

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: March 16-17, 2016

Reference No.: 3.3
Information Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Rihui Zhang, Chief
Division of Local Assistance

Subject: **QUARTERLY REPORT - LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR THE PERIOD ENDING DECEMBER 31, 2015**

SUMMARY:

As of December 31, 2015, about \$70 million, or 8 percent, of the \$895 million allocated by the California Transportation Commission (Commission) for Federal Fiscal Year (FFY) 2016 has been sub-allocated to 130 local projects. The majority of the sub-allocations (approximately \$58 million) are for 73 projects in the following three categories:

- Freeway Service Patrol – 14 projects, \$25 million
- Regional Surface Transportation Program – 23 projects, \$18 million
- Highway Safety Improvement Program – 36 projects, \$15 million

The remaining \$12 million was sub-allocated for 57 projects in other categories (as referenced with an asterisk on the attachment).

BACKGROUND:

The California Department of Transportation's (Department) Division of Local Assistance administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation, per Commission Resolution G-01-08.

Attachment

LOCAL ASSISTANCE LUMP SUM ALLOCATIONS
Period Ending December 31, 2015
(Dollars in Thousands)

Reference No.: 3.3
March 16-17, 2016
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated Total	Number of Total
	State	Federal	Total	State	Federal	Total	State	Federal	Total		
Local Administered & Miscellaneous Programs											
Regional Surface Transportation Program (RSTP) ¹		237,454	237,454		17,533	17,533		219,921	219,921	7%	23
Surface Transportation Program State Match and Exchange	57,849		57,849	0		0 *	57,849		57,849	0%	0
Congestion Mitigation & Air Quality Program		229,754	229,754		7,572	7,572 *		222,182	222,182	3%	27
Freeway Service Patrol	25,479		25,479	25,439		25,439	40		40	100%	14
High Priority Projects/Demonstration Projects/Emergency Relief		128,938	128,938		885	885 *		128,053	128,053	1%	4
Miscellaneous	3,250		3,250	0		0 *	3,250	-	3,250	0%	6
Bridge Programs											
Bridge Inspection	735		735	0		0 *	735	0	735	0%	0
National Highway Performance Program (NHPP) & RSTP Bridge ²		151,626	151,626		3,306	3,306 *		148,320	148,320	2%	20
Rail Programs											
Railroad Grade Crossing Maintenance	3,765		3,765	0		0 *	3,765		3,765	0%	0
Railroad Grade Separation	15,000		15,000	0		0 *	15,000		15,000	0%	0
Safety Programs											
Highway Safety Improvement Program		30,998	30,998		15,137	15,137		15,861	15,861	49%	36
Systemic Safety Analysis Report Program	10,000		10,000	0		0 *	10,000		10,000	0%	0
Total Local Assistance Subvention Funds	116,078	778,770	894,848	25,439	44,433	69,872	90,639	734,337	824,976	8%	130

Notes

Allocations for state funds reflect the October 2015 Commission meeting vote, Item 2.5h, Resolution FM-15-02.

Allocations for federal funds reflect the October 2015 Commission meeting vote, Item 2.5h, Resolution FM-15-01.

The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.

Total Sub-Allocations are from InfoAdvantage (accounting system).

In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.

Assumptions:

* Indicates programs that were not discussed in Reference 3.10.

¹ RSTP consists of the Surface Transportation Program subvented to local agencies, less funding set-aside for off-system bridge projects.

² NHPP consists of on-system bridges (about \$228 million) while RSTP bridge projects consist of off-system bridge (about \$75 million).