

# Agenda

**April 26, 2011**  
**10:30 am – 12:30 pm**

SACOG  
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**Meeting called by:** Muhaned Aljabiry  
**Facilitator:** Abhijit Bagde  
**Recorder:** Bruce Abanathie

**Agenda Topics**

Item	Description	Time	Presenter
1	Agenda	10:30	Abhijit Bagde
2	Ground Rules	10:35	Abhijit Bagde
3	Approval of 3/15/2011 meeting minutes	10:40	Abhijit Bagde
4	<b>Announcements and updates:</b>	10:45	All
5	<p><b>Follow-Up Items from last meeting:</b></p> <ul style="list-style-type: none"> <li>• Sri Srinivasan (MTC) will send the information request on flex fund transfers to FTA to the MPOs again to receive more data</li> <li>• Sam Kaur (SJCOG) will send Jermaine Hannon (FHWA) an email describing problem with the federal register</li> <li>• Jermaine Hannon (FHWA) will send a letter regarding the accurate reflection of toll credits for federal planning funds in OWPs to the MPOs through Abhijit Bagde – Item completed</li> <li>• Abhijit Bagde will develop and distribute an updated version of the grouped project listing with instructions for entering the grouped project listing titles in CTIPS. Programming Office will also update the website listing of the descriptions with a cut and paste option – Item completed  <a href="http://www.dot.ca.gov/hq/transprog/federal/fedfiles/res_publications/grouped_pjt_listings.pdf">http://www.dot.ca.gov/hq/transprog/federal/fedfiles/res_publications/grouped_pjt_listings.pdf</a></li> <li>• Kimberly Gayle (CT - DMT) will forward the current FTA 5307 list to CT-Programming for distribution to CFPG group so that regions can see whether they still need to submit a list of projects for toll credit use – See No. 7 below</li> </ul>	10:55	Abhijit Bagde
6	Financial Summary Template – Update (Handout No. 1)	11:10	Kang Tang
7	<p>Division of Mass Transportation (DMT) Update:</p> <ul style="list-style-type: none"> <li>• Transportation Development Credits (Handout No. 2)</li> <li>• Using toll credits for CMAQ/STP funds transferred to FTA</li> </ul>	11:35	Kimberly Gayle/Michael Lange
8	Schedule for the next FTIP/FSTIP update	11:55	Sri Srinivasan

9	<b>Future CFPG Meetings:</b> <ul style="list-style-type: none"> <li>• Format</li> <li>• Frequency</li> </ul>	12:10	Abhijit Bagde
10	<ul style="list-style-type: none"> <li>• Follow-Up Items</li> <li>• Open Forum</li> <li>• Future Agenda Items</li> </ul>	12:20	All
11	<b>Future meeting dates and locations:</b> <ul style="list-style-type: none"> <li>• June 7, 2011 - Caltrans, Sacramento (10:30 am – 12:30 pm)</li> </ul>	12:25	All

## California Federal Programming Group (CFPG)

Minutes for April 26, 2011:

### 1. Agenda:

Abhijit Bagde (Caltrans HQ Programming), reviewed the agenda and no changes to the agenda were requested

Handouts were emailed to the group prior to the meeting and will be addressed during the agenda item that they relate to.

### 2. Ground Rules:

- Since there are phone participants, everyone who speaks should state his/her name and agency.
  - Keep comments as brief as possible.
  - Stick to the current agenda item. Additional items not in the agenda will be added to the end and will be discussed if time permits.
  - Turn off cell phones and limit interruptions.
  - This is a forum to hear everyone's concerns, comments and suggestions. Please make sure your voice is heard.
  - Facilitator to ask before moving on to the next item if anyone on the phone has any additional comments on the item, then pause for a few seconds.
  - Respond to follow-up items and meeting notices by the deadlines.
  - Except for follow-up items, the minutes will include discussions that take place during the meeting only. If you do not want what you say during the meeting included in the minutes, state "off the record."
  - **When not speaking, phone participants to keep their phones on mute if possible.**
  - **Do not place conference call on hold. Please hang up and redial if you must take another call.**
  - Meeting minutes to be distributed to the group with 10 days after the meeting.
- Bolded items were emphasized by Abhijit.**

### 3. Minutes of the Last Meeting:

The final minutes of the March 15, 2011 meeting were provided via email prior to the meeting and there were no comments or changes requested – approved

### 4. Announcements and Updates: Unless otherwise noted, Abhijit Bagde (Caltrans HQ Programming) addressed these subjects.

