

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: January 8, 2013

Reference No.: 3.5
Information Item

From: Norma Ortega
Chief Financial Officer

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Local Assistance

Subject: **QUARTERLY REPORT - LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR THE PERIOD ENDING SEPTEMBER 30, 2012**

SUMMARY:

As of September 30, 2012, about \$1.42 billion, or 88 percent, of the \$1.61 billion that has been allocated by the California Transportation Commission (Commission) for federal fiscal year (FFY) 2012 has been sub-allocated to 1,568 local projects. The majority of the sub-allocations (approximately \$1.14 billion) are for 1,010 projects in the following four categories:

- Regional Surface Transportation Program (RSTP) – 215 projects, \$383 million
- Congestion Mitigation and Air Quality Program (CMAQ) – 250 projects, \$271 million
- High Priority Projects/Demonstration Projects/Emergency Relief – 253 projects, \$260 million
- Highway Bridge Program – 292 projects, \$227 million

BACKGROUND:

The Department of Transportation's Division of Local Assistance administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation, per Commission Resolution G-01-08.

As of September 30, 2012 (the end of the 4th quarter of FFY 2012) approximately \$1.42 billion, or 88 percent of the \$1.61 billion allocated, has been sub-allocated for 1,568 projects. The federal Highway Bridge Program (HBP), which is composed of the subcategories of Bridge Inspection, HBP, and Seismic Bridge Retrofit Program, is over-allocated by 12 percent. This was due to our local partners delivering more than originally estimated.

In addition, the category of High Priority Projects/Demonstration Projects/Emergency Relief is over-allocated by 25 percent. This is due to our local partners delivering more than originally estimated as well.

Consistent with historical trends, the Department anticipates using all funds allocated by the Commission for FFY 2012.

Attachment

LOCAL ASSISTANCE LUMP SUM ALLOCATIONS

Period Ending
September 30, 2012
(Dollars in Thousands)

Reference No.: 3.5
January 8, 2013
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated Total	Number of Projects Total
	State	Federal	Total	State	Federal	Total	State	Federal	Total		
Local Administered & Miscellaneous Programs											
Regional Surface Transportation Program		431,486	431,486		382,649	382,649	0	48,837	48,837	89%	215
Surface Transportation Program State Match and Exchange	57,849		57,849	45,639		45,639 *	12,210	0	12,210	79%	130
Congestion Mitigation & Air Quality Program		445,969	445,969		271,359	271,359	0	174,610	174,610	61%	250
Freeway Service Patrol	25,479		25,479	25,402		25,402 *	77	0	77	100%	14
High Priority Projects/Demonstration Projects/Emergency Relief		208,170	208,170		259,990	259,990	0	(51,820)	(51,820)	125%	253
Miscellaneous	3,000	1,700	4,700	0	0	0 *	3,000	1,700	4,700	0%	0
Bridge Programs											
Bridge Inspection	735	2,640	3,375	0	2,616	2,616 *	735	24	759	78%	2
Highway Bridge Program		127,878	127,878		226,698	226,698	0	(98,820)	(98,820)	177%	292
Local Bridge Seismic Retrofit		169,646	169,646		106,114	106,114 *	0	63,532	63,532	63%	38
Rail Programs											
Railroad Grade Crossing Protection		11,716	11,716		1,875	1,875 *	0	9,841	9,841	16%	5
Railroad Grade Crossing Maintenance	2,000		2,000	2,000		2,000 *	-	0	-	100%	1
Railroad Grade Separation	15,000		15,000	5,141		5,141 *	9,859	0	9,859	34%	2
Safety Programs											
Highway Safety Improvement Program		50,552	50,552		44,264	44,264 *	0	6,288	6,288	88%	192
High Risk Rural Roads		8,226	8,226		0	0 *	0	8,226	8,226	0%	0
Safe Routes to School	24,250	20,953	45,203	14,194	32,730	46,924 *	10,056	(11,777)	(1,721)	104%	174
Total Local Assistance Subvention Funds	128,313	1,478,936	1,607,249	92,376	1,328,296	1,420,672	35,937	150,640	186,577	88%	1,568

Assumptions:

- * Allocations for state funds reflect the June 2011 Commission meeting vote, Item 2.5h.
- * Allocations for federal funds reflect the May 2012 Commission meeting vote, Item 2.5h.
- * The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.
- * Total Sub-Allocations data is from InfoAdvantage (accounting system).
- * In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.