State of California
DEPARTMENT OF TRANSPORTATION

Business, Transportation and Housing Agency

M e m o r a n d u m

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: May 7, 2013

Reference No.: 2.8a. - **REVISED**
Action Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Denix D. Anbiah
Division Chief
Local Assistance

Subject: **REQUEST TO EXTEND THE PERIOD OF PROJECT ALLOCATION FOR LOCALLY-ADMINISTERED STIP PROJECTS, PER STIP GUIDELINES WAIVER 13-11**

CTC ACTION UPDATE: The California Transportation Commission approved the time extension request for Project 4 (PPNO 07-4308) for six months only, and Project 6 (PPNO 10-0209B) for 12 months only. The time extensions for projects 2, 3 and 5 were approved as requested. Project 1 (PPNO 1-0302H) was withdrawn prior to the meeting.

RECOMMENDATION:

The Department of Transportation (Department) recommends the California Transportation Commission (Commission) extend the period of project allocation for the projects listed on the attached document for the time periods shown.

ISSUE:

The attached document identifies ~~six~~ **five** projects totaling ~~$3,557,000~~ **$3,321,000** that are programmed in the State Transportation Improvement Program (STIP) for delivery in Fiscal Year 2011-12.
The agencies will not be able to request an allocation of funds by the June 30, 2013 deadline. The attachment shows the details of the projects and the explanation for the delays. The project sponsors are requesting extensions, and the regional planning agencies concur.

BACKGROUND:

Current STIP Guidelines adopted by the Commission stipulate that funds that are programmed for all components of local grant projects or for Department construction costs are available for allocation only until the end of the fiscal year identified in the STIP. The Commission may approve a waiver to the timely use of funds deadline one time only for up to 20 months in accordance with Section 14529.8 of the Government Code.

Attachment

Time Extension/Waiver – Project Allocation Deadline
Local Streets and Roads Projects

Project #	Applicant County PPNO Project Description Reason for Project Delay	Extension Amount ($ in thousands) PA&ED (Project Approval & Environment Document) PS&E (Plans, Specifications & Estimates) ROW (Right of Way) CON (Construction) **TOTAL**	Number of Months Requested Extended Deadline CT Recommendation
~~1~~	~~City of Ferndale~~ ~~Humboldt County~~ ~~PPNO: 1-0302H~~ ~~City of Ferndale Pedestrian Improvement Project, Transportation Enhancement (TE)~~	~~$0~~ ~~$0~~ ~~$0~~ ~~$236~~ ~~**$236**~~	~~3 Months~~ **WITHDRAWN PRIOR TO MEETING** ~~9/30/2013~~ ~~Support~~
	~~The City of Ferndale (City) is requesting a three-month extension to the period of allocation for the construction phase (CON) of the Pedestrian Improvement TE project. The City has experienced delays in finalizing the project plans and Preliminary Environmental Studies (PES), and cannot proceed with the CON phase of the project. The delay is due to analyzing additional project alignments brought about by input and concerns by the public and adjacent property owners to the project. One of the additional alignments requires the extension of an existing culvert that requires coordination with the Department of Fish and Wildlife and the US Army Corps of Engineers. The City is currently working to select the final alignment and complete the PES and NEPA documentation. The City anticipates finalizing the NEPA documentation by June 30, 2013. The City is requesting a three-month extension to September 30, 2013 to ensure completion of the NEPA document and submit the request for allocation.~~		
2	County of Lake Lake County PPNO: 01-3070 Cole Creek Bridge Replacement project	$0 $0 $0 $203 **$203**	8 Months 2/28/2014 Support
	The County of Lake (County) is requesting an eight-month extension to the period of allocation for the construction phase of the Cole Creek Bridge Replacement project. The County has experienced delays in finalizing the Right of Way (R/W) parcel maps and obtaining the R/W certification. The County anticipated R/W certification by June 30, 2013. The preliminary topography and property lines were originally surveyed in 2000 and relied on Book 8 of Township maps. The environmental process was completed in December 2011, and R/W was finally authorized on January 6, 2012. During this time, the surveys on record with the County were updated. The project surveyor had to research and reconcile property lines and bridge plans with the new survey data since the original plans were based on the 2000 surveys. As a result, the County incurred in a six-month delay. The County received and approved the final R/W plats on January 31, 2013, and is currently working on acquiring the required R/W. The County anticipates acquiring all of the properties and obtaining the R/W by December 2013. Therefore, the County is requesting an eight-month extension to February 28, 2014.		
3	County of Tehama Tehama County PPNO: 02-2529 Evergreen/Bowman Safety Improvement project	$0 $0 $0 $80 **$80**	12 Months 6/30/2014 Support
	The County of Tehama (County) is requesting a 12-month extension to the period of allocation for the construction phase of the Evergreen/Bowman Safety Improvement project. The County applied for the project with a written commitment that the local school district would provide matching funds for the project. In the summer of 2011, the school district was approached on the proposed funding. After considerable effort and a lengthy discussion period, the School District was not able to provide the matching funds. The County then used STIP funds to provide matching funds for the project, allowing the project to move forward. During this period, progress was halted, which delayed the project by eight months. The County began the CEQA process after programming the project into the STIP. CEQA was completed in February 2013 and the County started the Right of Way (R/W) process. The project was found to require temporary construction easements and three R/W fee areas. The County anticipates R/W acquisition will take approximately six months to complete. In addition, there is a high possibility that the utility companies will not be able to relocate their facilities in a timely manner. Although the delay amounts to approximately 14 months, the County anticipates finalizing the project for construction allocation by June 2014. The County is requesting a 12-month extension to June 30, 2014.		