Wade Hobbs (FHWA) informed the group that Robert F. Talley Jr. is the Acting Administrator of Division IX until Butch Wadelich's position is filled.

Lima Huy (Caltrans HQ Programming) Stated that the Programming Office would be working on developing state guidance for the CMAQ (Congestion Mitigation Air Quality Improvement) Program and asked that *anyone interested in participating on the committee please email her with their interest by Friday, April 29, 2011*. Ivan Garcia (Butte) asked how frequently and for how long the committee would meet. Lima responded that maybe once or

twice a month and the duration would depend on how much is accomplished in each meeting. She hopes the committee will develop some milestones and timelines once they meet.

Sri Srinivasan (MTC) asked everyone to welcome Adam Crenshaw as an official part of the MTC team. Adam was hired to fill one of the previously advertised positions and will be working with Sri on FTIP and programming duties.

Ronald Hall introduced himself as the new Training Coordinator for Caltrans Division of Local Assistance (DLA). Ron was previously with District 3. Ron will be making sure that DLA has the most up to date training information regarding programming.

## **5. Follow-up Items from the Last Meeting**

- a. Sri Srinivasan (MTC) will send the information request on flex fund transfers to FTA to the MPOs again to receive more data.

Sri gave some background on the process and process delays in flex fund transfers from FHWA to FTA projects and explained the use of the spreadsheet being developed. Sri has received some information, but the deadline for data submission to Sri is May 6, 2011. Sri is looking for data from about FY 2008. *Sri will report on progress at the next meeting.*

- b. Sam Kaur (SJCOG) will send Jermaine Hannon (FHWA) an email describing problem with the federal register

Sam stated that this item is also related to the flex fund transfers, specifically the availability of a complete Federal Register Notice of FTA allocation. Due to budget problems, the expiration of SAFETEA-LU, and subsequently the status of working from continuing resolutions, the Federal Register has not been able to publish the complete allocation.

Sam has consulted with FTA and has learned that FTA will accept the transfers without the full Federal Register publication. Sam stated that she started the search for the roadblock with the Planning Office within FHWA, but was referred (by Sue Kiser) to the FHWA Finance staff.

Sam has been working with Mark at the FHWA Finance Office to resolve the issue. They are making some progress, but have not come to resolution yet and *Sam will report the progress at the next CFPG Meeting.*

- c. Jermaine Hannon (FHWA) will send a letter regarding the accurate reflection of toll credits for federal planning funds in OWP to the MPOs through Abhijit Bagde – Item completed but the email had not gone out as of this meeting.

In discussion with Jermaine, Abhijit was told that the Caltrans Planning Office would be sending out an updated guide on using toll credits for planning funds in the OWP. Abhijit had requested a copy of that guide to accompany his email to the CFPG. This has not been received. Wade mentioned that the updated guidance had been sent out. He will send Abhijit a copy. From discussion of the representatives, some MPOs have received the updates and some have not, so *Abhijit will share the information with the group.*

- d. Abhijit Bagde will develop and distribute an updated version of the grouped project listing with instructions for entering the grouped project listing titles in CTIPS.

Programming Office will also update the website listing of the descriptions with a cut and paste option – Part of this Item completed (2<sup>nd</sup> part), see link on agenda.

The first part (revising the titles of grouped projects to fit in title box in CTIPS) is still being worked on with the CTIPS office. *Abhijit will provide updates as they are received.*

- e. Kimberly Gayle (CT - DMT) will forward the current FTA 5307 list to CT-Programming for distribution to CFPG group so that regions can see whether they still need to submit a list of projects for toll credit use – See No. 7 below

## **6. Financial Summary Template – Update (two handouts)**

Kang Tang (Caltrans HQ Programming) reported on the completion of the work of the financial summary task force – the new financial summary spreadsheets. Kang discussed the addition and use of the appendices to explain the “other” funds that are part of the financial summary. Kang also reviewed the new and modified fund stream entries on the sheets.

The Excel files include imbedded explanations and instructions in the fund type cells. There are a number of cell protections in order to maintain consistency in the formatting of the pages – this is to assist the state in totaling the summaries from all of the MPOs.

