

# Memorandum

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: December 5-6, 2012

Reference No.: 4.3  
Information Item

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Chief Financial Officer

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Subject: **STATUS OF 2004-06 APPROPRIATION ACT FEDERAL EARMARK PROJECTS**

## **SUMMARY:**

On August 16, 2012, the Federal Highway Administration (FHWA) Administrator issued a memorandum entitled "Reallocation of Unobligated Balances of FY 2003-2006 DOT Appropriations Acts Projects". The purpose of this memorandum was to implement the United States Department of Transportation (US DOT) Secretary's decision to authorize States, subject to FHWA review and approval, the ability to reallocate unobligated funds for projects designated in Federal Fiscal Year (FFY) 2003 through 2006 US DOT Appropriations Acts. On October 1, 2012 the California Department of Transportation (Department) provided FHWA a preliminary list of alternate projects approved by the California Transportation Commission (Commission), proposed to be funded with the unobligated balances. The DOT Secretary granted California the authority to use these funds for surface transportation projects eligible for assistance under Title 23 or Title 49 of the United States Code. The FHWA deadline for the Department to obligate is December 31, 2012.

In early September, sponsors responded to the Department's inquiry and identified 38 earmarks where funds would be obligated onto the originally designated projects and proposed 22 alternate projects to utilize the remaining funds. The list of the original 38 earmark projects and 22 alternate projects were approved by the Commission at the September 26-27, 2012 meeting.

## **BACKGROUND:**

The High Priority Projects (HPP)/Demonstration Program (Demo) manages funding for more than \$3 billion dollars and over 800 designated projects (earmarks) made available to hundreds of cities, counties, and regional agencies for the purpose of improving their transportation infrastructure and providing transportation services. This funding comes from various federal annual appropriations acts and multi-year transportation acts such as the Intermodal Surface Transportation Efficiency Act, the Transportation Equity Act - for the 21<sup>st</sup> Century, and the Safe, Accountable, Flexible, Efficient, Transportation, Equity, Act: A Legacy for Users.

Historically, California has been the recipient of approximately 10 percent of all federal funds made available nationally to the program. The Department has worked with its local partners to deliver approximately \$2.6 billion dollars in projects from this program to date.

The Department was not involved in the solicitation of any the 800 designated earmarks. Earmark sponsors (applicants) apply directly to Congress for HPP/Demo earmark funding. FHWA notifies the Department of the earmarks after the earmarks are incorporated in federal law. Typically when Congress earmarks these funds, there is no deadline to expend the funds. In addition, the earmark funds only partially meet the funding need for the project. Therefore the project sponsor needs to secure additional funds before the earmark funds can be obligated.

**CURRENT STATUS:**

As of November 1, 2012 the earmark sponsors have submitted Requests for Authorization (RFA's) on all of the 22 alternate projects and 33 of the 38 original designated earmark projects. These RFA's are being processed by the Department. The remaining five earmark projects did not meet the deadline. The funds from these five projects (\$2,266,171 total) will be re-allocated to the I-5 South Widening and Carpool Lanes between I-605 and the Orange County Line project.

The Department's Division of Local Assistance will continue to process the RFA's submitted prior to November 1, 2012 deadline. If any of these funds cannot be obligated on these projects due to ineligibility or incomplete submittal, these funds will be redirected to other State project(s) in order to obligate all of these funds by December 31, 2012 and potentially compete for funds left unobligated by other states.

