

# Memorandum

**To:** CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

**CTC Meeting:** March 28-29, 2012

**Reference No.:** 3.10  
Information Item

**From:** NORMA ORTEGA  
Chief Financial Officer

**Prepared by:** Karla Sutliff  
Division Chief  
Project Management

**Subject:** **FY 2011-12 SECOND QUARTER PROJECT DELIVERY REPORT**

Attached is the California Department of Transportation's Fiscal Year 2011-12 Second Quarter Project Delivery Report.

Also included in this report is the "Supplement- Report on Completed Projects". Beginning with the next reporting period, this supplemental report will be included in the quarterly Project Delivery Report.

Attachment



# **Second Quarter FY 2011-12 Project Delivery Report**

**Quarterly Report to the  
California Transportation  
Commission**



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The Project Delivery Report is prepared quarterly in December, March, June, and September pursuant to California Transportation Commission (CTC) Resolution G-92-12. The Department of Transportation (Department) staff prepares this report. The purpose of this report is to monitor and track the progress of project delivery during the year and to compare against past years.

Note 1: All costs shown are in \$1,000's unless noted otherwise.

Note 2: Abbreviations and acronyms are listed in the appendix.

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# Executive Summary

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## Second Quarter - FY 2011-12

### **FY 2011-12 Contract for Delivery**

For FY 2011-12, the dollar value of projects in the Contract for Delivery is \$3,232 million. Through the end of the second quarter, FY 2011-12, the Department delivered a total of 89 (32 percent of annual plan) highway construction contracts with an estimated value in the contract at \$606.5 million.

### **Program Delivery Summary**

Though the end of the second quarter, FY 2011-12, the Department has delivered a total of 167 projects valued at \$832.6 million from all funding programs.

Though the end of the second quarter, FY 2011-12, the Department delivered a total of 5 (17 percent of annual plan) programmed State Transportation Improvement Program (STIP) highway construction contracts valued at \$40.4 million (8 percent of annual plan).

Though the end of the second quarter, FY 2010-11, the Department delivered a total of 60 (32 percent of annual plan) programmed State Highway Operations and Protection Program (SHOPP) highway construction contracts valued at \$399.3 million (37 percent of annual plan).

### **Prior Years' Contracts for Delivery Award Status**

Though the end of the second quarter, FY 2011-12, the Department has awarded 328 projects out of 346 (95 percent) from the FY 2010-11 Contract for Delivery and 302 projects out of 306 (99 percent) from the FY 2009-10 Contract for Delivery.

### **Environmental Document Milestones**

In FY 2011-12, the planned total number of environmental document milestones is 211. The Department delivered 100 (60 percent of annual plan) final environmental documents and 13 (30 percent of annual plan) draft environmental documents.

### **Right of Way Program**

In FY 2011-12, the Department received a right-of-way allocation of \$217.5 million dollars. Though the end of the second quarter, the Department expended \$89.8 million (41 percent of annual plan).

### **Construction Program**

There are 663 on-going construction contracts valued at \$9,562 million.

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## FY 2011-12 Contract for Delivery

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Each year, the Department Director signs a contract with each of the Department's 12 district directors committing RTL Milestones for delivery by quarter.

The Contract for Delivery is the Department's fiscal year plan and includes programmed projects and projects funded from other sources including maintenance, toll bridge, and partnership projects. The contract is not subject to change, so it does not include program amendments, emergency, or minor program projects.

The total estimated value at the "Ready To List" delivery milestone for all 279 projects in the FY 2011-12 Contract for Delivery is \$3.232 billion.

29 projects out of 29 projects planned in the first quarter were delivered. In addition, 50 projects out of 59 projects planned in the second quarter were delivered.

The status of the nine projects that were not delivered is as follows:

- Six projects have been subsequently delivered.
- Two projects need to obtain coastal permits.
- One project was delayed due to key staff changes and conversion of plans to English units.

An additional 10 projects from future quarters were also delivered.

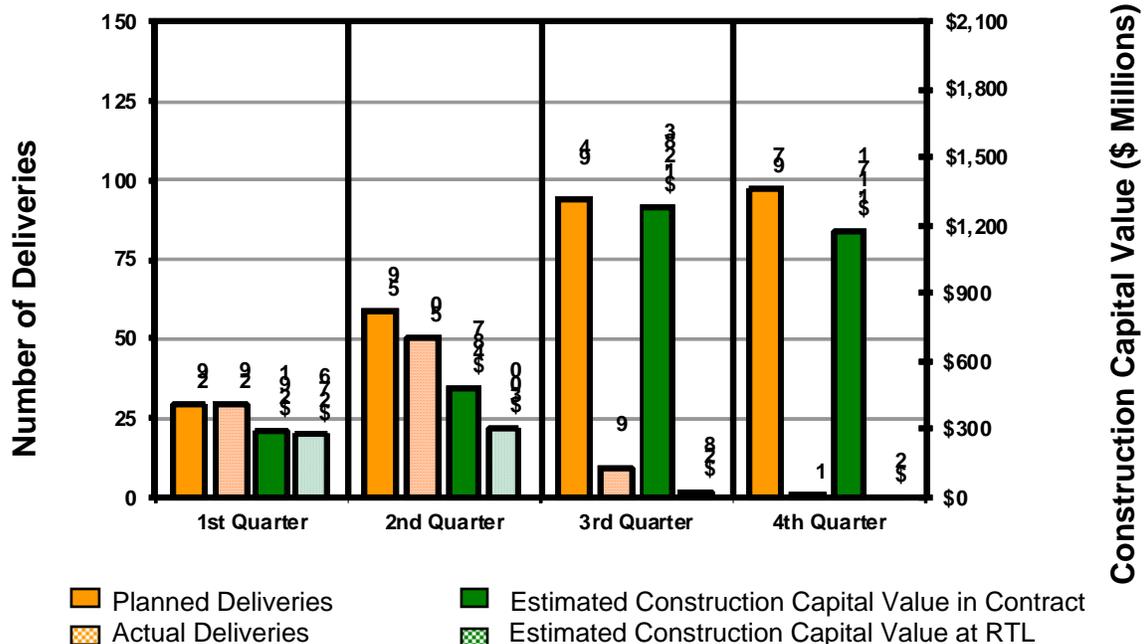
## STATUS AS OF DECEMBER 31, 2011



# STATEWIDE Contract for Delivery FY 2011-12

### Ready to List (RTL) Milestone Delivery

Description	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
<b>NUMBER OF DELIVERIES</b>					
Planned	29	59	94	97	279
Actual	29	50	9	1	89
<b>CONSTRUCTION CAPITAL VALUE (\$ MILLIONS)</b>					
Estimate in Contract	\$ 291.3	\$ 486.7	\$ 1,283.3	\$ 1,170.7	\$ 3,232.0
Estimated at RTL	\$ 276.2	\$ 300.2	\$ 28.1	\$ 2.0	\$ 606.5





# The California Department of Transportation

## Contract for Delivery!

### FY 2011/2012

## 2nd Quarter Delivery Report 59 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
1	46420	SHOPP	MEN	000	\$8,900	\$1,087	\$867	RECONSTRUCT GUARD RAILING		★		★	07/15/13
1	46580	STIP	MEN	001	\$635	\$375		PACIFIC COAST BIKE ROUTE PHASE II AND III		★		12/01/11	04/01/12
1	49870	SHOPP	DN	101	\$1,898	\$252	\$141	PAVEMENT OVERLAY, CENTERLINE RUMBLE STRIPS, RESTRIPE & REPLACE RECESSED			AADD	★	04/15/12
2	0E360	SHOPP	TEH	005	\$6,000	\$1,240		REBUILD N/B & S/B FACILITIES AT CORNING SRRAS		★	★	12/01/11	05/15/12
2	2C810	SHOPP	SHA	299	\$20,413	\$705	\$434	COLD-PLANING AND CONVENTIONAL ASPHALT OVERLAY WITH DIGOUTS.		★		★	03/06/12
2	2E750	SHOPP	VAR	5	\$2,889	\$360	\$394	EXTEND ON-RAMPS		★			03/20/12
2	37430	SHOPP	TRI	299	\$1,711	\$736	\$465	RAIL UPGRADE, WIDEN		★			04/15/12
2	3E640	HM-b	TEH	5	\$2,034	\$140		APPLY METHACRYLATE, REPLACE JOINT SEALS AND PLACE POLYESTER CONCRETE OVERLAY		★	AADD	11/01/11	04/10/12
2	3E890	HM-p	SHA	5	\$4,707	\$120		HMA THIN BLANKET OVERLAY			AADD	12/06/11	03/02/12
2	3E940	HM-p	PLU	70	\$2,200	\$80	\$39	SLURRY SEAL (Type III)			AADD		01/06/12
2	4C581	Bond99/ STIP	TEH	099	\$2,566	\$475	\$475	SHOULDER WIDENING, SIDEWALKS, LANDSCAPING, DRAINAGE					4/18/2012
3	1A734	SHOPP	ED	050	\$3,700	\$2,790	\$2,676	WATER QUALITY IMPROVEMENTS					07/08/12
3	1E000	SHOPP	PLA	089	\$7,000	\$860		PAVEMENT REHABILITATION DRAINAGE IMPROVEMENTS		★	AADD	10/01/11	05/01/12
3	33382	LOCAL	PLA	065	\$20,000	\$2,900	\$789	CONSTRUCT SOUTHBOUND LANES		★		★	03/15/12
3	4M220	HM-b	SAC	51	\$5,500	\$339	\$96	BRIDGE DECK PRESERVATION		★	AADD		04/01/12
3	4M230	HM-b	ED	50	\$1,215	\$113	\$104	PAINT BRIDGES AND JOINT SEALS		★	AADD		04/01/12
4	0120M	TBSRP	ALA	080	\$62,039	\$22,500	\$16,408	COMPLETE EASTBOUND STRUCTURE AND ROADWAY, REMOVE DETOURS AND FINAL		★			04/08/12
4	0G140	SHOPP	SCL	085	\$495	\$220	\$327	INSTALL DRAINAGE IMPROVEMENTS		★	AADD		03/05/12
4	1E310	HM-b	SF	101	\$1,200	\$360	\$261	REPLACE JOINT SEALS, APPLY METHACRYLATE AND PATCH SPALLS		★	AADD		03/06/12
4	1E970	HM-b	SOL	12	\$2,600	\$780	\$316	REPAIR/REPLACE JOINT SEALS, APPLY METHACRYLATE, PATCH SPALLS AND PLACE		★	AADD	★	★
4	28382	SHOPP	SON	116	\$4,738	\$1,320	\$1,066	MITIGATION - TREE PLANTING AND CREEK CHANNELIZATION		★			03/14/12
4	29226	SHOPP	ALA	80	\$7,724	\$6,107	\$6,408	CONSTRUCT BIKEWAY		★			03/21/12

**SECOND QUARTER OCTOBER 1 - DECEMBER 31**

**Status as of 12/31/2011**



- AADD - Authority to Advertise District Delegation
- B - CMIA - Bond - Corridor Mobility Improvement Account
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- HM - b - Highway Maintenance - bridge
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- L - Reimb - Local Reimbursed
- M - Reimb - Measure Reimbursed
- MTC - Metropolitan Transportation Commission
- PE - Preliminary Engineering
- PH2 Ret - Phase 2 Retrofit
- RA - Recovery Act
- Retro-SW - Retrofit Soundwall
- RM2 - Regional Measure 2
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- TCIP - Trade Corridors Improvement Program
- TCRP - Traffic Congestion Relief Program
- TOLL - Other Toll
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- VAR - Various
- SHOPP - State Highway Operation Protection Prog.
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# The California Department of Transportation