*Kang stated that there has been a recent minor change in the files and that she would be sending out the revised summary sheets.* Abhijit stated that the programming office would like MPOs to start using these sheets for their next amendments (those that have not been started yet).

Muhaned Aljabiry (Caltrans HQ Programming) thanked the members of the task force for accomplishing the update of the financial summary form. Muhaned also asked that the MPOs begin using the updated financial summary template with their next amendment.

Jose-Luis Caceres(SACOG) noted that the financial summary changes frequently and requests that when the changes are made in the future that the changed areas be highlighted in some way to make these changes clearer. J-L also noted that for those agencies that cut and paste completed financial records into the summary there may be some conflicts with the protected cells.

## **7. Division of Mass Transportation (DMT) Update**

- a. Transportation Development Credits (Handout No. 2)

Kimberly Gayle (Caltrans DMT) talked about the FTA approval letter and that the letter covers the state managed fund streams (5310, 5311, 5311f, 5316, 5317) to a total of \$13.1 million; the total of Caltrans existing apportionment. Ivan asked about the allocation of toll credits for 5310. Kimberly described how to record the toll credits being requested.

Urbanized programs are not included in the current approval due to some additional questions regarding tracking of toll credit use for programs that are not controlled by Caltrans.

Orange County was a test case due to a separate pot of toll credits specific to OC. Kimberly asked how the MPOs would track the toll credit usage by local transit providers.

Sam explained the MPO TEAM concurrence process. Sam noted that the agency keys in the project, the MPO reviews the FTIP and compares the dollar amounts to the provider application. If the dollar amounts match the MPO provides a letter (signed by the

director) of concurrence for FTA. Kimberly asked if this was consistent in all of the MPOs. Terri Lewis (MCAG) noted (and Sam and Ted Mately from FTA agreed) that the concurrence letter was an FTA requirement. Kimberly asked if the fund amounts were part of the concurrence letter process. Terri stated that if it is not, it could be specified as an additional part of the concurrence letter.

Muhaned asked if Kimberly was going to send instruction to the MPOs regarding the concurrence requirement. Kimberly responded that she would require a confirmation from each MPO/provider that desires to use toll credits on 5307 and/or 5309 specifying the concurrence program used at the MPO level.

Rosemary Ayala (SCAG) noted that the concurrence letters do not contain the other information that the state spreadsheet asks for. Does DMT want the additional information sent separately? Kimberly stated that the concurrence process with the toll credit use information was what DMT needs to meet the information requests from FTA and Caltrans Budgets. *Kimberly will send out an email that states what DMT needs from each MPO using toll credits.*

Requests for toll credit use on large urban JARC and New Freedom will also need to be submitted to DMT and included in the list being provided to Caltrans Budgets and FTA.

**b. Using toll credits for CMAQ/STP funds transferred to FTA**

Abhijit noted that the current policy for toll credits does allow for the use of toll credits on the flex funds transfers, but requires a tracking process. Kimberly stated that DMT has made progress on establishing a tracking process and is working with DLA and the MPOs. Kimberly is hoping that the process established for the other transit programs can also be used with the flex funds.

Sri discussed the process of flex transfers with Kimberly and stated that the current problems with flex fund transfers should not hinder agencies from using toll credits while the process is refined. Kimberly also noted the problem brought up at the last CFPG meeting regarding the federal register apportionment notices.

Sam noted the work she is doing with FTA and FHWA to resolve this issue and that FTA has already stated that they do not have a problem accepting the transfers without the full federal register notice. Sam also noted that the process is consistent; that it is the timeframes that are undependable. Sam then asked Kimberly (since the process is consistent) to state exactly what additionally Caltrans needs in order to implement toll credit use for flex fund transfers. Kimberly stated that DMT (and in reporting to Budgets, DLA, and FHWA/FTA) needs people to be comfortable enough with an established process that she can assure all of the authorizing departments that the process is understood and will be used in a consistent manner.

