

# Memorandum

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: June 11, 2013

Reference No.: 2.1a.(32)/2.6e.(2)  
Action Item

From: NORMA ORTEGA  
Chief Financial Officer

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Transportation Programming

Subject: **TRAFFIC CONGESTION RELIEF PROGRAM – PROJECT AMENDMENT AND ALLOCATION AMENDMENT**  
**RESOLUTION TAA-12-11, AMENDING RESOLUTION TAA-10-14**  
**RESOLUTION TFP-12-10 AMENDING RESOLUTION TFP-07-08**

## **RECOMMENDATION:**

The California Department of Transportation recommends the California Transportation Commission (Commission) amend the Traffic Congestion Relief Program (TCRP) Project 7.2 - Extend CalTrain to Gilroy; Platform modification & Gilroy storage tracks, add a new TCRP Project 7.3 - CalTrain Service Improvement Phase III; Connect Gilroy yard/station track to Union Pacific mainline track in Santa Clara County, and re-allocate some of the previously allocated funds from Project 7.2 to 7.3.

## **ISSUE:**

The Santa Clara Valley Transportation Authority (VTA) is requesting to amend TCRP Project 7.2 – Extend CalTrain to Gilroy; Platform modification & Gilroy Storage Tracks to

- Revise the project funding plan by swapping \$18,123,000 of TCRP funds with an equal amount of local Measure A funds.
- De-allocate \$18,123,000 TCRP funds previously allocated to Construction.

Furthermore, the VTA and the Transportation Authority of Monterey County (TAMC) are also requesting to add a new TCRP Project 7.3 – CalTrain Service Improvement Phase III; Connect Gilroy yard/station track to Union Pacific mainline track and allocate \$18,123,000 TCRP funds to PS&E (\$890,000) and Construction (\$17,233,000) for Project 7.3. TAMC will be the implementing agency for Project 7.3.

## **BACKGROUND:**

Due to current uncertainties associated with the California High Speed Rail Authority (CHSRA) plans for this region, the present scope of Project 7.2 has become less than certain. In the meantime, TAMC has working with the Capitol Corridor Joint Power Authority to extend the current Capitol service from Diridon Station in San Jose to Salinas. TAMC's preliminary work on this project shows that having train stops in Morgan Hill and Gilroy is key to the success of that service. The CalTrain service currently terminates at Gilroy and there is no direct rail connection to the station

platform for trains approaching from the south. Project 7.3, to be implemented by TAMC, will upgrade and improve the Gilroy Station area. This project will:

- Construct new service track from just west of US 101 to just east of the Gilroy train station.
- Construct new tail track just south of Gilroy station platform.
- Upgrade existing track from just west of US 101 to Corporal.
- Remove and replace existing crossover just west of US 101.
- Construct vehicle/pedestrian grade crossing improvements at Luchessa Avenue and 10th Street.
- Extend platform to 700 feet long

Once completed, these improvements will provide significant benefits for a future rail connection to Monterey, San Benito and Santa Cruz Counties.

Project 7.2 currently has \$18,123,000 in allocated but not-expended TCRP funds which expire at the end of June, 2013. VTA believes that the best means to serve the overall intent of TCRP Project 7 at this time is to make these funds available for the improvements to the Gilroy Station area that TAMC has proposed. Once uncertainties relating to CHSRA plans for this region are resolved, VTA will use local Measure A funds to complete Project 7.2. Due to their restrictive nature, Measure A funds cannot be used for Project 7.3.

The changes described above are shown in the following tables.

**REVISE: Extend CalTrain to Gilroy - Platform Modification & Gilroy Storage Tracks (TCRP 7.2)**

County	District	TCRP #	EA	Element	Const. Year	PM Back	PM Ahead	Route/Corridor					
Santa Clara	4	7.2		MT	2007-08								
<b>Implementing Agency: (by component)</b>		<b>PA&amp;ED</b>	VTA			<b>PS&amp;E</b>	VTA						
		<b>R/W</b>	VTA			<b>CON</b>	VTA						
<b>RTPA/CTC:</b>		Metropolitan Transportation Commission											
<b>Project Title:</b>		CalTrain; expand service to Gilroy - Platform modifications and Gilroy storage tracks											
<b>Location</b>		Along UPRR line in Santa Clara County.											
<b>Description:</b>		Construct platform modifications and Gilroy storage tracks											
<b>(DOLLARS IN THOUSANDS)</b>													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		Prior	12/13	13/14	14/15	15/16	16/17	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
<b>TCRP (Committed)</b>													
Existing	33,000	33,000							28,827		4,173		
Change	(18,123)	(18,123)							(18,123)		0		
Proposed	<b>14,877</b>	<b>14,877</b>							<b>10,704</b>		4,173		
<b>Local Funds</b>													
Existing	2,000	2,000							2,000				
Change	18,123	18,123							18,123				
Proposed	<b>20,123</b>	<b>20,123</b>							<b>20,123</b>				
<b>Total</b>													
Existing	35,000	35,000							30,827		4,173		
Change	0	0							0		0		
Proposed	<b>35,000</b>	<b>35,000</b>							<b>30,827</b>		4,173		