## Contract for Delivery!

### FY 2011/2012

## 2nd Quarter Delivery Report 59 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
4	2E260	HM-b	SOL	80	\$850	\$254	\$287	APPLY METHACRYLATE, REPLACE JOINT SEALS AND PLACE POLYESTER CONCRETE		★	AADD	★	03/20/12
4	3S570	SHOPP	MRN	001	\$1,276	\$1,100	\$1,096	REPLACE CULVERT AND INSTALL ROCK SLOPE PROTECTION		★			03/15/12
4	3S752	SHOPP	SON	001	\$340	\$1,050	\$1,338	REPLACE CULVERT AND ROCK SLOPE PROTECTION		★		★	04/16/12
4	4A010	SHOPP	SOL	080	\$50,000	\$1,904		CRACK- SEAT AND OVERLAY EXISTING PCC PAVEMENT		★	AADD	10/17/11	02/26/12
4	4S060	SHOPP	SCL	085	\$281	\$51	\$456	HEAVING PAVEMENT AC LEVELING AND GRINDING		★		★	03/28/12
4	4S590	SHOPP	SM	084	\$1,600	\$414	\$721	CONSTRUCT A SECANT WALL, REPAIR AC PAVEMENT AND REPAIR DRAINAGE DIKES		★			05/24/12
5	0T020	SHOPP	SLO	166	\$533	\$268	\$131	CENTERLINE RUMBLE STRIP		★	AADD	★	02/06/12
6	0E971	SHOPP	FRE	041	\$350	\$136	\$223	REPLACEMENT PLANTING		★			04/01/12
6	0J520	SHOPP	KER	119	\$3,564	\$889	\$1,204	WIDEN SHOULDERS		★		★	04/01/12
6	0L180	SHOPP	KER	005	\$1,492	\$576	\$402	INSTALL MEDIAN BARRIER		★		★	★
6	0L220	SHOPP	KER	005	\$1,636	\$678	\$340	INSTALL MEDIAN BARRIER		★	★	★	05/01/12
6	32550	STIP	KIN	198	\$26,300	\$3,552	\$6,658	CONSTRUCT INTERCHANGE					04/15/12
7	22820	SHOPP	VEN	001	\$14,300	\$2,263		STRUCTURE (SEAWALL) RESTORATION				10/14/11	05/03/12
7	26690	SHOPP	LA	002	\$3,815	\$574	\$836	GORE AREA CLEAN-UP/UPGRADES				★	07/16/12
7	26700	SHOPP	LA	210	\$1,502	\$460	\$461	FREEWAY MAINTENANCE ACCESS		★	AADD	★	03/01/12
7	27400	SHOPP	LA	014	\$3,528	\$1,050	\$669	INSTALL MBGR *NOT PROGRAMMED				★	04/18/12
7	27580	SHOPP	LA	90	\$2,421	\$520	\$564	INSTALL CONCRETE GUARDRAIL		★		★	04/25/12
7	4Y360	HM-b	LA	060	\$910	\$304	\$205	METH DECK, JOINT SEALS, SPALL REPAIRS, APPROACH SLABS, BEARING REPLACEMENT		★	AADD		06/22/12
7	4Y740	HM-b	LA	10	\$1,343	\$304	\$205	DECK METHACRYLATE, JOINT SEALS, MINOR RAIL SPALLS, COLUMN REPAIR, APPROACH SLAB	★		AADD	★	06/12/12
7	4Y830	HM-b	LA	57	\$1,027	\$304	\$0	METH DECK, JOINT SEALS, SPALL REPAIRS, APPROACH SLABS		★	AADD	12/09/11	06/12/12
7	4Y910	HM-b	LA	02	\$1,494	\$294	\$147	METH DECK, JOINT SEAL, APPROACH SLAB, MINOR RAIL REPAIR		★	AADD	★	06/12/12
8	0L930	SHOPP	RIV	010	\$1,960	\$1,092	\$1,623	BUILD A NEW ADMINISTRATIVE BLDG & INSTALL A NEW SEPTIC TANK & LEACH FIELD					04/17/12

**SECOND QUARTER OCTOBER 1 - DECEMBER 31**

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# The California Department of Transportation

## Contract for Delivery!

### FY 2011/2012

## 2nd Quarter Delivery Report 59 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
8	OP680	HM-b	RIV	086	\$150	\$100	\$20	SEAL DECK, REPAIR SPALLS AND BACKFILL AND PLACE RIPRAP				★	03/29/12
8	OP690	HM-b	RIV	010	\$110	\$90	\$221	SEAL DECK, REPLACE JOINT SEALS AND REPAIR AC PAVEMENT				★	03/29/12
8	OP700	HM-b	RIV	215	\$110	\$90	\$259	SEAL DECK, REPLACE JOINT SEALS, REPAIR SPALLS AND REPLACE/REPAIR DAMAGED RAILIN			★	★	03/05/12
8	OP710	HM-b	RIV	074	\$110	\$90	\$176	SEAL DECK, REPLACE DAMAGED JOINT SEALS, REPAIR JOINT SPALLS AND INTALL DRAINAGE				★	03/29/12
8	OP750	HM-b	SBD	VAR	\$110	\$90	\$196	CLEAN/APPLY METHACRYLATE, REPLACE JOINT SEALS, REPAIR JOINT SPALLS AND PATCH CRA				★	03/29/12
8	43890	SHOPP	SBD	178	\$2,538	\$2,669	\$2,531	DRAINAGE IMPROVEMENTS					04/19/12
8	49751	SHOPP	SBD	010	\$200	\$20		3-YR PLANT ESTABLISHMENT				12/15/11	05/28/12
9	23770	SHOPP	MNO	395	\$18,300	\$1,960	\$2,851	REALIGN & CURVE CORRECTION		★	★	★	02/29/12
9	34910	HM-b	KER	VAR	\$903	\$243	\$140	BRIDGE DECK POLYESTER CONCRETE OVERLAY				★	02/01/12
10	OV560	HM-b	SJ	99	\$363	\$103	\$85	HEAT STRAIGHTEN GIRDER, GROUT PADS AND NEW OVERHEAD SIGNS	★	★	★	★	03/13/12
11	07670	SHOPP	IMP	000	\$9,158	\$4,269	\$4,337	CONSTRUCT MAINTENANCE STATION		★			05/31/12
11	2T087	LOCAL TAX	SD	015	\$2,810	\$692	\$13	LANDSCAPE: MANAGED LANES - NORTH SEGMENT		★	AADD	★	03/09/12
11	2T095	CMIA/ LOCAL	SD	015	\$51,000	\$10,478	\$3,725	CONSTRUCT I-15 DAR AND TRANSIT STATION					03/06/12
11	2T180	LOCAL TAX	SD	805	\$43,250	\$6,064	\$5,793	EXPRESS LANES	★	★		★	03/22/12
11	2T181	LOCAL TAX	SD	805	\$66,900	\$9,017	\$8,089	EXPRESS LANES	★	★			04/23/12
					\$486,698	\$97,869	\$77,268						

SECOND QUARTER OCTOBER 1 - DECEMBER 31

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# The California Department of Transportation

## Contract for Delivery!

### FY 2011/2012

## 1st Quarter Delivery Report 29 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
3	2A920	SHOPP	PLA	089	\$40,550	\$17,605	\$15,777	REHAB PAVEMENT AND DRAINAGE SYSTEM ENV IMPR PROGRAM PROJECT (EIP)					04/03/12
4	23564	CMIA/STIP	SM	101	\$25,348	\$4,264	\$5,491	CONSTRUCT NEW AUXILIARY LANES		★		★	12/15/11
4	2A260	SHOPP	SCL	152	\$3,800	\$2,192	\$3,086	NEAR GILROY, AT FERGUSON ROAD, REA LIGN AND SIGNALIZE INTERSECTION		★			02/20/12
4	2E230	SHOPP	CC	004	\$1,888	\$378	\$295	TREAT BRIDGE DECK WITH METHACRYLATE RESIN, REPLACE JOINT SEALS AND ENVIRONMENTAL MITIGATION		★	AADD		05/22/12
4	4A882	SHOPP	SON	128	\$330	\$54	\$184	ENVIRONMENTAL MITIGATION		★			12/15/11
4	4S370	SHOPP	ALA	580	\$735	\$190	\$890	INSTALL ROCK SLOPE PROTECTION AND ROCK SLOPE PROTECTION FABRIC, RE-		★			11/24/11
5	0M14V	SHOPP	SB	101	\$740	\$167	\$209	LANDSCAPE MITIGATION PLANTING AT THE CATHEDRAL OAKS OC AND OH				★	★
5	0S310	SHOPP	SCR	001	\$1,604	\$492	\$477	CONCRETE MEDIAN BARRIER			★	★	01/03/12
5	0T910	HM-b	SB	001	\$300	\$122	\$137	PAINT BRIDGE			★		★
5	34951	STIP	MON	101	\$685	\$395	\$273	LANDSCAPE				★	01/13/12
6	0E070	SHOPP	TUL	099	\$10,500	\$1,573	\$1,186	PAVEMENT REHABILITATION		★			★
6	0F800	SHOPP	TUL	5711	\$700	\$341	\$345	HAZARDOUS WASTE MITIGATION		★	AADD	★	
6	0L640	SHOPP	KER	099	\$80,000	\$1,104	\$991	REPLACE EXISTING #2 & #3 LANE WITH CRCP & PLACE 10 FT PCC OUTSIDE			★		01/06/12
7	27980	SHOPP	LA	010	\$1,500	\$380	\$169	CONST MAINTENANCE VEHICLE PULLOUTS AADD			AADD	★	01/12/12
8	0F240	SHOPP	RIV	015	\$304	\$565	\$604	REPAIR EXHAUST HOODS & WARNING SIGN INSTALL AUTOMATED DETECTION/REPAIR				★	02/16/12
8	0K240	SHOPP	SBD	040	\$36,198	\$2,400	\$580	GRIND AND OVERLAY PAVEMENT, UPGRADE EXISTING GUARDRAIL & REPLACE DIKE			AADD	★	02/15/12
8	0K280	SHOPP	SBD	040	\$23,219	\$1,611	\$707	GRIND 45 MM AND OVERLAY 60 MM AC					02/15/12
8	0N120	HM-p	SBD	062	\$6,055	\$250	\$216	PLACE SEAL COAT AND SLURRY SEAL			AADD	★	02/02/12
10	0Q610	SHOPP	MPA	049	\$1,973	\$514	\$313	WIDEN ROADWAY FOR LEFT TURN CHANNELIZATION			AADD		10/03/11
10	26444	SHOPP	AMA	088	\$18,590	\$5,372	\$6,659	ROADWAY REHABILITATION.		★	★		01/24/12
11	26200	SHOPP	SD	075	\$1,800	\$410	\$489	PAVEMENT REHABILITATION OF MAINLINE AND SHOULDERS		★	AADD		12/01/11
11	26530	SHOPP	SD	015	\$7,156	\$959	\$623	PAVEMENT REHABILITATION			AADD		12/06/11

**FIRST QUARTER JULY 1 - SEPTEMBER 30**

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11	26610	SHOPP	IMP	008	\$13,442	\$670	\$595	PAVEMENT REHABILITATION OF MAINLINE, SHOULDERS, AND RAMPS			★		★
11	28080	SHOPP	SD	078	\$2,200	\$360	\$439	INSTALL RUMBLE STRIPS AND UPGRADE END TREATMENTS					
11	29840	SHOPP	SD	054	\$3,065	\$593	\$554	INSTALL MEDIAN BARRIER			AADD		12/08/11
11	29870	SHOPP	SD	079	\$600	\$288	\$287	CENTERLINE RUMBLE STRIPS AND SHOULDER UPGRADE			AADD		11/16/11
11	2E006	LOCAL TAX	SD	056	\$3,180	\$395	\$526	MITIGATION SITE HABITAT RESTORATION				★	★
11	2T088	LOCAL TAX	SD	015	\$1,864	\$409	\$236	LANDSCAPE: MANAGED LANES - NORTH SEGMENT			AADD	★	01/20/12
11	2T097	STIP	SD	015	\$3,000	\$835	\$396	LANDSCAPE: MANAGED LANES - SOUTH SEGMENT			AADD	★	
					\$291,326	\$44,888	\$42,734						