Sam reiterated that the transfer process is consistent and will most likely remain unchanged, that the problem (that Sri is spearheading) is in identifying and resolving the roadblocks to the timing consistency of the process and should not be a roadblock to using toll credits for the flex fund transfers (see item 5b above). Sam noted that the funds (RSTP and CMAQ) are identified in the MPO FTIPS that projects using toll credits are identified in the programming process (in CTIPS) and that programming is part of the application process for the funds in FTA transfers. This is a consistent and understood process that should provide the necessary assurance to all of the departments that the MPOs are aware and have programmed the flex funds with the toll credits. Muhaned and

Abhijit agreed that this process is vetted with Caltrans. Kimberly stated that she would go forward with this information in her discussions with the other departments. Kimberly wanted to know if the tracking is on the HFWA side of the FTA side. Sam and Sri noted that the funds are tracked as FHWA until they are transferred and then as FTA funds when the grant is authorized.

Kimberly asked if the funds will be tracked as FHWA STP funds or in the concurrence letter. Sri noted that they are being tracked in both and that the concurrence letter acts as confirmation prior to expending the funds. Kimberly will take this information to DLA for concurrence. Sri requested a timeline for this next step. *Kimberly will try to schedule a meeting with DLA and will send an update to Abhijit for group distribution.*

Ron asked about board approval for application of toll credits. Bruce and Sam noted that MPO boards were notified about the toll credit program and were presented with toll credit policies for the region and that projects are approved as part of the FTIP and the Amendments rather than having individual projects approved by the boards.

Kimberly then requested a toll credit amount that she could use in her discussions. MPOs will need to send a dollar amount by Friday (4/29).

## **8. Schedule for the next FTIP/FSTIP update**

Sri stated that an item that came up in their OWP review was that there was not FTIP update schedule. Sri noted that this discussion is better started early. Muhaned agreed, and Sri and Muhaned agreed that there should be a committee established to discuss this issue.

Abhijit stated that *anyone desiring to participate in the FTIP schedule committee needs to send Sri and email by Friday (4/29).*

## **9. Future CFPG Meetings**

### **a. Format**

**b.** Frequency; Abhijit stated that the recommendation of the programming office was to extend the meetings from every six weeks to every eight weeks. Bruce stated that this was an inopportune time with a potential federal transportation legislation pending. Sri also questioned the purpose of delayed meetings. Abhijit noted that the amount of items to be addressed since the completion of the FTIP does not support the six week frequency. J-L noted that we have a lot of background work in progress and will be seeing more of each other in those meetings and does not have a problem with a longer time between.

Muhaned noted that a reasonable number of agenda items were difficult to come up with.

Sam asked if the agenda could include speakers from other departments in to discuss items in a workshop format rather than a business meeting format. Sam recommended a monthly meeting alternating the format between business and workshop.

Herman Cheng (LAMTA) noted that we should meet and exchange information regarding the activities of the RTPA group, the CTC, and legislative issues.

## **10**

### **a. Follow-Up Items**

Item 4. Anyone desiring to participate in the CMAQ Guidance group email Lima Huy by Friday (4/29)

Item 5.a. Sri to continue gathering and distilling data on flex fund transfers. MPOs to respond to Sri by May 6.

Item 5.b. Sam to report on flex fund transfer work with FHWA Finance Office at next meeting

Item 5.c. Abhijit will send the updated guidance for Planning on the use of toll credits for planning funds in the OWP.

Item 5.d. Abhijit to provide updates on entering grouped project listing titles in CTIPS Project Title cell.

Item 6. Kang to send out revised financial summary template and it will also be posted on the programming website.

Item 7 MPOs need to send DMT an email with the amount of toll credits that will be requested for 5307, 5309 and flex fund transfers.

Item 7a. b. Kimberly will send out an email that states what DMT needs, timelines from each MPO using toll credits.

Item 7.b. Kimberly will try to schedule a meeting with DLA and will send an update to Abhijit for group distribution

Item 8. Anyone desiring to participate in the next FTIP/FSTIP schedule committee needs to e-mail Sri by Friday (4/29)

**b.** Open Forum – Sri wanted to discuss TE funding and the difficulty the MTC region is having in delivery. Project sponsors are asking for an increasing number of extensions. Bruce asked if there is any consistency in the reason for the delays. Sri noted that the combination of the programming and the CTC process was causing delays. Bruce noted that the issue was also being addressed in the RTPA group. It was also noted that the initial years of the CTC management of TE were much more difficult. The problem of the FTIP process vs. the STIP process were conflicting and programming was blocked. It was decided that this item was best left with the RTPA group until a programming issue was brought up.

**c.** Future Agenda Items

Email Abhijit with any suggestions.

