

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: June 29-30, 2016

Reference No.: 2.8a.(2)
Action Item

From: NORMA ORTEGA
Chief Financial Officer

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Division of Transportation
Programming

Subject: **REQUEST TO EXTEND THE PERIOD OF PROJECT ALLOCATION FOR STATE
HIGHWAY OPERATION AND PROTECTION PROGRAM (SHOPP) PROJECT
WAIVER 16-24**

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve allocation extension requests for 92 State Highway Operation and Protection Program (SHOPP) projects totaling \$843,591,000 in construction capital as shown in the attachments. The Department anticipates 78 of these allocation extensions totaling \$731,748,000 will be coming in for allocation at the August 2016 Commission meeting.

ISSUE:

The Department experienced challenges in bringing the 14 SHOPP projects in for a construction capital allocation and is not ready to request funds by the June 30, 2016 deadline. Therefore, the Department is requesting extensions to the allocation deadline for the projects shown in the following attachment.

BACKGROUND:

Resolution G-03-19 in the State Transportation Improvement Program (STIP) Guidelines, adopted by the Commission on December 11, 2003, stipulates that the Commission may approve waivers to the timely use of funds deadline one time only for up to 20 months in accordance with Government Code section 14529.8. Although the STIP Guidelines do not apply to SHOPP projects, it is prudent to apply the same management practices relative to timely use of funds to SHOPP projects.

Attachments

Time Extension/Waiver - Project Allocation Deadline

Project No.	Dist-Co-Rte PPNO EA Project Description Reason for Delay	Program / Year CON Extension Amount	Number of Months Requested Extended Deadline
1	<p>04-ALA-680 PPNO: 0521K EA: 4G112</p> <p>In and near Fremont, Pleasanton, and Dublin, from south of Scott Creek Road to north of Alcosta Boulevard. Ramp metering.</p> <p>The Department is requesting a 20-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$18,240,000 for construction.</p> <p>Cooperative Agreement (Coop) negotiations with the Metropolitan Transportation Commission (MTC) are ongoing for the remaining funding to complete the project. It is anticipated that the Coop and Federal authorization will be done by December 2016, and then work on the project can restart. After the Coop support funding is secured, the following will need to take place: complete PA&ED, obtain an Incidental Take Permit (ITP) from the California Department of Fish and Wildlife, and complete final PS&E. This will require a total of 12 months to reach the Ready to List (RTL) milestone. It is anticipated the project will be ready for an allocation request to the Commission by February 2018.</p>	<p>SHOPP: Mobility / 2015-16 \$18,240,000</p>	<p>20 Months February 2018</p>
2	<p>04-ALA-880 PPNO: 0050N EA: 4A800</p> <p>In Oakland, at Lake Merritt Channel Bridge No. 33-0027 and 5th Avenue overhead. Bridge replacement.</p> <p>The Department is requesting a 14-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$4,450,000 for construction.</p> <p>Based on the Agreement with Union Pacific Railroad (UPRR) for the Department's 5th Avenue Overhead project, the Department will construct a new railroad bridge within UPRR right of way. As the owner and operator of the planned bridge, UPRR is the applicant for the necessary environmental permits. UPRR has not yet agreed with all of the conditions of the permitting agencies, therefore the permits will be delayed beyond the Fiscal Year 2015-16. This project also involves complex utility relocations, including a new Temporary Construction Easement required from the City of Oakland for a recent change to a large pipeline relocation plan. It will take up to 12 months to receive the necessary permits, acquire the easement, and reach the ready to list (RTL) milestone. It is anticipated the project will be ready for an allocation request to the Commission by August 2017.</p>	<p>SHOPP: Bridge / 2015-16 \$4,450,000</p>	<p>14 Months August 2017</p>
3	<p>04-ALA-VAR PPNO: 0085S EA: 17247</p> <p>At the McCosker property on East Bay Regional Parks District (EBRPD) land. Required environmental mitigation (site 2) for EA 17240, EFIS 04 0000 0455, PPNO 0086Z. Financial Contribution Only (FCO).</p> <p>The Department is requesting a 9-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$1,400,000 for construction.</p> <p>Cooperative Agreement negotiations are ongoing for the FCO to East Bay Regional Parks District (EBRPD), but may extend to late 2016. It is anticipated the project will be ready for an allocation request to the Commission by March 2017.</p>	<p>SHOPP: Collision / 2015-16 \$1,400,000</p>	<p>9 Months March 2017</p>
4	<p>04-MRN-101 PPNO: 0334J EA: 15161</p> <p>In and near Sausalito, Corte Madera, and Larkspur, from north of Golden Gate Bridge to north of Sir Francis Drake Boulevard. Ramp metering.</p> <p>The Department is requesting a 20-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$1,600,000 for construction.</p> <p>Cooperative Agreement (Coop) negotiations with the Metropolitan Transportation Commission (MTC) are ongoing for remaining project funding. The project has been delayed due to the uncertainty of the Coop completion. The target ready to list (RTL) milestone is December 2017. It is anticipated the project will be ready for an allocation request to the Commission by February 2018.</p>	<p>SHOPP: Mobility / 2015-16 \$1,600,000</p>	<p>20 Months February 2018</p>