FIRST QUARTER JULY 1 - SEPTEMBER 30

Status as of 12/31/2011



- AADD - Authority to Advertise District Delegation
- B - CMIA - Bond - Corridor Mobility Improvement Account
- B - RTE99 - P1B SR99 Improvement
- HM - b - Highway Maintenance - bridge
- HM - d - Highway Maintenance - drainage
- HM - p - Highway Maintenance - pavement
- L - Reimb - Local Reimbursed
- M - Reimb - Measure Reimbursed
- MTC - Metropolitan Transportation Commission
- PE - Preliminary Engineering
- Ph2 Ret - Phase 2 Retrofit
- RA - Recovery Act
- Retro-SW - Retrofit Soundwall
- RM2 - Regional Measure 2
- STIPP - State Transportation Improvement Program
- TCIP - Trade Corridors Improvement Program
- TCRP - Traffic Congestion Relief Program
- TOLL - Other Toll
- TOLL-R - Toll Retrofit
- VAR - Various
- SHOPP - State Highway Operation Protection Prog.
- B-SHOPP - Bond - State Highway Operations Protection Program Augmentation

- Completed
- Completed Ahead of Schedule
- Behind Schedule
- To Be Completed/Awarded
- PE Support <= 80% of Budget
- PE Support >= 120% of Budget
- Awarded
- Awarded Ahead of Schedule
- Award Behind Schedule
- Future RTL Status Date
- PE Support Within Budget

# Program Delivery Summary

This section describes by funding programs the number and dollar value of all projects delivered.

## Intercity Rail Program

For FY 2011-12, ten Intercity Rail projects valued at \$39.1 million are programmed for delivery.

### Number of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	3	0	3	4	10
Actual	3	0	0	0	3

### Value of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	\$ 13.4	\$ 0.0	\$ 10.6	\$ 15.1	\$ 39.1
Actual	\$ 13.4	\$ 0.0	\$ 0.0	\$ 0.0	\$ 13.4

## AB 1740 Retrofit Soundwall Program

All 63 planned projects with a construction value of \$215 million have been delivered within the program budget of \$226 million.

	Delivered		Construction Completed	
Locations	63	100%	61	97%
Value	\$ 215	95%	\$ 211	93%

The balance of \$11 million is being held in reserve pending settlement of any potential claims and closing out of all projects. The last two projects under construction have completion dates of February 2012 and July 2014.

## Delivery Summary of All Programs

Through the end of the second quarter, FY 2011-12, the Department delivered a total of 167 projects valued at \$833 million from all programs.

Projects are shown below by the planned program and dollar value.

Projects by Funding Programs	Number		Value	
	Annual Plan	FYTD	Annual Plan	FYTD
STIP (w TCRP,TFA)	29	5	\$ 503.8	\$ 40.4
SHOPP	185	60	\$ 1,084.6	\$ 399.3
BOND	25	5	\$ 992.4	\$ 150.5
Partnership*	24	9	\$ 572.1	\$ 69.4
TBSRA	1	1	\$ 62.1	\$ 62.1
Maintenance (CFD)	40	17	\$ 53.2	\$ 24.6
Minor (CFD)	9	0	\$ 5.9	\$ 0.0
<b>Subtotal</b>	313	97	\$ 3,274.1	\$ 746.3
Emergency		20		\$ 28.6
Minor		12		\$ 7.8
Maintenance		38		\$ 49.9
<b>Total</b>		167		\$ 832.6

\* Partnership funds include all local funds and federal fund subventions given to local agencies.

## Detailed Delivery Summary of All Projects by Programs

Programs	Annual Number of Projects			Annual Dollar Value of Projects		
	Plan	Actual	Percent	Plan	Actual	Percent
<b>STIP Program</b>						
STIP (w TCRP, TFA)	19	2	11	\$ 464.7	\$ 27.0	6
Intercity Rail	10	3	30	\$ 39.1	\$ 13.4	34
Advanced** STIP		0			\$ 0	
<b>TOTAL STIP</b>	<b>29</b>	<b>5</b>	<b>17</b>	<b>\$ 503.8</b>	<b>\$ 40.4</b>	<b>8</b>
<b>SHOPP Program</b>						
SHOPP (w Augmentation)	182	57	31	\$ 1,081.7	\$ 396.4	37
Amended** SHOPP	3	3	100	\$ 2.9	\$ 2.9	100
Advanced** SHOPP		0			\$ 0.0	
<b>TOTAL SHOPP</b>	<b>185</b>	<b>60</b>	<b>32</b>	<b>\$ 1,084.6</b>	<b>\$ 399.3</b>	<b>37</b>
<b>Other ** Programs in Contract (excluding Intercity Rail Bond Program)</b>						
BOND	25	5	20	\$ 992.4	\$ 150.5	15
TBSRA	1	1	100	\$ 62.1	\$ 62.1	100
Partnership	24	9	38	\$ 572.1	\$ 69.4	12
Maintenance	40	17	43	\$ 53.2	\$ 24.6	46
Minor	9	0	0	\$ 5.9	\$ 0.0	0
<b>TOTAL "Other"</b>	<b>99</b>	<b>32</b>	<b>32</b>	<b>\$ 1,685.7</b>	<b>\$ 306.6</b>	<b>18</b>
<b>Additional ** Programs</b>						
Emergency		20			\$ 28.6	
Minor		12			\$ 7.8	
Maintenance		38			\$ 49.9	
<b>TOTAL "Additional"</b>		<b>70</b>			<b>\$ 86.3</b>	
<b>TOTAL All Programs</b>						
STIP	29	5	17	\$ 503.8	\$ 40.4	8
SHOPP	185	60	32	\$ 1,084.6	\$ 399.3	37
Other	99	32	32	\$ 1,685.7	\$ 306.6	18
<b>Subtotal</b>	<b>313</b>	<b>97</b>	<b>31</b>	<b>\$ 3,274.1</b>	<b>\$ 746.3</b>	<b>23</b>
Additional		70			\$ 86.3	
<b>TOTAL</b>		<b>167</b>			<b>\$ 832.6</b>	

**\*\* Notes:**

Additional – Recent projects not in contract. Includes funding reservations.

Amended – Added or deleted to program by amendment.

Advanced – Delivered early from future program year. (Not included in planned numbers)

Other – planned non-STIP/SHOPP projects committed in contract.

Delivery Percentages – Advances in contracts are included in planned figures, other advances are not included in planned figures, but are added to delivered figures.

Due to multiple funding sources on some projects, the sum of contract projects by funding source will exceed the number of planned contract projects.

# Historical Program Delivery Comparison

## 2nd Quarter "Annual Plan" Comparison

### Number of STIP Projects

	11-12	10-11	09-10	08-09	07-08
Annual Plan		25	25	34	54
FYTD		11	14	16	30
Percent		44	56	47	56

### Value of STIP Projects

	11-12	10-11	09-10	08-09	07-08
Annual Plan		\$ 318	\$ 351	\$ 466	\$1,002
FYTD		\$ 248	\$ 93	\$ 140	\$ 471
Percent		78	26	30	47

### Number of SHOPP Projects

	11-12	10-11	09-10	08-09	07-08
Annual Plan		245	174	215	200
FYTD		119	78	107	112
Percent		49	45	50	56

### Value of SHOPP Projects

	11-12	10-11	09-10	08-09	07-08
Annual Plan		\$2,866	\$1,005	\$1,441	\$1,766
FYTD		\$1,187	\$ 445	\$ 312	\$ 514
Percent		41	44	22	29

### Total Number of All Projects

	11-12	10-11	09-10	08-09	07-08
FYTD		257	240	288	272

### Total Value of All Projects

	11-12	10-11	09-10	08-09	07-08
FYTD		\$1,840	\$1,359	\$1,342	\$1,468

\*Note: There was no First Quarter, FY 10-11 report.

# Prior Years' Contract For Delivery Award Status

This section describes the contract award status projects in past years for the annual Contract for Delivery.

## Contract Award Status

Progress continues to be made to get past years' contracts for delivery projects awarded.

Contract Award Status	Plan	Awarded	Percent
FY 2010-11 Contract for Delivery	346	328	95
FY 2009-10 Contract for Delivery	306	302	99
FY 2008-09 Contract for Delivery	334	334	100
FY 2007-08 Contract for Delivery	294	294	100
FY 2006-07 Contract for Delivery	286	286	100
FY 2005-06 Contract for Delivery	174	174	100

## Historical Delivery Comparison

Through the second quarter FY 2010-11, for last year's contract for delivery (FY 2010-11), the Department has awarded 328 projects out of 346 projects or 95 percent of the planned projects. As a comparison, as reported a year ago for the same time period, the Department had awarded 286 projects out of 306 planned projects or 93 percent.

## Contracts Not Yet Awarded

Of the 22 projects not yet awarded, 14 projects have been allocated and are currently out to bid. Three projects need an allocation, (two with future year funds, Willits Bypass) and four projects have minor issues. One project has not been delivered with permit issue being elevated for resolution.

Contracts Not Yet Awarded	Number
Project currently bid	14
Projects needing an allocation	3
Delivered, minor issue pending	4
Project not delivered	1
<b>Total</b>	<b>22</b>

*See appendix for a list of projects not yet awarded*

# Environmental Document Milestones

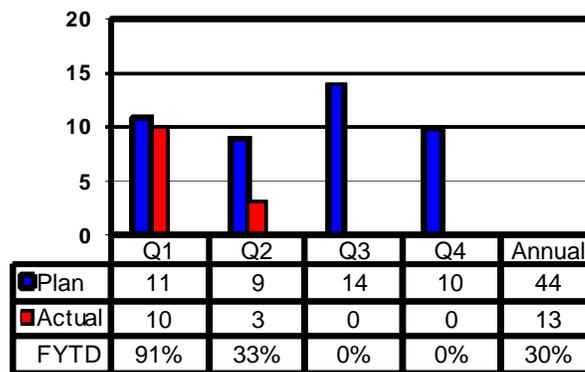
## Environmental Delivery Commitment

As part of this report, the Department reports on delivery for the upcoming year of the two environmental milestones that require CTC action for consideration of future funding. The two milestones include Draft Environmental Documents (DED) and Final Environmental Documents (FED). To provide a comprehensive view of environmental documents under development, the Department also includes Categorical Exclusions that do not require CTC review or action. For FY 2011-12, the Department has planned delivery of 211 environmental milestones.

For FY 2011-12, through the end of the second quarter, the Department delivered 113 (54 percent of annual plan) environmental milestones.

Through the end of the second quarter, one DED and one FED planned environmental documents have slipped outside FY 2011-12 (milestones shown in appendix).

## Number of DED Milestones



## Historical Delivery Comparisons

As a benchmark for comparison, below are historical environmental milestone delivery trend charts for the current year and past four years.

