

# Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: August 13-14, 2003

Reference No.: 2.5b.(6)  
Action Item

From: ROBERT L. GARCIA  
Chief Financial Officer

Prepared by: Robert Sertich  
Chief  
Division of Budgets

Ref: **FINANCIAL ALLOCATION FOR ONE SHOPP PROJECT  
RESOLUTION FP-03-09**

Attached is one (1) SHOPP project totaling \$950,000. This project has been identified for allocation in the Commission's proposed SHOPP FY 03-04 Allocation Plan.

The Department of Transportation has complied with the National Environmental Policy Act and the California Environmental Quality Act requirements in preparing these projects.

**ISSUE:** The total cost of this tie back retaining wall project is \$950,000, of which 88% is funded with Federal Emergency Relief Funds (ER). Federal ER Funds are granted to States for approved projects on an annual basis, and are in addition to regular Obligation Authority. Failure to use the ER funds on a project by the end of the federal fiscal year results in the loss of funds on the project, as well as a loss of funds to the State. The Federal Highway Administration will grant extensions but only for unforeseen circumstances beyond the control of the State agency. A delay in passing the State budget is not considered a valid circumstance.

In order to keep from losing the Federal ER funds for this project, Federal Authorization needs to be obtained by the middle of September 2003. Moreover, Federal Authorization can only be obtained once all project funds have been secured, i.e., an allocation of the requested \$950,000.

**RECOMMENDATION:** Because of the possible loss of Federal ER Funds to the State, The Department recommends that the Commission approve the allocation of \$950,000 for this project.

**BACKGROUND:** This project is located in San Mateo County near La Honda at 4.8 miles west of Route 35. This project is for the construction of a 54-meter long tie-back retaining wall. A concrete barrier and chain link fence will be constructed along the top of the retaining wall. In addition, metal beam guard railing will be installed at both ends of the wall.

During the 1998 winter season, after a series of heavy rainstorms, a slide occurred at this location when a roadway embankment failed. The Department, under G-11 authority granted by the Commission, immediately used State Emergency Funds to bring the roadway up to a safe condition. That work was a temporary fix, allowing the Department to develop a permanent solution. This current work is eligible and has since received Federal Emergency Funds and has been programmed in the 2002 State Highway Operation and Protection Program (SHOPP).

Attachment

Project #	Allocation Amount	Recipient	Location	EA PPNO	Budget Year Item #	State Federal
County	Dist-Co-Rte	Postmile	Project Description	Program/Year Prog Amount	Program Codes	Total Amount
<b>2.5b.(6) State Highway Operation and Protection Program (SHOPP) Projects</b>						<b>Resolution: FP-03-09</b>
1	\$950,000	San Mateo	Near Woodside - west of Route 35 - tie back retaining wall (CA98-1).	1S2721 (0654E) SHOPP/03-04	2003-04 302-0042 302-0890 20.20.201.130	\$109,000 \$841,000
04N-SM-84				\$950,000		\$950,000
10.2						