,486	\$20,938		\$2,678	\$43,102	\$202,688	1.94%
StanCOG	\$3,376	\$0	\$5,325	\$4,664	\$13,366	\$21,642	\$517	\$5,432	\$4,758	\$32,349	\$18,420	\$50,053	\$5,540	\$4,853	\$78,866	\$245,785	2.35%
TCAG	\$4,281	\$0	\$4,253	\$3,840	\$12,375	\$27,978	\$101	\$4,338	\$3,917	\$36,335	\$23,804	\$810	\$4,425	\$3,996	\$33,035	\$159,208	1.52%
TMPO	\$307	\$0	\$554	\$482	\$1,343	\$1,317	\$0	\$565	\$492	\$2,374	\$1,131	\$0	\$0	\$501	\$1,632	\$10,390	0.10%
<b>Rural</b>	\$16,666	\$4,368		\$9,679	\$30,713	\$94,838	\$6,733		\$9,816		\$80,901	\$3,273		\$9,950	\$94,124	\$344,395	3.29%
<b>Grand Total</b>	<b>\$205,247</b>	<b>\$78,616</b>	<b>\$387,600</b>	<b>\$353,428</b>	<b>\$1,024,891</b>	<b>\$1,114,313</b>	<b>\$444,533</b>	<b>\$395,352</b>	<b>\$360,448</b>	<b>\$2,123,025</b>	<b>\$951,511</b>	<b>\$366,525</b>	<b>\$402,683</b>	<b>\$367,650</b>	<b>\$2,088,369</b>	<b>\$10,458,945</b>	100.00%
check digits	<b>\$205,247</b>					<b>\$1,114,313</b>					<b>\$951,511</b>						

<b>Handout 3</b>	<b>2004 FTIP Financial Summary</b>			
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Programmed	2004/05	2005/06	2006/07	TOTAL
Federal Transit Administration Section Funds				
- 3037 Job Access and Reverse Commute Program	\$0	\$0	\$0	\$0
- 5303 Metropolitan Planning Program	\$0	\$0	\$0	\$0
- 5307 Urbanized Area Formula Program	\$0	\$0	\$0	\$0
- 5308 Clean Fuel Formula Program	\$0	\$0	\$0	\$0
- 5309(a) Fixed Guideway Modernization	\$0	\$0	\$0	\$0
- 5309(b) New Starts	\$0	\$0	\$0	\$0
- 5309(c) Bus Allocation	\$0	\$0	\$0	\$0
- 5310 Elderly & Persons with Disabilities Formula Program	\$0	\$0	\$0	\$0
- 5311 Nonurbanized Area Formula Program	\$0	\$0	\$0	\$0
Local Funds				
- Bureau of Indian Affairs	\$0	\$0	\$0	\$0
- Congestion Mitigation and Air Quality	\$0	\$0	\$0	\$0
- Demonstration Pre ISTEA, ISTEA, & TEA21	\$0	\$0	\$0	\$0
- Ferry Boat Discretionary	\$0	\$0	\$0	\$0
- Forest Lands Highways	\$0	\$0	\$0	\$0
- Highway Bridge Replacement and Rehabilitation Program	\$0	\$0	\$0	\$0
- Intelligent Transportation System Program	\$0	\$0	\$0	\$0
- Local Funds (sales tax, transit fares, private funding, TDA, & etc.)	\$0	\$0	\$0	\$0
- Miscellaneous Federal (AMTRAK, Department of Defense, Emergency Repairs, etc.)	\$0	\$0	\$0	\$0
- National Scenic Byways Program	\$0	\$0	\$0	\$0
- Public Lands Highways	\$0	\$0	\$0	\$0
- Recreational Trails	\$0	\$0	\$0	\$0
- Surface Transportation Program	\$0	\$0	\$0	\$0
- Surface Transportation Program Enhancement	\$0	\$0	\$0	\$0
- Surface Transportation Program Hazard Elimination & Safety	\$0	\$0	\$0	\$0
- Surface Transportation Program Railroad Grade Crossing Protection	\$0	\$0	\$0	\$0
- Transportation and Community and System Preservation Pilot Program	\$0	\$0	\$0	\$0
State Highway Account Funds (State & State FHWA Funds)				\$0
-STIP	\$0	\$0	\$0	\$0
- SHOPP	\$0	\$0	\$0	\$0
State Only Funds	\$0	\$0	\$0	\$0

- Miscellaneous (Proposition 116, Regional STP Exchange, State Transit Assistance, etc.)	\$0	\$0	\$0	\$0
- Traffic Congestion Relief Program	\$0	\$0	\$0	\$0
<b>Total Programmed</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