## **11. Future meeting dates and locations**

June 7, 2011 - Caltrans, Sacramento (10:30 am – 12:30 pm)

**Handout No. 1: Handout for Item No. 6:**

**TABLE 1: REVENUE**

MPO's Name  
 2010/11-2013/14 Federal Transportation Improvement Program  
 Amendment No.  
 (\$'s in 1,000)

FUNDING SOURCE	NOTES	4 YEARS (FSTIP Cycle)								CURRENT TOTAL
		2010/11		2011/12		2012/13		2013/14		
		Prior No.	Current No.	Prior No.	Current No.	Prior No.	Current No.	Prior No.	Current No.	
LOCAL	Sales Tax									
	-- City									
	-- County									
	Gas Tax									
	-- Gas Tax (Subventions to Cities)									
	-- Gas Tax (Subventions to Counties)									
	Other Local Funds									
	-- County General Funds									
	-- City General Funds									
	-- Street Taxes and Developer Fees									
-- RSTP Exchange Funds										
Transit										
-- Transit Fares										
Tolls (e.g. non-state owned bridges)										
Other (See Appendix 1)										
Local Total										
REGIONAL	Tolls									
	-- Bridge									
	-- Corridor									
	Regional Transit Fares/Measures									
	Regional Sales Tax									
	Regional Bond Revenue									
	Regional Gas Tax									
	Vehicle Registration Fees (CARB Fees, SAFE)									
	Other (See Appendix 2)									
	Regional Total									
STATE	State Highway Operations and Protection Program									
	SHOPP (Including Augmentation)									
	SHOPP Prior									
	State Minor Program									
	State Transportation Improvement Program									
	STIP (Including Augmentation)									
	Transportation Enhancement									
	STIP Prior									
	Transportation Enhancement									
	Proposition 1A									
Proposition 1B										
GARVEE Bonds (Includes Debt Service Payments)										
Highway Maintenance (HM)										
Traffic Congestion Relief Program (TCRP)										
State Transit Assistance (STA)(e.g., population/revenue based, Prop 42)										
State Routes to School (SR2S)										
State Emergency Repair Program										
Other (See Appendix 3)										
State Total										
FEDERAL TRANSIT	5307 - Urbanized Area Formula Program									
	5308 - Clean Fuel Formula Program									
	5309a - Fixed Guideway Modernization									
	5309b - New and Small Starts (Capital Investment Grants)									
	5309c - Bus and Bus Related Grants									
	5310 - Elderly & Persons with Disabilities Formula Program									
	5311 - Nonurbanized Area Formula Program									
	5311c - Public Transportation on Indian Reservation									
	5311f - Intercity Bus									
	5316 - Job Access and Reverse Commute Program									
5317 - New Freedom										
5320 - Transit in the Parks										
FTA Transfer from Prior FTIP										
Other (See Appendix 4)										
Federal Transit Total										
FEDERAL HIGHWAY	Bridge Discretionary Program									
	Congestion Mitigation and Air Quality (CMAQ)									
	Coordinated Border Infrastructure (SAFETEA-LU Sec.1303)									
	Corridor Infrastructure Improvement Program (SAFETEA-LU Sec. 1302)									
	Federal Lands Highway									
	Ferry Boat Discretionary									
	High Priority Projects (HPP) and Demo									
	High Risk Rural Road (HRRR)									
	Highway Bridge Program (HBP)									
	Highway Safety Improvement Program (HSIP)									
National Scenic Byways Program										
Projects of National/Regional Significance (SAFETEA-LU Sec. 1301)										
Public Lands Highway										
Railway (Section 130)										
Recreational Trails										
State Routes to School (SRTS) (SAFETEA-LU)										
Surface Transportation Program (Regional)										
Transportation and Community and System Preservation Program										
Transportation Improvements (TI)										
Other (see Appendix 5)										
Federal Highway Total										
FEDERAL RAILROAD ADMINISTRATION	American Recovery and Reinvestment Act of 2009									
	Passenger Rail Investment and Improvement Act of 2008 (PRIIA)									
	Other (see Appendix 6)									
Federal Railroad Administration Total										
INNOVATIVE FINANCE	Federal Total									
	TIFIA (Transportation Infrastructure Finance and Innovation Act)									
	State Infrastructure Bank									
	Section 129 Loans									
	Rail Rehab & Improvement Financing									
	Railroad Innovative Finance									
	Private Activity Bonds									
	Private Concession Fees									
	Private Donations									
	Program Income (from a federal project)									
Other (See Appendix 7)										
Innovative Financing Total										
REVENUE TOTAL										