Attachments:

**FFY 2004 - 2006 Appropriation Act Earmark (Demo) Funds - Status of Original Projects**

No	District	Demo Id	RTPA	Earmark Applicant	Legislated Project Description	RFA Received by Caltrans Prior to 11/1/2012 Deadline (Y or N)	Funds Available for Obligation	Funds Requested for Obligation as of 11/01/12	Funds to be redirected to State Projects
1	3	CA305	EDCTC	City of Placerville	Western Placerville Interchanges, CA	Y	\$ 643,928.00	\$ 643,928.00	\$ -
2	3	CA285	SACOG	City of Sacramento	R Street Development Project, CA	Y	\$ 422,950.00	\$ 422,950.00	\$ -
3	3	CA252	TRPA	Tahoe Regional Planning Agency	US 50 Phase 1 highway and water quality improvement project, CA	Y	\$ 1,655,000.00	\$ 1,655,000.00	\$ -
4	4	CA266	MTC	City of Healdsburg	Healdsburg Pedestrian and Bicycle Path, CA	Y	\$ 1,229,910.00	\$ 1,229,910.00	\$ -
5	4	CA283	MTC	Port of Oakland	Port of Oakland, California Inter-Regional Intermodal System	Y	\$ 983,928.00	\$ 983,928.00	\$ -
6	4	CA299	MTC	County of Alameda	Streetscape Improvements in Cherryland/Ashland, CA	Y	\$ 829,910.00	\$ 829,910.00	\$ -
7	4	CA762	MTC	City of Dublin	Dublin Boulevard and Dougherty, City of Dublin, CA	Y	\$ 297,000.00	\$ 297,000.00	\$ -
8	4	CA784	MTC	City of East Palo Alto	Ravenswood Road Improvement Project, East Palo Alto, CA	Y	\$ 495,000.00	\$ 495,000.00	\$ -
9	4	CA787	MTC	County of Alameda	School Pedestrian Safety, Alameda County, CA	Y	\$ 643,500.00	\$ 643,500.00	\$ -
10	5	CA775	AMBAG	Counties of Monterey and Santa Cruz	Monterey Bay Sanctuary Scenic Trail, CA	Y	\$ 49,753.80	\$ 49,753.80	\$ -
11	7	CA220	LAMTA	City of Covina	City of Covina Metrolink Pedestrian Bridge, (CA)	N	\$ 500,000.00	\$ -	\$ 500,000.00
12	7	CA221	LAMTA	City of Gardena	City of Gardena Street and Highway Improvements (CA)	Y	\$ 10,895.75	\$ 10,895.75	\$ -
13	7	CA231	LAMTA	City of Santa Monica	Lincoln Boulevard Improvement Project, California	Y	\$ 1,000,000.00	\$ 1,000,000.00	\$ -
14	7	CA245	LAMTA	Santa Monica College	Santa Monica College 11th Street Parking Structure	N	\$ 1,000,000.00	\$ -	\$ 1,000,000.00
15	7	CA247	LAMTA	City of Inglewood	South La Brea Avenue and Imperial Highway Realignment Project	Y	\$ 500,000.00	\$ 500,000.00	\$ -
16	7	CA260	LAMTA	City of Covina	Covina Station Undercrossing, CA	N	\$ 491,964.00	\$ -	\$ 491,964.00
17	7	CA277	LAMTA	City of Santa Monica	Lincoln Boulevard Improvement Project, CA	Y	\$ 590,357.00	\$ 590,357.00	\$ -
18	7	CA282	LAMTA	Santa Monica Mountains Conservancy	Pacoima Wash Mountain Bikeway, CA	Y	\$ 983,928.00	\$ 983,928.00	\$ -
19	7	CA295	LAMTA	City of Pomona	State Route 71/Mission Boulevard Congestion Reduction, CA	Y	\$ 65,759.00	\$ 65,759.00	\$ -
20	7	CA298	LAMTA	City of Gardena	Street Improvements, Gardena	Y	\$ 461,964.00	\$ 461,964.00	\$ -
21	7	CA758	LAMTA	City of Lawndale	City of Lawndale Street Improvements, CA	N	\$ 175,207.26	\$ -	\$ 175,207.26
22	7	CA760	LAMTA	City of Santa Monica	City of Santa Monica ITS Improvements, CA	Y	\$ 346,500.00	\$ 346,500.00	\$ -
23	7	CA777	LAMTA	City of Monrovia	Myrtle Avenue Streetscape Project, Monrovia, CA	N	\$ 99,000.00	\$ -	\$ 99,000.00