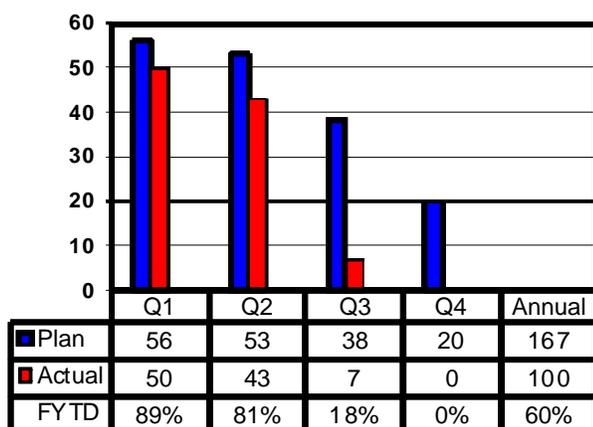
### Past 2nd Qtr PAED Milestones

	11-12	10-11	09-10	08-09	07-08
Plan	167	147	148	151	168
FYTD	100	81	99	98	95
Percent	60	55	67	65	57

### Past 2nd Qtr DED Milestones

	11-12	10-11	09-10	08-09	07-08
Plan	44	37	34	41	47
FYTD	13	12	10	17	18
Percent	30	32	29	41	38

## Number of FED Milestones



# Right of Way

## Right of Way Delivery Commitment

The Department's R/W delivery commitment is twofold. One delivery commitment is to utilize funds approved by the CTC for acquisition of R/W. The second delivery commitment is to secure all necessary R/W requirements and to certify R/W for all projects scheduled for delivery in the current year.

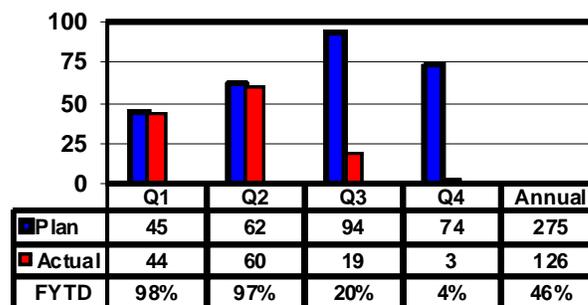
## Right of Way Expenditures

R/W activities and expenditures are outlined by the categories below:

Category (\$millions)	Plan	FYTD	Percent
<b>Capital Projects</b>			
STIP	\$ 93.0	\$ 46.9	50
SHOPP	\$ 38.2	\$ 26.8	70
<b>Subtotal</b>	<b>\$ 131.2</b>	<b>\$ 73.7</b>	<b>56</b>
<b>Specific Categories</b>			
Post Certifications	\$ 70.1	\$ 10.0	14
Inverse Condemnation	\$ 15.2	\$ 5.5	36
Project Development	\$ 1.0	\$ 0.6	60
<b>Subtotal</b>	<b>\$ 86.3</b>	<b>\$ 16.1</b>	<b>19</b>
<b>TOTAL</b>	<b>\$ 217.5</b>	<b>\$ 89.8</b>	<b>41</b>

For FY 2011-12, the Department requested and received a R/W allocation of \$217.5 million.

## Right of Way Certifications



For FY 2011-12, the planned number of R/W certifications is 275. Through the end of the second quarter, the Department completed a total of 126 R/W certifications, 46 percent of the annual plan.

## Historical Delivery Comparisons

As a benchmark for comparison, below are historical R/W delivery trend charts for the current year and past four years.

### Past 2nd Qtr Right of Way Expenditures

	11-12	10-11	09-10	08-09	07-08
Plan	\$217.5	\$219.4	\$237.7	\$230.9	\$284.0
FYTD	\$ 89.8	\$ 69.7	\$ 80.4	\$106.1	\$ 94.3
Percent	41	32	34	46	33

### Past 2nd Qtr Right of Way Certifications

	11-12	10-11	09-10	08-09	07-08
Plan	275	311	283	304	245
FYTD	126	186	192	206	160
Percent	46	60	68	68	65

# Construction Program

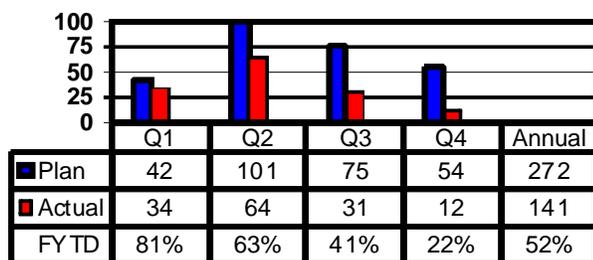
## PLANNED CONSTRUCTION PROGRAM

(Excludes some projects such as minor, program amendments and emergency.)

### Construction Delivery Commitment

Delivery in the eyes of our customers is achieved when capital improvements are delivered to the traveling public. This is best measured by when the construction contract is accepted.

#### Planned Construction Contracts Accepted



Through the end of the first quarter, FY 2011-12, the Department had accepted a total of 141 major construction contracts (52 percent) out of a total of 272 planned contracts identified in the Department's delivery plan.

### Historical Delivery Comparison

As a benchmark for comparison, shown are historical delivery trend charts for planned major construction contract acceptances.

#### Past 2nd Qtr Construction Contracts Accepted

	11-12	10-11	09-10	08-09	07-08
Plan	272	216	226	213	248
FYTD	141	96	157	144	153
Percent	52	44	69	68	62

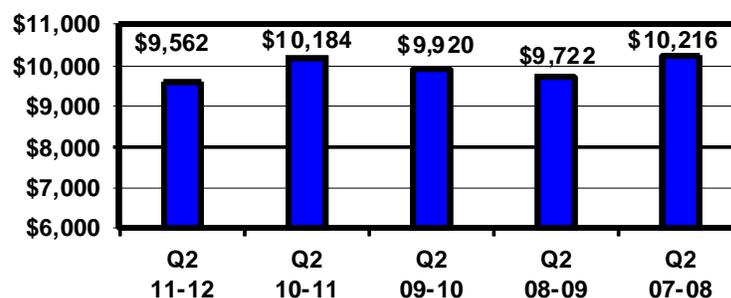
## OVERALL CONSTRUCTION PROGRAM

(Includes planned programmed projects and additional minor A, amendments, and some minor B projects that are not programmed.)

### Under Construction

At the end of the second quarter, FY 2011-12, the Department had 663 contracts valued at \$9,562 million under construction.

#### Value of Ongoing Contracts (\$ millions)



### 2nd Quarter Construction Program Results

Construction Starts – 49 construction contracts valued at \$196 million were started (including minor A and some minor B projects that are not programmed).

Accepted Contracts – 149 construction contracts valued at \$1,036 million were accepted.

Arbitration - The Department currently has 40 construction contracts in arbitration. Seven new arbitration case was filed, and five contracts were settled or received an arbitration decision.

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# Appendix

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- (A) Glossary
- (B) Prior Years' Contracts For Delivery Award Status  
Projects Not Yet Awarded
- (C) Environmental Documents
- (D) Status of Major Projects with Right of Way
- (E) Construction Contract Administration

## Glossary

- #** 1st – First  
2nd – Second  
3rd – Third  
4th - Fourth
- A** AB – Assembly Bill
- B** BATA – Bay Area Toll Authority  
BIP –  
BOND – Proposition 1B Bond Program
- C** Cap – Capital (has construction)  
CE – Categorical Exemption  
Cert - Certification  
CTC – California Transportation  
Commission  
Cty - County
- D** Doc – Document  
D-EA – District and expenditure  
authorization  
DED – Draft environmental document
- E** ED – Environmental Document  
EIR – Environmental Impact Report  
Emerg – Emergency funded project  
Env - Environmental
- F** FED – Final environmental document  
FY – Fiscal Year  
FYTD – Fiscal year to date
- N** ND – Negative Declaration  
NOP – Notice of Preparation
- P** PART – Partnership (local funded  
projects delivered by state including  
contributor funds on state funded  
projects (counts all non-STIP or  
non- SHOPP Funds)  
PAED – Project approval and  
environmental document  
PM – Post Mile  
PSE – Plans, specifications and  
estimate
- Q** Q1 – First Quarter  
Q2 – Second Quarter  
Q3 – Third Quarter  
Q4 – Fourth Quarter  
Qtr – Quarter
- R** RTL – Ready to list  
Rte – Route  
R/W – Right-of-way  
RWC – Right-of-way certification
- S** SDWLL – Retrofit Soundwall funded  
project  
SHOPP – State Highway Operations  
and Protection Program  
STIP – State Transportation  
Improvement Program
- T** TBSRA – Toll Bridge Seismic Retrofit  
Account  
TCRF – Traffic congestion relief funds

## Prior Years' Contracts for Delivery Award Status Projects Not Yet Awarded

### Projects initial bid.

FY CFD	D-EA	Pgm	Cty	Rte	Description	Value	Ready to List	Vote	Advertise	Bid Opening	Award Status
10-11	04-2708U	SHOPP	SM	280	Replacement Landscaping	\$ 3,000	04/20/11	06/23/11	07/11/11	Postponed	
10-11	04-4A07U	SHOPP	ALA	580	Construct Truck Climbing Lane,	\$ 75,920	05/17/11	06/23/11	07/25/11	09/27/11	Delay to award due to F&G permit. (June)
10-11	04-4A923	STIP	SM	101	Install Tos Infrastructure	\$ 10,200	01/28/11	10/27/11	03/05/12	04/03/12	
10-11	04-4S550	SHOPP	MRN	101	Replace Existing Fill With Lightweight Fill	\$ 2,224	09/29/11	12/15/11	02/06/12	02/28/12	
10-11	07-20211	SHOPP	LA	710	Rehabilitate Roadway	\$ 217,000	06/30/11	08/10/11	10/10/11	02/09/12	
10-11	07-2332A	SHOPP	LA	5	Construct Truck Lane	\$ 102,626	05/27/11	06/23/11	07/18/11	09/08/11	Delay to award due to bidders qualification. (June)
10-11	08-0K200	SHOPP	SBD	18	Widen Roadway And Add Left-Turn	\$ 862	06/29/11	01/11/12	03/12/12	04/05/12	
10-11	08-33487	Part	RIV	215	Replacement Highway Planting	\$ 1,760	10/19/11	Local	11/14/11	Postponed	
10-11	08-38434	SHOPP	SBD	10	Install Rms & Vds & Widen On-Ramps	\$ 19,100	04/19/11	10/27/11	11/28/11	02/02/12	Target 4/9/12
09-10	10-0A872	CMIA	STA	219	Widen To 4 Lanes And Intersection Improvements	\$ 26,000	06/30/10	12/15/11	02/06/12	03/28/12	
09-10	10-41570	SR99	MER	99	Arboleda	\$ 127,000	05/25/11	12/15/11	01/17/12	03/13/12	
10-11	10-41580	STIP	MER	99	Plainsburg	\$ 74,581	06/20/11	02/23/12	03/05/12	04/24/12	
10-11	11-2T095	CMIA	SD	15	Managed Lanes - South Segment	\$ 47,453	11/14/11	12/15/11	01/03/12	02/23/12	
10-11	12-0H029	SHOPP	ORA	91	Resurface The Travel Ways Of	\$ 28,653	06/28/11	08/10/11	11/14/11	02/16/12	

\$ 736,379

### Projects delivered needs vote

09-10	01-26200	STIP	MEN	101	Construct 4-Lane Freeway, Phase 1	\$ 164,201	02/22/12	Target March			Willits Bypass
10-11	04-4S270	SHOPP	CC	24	Install Downdrain, Grading And	\$ 850	06/30/11				FY 12-13 Funds
10-11	04-4S580	SHOPP	SM	1	Construct Lined Ditch And Place	\$ 399	05/26/11				FY 12-13 Funds

\$ 165,450

### Projects delivered, not allocated with issue pending

10-11	04-01407	SHOPP	ALA	80	Planting And Irrigation	\$ 565	06/01/11				Needs federal aid approval.
10-11	08-0C121	SHOPP	SBD	395	Widen Median & Shoulders, Install	\$ 39,452	06/30/11				Needs upgraded RW Cert, target 4/12/12
09-10	08-0N120	HM	SBD	62	Place Seal Coat	\$ 3,200	12/22/09	HM			Scope of work changed, being re-designed.
10-11	08-36850	SHOPP	SBD	15	Const Comm Veh Enforcement Facility	\$ 71,244	06/30/11				Needs federal aid approval.