MPO Financial Summary Notes:



**TABLE 2: PROGRAMMED**

MPO's Name  
 2010/11-2013/14 Federal Transportation Improvement Program  
 Amendment No.  
 (\$'s in 1,000)

Funding Source		NOTES	4 YEARS (FSTIP Cycle)								CURRENT TOTAL
			2010/11 Amendment		2011/12 Amendment		2012/13 Amendment		2013/14 Amendment		
			Prior	Current	Prior	Current	Prior	Current	Prior	Current	
			No.	No.	No.	No.	No.	No.	No.	No.	
LOCAL	Local Total										
REGIONAL	Tolls										
	-- Bridge										
	-- Corridor										
	Regional Transit Fares/Measures										
	Regional Sales Tax										
	Regional Bond Revenue										
	Regional Gas Tax										
	Vehicle Registration Fees (CARB Fees, SAFE)										
	Other (See Appendix A)										
	Regional Total										
STATE	State Highway Operations and Protection Program										
	SHOPP (Including Augmentation)										
	SHOPP Prior										
	State Minor Program										
	State Transportation Improvement Program										
	STIP (Including Augmentation)										
	Transportation Enhancement										
	STIP Prior										
	Transportation Enhancement										
	Proposition 1 A										
	Proposition 1 B										
	GARVEE Bonds (Includes Debt Service Payments)										
	Highway Maintenance (HM)										
	Traffic Congestion Relief Program (TCRP)										
	State Transit Assistance (STA)(e.g., population/revenue based, Prop 42)										
	Safe Routes to School (SRTS)										
State Emergency Repair Program											
Other (See Appendix B)											
State Total											
FEDERAL TRANSIT	5307 - Urbanized Area Formula Program										
	5308 - Clean Fuel Formula Program										
	5309a - Fixed Guideway Modernization										
	5309b - New and Small Starts (Capital Investment Grants)										
	5309c - Bus and Bus Related Grants										
	5310 - Elderly & Persons with Disabilities Formula Program										
	5311 - Nonurbanized Area Formula Program										
	5311c - Public Transportation on Indian Reservation										
	5311f - Intercity Bus										
	5316 - Job Access and Reverse Commute Program										
	5317 - New Freedom										
	5320 - Transit in the Parks										
	FTA Transfer from Prior FTIP										
	Other (See Appendix C)										
Federal Transit Total											
FEDERAL HIGHWAY	Bridge Discretionary Program										
	Congestion Mitigation and Air Quality (CMAQ)										
	Coordinated Border Infrastructure (SAFETEA-LU Sec.1303)										
	Corridor Infrastructure Improvement Program (SAFETEA-LU Sec. 1302)										
	Federal Lands Highway										
	Ferry Boat Discretionary										
	High Priority Projects (HPP) and Demo										
	High Risk Rural Road (HRRR)										
	Highway Bridge Program (HBP)										
	Highway Safety Improvement Program (HSIP)										
	National Scenic Byways Program										
	Projects of National/Regional Significance (SAFETEA-LU Sec. 1301)										
	Public Lands Highway										
	Railway (Section 130)										
	Recreational Trails										
	Safe Routes to School (SRTS) (SAFETEA-LU)										
	Surface Transportation Program (Regional)										
	Transportation and Community and System Preservation Program										
Transportation Improvements (TI)											
Other (see Appendix D)											
Federal Highway Total											
FEDERAL RAILROAD ADMINISTRATION	American Recovery and Reinvestment Act of 2009 (ARRA)										
	Passenger Rail Investment and Improvement Act of 2008 (PRIIA)										
	Other (see Appendix E)										
Federal Railroad Administration Total											
Federal Total											
INNOVATIVE FINANCE	TIFIA (Transportation Infrastructure Finance and Innovation Act)										
	State Infrastructure Bank										
	Section 129 Loans										
	Rail Rehab & Improvement Financing										
	Railroad Innovative Finance										
	Private Activity Bonds										
	Private Concession Fees										
	Private Donations										
	Program Income (from a federal project)										
	Other (See Appendix F)										
Innovative Financing Total											
PROGRAMMED TOTAL											

