2.5g.(1f)

FINANCIAL ALLOCATION AMENDMENT FOR THE MULTI-FUNDED I-5 HOV/EMPIRE AVENUE & BURBANK BOULEVARD PROJECT

THIS ITEM IS BEING REVISED.

The Book Item attached is the most current version available at the time of posting; however, this version will be replaced when the Revised Book Item becomes available.

State of California
DEPARTMENT OF TRANSPORTATION

Business, Transportation and Housing Agency

Memorandum

TAB 50

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: December 5-6, 2012

Reference No.: 2.5g.(1f)
Action Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Rachel Falsetti
Division Chief
Transportation Programming

Subject: **FINANCIAL ALLOCATION AMENDMENT FOR PROPOSITION 1B STATE ADMINISTERED CMIA/STIP/SLPP PROJECT ON THE STATE HIGHWAY SYSTEM RESOLUTION CMIA-AA-1213-19, AMENDING RESOLUTION CMIA-A-1112-052 RESOLUTION SLP1B-AA-1213-06, AMENDING RESOLUTION SLP1B-A-1112-029 STIP RESOLUTION FP-12-26, AMENDING STIP RESOLUTION FP-11-61**

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) amend Resolutions CMIA-A-1112-052, SLP1B-A-1112-029, and FP-11-61 to de-allocate $34,387,000 in Proposition 1B Corridor Mobility Improvement Account (CMIA) fund, $6,939,000 in State-Local Partnership Program (SLPP) fund, and reduce the State Transportation Improvement Program (STIP) allocation by $36,467,000, for the I-5 High Occupancy Vehicle (HOV)/Empire Avenue and Burbank Boulevard project (PPNO 3985) in Los Angeles County, thereby reducing the original CMIA construction allocation of $99,100,000 to $64,713,000, and the SLPP construction of $20,000,000 to $13,061,000, and reducing the original STIP construction allocation of $105,098,000 ($102,913,000 Regional Improvement Program [RIP] and $2,185,000 Interregional Improvement Program [IIP]) to $68,631,000 ($67,204,000 RIP and $1,427,000 IIP), to reflect contract award savings.

ISSUE:

The Department and the Los Angeles County Metropolitan Transportation Authority (LACMTA) are proposing to de-allocate $34,387,000 in Proposition 1B CMIA funds and $6,939,000 in Proposition 1B SLPP funds from the I-5 HOV/Empire Avenue and Burbank Boulevard project in Los Angeles County. It is also proposed to adjust Los Angeles County's regional share balance by $35,709,000 and the Interregional share balance by $758,000 IIP, in accordance with Assembly Bill 608.

A concurrent CMIA baseline amendment reflecting the proposed changes is on this month's Commission agenda.

BACKGROUND:

At its May 2012 meeting, the Commission approved Resolutions CMIA-A-1112-052, SLP1B-A-1112-029 and FP-11-61, allocating $99,100,000 in CMIA construction capital, $20,000,000 in SLPP construction capital and $105,098,000 in STIP construction capital for the I-5 HOV/Empire Avenue

and Burbank Boulevard project. The budget also included $75,622,000 in local Proposition C funds for a total construction budget of $299,820,000.

The contract was awarded on November 29, 2012, with CMIA savings of $34,387,000 and SLPP savings of $6,939,000.

The contract allotment includes $67,204,000 RIP and $1,427,000 IIP, leaving a total STIP savings of $36,467,000.

Section 188.8 of the Streets and Highways Code allows the Commission to adjust an allocation amount for a capital outlay project in the STIP if the construction contract award amount for the project is less than 80 percent of the engineer's final estimate. The result would be an adjustment to interregional and/or county shares. The legislation is permissive and it is understood that adjustments to allocations are at the discretion of the Commission.

The Department and LACMTA request a downward adjustment of the STIP allocation from $102,913,000 RIP and $2,185,000 IIP to $67,204,000 RIP and $1,427,000 IIP, with $35,709,000 to return to Los Angeles County's regional share balance and $758,000 to return to the Interregional share balance.

The following table summarizes the project award information.

Award Information ($ x 1,000)						
Item	**RIP**	**IIP**	**CMIA**	**SLPP**	**Local Prop C**	**Total**
Contractor's Bid Amount	$55,785	$1,185	$53,718	$10,842	$40,991	$162,521
Supplemental Work, State Furnished Materials and Contingencies	$11,419	$242	$10,995	$2,219	$8,391	$33,266
Total Project Award Allotment	$67,204	$1,427	$64,713	$13,061	$49,382	$195,787
Original Allocation	$102,913	$2,185	$99,100	$20,000	$75,622	$299,820
Adjustment	($35,709)	($758)	($34,387)	($6,939)	($26,240)	($104,033)

Note: Dollars rounded to the nearest thousand

The necessary changes are reflected in strikethrough and bold on the attached revised vote box.