\$ 114,461

### Projects not yet delivered

10-11	03-40660	SR99	SUT	99	Construct Interchange And	\$ 21,300		Target March			Permit issue being elevated for resolution.
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\$ 21,300

## Final Environmental Document (FED) Milestone Delivery

### First Quarter - 56 Planned Deliverables

### Second Quarter - 53 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	
01	0A410	SHOPP	MEN	101	REHABILITATE BRIDGE	
01	0A590	SHOPP	MEN	001	REHAB BRIDGE DECKS	
01	47490	SHOPP	MEN	001	REPAIR STORM DAMAGE	
01	47502	SHOPP	HUM	254	REPAIR STORM DAMAGE	
01	47660	SHOPP	MEN	128	REPAIR STORM DAMAGE	
02	0E400	STIP	SHA	005	UPGRADE VISTA POINT	
02	0E440	STIP	SIS	097	TURN LANE	
02	0E450	STIP	TEH	005	INSTALL NATIVE LANDSCAPING	
02	0E840	STIP	SIS	003	INSTALL LEFT TURN LANE AT JUNIPER DRIVE	
02	3E640	SHOPP		5	METHACRYLATE, REPLACE JOINT SEALS	
02	3E890	SHOPP		5	HMA THIN BLANKET OVERLAY	
03	1A842	SHOPP	ED	089	STORM WATER IMPROVEMENTS	
03	2F260	SHOPP	PLA	080	REPAIR CUT SLOPE	
03	4E500	STIP	SIE	089	CONSTRUCT WILDLIFE CROSSING	
04	15113	CMIA	ALA	580	INSTALL RAMP METERING AND HOV BYPASS	
04	15300	CMIA	ALA	092	INSTALL RAMP METERING	
04	15310	CMIA	ALA	680	INSTALL RAMP METERING & TOS	
04	15320	CMIA	SCL	680	INSTALL RAMP METERING & TOS	
04	15350	CMIA	SOL	080	INSTALL RAMP METERING & TOS	
04	15420	CMIA	SCL	085	INSTALL RAMP METERING & TOS	
04	1G560	SHOPP	ALA	880	REMOVE MBGR AND INSTALL TYPE 60A	
04	3S900	SHOPP	MRN	001	CONSTRUCT TIE-BACK WALL	
04	4A320	SHOPP	SM	280	INSTALL METAL BEAM GUARDRAILING	
04	4S660	SHOPP	MRN	001	REPLACE EMERGENCY REPAIR WALL	
05	0Q630	SHOPP	SLO	101	CONSTRUCT MEDIAN BARRIER	
05	0R510	SHOPP	SCR	001	ADA CURB RAMPS AND MINOR SIDEWALKS	
06	0G830	STIP	KER	099	WIDEN FREEWAY FROM 6 TO 8 LANES	
06	0G840	STIP	KER	099	WIDEN FREEWAY FROM 6 TO 8 LANES	
06	0G900		MAD	041	CONSTRUCT PASSING LANES	
06	0M210	SHOPP	FRE	005	METHACRYLATE, REPLACE JOINT SEALS	
06	0M230	SHOPP	FRE	041	METHACRYLATE, REPLACE JOINT SEALS	
06	0M270	SHOPP	KER	058	METHACRYLATE, REPLACE JOINT SEALS	
06	0M290	SHOPP	KER	058	PLACE METHACRYLATE DECK SEAL	
06	0N400	SHOPP	KER	058	PLACE ROCK SLOPE PROTECTION	
06	43070	STIP	TUL	216	WIDEN AND REALIGNMENT O	
07	27750	SHOPP	LA	5	INSTALL PLANTS FOR EROSION CONTROL	
07	27760	SHOPP	LA	5	INSTALL PLANTS FOR EROSION CONTROL	
07	27770	SHOPP	LA	5	INSTALL PLANTS FOR EROSION CONTROL	
07	28500	SHOPP	LA	005	INSTALL AND UPGRADE SIGNAL	
07	2X930	SHOPP	LA	105	REPAIR SLOPE EROSIONS	
07	2X960	SHOPP	LA	710	RECONSTRUCT ERODED SLOPE	
08	0M830	SHOPP	SBD	015	UPGRADE PEDESTRIAN FACILITIES	
08	0N910	SHOPP	RIV	062	INSTALL TRAFFIC SIGNALS	
08	0P670	SHOPP	SBD	018	CURB RAMPS, SIDEWALK & PEDESTRIAN	
08	0P680	SHOPP	RIV	086	METHACRYLATE	
08	0P690	SHOPP	RIV	010	METHACRYLATE, REPLACE JOINT SEALS	
08	0P750	SHOPP	SBD	083	METHACRYLATE, REPLACE JOINT SEALS	
08	0P960	SHOPP	RIV	079	INSTALL MARKERS AND RUMBLE STRIPS	
10	0S860	SHOPP	SJ	099	HOTMIX ASPHALT OVERLAY	
10	0V560	SHOPP	SJ	099	STRAIGHTEN GIRDER, REPLACE DIAPHRAGM	
10	0V590	SHOPP		4	PAINT BRIDGE	
11	26501	STIP	SD	163	SCENIC/HISTORIC HIGHWAY PRESERVATION	
11	29770	STIP	SD	005	GRADE SEPARATION IMPROVEMENTS	
12	0K330	STIP	ORA	091	WILDLIFE CORRIDOR CONNECTIVITY	
12	0M140	SHOPP	ORA	405	ADA UPGRADES, OFF-RAMP	
12	0M160	SHOPP	ORA	057	ADA IMPROVEMENTS	

D	EA	Pgm	Cty	Rte	Description	
01	0A380	SHOPP	HUM	255	REHABILITATE BRIDGE	
01	0A400	SHOPP	HUM	000	REHABILITATE BRIDGE	
01	42370	SHOPP	HUM	299	RECONSTRUCT ROADWAY	
01	46390	SHOPP	HUM	000	RECONSTRUCT GUARD RAILING	
01	48470	SHOPP	MEN	001	MBGR, RUMBLE STRIPS, DRAINAGE INLETS	
01	48860	SHOPP	LAK	020	IMPROVE INTERSECTION	
01	49660	SHOPP	VAR	000	REHABILITATE BRIDGE	
02	2C225	SHOPP	TEH	036	BRIDGE SCOUR	
02	2E620	SHOPP	TEH	036	CURVE IMPROVEMENT	
02	2E730	SHOPP	TEH	032	CURVE IMPROVEMENT, WIDENING	
02	36070	SHOPP	SHA	299	ROADWAY REHABILITATION	
03	2F670	SHOPP	YOL	113	ADA CURB RAMP UPGRADES	
03	2F700	SHOPP	YOL	080	RECONSTRUCT EMBANKMENT	
03	3E100	SHOPP	PLA	080	VERTICAL CLEARANCE FOR PERMIT VEH	
03	4E590	SHOPP	ED	049	INCREASE SUPERELEVATION OF CURVE	
03	4E990	SHOPP	BUT	099	INSTALL TRAFFIC SIGNAL	
03	4M240	SHOPP	SAC	051	BRIDGE DECK PRESERVATION, JOINT REPAIR	
04	15330	CMIA	SCL	101	INSTALL RAMP METERING, TOS	
05	0F700	SHOPP	MON	068	BRIDGE WIDENING	
05	0C901	SHOPP	SCR	001	INSTALL CCTV AND SIGNS	
05	0R830	SHOPP	SB	001	ADA CURB RAMPS AND MINOR SIDEWALKS	
05	0T000	SHOPP	SB	154	INTERSECTION IMPROVEMENTS	
05	1A480	SHOPP	MON	001	METHACRYLATE DECK SEAL, REPLACE	
06	0K450	SHOPP	KER	014	METHACRYLATE & POLYESTER CONCRETE	
06	0J920	SHOPP	FRE	145	CONSTRUCT ROUNDABOUT	
06	0M020	STIP	FRE	168	ENHANCE VISTA POINT	
06	0M220	SHOPP	KER	005	PAINT BRIDGES	
06	0N070	SHOPP	KER	005	MAINTENANCE	
07	2X940	SHOPP	LA	110	REPAIR SLOPE EROSIONS	
07	2X980	SHOPP	LA	014	REPAIR SLOPE	
07	25900	SHOPP	LA	001	SAND FILTERS & INFILTRATION DEVICES	
07	25880	SHOPP	LA	000	SOIL STABILIZATION & REVEGETATION	
07	27680	SHOPP	LA	210	INSTALL PLANTS FOR EROSION CONTROL	
07	27710	SHOPP	LA	210	INSTALL PLANTS FOR EROSION CONTROL	
07	3X020	SHOPP	VEN	150	CONSTRUCT SOLDIER PILE WALLS	
08	0J850	SHOPP	SBD	138	REHABILITATE BRIDGE	
08	0N590	SHOPP	SBD	040	BRIDGE REPLACEMENT	
08	0P560	SHOPP	SBD	095	CENTERLINE GROUND IN RUMBLE STRIPS	
08	0Q240	SHOPP	SBD	138	REPAIR/REPLACE 42" CULVERTS	
10	0U620	SHOPP	SJ	005	BRIDGE SOFFIT & COLUMN REPAIR	
10	0S940	SHOPP	MER	152	DOUBLE THRIE BEAM BARRIER IN MEDIAN	
10	0T160	SHOPP	SJ	026	HORIZONTAL CURVE REALIGNMENT	
10	0U480		STA	108	PEDESTRIAN ACCESSIBILITY IMPROVEMENTS	
10	0W070	SHOPP	TUO	120	REPLACE BRIDGE DECK	
10	0W090	SHOPP	VAR		METHACRYLATE, REPLACE JOINT SEALS	
10	0W150	SHOPP		99	PAINT BRIDGE	
11	05631	STIP	SD	011	CONSTRUCT FREEWAY & PORT OF ENTRY	
11	25711		SD	076	CONSTRUCT 4 LANE HIGHWAY	
11	25714		SD	076	INTERCHANGE	
11	29040	SHOPP	SD	094	PAVEMENT REHABILITATION	
11	29480	STIP	IMP	186	PEDESTRIAN/TRANSIT FACILITIES -(TE)	
12	0L410	SHOPP	ORA	133	STABILIZE ROADWAY AND EMBANKMENT	
12	0L760	SHOPP	ORA	405	METHACRYLATE, REPLACE JOINT SEALS	

**Legend**

	Completed
	N Not Needed due to Env Doc Change
	P Env Doc Done, Project Report Pending
	Behind Schedule
	To Be Completed
	Delay Out of Year