MPO Financial Summary Notes:



**TABLE 3: REVENUE-PROGRAMMED**

MPO's Name  
 2010/11-2013/14 Federal Transportation Improvement Program  
 Amendment No.  
 (\$'s in 1,000)

Funding Source		4 YEARS (FSTIP Cycle)								CURRENT TOTAL
		2010/11		2011/12		2012/13		2013/14		
		Amendment		Amendment		Amendment		Amendment		
		Prior No.	Current No.	Prior No.	Current No.	Prior No.	Current No.	Prior No.	Current No.	
LOCAL	Local Total									
	Tolls									
REGIONAL	-- Bridge									
	-- Corridor									
	Regional Transit Fares/Measures									
	Regional Sales Tax									
	Regional Bond Revenue									
	Regional Gas Tax									
	Vehicle Registration Fees (CARB Fees, SAFE)									
	Other									
	Regional Total									
	STATE	State Highway Operations and Protection Program								
SHOPP (Including Augmentation)										
SHOPP Prior										
State Minor Program										
State Transportation Improvement Program										
STIP (Including Augmentation)										
Transportation Enhancement										
STIP Prior										
Transportation Enhancement										
Proposition 1 A										
Proposition 1 B										
GARVEE Bonds (Includes Debt Service Payments)										
Highway Maintenance (HM)										
Traffic Congestion Relief Program (TCRP)										
State Transit Assistance (STA)(e.g., population/revenue based, Prop 42)										
Safe Routes to School (SR2S)										
State Emergency Repair Program										
Other										
State Total										
FEDERAL TRANSIT	5307 - Urbanized Area Formula Program									
	5308 - Clean Fuel Formula Program									
	5309a - Fixed Guideway Modernization									
	5309b - New and Small Starts (Capital Investment Grants)									
	5309c - Bus and Bus Related Grants									
	5310 - Elderly & Persons with Disabilities Formula Program									
	5311 - Nonurbanized Area Formula Program									
	5311c - Public Transportation on Indian Reservation									
	5311f - Intercity Bus									
	5316 - Job Access and Reverse Commute Program									
	5317 - New Freedom									
	5320 - Transit in the Parks									
	FTA Transfer from Prior FTIP									
	Other									
	Federal Transit Total									
FEDERAL HIGHWAY	Bridge Discretionary Program									
	Congestion Mitigation and Air Quality (CMAQ)									
	Coordinated Border Infrastructure (SAFETEA-LU Sec.1303)									
	Corridor Infrastructure Improvement Program (SAFETEA-LU Sec. 1302)									
	Federal Lands Highway									
	Ferry Boat Discretionary									
	High Priority Projects (HPP) and Demo									
	High Risk Rural Road (HRRR)									
	Highway Bridge Program (HBP)									
	Highway Safety Improvement Program (HSIP)									
	National Scenic Byways Program									
	Projects of National/Regional Significance (SAFETEA-LU Sec. 1301)									
	Public Lands Highway									
	Railway (Section 130)									
	Recreational Trails									
	Safe Routes to School (SRTS) (SAFETEA-LU)									
	Surface Transportation Program (Regional)									
	Transportation and Community and System Preservation Program									
Transportation Improvements (TI)										
Other										
Federal Highway Total										
FEDERAL RAILROAD ADMINISTRATION	American Recovery and Reinvestment Act of 2009									
	Passenger Rail Investment and Improvement Act of 2008 (PRIIA)									
	Other									
Federal Railroad Administration Total										
Federal Total										
INNOVATIVE FINANCE	TIFIA (Transportation Infrastructure Finance and Innovation Act)									
	State Infrastructure Bank									
	Section 129 Loans									
	Rail Rehab & Improvement Financing									
	Railroad Innovative Finance									
	Private Activity Bonds									
	Private Concession Fees									
	Private Donations									
	Program Income (from a federal project)									
	Other									
Innovative Financing Total										
REVENUE - PROGRAM TOTAL										