Time Extension/Waiver - Project Allocation Deadline

Project No.	Dist-Co-Rte PPNO EA Project Description Reason for Delay	Program / Year CON Extension Amount	Number of Months Requested Extended Deadline
5	04-NAP-29 PPNO: 0587G EA: 3G640 In Calistoga, at Napa River Bridge No. 21-0018. Replace bridge. The Department is requesting a 10-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$9,204,000 for construction. During the March 2016 Commission meeting, three Resolutions of Necessity (RON's) were obtained on this project, but two of the property owners are litigating the parcel compensation. An Order of Possession (OP) court hearing must be set to upgrade the Right of Way Certification to the necessary 3W status. A delay has occurred to prepare legal paperwork, serve all parties, and set the OP hearing date. It is anticipated the project will be ready for an allocation request to the Commission by April 2017.	SHOPP: Bridge / 2015-16 \$9,204,000	10 Months April 2017
6	04-SM-280 PPNO: 0729R EA: 4G592 Near Belmont, at 1.4 miles south of Route 92. Repair pipe system and backfill sinkhole environmental mitigation. The Department is requesting a 6-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$400,000 for construction. An Interagency Agreement (IA) for a financial contribution to San Mateo County Resource Conservation District (SMCRCD) is being finalized. The Agreement outlines a payment structure to compensate SMCRCD staff for preparation of Requests for Proposal (RFPs) from consultants and for mitigation costs upon receipt of invoices. It will take SMCRCD approximately 2 to 3 months to prepare the RFP's and to determine final mitigation scope and costs. The Department's Division of Procurement and Contracts (DPAC) will then need 2 to 3 months to execute this type of IA. It is anticipated the project will be ready for an allocation request to the Commission by December 2016.	SHOPP: Major Damage / 2015-16 \$400,000	6 Months December 2016
7	05-SBT-25 PPNO: 2293Y EA: 0T641 Near Hollister, north of San Benito Lateral. Landscape mitigation for project PPNO 2293. The Department is requesting a 20-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$96,000 for construction. As part of the the Department's 2015 Drought Action Plan to meet the requirements of the Governor's Executive Order B-29-15, all planned planting work that requires irrigation with potable water, regardless of funding source, must be evaluated and possibly deferred until both drought conditions improve and the use of potable water is not restricted for landscape purposes. This project has planned potable water and will be deferred. Meanwhile, the Department will continually monitor the drought situation and in the event the drought state of emergency is terminated prior to the expiration of this time extension, a construction allocation request will be submitted. It is anticipated the project will be ready for an allocation request to the Commission by February 2018.	SHOPP: Collision / 2015-16 \$96,000	20 Months February 2018