## Final Environmental Document (FED) Milestone Delivery (page 2)

### Third Quarter - 38 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	
01	36330	SHOPP	HUM	101	ROADWAY REHABILITATION	
01	47940	STIP	DN	199	WIDENING & BRIDGE REPLACEMENT	
02	0C250	STIP	SIS	005	OPERATIONAL IMPROVEMENTS TO RAMPS	
02	2E740	SHOPP	SHA	299	CURVE IMPROVEMENT	
02	3E650	SHOPP	SHA	910	BRIDGE MAINTENANCE	
02	3E690	SHOPP	MOD	299	SHOULDER WIDENING	
02	3E710	SHOPP	VAR			
03	0F680	SHOPP	SAC	080	REPLACE JOINTS AND SUPER-REHAB	
03	1F740	SHOPP	COL	020	CAPM OVERLAY AND SHOULDER BACKING	
03	2F300	SHOPP	BUT	070	REHABILITATE PAVEMENT	
03	2F350	SHOPP	NEV	020	PAVEMENT REHABILITATION	
03	2F360	SHOPP	NEV	020	AC OVERLAY, REPLACE GUARDRAIL	
03	3C900	SHOPP	SAC	012	REHABILITATE PAVEMENT	
04	1G250	SHOPP	SF	080	REPLACE LIGHTING	
04	1G260	SHOPP	SF	080	REPLACE LIGHTING	
04	1G420	SHOPP	SON	116	RETAINING WALL, PLACE ROCK PROTECTION	
04	1G550	SHOPP	CC	080	EMBANKMENT MBGR	
04	15270	SHOPP	CC	004	RAMP METERING AND TOS	
04	4A260	SHOPP	ALA	580	INSTALL METAL BEAM GUARDRAIL	
05	0R530	SHOPP	SLO	001	ADA CURB RAMPS	
05	0S030	SHOPP	SB	001	CURB RAMPS AND SIDEWALK EXTENSIONS	
06	0K390	SHOPP	KER	058	BRIDGE REPLACEMENT	
06	0L390	SHOPP	KER	099	RIGHT TURN LANE OF THE SB ONRAMP	
06	0N850	SHOPP	TUL	099	PAVEMENT REHAB / AC OVERLAY (RAC)	
06	32451	STIP	TUL	099	REPLACEMENT PLANTING	
06	47150	STIP	TUL	099	RECONSTRUCT INTERCHANGE	
07	25920	SHOPP	LA	010	SAND FILTERS & INFILTRATION DEVICES	
08	0K310	SHOPP	SBD	095	VERTICAL CURVE ALIGNMENT	
08	0K710	SHOPP	SBD	015	REALIGN AND RECONFIGURE CONNECTORS	
08	0N510	SHOPP	RIV	015	REPLACE GUARDRAIL W CONCRETE BARRIER	
08	0Q110	SHOPP	RIV	010	REPLACE CHAIN LINK & BARBED WIRE FENCE	
08	44655	STIP	RIV	071	WILDLIFE STUDY	
11	40670	SHOPP	SD	005	PAVEMENT REHABILITATION	
11	2T190		SD	015	CONSTRUCT CLASS 1 BIKE PATH	
12	0L750	SHOPP	ORA	091	SHOULDER WIDENING, SIGNING, STRIPING	
12	0L770	SHOPP	ORA	39	SAFETY LIGHTING AND MODIFY SIGNALS	
12	0L780	SHOPP	ORA	39	SAFETY LIGHTING AND MODIFY SIGNALS	
12	0L790	SHOPP	ORA	39	SAFETY LIGHTING AND MODIFY SIGNALS	

### Fourth Quarter - 20 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	
03	4E480	STIP	SAC	099	INSTALL NATIVE PLANTING	
04	2356A	STIP	SM	101	REPLACEMENT LANDSCAPING	
04	2A320	SHOPP	NAP	121	BRIDGE REPLACEMENT (SCOUR)	
04	2A330	SHOPP	ALA	084	SIGHT DISTANCE, UPGRADE SHOULDERS	
04	2G310					
04	15160	SHOPP	MRN	101	INSTALL RAMP METERING	
04	4S450	SHOPP	MRN	001	REPAIR WASH OUT AND EMBANKMENT	
04	4S920	SHOPP	SON	001	CONSTRUCT SOLDIER PILE WALL	
05	31600	STIP	MON	156	WIDEN TO 4-LN DIVIDED EXPRESSWAY	
06	0H100	SHOPP	FRE	168	AC OVERLAY	
06	0H630	SHOPP	TUL	198	ADA COMPLIANCE UPGRADES	
06	0H640	SHOPP	KER	099	ADA COMPLIANCE UPGRADES	
07	28420	STIP	LA	005	TRANSPORTATION ENHANCEMENT	
07	28450	STIP	LA	014	TRANSPORTATION ENHANCEMENT	
08	0M280	SHOPP	SBD	015	REPLACE 2 BRIDGES	
08	0N570	SHOPP	SBD	040	BRIDGE SEISMIC RETROFIT	
08	3401U	STIP	SBD	138	WIDEN TO 4 LNS	
08	38350	SHOPP	RIV	74	REPLACE BRIDGE	
08	44910	SHOPP	RIV	111	REPLACE BRIDGE	
12	0G331	STIP	ORA	091	HIGHWAY REPLACEMENT PLANTING	

**Legend**

	Completed
	Completed Ahead of Planned Quarter
	Not Needed due to Env Doc Change
	Env Doc Done, Project Report Pending
	Behind Schedule
	To Be Completed
	Delay Out of Year (see delay categories below)

## Draft Environmental Document (DED) Milestone Delivery

### First Quarter - 11 Planned DED Deliverables

D	EA	Pgm	Cty	Rte	Description	
01	42370	SHOPP	HUM	299	RECONSTRUCT ROADWAY	Completed
02	2E620	SHOPP	TEH	036	CURVE IMPROVEMENT	Completed
02	36070	SHOPP	SHA	299	ROADWAY REHABILITATION	Completed
04	28120	STIP	NAP	029	CONSTR SB FLYOVER FR RTES 221-29/12	Completed Ahead of Schedule
06	0J920	SHOPP	FRE	145	CONSTRUCT ROUNDABOUT	Completed
07	2X940	SHOPP	LA	110	REPAIR SLOPE EROSIONS	Completed
07	3X020	SHOPP	VEN	150	CONSTRUCT SOLDIER PILE WALLS	Completed
08	38350	SHOPP	RIV	74	REPLACE BRIDGE	Completed
08	0N590	SHOPP	SBD	040	BRIDGE REPLACEMENT	Completed
10	0W070	SHOPP	TUO	120	REPLACE BRIDGE DECK	Completed
11	29770	STIP	SD	005	GRADE SEPARATION IMPROVEMENTS	Completed

### Second Quarter - 9 Planned DED Deliverables

D	EA	Pgm	Cty	Rte	Description	
01	48860	SHOPP	LAK	020	IMPROVE INTERSECTION	Completed
04	16030	SHOPP	ALA	084	REPLACE BRIDGE	Completed
04	2A320	SHOPP	NAP	121	BRIDGE REPLACEMENT (SCOUR)	Completed
05	0G040	SHOPP	SLO	101	HIGHWAY REHAB	Completed
05	0N700	STIP	SB	101	CONSTRUCT HOV LANES	Completed
06	0L390	SHOPP	KER	099	RELOCATE TURN LN SB ONRAMP	Completed
07	2X980	SHOPP	LA	014	REPAIR SLOPE	Completed
08	0K310	SHOPP	SBD	095	VERTICAL CURVE ALIGNMENT	Completed
11	2T190		SD	015	CONSTRUCT CLASS 1 BIKE PATH	Completed

### Third Quarter - 14 Planned DED Deliverables

D-EA	Pgm	Cty	Rte	Description		
03	0F230	SHOPP	SAC	050	REHABILITATE TWO BRIDGE DECKS	
03	1A843	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENTS	
03	1A845	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENTS	
03	4E860	SHOPP	PLA	193	IMPROVE AND WIDEN CURVE	
05	0T640	SHOPP	SBT	025	CURVE CORRECTION	
06	0H630	SHOPP	TUL	198	ADA COMPLIANCE UPGRADES	
06	0H640	SHOPP	KER	099	ADA COMPLIANCE UPGRADES	
06	0J530	SHOPP	TUL	190	INTERSECTION IMPROVEMENTS	
06	43260	SHOPP	FRE	033	BRIDGE REPLACEMENT	
06	46150	SHOPP	TUL	190	AC OVERLAY, CHANNELIZATION	
08	04351	STIP	SBD	58	REALIGN & WIDEN 2 TO 4 LANE EXPWY	
08	0M280	SHOPP	SBD	015	REPLACE 2 BRIDGES	
10	0F280	SHOPP	CAL	004	CONSTR WALL FOR SEDIMENT CONTROL	
11	17790		SD	005	CONSTR DIRECT RWY TO FWY CONNECTORS	

### Fourth Quarter - 10 Planned DED Deliverables

D-EA	Pgm	Cty	Rte	Description		
01	43060	SHOPP	HUM	254	REPLACE BRIDGE RAILS AND WIDEN	
01	49710	SHOPP	MEN	271	HAZARDOUS WASTE MITIGATION	
03	0F690	SHOPP	BUT	070	REPLACE BRIDGE	
04	0A020	SHOPP	SON	001	REALIGN ROADWAY	
08	0G900	SHOPP	SBD	247	CONSTRUCT STANDARD PAVED SHOULDER	
08	0N560	SHOPP	SBD	040	BRIDGE REPLACEMENT	
08	0N591	SHOPP	SBD	040	BRIDGE REPLACEMENT	
08	33630	SHOPP	SBD	38	REPLACE BRIDGE DECK, UPGRADE RAIL	
09	33500	SHOPP	MNO	395	MITIGATE MONO LAKE ROCKFALL	
11	26330	STIP	IMP	008	REVISED INTERCHANGE	

-  Completed
-  Completed Ahead of Schedule
-  Behind Schedule
-  To Be Completed
-  Delay Out of Year (see delay categories below)

2011/12 FY Right of Way Capital Major Project List

D	EA	PPNO	Cty	Rte	Description	RW Cert Date	RW Capital All Funds	RW Capital STIP/SHOPP	Doc Year	Total RW Capital Estimate	2012 FY Alloc Plan	TOTAL FYTD Commitments as of 1/12/12	Allocation Expended %	Allocation Remaining	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
01	262001	0125F	MEN	101	CONSTRUCT 4-LANE FREEWAY, PHASE 1	5/28/2010	33,000	26,750	2010	32,935	5,320,000	497,732	9.4%	4,822,268	352,814	144,918		
03	0C4701	8655	YOL	016	WIDEN SH & CONSTR LEFT TURN LANE RT TRN POCKETS, INTERSEC IMPROVEMNT	4/15/2012	12,300	12,300	2010	12,265	3,685,000	43,127	1.2%	3,641,873	32,857	10,270		
03	0E9301	2273	BUT	070	WIDEN ROADWAY AND PLACE RUBBERIZED HMA AND HMA TYPE A	8/16/2010	2,600	2,600	2010	2,011	1,025,000	1,209	0.1%	1,023,791	95,000	(93,791)		
03	1A7320	3233B	ED	050	STORM WATER QUALITY IMPROVEMENTS OVERLAY, WIDEN SHLDR, REPL CULVERTS	7/2/2012	1,756	1,756	2010	1,517	1,419,000	25,921	1.8%	1,393,079	24,751	1,170		
03	1A8440	3453D	ED	089	STORM WATER QUALITY IMPROVEMENTS O/L, WIDEN, ADD DIKES & RET BASINS	7/15/2012	2,123	2,123	2010	1,836	1,802,000	22,655	1.3%	1,779,345	7,500	15,155		
03	3C3800	3258	ED	050	STORM WATER MITIGATION	12/1/2012	3,704	3,704	2010	3,034	1,092,000	29,063	2.7%	1,062,938	27,563	1,500		
04	1637E1	0619E	SF	101	SOUTH ACCESS TO GOLDEN GATE BRIDGE DOYLE DR REPLACEMENT PROJECT-ALL	7/21/2010	32,300	32,300	2010	90,000	17,539,000	18,063,108	103.0%	-524,108	17,487,353	575,755		
04	245441	0789E	SON	101	COLLEGE AVENUE IMPROVEMENT	8/20/2012	4,430	4,100	2010	4,100	1,208,000	102,772	8.5%	1,105,228	13,047	89,725		
04	264081	0360H	SON	101	CONSTRUCT INTERCHANGE ON RTE 101 AT PETALUMA BLVD;INCLUDING FRONTAGE	3/30/2012	13,280	10,810	2010	12,630	4,605,000	95,100	2.1%	4,509,900		95,100		
04	2A4400	0485K	SCL	152	IMPROVE SIGHT DISTANCE, UPGRADE SHOULDERS AND PROVIDE	1/5/2012	2,413	2,413	2010	1,471	1,160,000	98,283	8.5%	1,061,717	87,833	10,450		
04	2A6200	8085A	SOL	012	INSTALL LEFT TURN POCKETS, FROM AZAVEDO RD TO LIBERTY ISLAND RD	4/1/2012	1,972	1,972	2010	1,710	1,320,000	7,500	0.6%	1,312,500	2,250	5,250		
04	4A5100	0609K	SF	280	REPLACE BRIDGE HINGES	11/1/2012	3,751	3,751	2010	3,570	2,483,000	9,425	0.4%	2,473,575	0	9,425		
05	0G1600	1850	SB	166	RELOCATE DRAINAGE DITCHES	9/1/2012	1,545	1,545	2010	1,500	1,003,000	20,100	2.0%	982,900	0	20,100		
05	315800	0058E	MON	101	CONSTRUCT NEW INTERCHANGE AT SAN JUAN ROAD	4/2/2012	26,900	16,450	2010	26,899	6,125,000	200,826	3.3%	5,924,174	36,971	163,855		
06	325501	4330	KIN	198	CONSTRUCT INTERCHANGE	8/5/2011	5,804	5,804	2010	5,804	3,942,000	4,067,789	103.2%	-125,789	211,216	3,856,573		
06	471000	5346	MAD	099	MODIFY INTERCHANGE	5/1/2012	6,700	2,023	2010	6,513	1,940,000	115,376	5.9%	1,824,624	35,200	80,176		
07	117071	0306H	LA	010	CONSTRUCT HOV LANES	3/21/2008	44,400	19,300	2008	47,269	2,071,000	13,930	0.7%	2,057,070	12,340	1,590		
07	1170U1	0309N	LA	010	CONSTRUCT HOV LANES & SOUNDWALLS *COMB WITH 117081 & 111721	4/6/2012	20,000	20,000	2010	31,944	5,000,000	3,500	0.1%	4,996,500	1,000	2,500		
07	119341	0310B	LA	10	CONSTRUCT HOV LANE IN EA DIRECTION *OVERSIGHT ONLY*PR & ENVR ONLY*\$	4/6/2012	8,000	8,000	2010	6,306	3,723,000	500	0.0%	3,722,500	500	0		
07	1218W1	1218W	LA	005	CONST HOV LN & IC MODIFICATION *POR=121821,121831	1/17/2012	74,500	36,840	2010	48,536	1,080,000	0	0.0%	1,080,000	0	0		