## Financial Summary Template Instructions:

1. Cells are protected. They can only be modified by the State.
2. Financial information under the "Current" shall include financial information from all administrative modifications processed after the last amendment. Highlight changes made through this amendment.
3. MPOs shall provide an excel copy of the financial summary when transmitting an amendment to Caltrans.
4. When the cursor is placed over the funding source with the red triangle on upper right corner of the cell, the description box will be displayed.
5. For "Other" funding sources (e.g. Regional Other, State Other etc.), MPOs shall include all applicable funding sources and the corresponding funding amounts for both "Revenue (Appendix 1-7)" and "Programmed (Appendix A-F)" sections of the financial summary. This information has been linked to the Revenue/Programmed cells of the financial summary. Upon entering the financial data in the appendices, information will be automatically reported in the financial summary template under "Other".
6. Input Amendment Numbers in Cell A4, D10 and E10 on Revenue sheet. The rest amendment numbers will automatically show up.
7. If MPOs have any notes, put numbers in Column C on Revenue and Programmed sheets, and explain at the bottom of the sheets.

**Handout No. 2: Handout for Item No. 7:**



U.S. Department  
of Transportation  
**Federal Transit  
Administration**

**REGION IX**  
Arizona, California,  
Hawaii, Nevada, Guam  
American Samoa,  
Northern Mariana Islands

201 Mission Street  
Suite 1650  
San Francisco, CA 94105-1839  
415-744-3133  
415-744-2726 (fax)

**APR 7 2011**

Mr. Martin Tuttle  
Deputy Director  
Planning and Modal Programs  
California Department of Transportation  
P.O. Box 942873, MS-49  
Sacramento, CA 94273-0001

Dear Mr. Tuttle:

*MARTY*

Re: Transportation Development Credits (Toll Credits)

The Federal Transit Administration's (FTA's) Region IX Office provisionally accepts the California Department of Transportation's (Caltrans) use of Transportation Development Credits (toll credits) for FTA transit projects as proposed in your letter of November 22, 2010. In that letter, Caltrans requested to use \$13,100,000 for non-federal match for FTA Section 5310, 5311, 5311(f), 5316, and 5317 funded projects, described in the table below.

Section 5310	\$1,800,000
Section 5311	\$6,00,000
Section 5311(f)	\$700,000
Section 5316/5317	\$4,600,000
<b>Historical Non-Federal Match</b>	<b>\$13,100,000</b>

FTA's approval is dependent on Caltrans preparing a usage plan that is acceptable to all relevant parties that generate the toll credits and on an annual report on the use of those credits in compliance with 23 U.S.C. 120(j).

### Background

Toll credits provide a credit toward a project's non-federal for certain expenditures with toll revenues. The amount of credit toward local share to be earned by a State is based on revenues generated by toll authorities within the State. According to the FHWA's February 8, 2007, "Memorandum on Toll Credit for Non-Federal Share, Section 1905 of SAFETEA-LU," FHWA oversees the determination of transportation development credit within each State. For FTA, the effect of utilizing transportation development credits means that FTA essentially provides 100 percent of the total net project cost.

### Application in TEAM

FTA requires the recipient to state within the comment section of TEAM that transportation development credits provide the local share. FTA will not approve a retroactive application of Transportation Development Credits, nor will FTA allow additional toll credits to be added to a grant after it is executed. Toll Credits can be used for operating projects. Please contact your

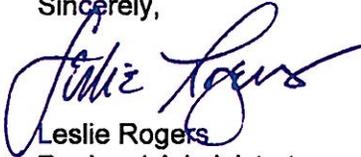
Grant Representative, Paul Page, at [Paul.Page@dot.gov](mailto:Paul.Page@dot.gov) or 415-744-2734 in advance of entering your grant applications in TEAM using toll credits.

**Procedures**

FHWA has verified its Toll Credit Pool in a May 13, 2010, letter to Caltrans and a copy has been provided to FTA. Caltrans, however, must clarify its methodology for allocation of toll credits to transit agencies. In addition, since FTA has no system for tracking toll credits, Caltrans must track all toll credit allocations. Caltrans will also work with the Metropolitan Planning Organizations and Regional Transportation Planning Agencies to develop their own internal tracking system to be consistent with Caltrans. Lastly, Caltrans will report annually on toll credit usage.

If you have any additional questions, please contact Lucinda Eagle, Community Planner, at [Lucinda.Eagle@dot.gov](mailto:Lucinda.Eagle@dot.gov) or (415) 744-0140.

Sincerely,



Leslie Rogers  
Regional Administrator