Time Extension/Waiver - Project Allocation Deadline

Project No.	Dist-Co-Rte PPNO EA Project Description Reason for Delay	Program / Year CON Extension Amount	Number of Months Requested Extended Deadline
8	<p>05-SB-101 PPNO: 0707Y EA: 0G071</p> <p>In Goleta, from 0.2 mile east to 0.7 mile west of the Fairview Avenue Overcrossing. Landscape mitigation project for PPNO 0707.</p> <p>The Department is requesting a 20-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$658,000 for construction.</p> <p>As part of the the Department's 2015 Drought Action Plan to meet the requirements of the Governor's Executive Order B-29-15, all planned planting work that requires irrigation with potable water, regardless of funding source, must be evaluated and possibly deferred until drought conditions improve and the use of potable water is not restricted for landscape purposes. This project has planned potable water and will be deferred. Meanwhile, the Department will continually monitor the drought situation and in the event the drought state of emergency is terminated prior to the expiration of this time extension, a construction allocation request will be submitted. It is anticipated the project will be ready for an allocation request to the Commission by February 2018.</p>	<p>SHOPP: Roadway / 2015-16 \$658,000</p>	<p>20 Months February 2018</p>
9	<p>06-KER-46 PPNO: 6601 EA: 0K460</p> <p>Near Wasco, at Route 99 Separation Bridge No. 50-0184E (also Route 99 PM 43.9/44.6). Replace bridge and realign southbound ramps.</p> <p>The Department is requesting a 9-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$19,375,000 for construction.</p> <p>The right of way condemnation process was extended therefore the RTL milestone could not be obtained. This project involves a restrictive construction work window in the month of January for canal relocation work, which is the first order of work. Therefore a time extension is needed to complete the right of way condemnation process, to be RTL for the restrictive construction window in January 2018. It is anticipated the project will be ready for an allocation request to the Commission by March 2017.</p>	<p>SHOPP: Bridge / 2015-16 \$19,375,000</p>	<p>9 Months March 2017</p>
10	<p>06-KER-58 PPNO: 6678 EA: 0G851</p> <p>In Bakersfield, from Route 58/99 Separation to Cottonwood Road. Rehabilitate roadway.</p> <p>The Department is requesting a 19-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$21,370,000 for construction.</p> <p>The project limits fully overlap the nearby Beltway Operational Improvement project EA 06-48461, which has encountered a series of unplanned construction delays and now is estimated to be complete in June 2018. This rehabilitation scope project is to replace the existing concrete pavement with Continuously Reinforced Concrete Pavement (CRCP) and necessitates traffic shifts utilizing k-rail in both directions. The stage construction would severely interfere with construction activities on both contracts, and may compromise safety, increase delays and result in potential contractor claims. The Department has included a 120-day delayed start clause into the contract for this project to reduce the construction allocation extension needed. It is anticipated the project will be ready for an allocation request to the Commission by January 2018.</p>	<p>SHOPP: Roadway / 2015-16 \$21,370,000</p>	<p>19 Months January 2018</p>

Time Extension/Waiver - Project Allocation Deadline

Project No.	Dist-Co-Rte PPNO EA Project Description Reason for Delay	Program / Year CON Extension Amount	Number of Months Requested Extended Deadline
11	06-TUL-190 PPNO: 6508 EA: 46150 Near the city of Tulare, from west of Route 190/99 Separation to west of Road 184. Rehabilitate roadway. The Department is requesting a 12-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$17,000,000 for construction. This project involves utility relocation delays. The presence of transmission facilities has led to a series of conflicts and resulted in a number of submittals and redesigns over the last two years to mitigate impacts and comply with Public Utility Code regulations. The redesign and resubmittal of conflict maps has delayed Southern California Edison's ability to develop draft relocation plans. The current plan is to finalize the relocation plans in September 2016 and begin work on the easement requirements so acquisition can take place. It is anticipated the project will be ready for an allocation request to the Commission by June 2017.	SHOPP: Roadway / 2015-16 \$17,000,000	12 Months June 2017
12	07-LA-405 PPNO: 4629 EA: 29850 In the city of Los Angeles, near Bel Air, from Sunset Boulevard to south of Bel Air Crest Road. Upgrade existing temporary ramps. The Department is requesting a 20-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$14,830,000 for construction. This project has experienced utility relocation delays. Poles and communication cables belonging to the Los Angeles Department of Water and Power (LADWP) need to be relocated prior to start of construction. LADWP staff has not been able to commit to relocating the poles to meet the project schedule due to their existing workload and other project commitments. Communication cables are also attached to the LADWP power poles and will be relocated at the same time. Therefore a time extension to allow for these utility relocations is needed before the project will be RTL and ready for an allocation request. It is anticipated the project will be ready for an allocation request to the Commission by February 2018.	SHOPP: Mobility / 2015-16 \$14,830,000	20 Months February 2018
13	08-SBD-38 PPNO: 3004M EA: 1G700 In Barton Flats, from west of Glass Road to Burro Flats Road. Repair fire damage. Install debris flow barrier, concrete rock slope protection and replace drainage systems. The Department is requesting a 6-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$3,100,000 for construction. There are outstanding Environmental Permits (1602, 401, 404). The additional time requested is required to consult with Environmental resource agencies and obtain the permits. It is anticipated that the permits will be received in time for an allocation request at the December 2016 Commission meeting.	SHOPP: Major Damage / 2015-16 \$3,100,000	6 Months December 2016
14	08-SBD-138 PPNO: 0238P EA: 0J851 Near Crestline, at Horsethief Creek Bridge No. 54-0816. Environmental mitigation work for bridge replacement. The Department is requesting a 12-month time extension for construction allocation. This project is programmed in the 2014 SHOPP for \$120,000 for construction. The construction completion of the parent bridge replacement project EA 08-0J850 will be in May 2017. This project cannot begin until the parent bridge project is completed thereby delaying the allocation request for this project. It is anticipated the project will be ready for an allocation request to the Commission by June 2017.	SHOPP: Bridge / 2015-16 \$120,000	12 Months June 2017