2011/12 FY Right of Way Capital Major Project List

D	EA	PPNO	Cty	Rte	Description	RW Cert Date	RW Capital All Funds	RW Capital STIP/SHOPP	Doc Year	Total RW Capital Estimate	2012 FY Alloc Plan	TOTAL FYTD Commitments as of 1/12/12	Allocation Expended %	Allocation Remaining	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
07	127271	0694Q	LA	138	WIDEN CONVENTIONAL HIGHWAY (SEG 12) *SPLIT=1272U1	5/6/2011	6,606	6,606	2010	7,795	1,700,000	245,141	14.4%	1,454,859	94,869	150,272		
07	202111	4137	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	5/6/2011	3,000	3,000	2010	3,660	1,400,000	0	0.0%	1,400,000	0	0		
07	202121	4137A	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	1/8/2013	14,500	14,500	2010	23,297	3,824,000	1,580,334	41.3%	2,243,666	1,580,334	(0)		
07	215911	4153	LA	005	ROADWAY WIDENING (SEG 1) *POR=2159A1	5/10/2011	30,000	3,315	2010	35,953	7,057,000	1,613,880	22.9%	5,443,120	200	1,613,680		
07	215921	2808	LA	005	ROADWAY WIDENING (SEG 2) *POR=2159A1	1/4/2013	249,994	89,757	2010	256,647	15,000,000	77,336	0.5%	14,922,664	77,250	86		
07	215931	4154	LA	005	ROADWAY WIDENING (SEG 3) *POR=2159A1	3/9/2012	49,587	10,633	2010	49,587	4,435,000	2,774,954	62.6%	1,660,046	1,349,912	1,425,042		
07	215941	4155	LA	005	ROADWAY WIDENING (SEG 4) *POR=2159A1	3/9/2012	111,583	85,404	2010	111,583	13,000,000	20,601,741	158.5%	-7,601,741	5,366,646	15,235,095		
07	215951	4156	LA	005	ROADWAY WIDENING & STRIPING (SEG 5) *POR=2159A1	1/4/2013	36,452	36,452	2010	36,452	5,000,000	6,155,946	123.1%	-1,155,946	4,089,602	2,066,344		
07	245401	3529	LA	010	INTERCHANGE IMPROVEMENTS *DESIGN-BUILD SCHEDULE!	12/6/2012	10,500	10,500	2010	10,500	4,266,000	0	0.0%	4,266,000	0	0		
08	0C1211	0259K	SBD	395	WIDEN MEDIAN & SHOULDER, INSTALL MEDIAN & SHOULDER RUMBLE STRIPS &	5/13/2011	4,908	4,908	2010	4,907	3,089,000	557,460	18.0%	2,531,540	215,291	342,169		
08	368501	0179B	SBD	015	CONST COMM VEH ENFORCEMENT FACILITY (CVEF)& AGRIC INSPIC FACILITY (AIF)	4/25/2011	1,427	1,427	2010	2,028	1,300,000	1,330	0.1%	1,298,670	0	1,330		
08	444071	0194Q	SBD	215	POR SEG 11/210 PROJECT REPLACE 27TH ST OC CONSTRUCT RAMPS IMPROVE LOCA	11/24/2008	0	0	0	8,284	1,127,000	0	0.0%	1,127,000	0	0		
08	456001	0007D	RIV	010	CONSTRUCT INTERCHANGE	4/1/2009	10,749	10,749	2010	13,900	2,320,000	148,101	6.4%	2,171,899	75,843	72,258		
10	264441	2300	AMA	088	ROADWAY REHABILITATION.	7/1/2011	3,941	3,941	2010	2,478	1,060,000	490,017	46.2%	569,983	143,536	346,481		
10	340421	0021B	TUO	108	CONSTRUCT STAGE 2 OF SONORA BYPASS	3/30/2010	11,978	11,978	2010	12,707	1,767,000	345,021	19.5%	1,421,979	104,048	240,973		
10	381501	5917	MER	165	AC OVERLAY, DIGOUTS, INTERSECTION IMPROVEMENTS & SHOULDER WIDENING	5/31/2011	3,682	3,682	2010	4,087	2,666,000	162,657	6.1%	2,503,343	47,500	115,157		
10	415701	5414	MER	99	4E TO 6F ON 8F R/W ALIGNMENT	5/12/2011	24,900	24,900	2010	24,900	4,677,000	238,315	5.1%	4,438,685	17,625	220,690		
11	167891	0021G	IMP	078	CONSTRUCT FOUR LANE EXPRESSWAY	4/1/2010	17,369	5,460	2008	18,116	1,966,000	0	0.0%	1,966,000	0	0		
12	0E3101	4102	ORA	074	RECONSTRUCT IC AT SR-74	7/1/2012	28,753	28,753	2010	27,938	14,430,000	203,378	1.4%	14,226,622	27,498	175,880		
12	0F0601	2587	ORA	005	WIDEN S/B OFF-RAMP AND BRIDGE OC @ CAMINO DE ESTRELLA	7/1/2011	1,509	1,509	2010	1,369	1,154,000	0	0.0%	1,154,000	0	0		
12	0H2080	3577A	ORA	055	FLATTEN THE SLOPE ABOVE THE MAINTENANCE ACCESS ROAD	12/30/2011	11,670	11,670	2010	14,120	2,000,000	0	0.0%	2,000,000	0	0		

Grand Total

160,780,000 58,613,527 36.5% 102,166,473 31,618,349 26,995,178



**Division of Construction  
CONTRACT ADMINISTRATION  
Q2 Fiscal Year 2011/12 (\$ in Millions)**



**Construction Contracts - Quarterly Status Report<sup>a</sup>**

	Q2 10/11		Q3 10/11		Q4 10/11		Q1 11/12		Q2 11/12	
	Number	Value								
1. Ongoing Contracts @ Beginning of Quarter	649	\$10,301	612	\$10,184	691	\$10,758	811	\$10,387	763	\$ 10,402
2. New Contracts this Quarter	111	\$ 917	192	\$ 1,063	241	\$ 805	123	\$ 756	49	\$ 196
3. Accepted Contracts this Quarter	148	\$ 1,034	113	\$ 489	121	\$ 1,176	171	\$ 741	149	\$ 1,036
Ongoing Contracts @ End of Quarter (1+2-3)	612	\$10,184	691	\$10,758	811	\$10,387	763	\$10,402	663	\$ 9,562
4. Accepted Contracts @ Beginning of Quarter	1,128	\$ 5,281	1,192	\$ 5,960	1,251	\$ 6,166	1,288	\$ 6,952	1,371	\$ 7,463
5. Accepted Contracts this Quarter	148	1,034	113	489	121	1,176	171	741	149	1,036
6. Contracts Closed this Quarter	78	309	50	277	81	245	87	200	245	397
7. New Contracts in Arbitration this Quarter	6	46	4	6	3	145	1	30	7	248
Accepted Contracts @ End of Quarter (4+5-6-7) <sup>b</sup>	1,192	\$ 5,960	1,251	\$ 6,166	1,288	\$ 6,952	1,371	\$ 7,463	1,268	\$ 7,854
Accepted Contracts with claims only @ End of Quarter <sup>c</sup>	91	\$1,139	82	\$1,023	56	\$ 739	81	\$ 1,554	88	\$887
8. Contracts in Arbitration @ Beginning of Quarter <sup>d</sup>	37	\$ 562	42	\$ 608	46	\$ 614	43	\$ 684	38	\$638
9. New Contracts in Arbitration this Quarter	6	46	4	6	3	145	1	30	7	248
10. Contracts with Arbitration Settlements/Awards this Quarter	1	0	0	-	6	75	6	76	5	37
Contracts in Arbitration @ End of Quarter (8+9-10)	42	\$ 608	46	\$ 614	43	\$ 684	38	\$ 638	40	\$ 849

- a Quarterly figures updated to reflect revised or new data at the end of the current quarter.
- b Accepted contracts with close-out activities in progress. Contracts in arbitration are not included.
- c Beginning Quarter 1 of FY 2010/2011, quarterly figures exclude contracts that have a final estimate (FE), a district director determination (DDD), or district expenditures done (DED).
- d A contractor may file for arbitration 240 days after project acceptance, or within 90 days after final determinations on claims have been made. Contractors must file within 90 days after the Department makes a final determination on claims or lose opportunity for arbitration. (Contractors have 180 days to file on contracts that were approved prior to January 1, 1999.)

Total dollar amount of claims at end of current quarter = \$35M  
Total dollar amount filed for in arbitration at end of current quarter = \$172M

Includes contracts flagged as: Emergency, Local Assistance, and Warranty



**Second Quarter  
FY 2011-12  
Project Delivery Report  
Supplement – Report on  
Completed Projects**

**Quarterly Report to the  
California Transportation  
Commission**



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# Report on Completed Projects

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In the 2010 STIP guidelines is a requirement for the Department to provide the Commission with a report on completed projects. This report provides cost information for projects that the Department has accepted the construction contract (CCA milestone).

Cost information at completion consists of all project expenditures to date. The expended costs in this report are compared to the latest approved budget costs resulting from actions taken by the Commission on each project, including: Programmed funds, Allocated funds, Funds adjusted at vote, Supplemental funds, and AB 608 adjustments.

## Reporting Program / Project Thresholds

Completed project cost information is presented in the following levels for analysis:

- Program Level
- STIP/SHOPP Component Level
- Individual Project Component Level
- Overall Project Level

### Program Level

At the Program level, total costs are reported for STIP and SHOPP program funds.

### STIP / SHOPP Component Level

The methodology used to determine the amount of committed funds is based on programmed amounts, allocated funds, or debit and credit adjustments made against county shares in accordance with STIP guidelines.

