

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: October 8, 2013

Reference No.: 3.11
Information Item

From: STEVEN KECK
Acting Chief Financial Officer

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Local Assistance

Subject: **QUARTERLY REPORT - LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR THE PERIOD ENDING JUNE 30, 2013**

SUMMARY:

As of June 30, 2013, about \$598 million, or 36 percent, of the \$1.67 billion that has been allocated by the California Transportation Commission (Commission) for Federal Fiscal Year (FFY) 2013 has been sub-allocated to 1,044 local projects. The majority of the sub-allocations (approximately \$446 million) are for 619 projects in the following four categories:

- High Priority Projects/Demonstration Projects/Emergency Relief – 186 projects, \$170 million
- Regional Surface Transportation Program (RSTP) – 101 projects, \$115 million
- Bridge Program – 236 projects, \$102 million
- Congestion Mitigation & Air Quality projects (CMAQ) – 96 projects, \$59 million

BACKGROUND:

The California Department of Transportation's (Department) Division of Local Assistance (DLA) administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation, per Resolution G-01-08.

The DLA has sub-allocated \$598 million or 36 percent of funding to local agencies. This amount is slightly lower in comparison to the period ending June 30, 2012. The sub-allocation of federal funding is usually low in the first three quarters of the federal fiscal year.

However, the Federal Transit Administration (FTA) transfers include \$267 million toward local delivery of available federal funding. DLA anticipates that local partners will deliver 100 percent of federal funding that has been made available for FFY 2012-13. For the past 13 years in a row, DLA has worked with local partners to deliver 100 percent of federal funding.

Attachment

LOCAL ASSISTANCE LUMP SUM ALLOCATIONS
Period Ending June 30, 2013
(Dollars in Thousands)

Reference No.: 3.11
October 8, 2013
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated Total	Number of Total
	State	Federal	Total	State	Federal	Total	State	Federal	Total		
Local Administered & Miscellaneous Programs											
Regional Surface Transportation Program (RSTP) ¹		503,559	503,559		115,126	115,126	0	388,433	388,433	23%	101
Surface Transportation Program State Match and Exchange	57,849		57,849	52,039		52,039	5,810	0	5,810	90%	134
Congestion Mitigation & Air Quality Program		471,547	471,547		58,630	58,630	0	412,917	412,917	12%	96
Freeway Service Patrol	25,479		25,479	25,479		25,479	0	0	0	100%	15
High Priority Projects/Demonstration Projects/Emergency Relief		171,251	171,251		169,807	169,807	0	1,444	1,444	99%	186
Miscellaneous	3,000		3,000			0	3,000	-	3,000	0%	0
Bridge Programs											
Bridge Inspection	735		735			0	735	0	735	0%	
National Highway Performance Program & RSTP Bridge ²		302,909	302,909		101,600	101,600	0	201,309	201,309	34%	236
Rail Programs											
Railroad Grade Crossing Maintenance	2,000		2,000	2,000		2,000	-	0	-	100%	1
Railroad Grade Separation	15,000		15,000	5,141		5,141	9,859	0	9,859	34%	2
Safety Programs											
Highway Safety Improvement Program		74,000	74,000		40,564	40,564	0	33,436	33,436	55%	176
Safe Routes to School	24,250	21,080	45,330	15,222	12,708	27,930	9,028	8,372	17,400	62%	97
Total Local Assistance Subvention Funds	128,313	1,544,346	1,672,659	99,881	498,435	598,316	28,432	1,045,911	1,074,343	36%	1,044

Notes

Allocations for state funds reflect the June 2012 Commission meeting vote, Item 2.5h.

Allocations for federal funds reflect the March 2013 Commission meeting vote, Item 2.5h.

The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.

Total Sub-Allocations data is from InfoAdvantage (accounting system).

In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.

Assumptions:

¹ RSTP consists of the Surface Transportation Program subvented to local agencies, less funding set-aside for off-system bridge projects and Safe Routes to School.

² Bridge projects consist of off-system bridge (about \$75 million) and bridge funding available to locals from the National Highway Performance Program (about \$228 million).