CEQA- California Environmental Quality Act
NEPA-National Environmental Policy Act
STIP-State Transportation Improvement Program
FHWA-Federal Highway Administration
TE-Transportation Enhancements
Caltrans-California Department of Transportation

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4	City of Los Angeles Los Angeles County PPNO: 07-4308 Central Avenue Historic Corridor Streetscape project, TE	$0 $204 $0 $0 **$204**	~~12~~ **6** Months ~~6/30/2014~~ **12/31/2013** Neutral
	The City of Los Angeles (City) is requesting a 12-month extension to the period of allocation for the Plans, Specifications and Estimates (PS&E) phase of the Central Avenue Historic Corridor Streetscape TE project. The City has experienced unforeseen delays in advancing the project through the PS&E phase. The project was initially awarded to the Community Redevelopment Agency of the City of Los Angeles (CRA/LA). On December 29, 2011, the California Supreme Court issued its decision to uphold legislation dissolving the Community Redevelopment Agencies (CRAs) statewide. Since the passage of Assembly Bill 26 of the first extraordinary session in June 2011, and the subsequent stay period while the Supreme Court reviewed challenges to the legislation, CRA/LA has not been able to sign contracts, including the Letter of Agreement with the Local Agency (Metro) for the project. CRA/LA could not move forward with the design of the project, since they were unable to sign the Letter of Agreement and procure the services of a bike station vendor or architectural firm. Following the Supreme Court ruling on December 29, 2011, CRA/LA worked to identify a new lead-agency project-sponsor within the City. After a six-month search the project was reassigned to the City of Los Angeles' Department of Transportation. These circumstances caused a 12-month delay in the PS&E phase of the project. Therefore, the City is requesting a 12-month extension to June 30, 2014.		
5	County of Tuolumne Tuolumne County PPNO: 10-0020C Jamestown Main Street to Railtown Sidewalks project, TE	$0 $0 $0 $685 **$685**	8 Months 2/28/2014 Support
	The County of Tuolumne (County) is requesting an eight-month extension to the period of allocation for the construction (CON) phase of the Jamestown Main Street to Railtown Sidewalks TE project. The County has experienced unforeseen delays in advancing the project through the Project Approval & Environmental Document and Right of Way (R/W) phases. The County anticipated obtaining CEQA and NEPA approval prior to the June 30, 2013 deadline. During the environmental process, the County realized the project would need extensive cultural studies, and review and concurrence from the State Historic Preservation Officer (SHPO) due to the project being located within a proposed historical district. The SHPO review and concurrence delayed the project by three-and-a-half months. The County anticipates obtaining the CEQA and NEPA clearances by September 30, 2013. During the project's R/W phase, the County discovered that the town-site survey notes dating back to the 1800s were used to establish property boundaries. This resulted in varying widths of R/W along the proposed project site. The County did not anticipate acquiring any R/W for the project. The County began preliminary R/W work in January 2013 and has identified three R/W fee areas required for the project. The County anticipates completing the project plans and obtaining R/W certification by January 2014. Therefore, the County is requesting an eight-month extension to February 28, 2014.		

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6	Mariposa County Mariposa County PPNO: 10-0209B Mt. Bullion Cutoff Road Rehabilitation Phase 2 project	$0 $0 $0 $2,149 **$2,149**	~~20~~ **12** Months 2/28/2015 6/30/2014 Neutral

The County of Mariposa (County) is requesting a 20-month extension to the period of allocation for the Construction (CON) phase of the Mount Bullion Cutoff Road Rehabilitation Phase 2 project. The County has experienced delays in completing the Plans, Specifications and Estimate (PS&E) and consequently cannot proceed with the CON phase of the project.

The County's original project scope included overlaying the existing roadway and minor shoulder work. The County realized upon reviewing the roadway classification for Mount Bullion Cutoff Road that it is classified as a major collector roadway connecting State Highway 140 to State Highway 49. The originally proposed improvements would not bring the roadway up to the minimum standards for this type of facility. The project plans need to be revised to include moderate curve straightening, slope flattening and shoulder widening to satisfy the major collector roadway standards. The project scope has changed and will result in a better and safer project than what was originally programmed. The project scope change impacted the PS&E package and Right of Way (R/W) considerations. Revising the PS&E package and addressing R/W needs will delay the project by 20 months. The County is currently working on the revised PS&E package and anticipates R/W certification in mid-2014. To allow for any unforeseen issues, the County is requesting a 20-month extension to February 28, 2015.