**FFY 2004 - 2006 Appropriation Act Earmark (Demo) Funds - Status of Original Projects**

24	7	CA782	LAMTA	City of Palmdale	Rancho Vista Boulevard widening project, Palmdale, CA	Y	\$ 539,550.00	\$ 539,550.00	\$ -
25	8	CA793	RCTC	City of Corona	SR-91 Chokepoint Elimination in Corona, CA	Y	\$ 990,000.00	\$ 990,000.00	\$ -
26	8	CA218	SANBAG	City of Highland	Boulder Avenue Bridge Project, Highland, CA	Y	\$ 1,000,000.00	\$ 1,000,000.00	\$ -
27	8	CA225	SANBAG	City of Grand Terrace	I-215 and Barton Road Interchange, Grand Terrace, CA	Y	\$ 500,000.00	\$ 500,000.00	\$ -
28	10	CA287	STANCOG	STANCOG	Route 132 Connection Project Study Report, CA	Y	\$ 6,804.31	\$ 6,804.31	\$ -
29	11	CA229	SANDAG	City of San Diego/SANDAG	Interstate 5-Sorrento Valley Road and Genesee Ave Interchange Project	Y	\$ 100,000.00	\$ 100,000.00	\$ -
30	11	CA271	SANDAG	City of San Diego/SANDAG	I-5, Sorrento Valley Road and Genesee Avenue Interchange, CA	Y	\$ 75,892.00	\$ 75,892.00	\$ -
31	11	CA281	SANDAG	SANDAG	Otay Mesa/SR 905 Improvements, CA	Y	\$ 83,468.55	\$ 83,468.55	\$ -
32	11	CA297	SANDAG	SANDAG	State Route 905, CA	Y	\$ 983,928.00	\$ 983,928.00	\$ -
33	11	CA792	SANDAG	City of San Diego/SANDAG	SR-56/I-5 Northbound Widening Project, San Diego, CA	Y	\$ 396,000.00	\$ 396,000.00	\$ -
34	12	CA257	OCTA	City of Santa Ana	Bristol Street Multi-Modal Corridor, CA	Y	\$ 737,946.00	\$ 737,946.00	\$ -
35	12	CA269	OCTA	OCTA	I-405 Widening, CA	Y	\$ 983,928.00	\$ 983,928.00	\$ -
36	12	CA270	OCTA	OCTA	I-405 Widening, CA	Y	\$ 491,964.00	\$ 491,964.00	\$ -
37	12	CA756	OCTA	City of Santa Ana	Bristol Street Multi-Modal Corridor, CA	Y	\$ 594,000.00	\$ 594,000.00	\$ -
38	12	CA797	OCTA	City of Westminster	I-405/Beach/Edinger Interchange, CA	Y	\$ 990,000.00	\$ 990,000.00	\$ -
<b>TOTAL</b>							<b>\$ 21,949,936.03</b>	<b>\$ 19,683,764.41</b>	<b>\$ 2,266,171.62</b>

Notes:

1. RFA: Request for Federal Authorization
2. All RFAs received by Districts have not yet been reviewed/approved for federal obligation. Additional federal earmark funds may be redirected to State projects.