RESOLUTION:

Be it Resolved, that $99,100,000 in Corridor Mobility Improvement Account (CMIA) funds (304-6055) originally allocated under Resolution CMIA-A-1112-052 for the I-5 High Occupancy Vehicle (HOV)/Empire Avenue and Burbank Boulevard project (PPNO 3985) in Los Angeles County is hereby amended by $34,387,000, reducing the original CMIA construction capital amount to $64,713,000 in accordance with the attached revised vote box.

Be it further Resolved, that $20,000,000 in State-Local Partnership Program (SLPP) funds (304-6060) originally allocated under Resolution SLP1B-A-1112-029 for the I-5 High Occupancy Vehicle (HOV)/Empire Avenue and Burbank Boulevard project (PPNO 3985) in Los Angeles County is hereby amended by $6,939,000, reducing the original SLPP construction capital amount to $13,061,000 in accordance with the attached revised vote box.

Be it further Resolved, pursuant to Section 188.8 of the Streets and Highways Code, that the amount of State Transportation Improvement Program (STIP) allocated under Resolution FP-11-61 for the I-5 High Occupancy Vehicle (HOV)/Empire Avenue and Burbank Boulevard project (PPNO 3985) in Los Angeles County be adjusted by $35,709,000 RIP and $758,000 IIP, in accordance with the attached revised vote box and in accordance with Assembly Bill 608.

Attachment

2.6 Mass Transportation Financial Matters

Project # / Allocation Amount / Recipient / RTPA/CTC / County / Dist-Co-Rte / Postmile	Project Title / Project Description / Project Funding	PPNO / Program/Year / Phase / Prgm'd Amount / Project ID / Adv Phase / EA	Budget Year / Item # / Fund Type / Program Code	Amount by Fund Type
2.5g.(1f)	**Allocation Amendment - Proposition 1B – State-Administered Multi-Funded CMIA/STIP/SLPP/ Project on the State Highway System (AB 608)**		**Resolution CMIA-AA-1213-19,** Amending Resolution CMIA-A-1112-052 **Resolution SLP1B-AA-1213-06,** Amending Resolution SLP1B-A-1112-029 **Resolution FP-12-26,** Amending Resolution FP-11-61	
1 ~~$224,198,000~~ **$146,405,000** Department of Transportation LACMTA Los Angeles 07S-LA-5 30.0/31.6	**I-5 HOV/Empire Avenue and Burbank Boulevard** In Los Angeles County, on Route 5, from south of Magnolia Boulevard to just north of Buena Vista. Construct one HOV lane in each direction and Empire Avenue Interchange. Final Project Development (IIP) Support Estimate: $9,775,000 Programmed Amount: $9,775,000 Adjustment: $ 0 Final Project Development (RIP) Support Estimate: $5,000,000 Programmed Amount: $5,000,000 Adjustment: $ 0 Final Right of Way (IIP) Right of Way Estimate: $2,082,000 Programmed Amount: $3,560,000 Adjustment: $1,478,000 (Credit) Final Right of Way (RIP) Right of Way Estimate: $31,200,000 Programmed Amount: $35,780,000 Adjustment: $ 0 (< 20%) (Future Consideration of Funding – Resolution E-02-47, October 2002.) Outcome/Output: Upon completion of the entire I-5 corridor between Route 170 and Route 134, the daily vehicle hours of delay saved will be approximately 16,407.	07-3985 RIP / 11-12 CON ENG $13,500,000 CONST ~~$102,913,000~~ **$67,204,000** IIP / 11-12 CON ENG $0 CONST ~~$2,185,000~~ **$1,427,000** SLPP / 11-12 CONST ~~$20,000,000~~ **$13,061,000** CMIA/11-12 CONST ~~$99,100,000~~ **$64,713,000** 0700021119 4 1218W	2011-12 301-0890 FTF 20.20.075.600 2011-12 301-0890 FTF 20.20.025.700 2011-12 304-6060 SLPP 20.20.724.000 2011-12 304-6055 CMIA 20.20.721.000	~~$102,913,000~~ **$67,204,000** ~~$2,185,000~~ **$1,427,000** ~~$20,000,000~~ **$13,061,000** ~~$99,100,000~~ **$64,713,000**

Other Project Funding			
	Approved Baseline Agreement	**Award Savings**	**Amended Funding**
Local Prop C CONST	**$76,622,000**	**$26,240,000**	**$49,382,000**

(CONST award savings of $35,709,000 RIP to be returned to Los Angeles County regional shares and $758,000 IIP to be returned to Interregional shares per concurrent Financial Allocation adjustment for award (AB 608) request – Resolution FP-12-26.)

Amend Resolution CMIA-A-1112-052 to de-allocate $34,997,000 CMIA CONST to reflect award savings; Amend Resolution SLP1B-A-1112-029 to de-allocate $6,939,000 SLPP CONST to reflect award savings.