Time Extension/Waiver - Project Allocation Deadline

Project No.	District	County	Route	Post Miles	EA	PPNO	Project ID	Prog Code	Request	
									Months	Extended Deadline
1	01	Humboldt	101	109.5/R125.8	41500	2384	0113000127	201.121	2	August 2016
2	01	Humboldt	101	111.4/111.6	0B430	2341	0112000127	201.131	2	August 2016
3	01	Humboldt	169	26.4/29.9	0B440	2342	0112000128	201.131	2	August 2016
4	01	Humboldt	254	0.8/43.1	43060	0046D	0100000186	201.112	2	August 2016
5	01	Lake	20	13.5/30.5	0B120	3086	0112000010	201.361	2	August 2016
6	01	Mendocino	101	50.1/84.6	40281	4600	0114000126	201.151	2	August 2016
7	01	Mendocino	101	R87.4/R100.4	0B510	4551	0112000134	201.131	2	August 2016
8	01	Mendocino	162	13.9/22.7	0B540	4554	0112000136	201.131	2	August 2016
9	02	Lassen	395	R0.0/5.6	4E420	3464	0200020283	201.121	2	August 2016
10	02	Plumas	70	50.6/51.7	2C090	3212	0200000161	201.110	2	August 2016
11	02	Siskiyou	5	R58.1/R58.4	4F58U	3514	0215000021	201.112	2	August 2016
12	02	Siskiyou	96	52.5/88.3	4E650	3473	0212000012	201.112	2	August 2016
13	02	Tehama	36	75.4/78.4	4G03U	3533	0215000056	201.010	2	August 2016
14	02	Trinity	299	0.0/8.3	4G380	3540	0214000006	201.121	2	August 2016
15	03	Sacramento	5	0.0/34.7	4F440	5847	0314000163	201.315	2	August 2016
16	03	Sutter	99	27.6/R32.7	1F970	8375	0300020469	201.315	2	August 2016
17	03	Yuba	20	0.6/0.8	1E760	9582	0300000273	201.310	2	August 2016
18	04	Alameda	13	12.1/13.4	2G481	0124J	0415000361	201.378	2	August 2016
19	04	Alameda	880	19.3/0.0	1SS44	0039A	0412000603	201.131	2	August 2016
20	04	Alameda			2G502	0107J	0414000057	201.015	2	August 2016
21	04	Contra Costa	680	24.3/0.0	3A87A	0333M	0414000120	201.113	2	August 2016
22	04	Marin	1	50.3/50.5	20950	0326A	0412000116	201.110	2	August 2016
23	04	Marin	1	8.1/0.0	2G890	0209R	0400021259	201.131	2	August 2016
24	04	Marin	VAR		4A670	0273P	0400001155	201.361	2	August 2016
25	04	San Francisco	35	2.0/3.2	3J310	0639A	0415000130	201.121	2	August 2016
26	04	San Francisco	35	2.1/3.1	4H753	0158K	0416000121	201.015	2	August 2016
27	04	San Francisco	280	R4.2/R6.6	2A490	0608K	0400000827	201.110	2	August 2016
28	04	Santa Clara	9	4.6/0.0	2G960	0387R	0412000010	201.131	2	August 2016
29	04	Santa Clara	130	5.6/6.0	2G990	0500C	0412000012	201.131	2	August 2016
30	04	Santa Clara	152	13.2/13.2	2A441	0485U	0412000581	201.010	2	August 2016
31	04	Solano	80	31.4/32.6	4G510	0832G	0412000483	201.113	2	August 2016
32	04	Solano	780	6.8/7.2	4G450	0832D	0412000477	201.322	2	August 2016
33	04	Sonoma	1	30.5/0.0	3G080	0753R	0400021272	201.131	2	August 2016
34	04	Sonoma	12	R15.6/R16.5	3G720	8315S	0412000157	201.235	2	August 2016
35	04	Sonoma	101	29.3/43.4	0J640	0480G	0414000021	201.122	2	August 2016
36	05	San Benito	156	0.0/3.0	1C870	2434	0513000005	201.121	2	August 2016
37	05	Monterey	101	R41.5/49.8	0T990	2312	0500020243	201.015	2	August 2016
38	05	San Benito	156	R10.0/R18.4	1C840	2430	0512000239	201.121	2	August 2016
39	05	San Luis Obispo	41	19.7/R42.2	1G150	2588	0514000113	201.121	2	August 2016
40	05	Santa Cruz	1	R7.5/17.4	1C100	2358	0512000074	201.235	2	August 2016
41	06	Tulare	190	4.0/5.0	0P590	6624	0612000182	201.010	2	August 2016
42	07	Los Angeles	1	2.6/30.7	29950	4651	0713000313	201.010	2	August 2016
43	07	Los Angeles	1	2.7/12.2	29080	4491	0712000075	201.361	2	August 2016
44	07	Los Angeles	5	13.7/26.7	30550	4728	0714000101	201.015	2	August 2016
45	07	Los Angeles	5	R44.6/R45.7	30600	4733	0714000244	201.150	2	August 2016
46	07	Los Angeles	57	R2.0/5.6	30030	4675	0713000436	201.335	2	August 2016
47	07	Los Angeles	91	6.3	30720	4767	0714000216	201.010	2	August 2016
48	07	Los Angeles	105	R0.3/R2.5	30500	4723	0714000087	201.119	2	August 2016
49	07	Los Angeles	105	R6.6/R8.1	29740	4614	0713000149	201.010	2	August 2016
50	07	Los Angeles	118	0.0/R14.4	30210	4684	0713000486	201.121	2	August 2016
51	07	Los Angeles	405	0.0/28.0	25710	3821	0713000161	201.315	2	August 2016
52	07	Los Angeles	405	20.2/R20.7	3X870	4567	0713000241	201.131	2	August 2016
53	07	Los Angeles	VAR		24070	3323	0713000162	201.315	2	August 2016
54	07	Ventura	33	15.7	30520	4725	0714000092	201.131	2	August 2016
55	08	Riverside	15	R0.0/41.8	0G770	9991C	0814000175	201.315	2	August 2016
56	08	Riverside	VAR		1C630	0012H	0812000338	201.315	2	August 2016
57	08	San Bernardino	15	R107.3	0G842	3001G	0814000184	201.250	2	August 2016
58	08	San Bernardino	40	0.0/R25.0	0R120	0207J	0812000026	201.015	2	August 2016