**FFY 2004 - 2006 Appropriation Act Earmark (Demo) Funds - Status of Alternate Projects**

No	District	RTPA	Alternate Project Description	Implementing Agency	RFA Received by Caltrans Prior to 11/1/2012 Deadline (Y or N)	Funds Available for Obligation	Funds Requested for Obligation as of 11/01/12	Funds to be redirected to State Projects
1	3	SACOG	Elk Grove Creek/SR-99 Trail Crossing & Pedestrian Bridge/ City of Elk Grove	City of Elk Grove	Y	\$ 344,375.00	\$ 344,375.00	\$ -
2	4	MTC	SR-85 Epress Lane PE Phase/ VTA	VTA	Y	\$ 1,000,000.00	\$ 1,000,000.00	\$ -
3	4	MTC	SR-4 East Widening from Somersville to SR-160/ CCTA	CCTA	Y	\$ 1,042,440.77	\$ 1,042,440.77	\$ -
4	4	MTC	San Fernando Street Enhanced Bikeway & Pedestrian Access/City of San Jose	City of San Jose	Y	\$ 139,964.00	\$ 139,964.00	\$ -
5	5	AMBAG	Monterey Bay Sanctuary Scenic Trail/SCCRTC	SCCRTC	Y	\$ 5.37	\$ 5.37	\$ -
6	6	COFCG	E. Palmer Paving associated with C&G sidewalk improvement/City Parlier	City Parlier	Y	\$ 80,000.00	\$ 80,000.00	\$ -
7	6	COFCG	Sidewalk on Manning from Frankwood to Buttonwillow/City of Reedley	City of Reedley	Y	\$ 103,640.00	\$ 103,640.00	\$ -
8	6	COFCG	Jensen AC Overlay/Fresno County	Fresno County	Y	\$ 83,000.00	\$ 83,000.00	\$ -
9	6	COFCG	North Avenue Left Turn at Maple/Fresno County	Fresno County	Y	\$ 34,000.00	\$ 34,000.00	\$ -
10	6	COFCG	Traffic Signal at Whitebridge & Vineland\City of Kerman	City of Kerman	Y	\$ 109,458.00	\$ 109,458.00	\$ -
11	6	COFCG	Install left-turn pocket, safety lighting, signs & striping on Elm Avenue (SR-33/SR-198) from Phelps Avenue to Gate Avenue/City of Coalinga	City of Coalinga	Y	\$ 165,000.00	\$ 165,000.00	\$ -
12	6	TCAG	Street Rehabilitation Project on Paige Ave, Turner Dr, & Mooney Blvd/ City of Tulare	City of Tulare	Y	\$ 983,928.00	\$ 983,928.00	\$ -
13	7	LAMTA	I-5 South Widening and Carpool Lanes between I-605 and the Orange County Line	Caltrans	Y	\$ 782,128.01	\$ 782,128.01	\$ -
14	7	LAMTA	Construction of grade separations on 35-mile freight corridor from Los Angeles to Pomona/San Gabriel COG	San Gabriel COG	Y	\$ 688,750.00	\$ 688,750.00	\$ -
15	7	LAMTA	Imperial Highway Roadway Traffic Signals & Other Improvements/Los Angeles County	Los Angeles County	Y	\$ 693,000.00	\$ 693,000.00	\$ -
16	8	RCTC	SR-91/SR-71 Interchange Improvement/RCTC	RCTC	Y	\$ 738,987.00	\$ 738,987.00	\$ -
17	8	RCTC	SR-60 Truck Climbing Lane Project/Caltrans	Caltrans	Y	\$ 3,037,759.82	\$ 3,037,759.82	\$ -
18	8	SANBAG	I-215 Barton Road Interchange	Caltrans	Y	\$ 1,980,646.00	\$ 1,980,646.00	\$ -
19	8	SANBAG	Green Tree Blvd Extension/City of Victorville	City of Victorville	Y	\$ 3,947,535.00	\$ 3,947,535.00	\$ -
20	10	SJCOG	Rideshare Program/ SJCOG	SJCOG	Y	\$ 595,000.00	\$ 595,000.00	\$ -
21	11	SANDAG	Bradley Ave Overpass at SR-67/San Diego County	San Diego County	Y	\$ 491,964.00	\$ 491,964.00	\$ -
22	12	OCTA	I-405 Widening Project/OCTA	OCTA	Y	\$ 4,083,928.00	\$ 4,083,928.00	\$ -
<b>TOTAL</b>						<b>\$ 21,125,508.97</b>	<b>\$ 21,125,508.97</b>	<b>\$ -</b>

Notes:  
 1. RFA: Request for Federal Authorization