**ADD: CalTrain Service Improvement Phase III - Connect Gilroy yard/station track to Union Pacific mainline track (TCRP 7.3)**

County	District	TCRP #	EA	Element	Const. Year	PM Back	PM Ahead	Route/Corridor				
Santa Clara	4	7.3		MT	2014-15							
Implementing Agency: (by component)	PA&ED	-				PS&E	TAMC					
	R/W	-				CON	TAMC					
RTPA/CTC:	Metropolitan Transportation Commission											
Project Title:	CalTrain; Caltrain Service Improvement Project Phase III											
Location	Along UPRR line in Santa Clara County.											
Description:	Connect Gilroy yard/station track to Union Pacific mainline track											
<b>(DOLLARS IN THOUSANDS)</b>												
FUND	TOTAL	Project Totals by Fiscal Year					Project Totals by Component					
		Prior	12/13	13/14	14/15	15/16	16/17	R/W	CON	PA&ED	PS&E	R/W Supp
<b>TCRP (Committed)</b>												
Existing	0	0			0			0		0		
Change	18,123	890			17,233			17,233		890		
Proposed	<b>18,123</b>	<b>890</b>			<b>17,233</b>			<b>17,233</b>		<b>890</b>		
<b>Local Funds</b>												
Existing	0	0								0		
Change	112	112								112		
Proposed	<b>112</b>	<b>112</b>								<b>112</b>		
<b>Total</b>												
Existing	0	0			0					0		
Change	18,235	1,002			17,233			17,233		1,002		
Proposed	<b>18,235</b>	<b>1,002</b>			<b>17,233</b>			<b>17,233</b>		<b>1,002</b>		

**RESOLUTION TAA-12-11**  
**RESOLUTION TFP-12-10**

Resolved, with all conditions stipulated still in effect, the California Transportation Commission (Commission) hereby revises Traffic Congestion Relief Program (TCRP) Project 7.2 and adds TCRP 7.3 to reflect the changes described above; and

Be it further Resolved, that the Commission hereby approves a corresponding allocation amendment transferring previously allocated funds in accordance with the attached vote boxes; and

Be it further Resolved, that the project(s), as component phases or in their entirety, appear under Government Code Section 14556.40(a) and are entitled to participate in this allocation. Reimbursement of eligible costs is subject to the policies, restrictions and assurances as set forth in the Commission’s policy for allocating, monitoring, and auditing TCRP projects, and is governed by the terms and conditions of the Fund Transfer Agreement, Program Supplement or Cooperative Agreement, and subsequent amendments to the same if required, as executed between the Implementing Agency and the Department.

Attachment

2.6 Mass Transportation Financial Matters

Project # Allocation Amount Implementing Agency District-County	BREF # and Project Description Description of Allocation	Item # Fund Type	Amount by Fund Type								
<b>2.6e.(2) Traffic Congestion Relief Program Application/Allocation Amendment</b>											
			<b>Resolution TFP-12-10</b> Amending Resolution TFP-07-08 <b>Resolution TAA-12-11</b> Amending Resolution TAA-10-14								
1 \$28,757,000 <b>\$10,634,000</b> Santa Clara Valley Transportation Authority 04 – Santa Clara	<b>Project #7.2 – Caltrain; expand to Gilroy – Caltrain Improvement Project</b>  Amend TFP-07-08 to decrease allocation to Construction by \$18,123,000,  <table border="1"> <thead> <tr> <th>Phase</th> <th>Original Amount</th> <th>Adjustment</th> <th>Amended Amount</th> </tr> </thead> <tbody> <tr> <td>Construction</td> <td>\$28,757,000</td> <td>(\$18,123,000)</td> <td>\$10,634,000</td> </tr> </tbody> </table> <u>Output/Outcome:</u> Expand Caltrain service from San Jose to Gilroy.	Phase	Original Amount	Adjustment	Amended Amount	Construction	\$28,757,000	(\$18,123,000)	\$10,634,000	Chapter 91 of the Statutes of 2000  889-3007 TCRF	<del>\$28,757,000</del> <b>\$10,634,000</b>
Phase	Original Amount	Adjustment	Amended Amount								
Construction	\$28,757,000	(\$18,123,000)	\$10,634,000								
2 \$18,123,000 Transportation Agency for Monterey County 04 – Santa Clara	<b>Project #7.3– Caltrain Service Improvement Project - Phase III</b>  This allocation provides \$18,123,000 in new TCRP funding for PS&E (\$890,000) and Construction (\$17,233,000).  <u>Output/Outcome:</u> Construct physical improvements within the existing right-of-way to support increase in commuter rail service between San Jose and Gilroy including new track work to connect the Gilroy Yard/Station track to the Union Pacific track.	Chapter 91 of the Statutes of 2000  889-3007 TCRF	\$18,123,000								