<b>Revenue</b>	<b>2004/05</b>	<b>2005/06</b>	<b>2006/07</b>	<b>TOTAL</b>
<i>Federal Transit Administration Section Funds</i>				
- 3037 Job Access and Reverse Commute Program	\$0	\$0	\$0	\$0
- 5303 Metropolitan Planning Program	\$0	\$0		\$0
- 5307 Urbanized Area Formula Program	\$0	\$0	\$0	\$0
- 5308 Clean Fuel Formula Program	\$0	\$0	\$0	\$0
- 5309(a) Fixed Guideway Modernization	\$0	\$0	\$0	\$0
- 5309(b) New Starts	\$0	\$0	\$0	\$0
- 5309(c) Bus Allocation	\$0	\$0	\$0	\$0
- 5310 Elderly & Persons with Disabilities Formula Program	\$0	\$0	\$0	\$0
- 5311 Nonurbanized Area Formula Program	\$0	\$0	\$0	\$0
<i>Local Funds (FHWA revenue is based on apportionments but excluding prior year carryover positive apportionments)</i>				
- Bureau of Indian Affairs	\$0	\$0	\$0	\$0
- Congestion Mitigation and Air Quality	\$0	\$0	\$0	\$0
- Demonstration Pre ISTEA, ISTEA, & TEA21	\$0	\$0	\$0	\$0
- Ferry Boat Discretionary	\$0	\$0	\$0	\$0
- Forest Lands Highways	\$0	\$0	\$0	\$0
- Highway Bridge Replacement and Rehabilitation Program	\$0	\$0	\$0	\$0
- Intelligent Transportation System Program	\$0	\$0	\$0	\$0
- Local Funds (sales tax, transit fares, private funding, TDA, & etc.)	\$0	\$0	\$0	\$0
- Miscellaneous Federal (AMTRAK, Department of Defense, Emergency Repairs, etc.)	\$0	\$0	\$0	\$0
- National Scenic Byways Program	\$0	\$0	\$0	\$0
- Public Lands Highways	\$0	\$0	\$0	\$0
- Recreational Trails	\$0	\$0	\$0	\$0
- Surface Transportation Program	\$0	\$0	\$0	\$0
- Surface Transportation Program Enhancement	\$0	\$0	\$0	\$0
- Surface Transportation Program Hazard Elimination & Safety	\$0	\$0	\$0	\$0

- Surface Transportation Program Railroad Grade Crossing Protection	\$0	\$0	\$0	\$0
- Transportation and Community and System Preservation Pilot Program	\$0	\$0	\$0	\$0
State Highway Account (State & State FHWA Funds)				
- STIP	\$0	\$0	\$0	
- SHOPP	\$0	\$0	\$0	
State Only Funds				
- Miscellaneous (Proposition 116, Regional STP Exchange, State Transit Assistance, etc.)	\$0	\$0	\$0	\$0
- Traffic Congestion Relief Program	\$0	\$0	\$0	\$0
<b>Total Revenue</b>	\$0	\$0	\$0	\$0

(Handout 4)



**U.S. DEPARTMENT OF TRANSPORTATION**

FEDERAL HIGHWAY ADMINISTRATION

CALIFORNIA DIVISION

650 Capitol Mall, Suite 4-100

Sacramento, CA. 95814

May 5, 2004

IN REPLY REFER TO

HDA-CA

Document # S45031

Mr. Mark Pisano, Executive Officer  
Southern California Association of Governments  
818 West 7<sup>th</sup> Street, 12<sup>th</sup> Floor  
Los Angeles, CA 90017

Dear Mr. Pisano:

Over the past several weeks, the California Department of Transportation's (Caltrans) Division of Transportation Programming provided metropolitan planning organizations (MPOs) with various state and federal program fund estimates that are to be used in the development of the 2004 Federal Transportation Improvement Programs (FTIPs) and corresponding financial plans in accordance with 23 CFR 450.324(e). Now that this information is available, we request that you please provide us with a copy of your draft financial plan as soon as it is developed. Additionally, if you have not done so already, we request that you please provide us with a copy of your draft 2004 FTIP as soon as it is completed and prior to the start of its public comment period, if at all possible.

We also take this opportunity to remind you that we expect to receive a complete Federal Statewide Transportation Improvement Program (FSTIP), which includes all of the FTIPs, from Caltrans for our action by September 1, 2004. As such, it is important that your final FTIP be submitted to Caltrans by August 1, 2004. Finally, we ask that you please continue to provide us with drafts of all the work related to your conformity analysis leading to a conformity determination for your FTIP, and regional transportation plan, if applicable.

We appreciate your cooperation. If you have any questions or want to discuss any of our above requests, please contact Sandra Balmir at (213) 202-3953.

Sincerely,

*/s/ Mayela Sosa*

For  
Gene K. Fong  
Division Administrator

cc: (e-mail)  
Rosemary Ayala, SCAG  
Muhaned Aljabiry, Caltrans  
Abhijit Bagde, Caltrans  
Jim Nicholas, Caltrans

MSosa:jf

Active Project

Agency	Project #	WFO ID #	Fund Type	Obligation \$	Encumb. \$	Expend.\$	Balance \$	Accounting Status
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SAMPLE

MPO/RTPA Locode	MPO/RTPA	Date	Project #	Approp. Code	RSTP	CMAQ	R-TEA
	Ventura County Transportation Commission	3/31/2004			1,000,000	250,000	1,564,123
	Beginning Balance as of: (AB 1012 Unobligated Balance)						
	Activities/Adjustments for the Month of: April 2004						
	Apportionments				0	0	0
	Transfers/Exchanges	4/2/2004		Q230	(123,456)		
		4/8/2004		Q400		(45,600)	
	Obligations	4/3/2004	STP 0002(001)	Q240	222,222		
		4/20/2004	CML 001(123)	Q400		15,624	
		4/28/2004	STPL 003(002)	BTB			7,659
	Total Activities/Adjustments for Month of: (includes apportionments, transfers, exchanges, and obligations)				(345,678)	(61,224)	(7,659)
	<b>Ending Balance (beginning balance less total activities)</b>				<b>654,322</b>	<b>188,776</b>	<b>1,556,464</b>

SAMPLE

Note: MPO/RTPA Locode column will be hidden from view  
Appropriation Code column will be hidden from view