Time Extension/Waiver - Project Allocation Deadline

Project No.	District	County	Route	Post Miles	EA	PPNO	Project ID	Prog Code	Request	
									Months	Extended Deadline
59	08	San Bernardino	62	1.8/7.7	0Q130	0046G	0800020212	201.010	2	August 2016
60	08	San Bernardino	210	R21.8/R27.3	0E551	0195M	0814000085	201.315	2	August 2016
61	09	Mono	395	34.1	35610	6550	0912000044	201.352	2	August 2016
62	10	Calaveras	26	8.4/0.0	0V620	3004	1000020114	201.010	2	August 2016
63	10	San Joaquin	99	14.0/23.0	1C421	3103	1015000131	201.315	2	August 2016
64	10	Stanislaus	99	M18.7/0.0	0X560	3011	1012000313	201.010	2	August 2016
65	10	Stanislaus	99	R13.6/R15.1	0Y620	3109	1013000246	201.015	2	August 2016
66	10	Stanislaus	132	0.0/51.1	1E200	3127	1015000092	201.010	2	August 2016
67	10	Stanislaus	132	1.1/1.8	0T780	0348	1000000746	201.010	2	August 2016
68	10	Tuolumne	49	13.5/18.6	0Y220	3108	1013000196	201.015	2	August 2016
69	11	Imperial	8	R41.1/R65.0	41851	0558	1115000139	201.122	2	August 2016
70	11	Imperial	8	R83.1/R90.0	41852	0559	1115000140	201.122	2	August 2016
71	11	San Diego	8	T0.5/R0.7	40860	1061	1112000016	201.121	2	August 2016
72	11	San Diego	67	R4.6/19.0	40320	0670	1100000545	201.010	2	August 2016
73	11	San Diego	76	32.6/33.2	40570	1020	1100020265	201.010	2	August 2016
74	11	San Diego	78	12.9/14.1	42160	1170	1115000142	201.310	2	August 2016
75	12	Orange	1	22.1/29.9	0M470	2420	1212000065	201.010	2	August 2016
76	12	Orange	5	6.8/22.9	0H530	4527A	1213000147	201.112	2	August 2016
77	12	Orange	55	0.0/17.9	0M500	3401A	1212000092	201.015	2	August 2016
78	12	Orange	405	2.6/6.5	0M350	4952B	1212000018	201.010	2	August 2016