It should be noted that while some individual components may exceed their approved budget, other components often have significant savings. STIP guidelines restrict the

ability to capture savings. Consequently, some components are over expended while the overall project expenditures is less than the total county shares used to fund the entire project.

### Individual Project Component Level

This provides an assessment of estimating trends for each of the six individual programmed cost components.

When projects are initially programmed into a programming document, there are a lot of unknown factors that could result in higher or lower costs by the time a project is ready for construction. A good example of unknowns is project refinements and changes that are implemented by the public hearing and project input process during preliminary engineering.

Sometimes Department expenditures in one component are offset by savings in another component. A common example is additional right of support effort may result in lower right of way capital expenditures. Another example is additional environmental expenditures to produce a publicly acceptable environmental document may be offset by lower design expenditures.

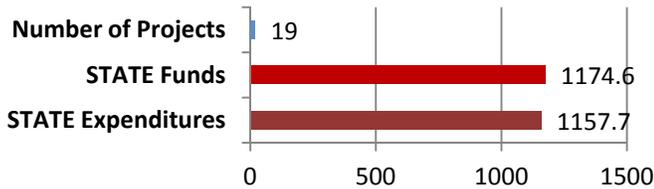
### Overall Project Level

This compares expended costs to the approved budget costs for the overall project. At the project level, greater flexibility is provided when costs can be managed within a project budget and transferred between components.

# Completed STIP Projects – FY 2011-12, Qtrs 1-2

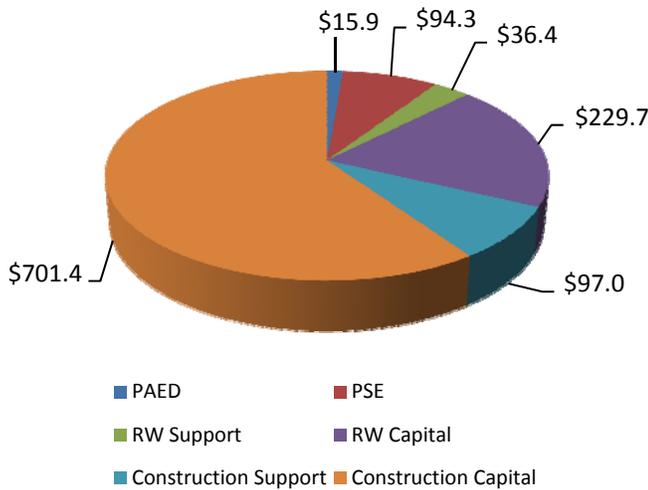
## STIP Program Level

### STIP Projects, State Funds Only



There are a total of 19 STIP projects that have been completed through the second quarter in FY 2011-12. The total amount of State funds<sup>(1)</sup> that were approved<sup>(2)</sup> by the commission for these projects was \$1,174.6 million. The actual costs of the projects Completed was \$1,157.7 million which is 99 percent of the approved funds.

### 5 ddfcj YX State Funded Budget



(1) Funds approved by Commission, STIP, TCRP, SHOPP, and Bond.

(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.

## STIP Component Level

### Project Development

Approved	Expended	Percent
\$110,154	\$118,903	108

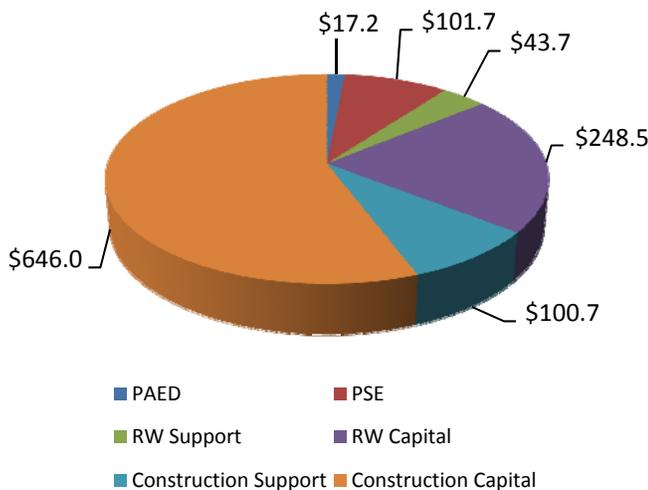
### Right of Way

Approved	Expended	Percent
\$266,060	\$292,130	110

### Construction

Approved	Expended	Percent
\$798,376	\$746,660	94

### State Funded Expenditures



### Overall Project Level – All Components

Approved	Expended	Percent
\$1,174,590	\$1,157,694	99

At the STIP component level, project development and right of way expenditures are slightly above the approved funds. Construction expenditures are under the approved funds. Overall, due to bid results and award savings, the overall project expenditures are below the total funds approved for these projects.

**Individual Project Component Level**

**PROJECT DEVELOPMENT (PJD) COSTS**

Project Development (PJD) - includes PAED and PS&E cost components which are evaluated for adjustments together as a single component. . PAED is the preliminary engineering effort up to completion of the project report and environmental document. PS&E is the final design to prepare a project ready for construction

(\$1,000'S)	PAED	PSE	TOTAL
Approved Budget	\$15,904	\$ 94,250	\$110,154
Expenditures	\$17,213	\$101,690	\$118,903
Percent	108%	108%	108%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	4	21	16
80-100%	3	16	29
100-120%	5	26	19
>120%	7	37	36

**RIGHT OF WAY (RW) COSTS**

Right of Way (RW) - includes support and capital cost components which are evaluated for adjustments together as a single component. . Right of Way is the effort necessary to secure right of way necessary to construct a project. It can include utility relocation work, acquisition of property and coordination with railroads.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$36,369	\$229,691	\$266,060
Expenditures	\$43,673	\$248,457	\$292,130
Percent	120%	108%	110%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	6	32	16
80-100%	5	26	35
100-120%	1	5	10
>120%	7	37	39

**CONSTRUCTION COSTS**

Construction - includes support and capital cost components which are evaluated for adjustments together as a single component.. Construction is the effort to administer a construction contract and make payments to the contractor.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$ 96,987	\$701,389	\$798,376
Expenditures	\$100,697	\$645,963	\$746,660
Percent	104%	92%	94%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	1	<1	12
80-100%	12	67	71
100-120%	4	22	17
>120%	1	<1	0

**OVERALL PROJECT LEVEL**

Project - includes four support and two capital cost components. Project costs include all associated direct costs of a project. While project costs are estimated and programmed into six separate components, the total amount programmed can be evaluated against the project total committed and expended .

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$243,510	\$931,080	\$1,174,590
Expenditures	\$263,274	\$894,420	\$1,157,694
Percent	108%	96%	99%

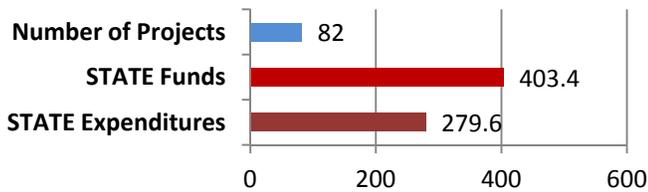
A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	2	10	4
80-100%	11	58	67
100-120%	3	16	13
>120%	3	16	16

# Completed FY 2011-12 SHOPP Projects, Qtrs 1-2 (4 support components – 2008 SHOPP)

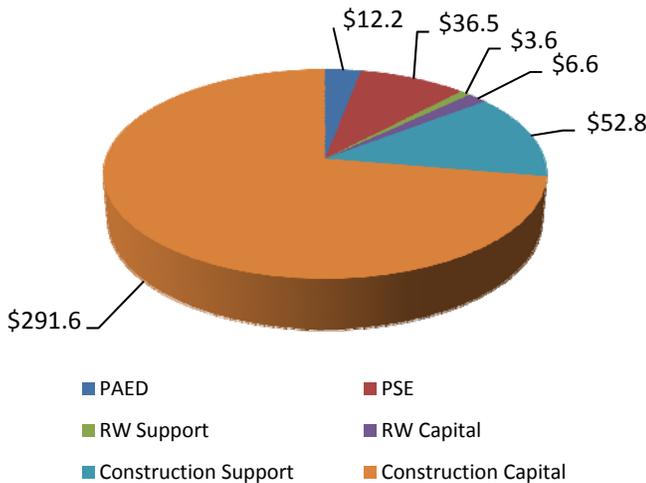
## SHOPP Program Level

### SHOPP Projects, State Funds Only



There were a total of 82 SHOPP projects with four programmed support components that were completed through the second quarter in FY 2011-12. The total amount of State funds<sup>(1)</sup> that were approved<sup>(2)</sup> by the commission for these projects was \$403.4 million. The actual costs of the projects completed was \$279.6 million which is 69 percent of the approved funds.

### Approved State Funded Budget



(1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.

(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.

## SHOPP Component Level

### Project Development

Approved	Expended	Percent
\$48,739	\$54,760	112

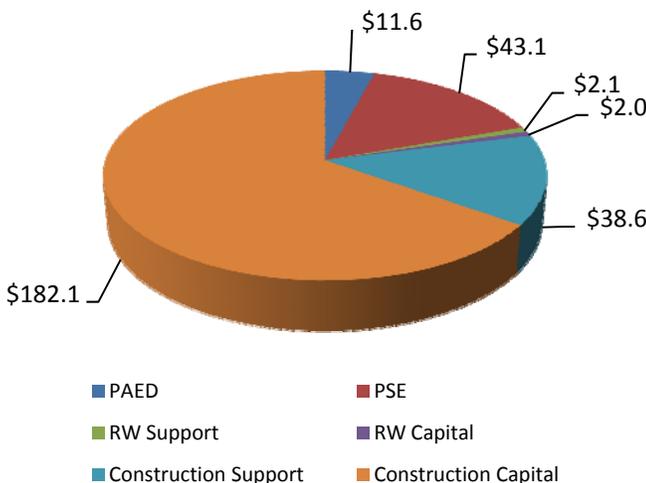
### Right of Way

Approved	Expended	Percent
\$10,256	\$4,060	40

### Construction

Approved	Expended	Percent
\$344,391	\$220,782	64

### State Funded Expenditures



### Overall Project Level – All Components

Approved	Expended	Percent
\$403,386	\$279,602	69

At the SHOPP component level, Project Development is over while Right of Way and Construction are under budget. Due to bid results and award savings, the overall project expenditures were significantly below the total funds approved for these projects.

### Individual Project Component Level

#### PROJECT DEVELOPMENT (PJD) COSTS

Project Development (PJD) - includes PAED and PS&E cost components which are evaluated for adjustments together as a single component. . PAED is the preliminary engineering effort up to completion of the project report and environmental document. PS&E is the final design to prepare a project ready for construction

(\$1,000'S)	PAED	PSE	TOTAL
Approved Budget	\$12,248	\$36,491	\$48,739
Expenditures	\$11,615	\$43,145	\$54,760
Percent	95%	118%	112%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	24	29	15
80-100%	22	27	28
100-120%	13	16	26
>120%	23	28	31

#### RIGHT OF WAY (RW) COSTS

Right of Way (RW) - includes support and capital cost components which are evaluated for adjustments together as a single component. . Right of Way is the effort necessary to secure right of way necessary to construct a project. It can include utility relocation work, acquisition of property and coordination with railroads.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$3,636	\$6,620	\$10,256
Expenditures	\$2,094	\$1,965	\$ 4,060
Percent	58%	30%	40%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	80	98	100
80-100%	0	0	0
100-120%	0	0	0
>120%	2	2	0

#### CONSTRUCTION COSTS

Construction - includes support and capital cost components which are evaluated for adjustments together as a single component.. Construction is the effort to administer a construction contract and make payments to the contractor.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$52,826	\$291,565	\$344,391
Expenditures	\$38,636	\$182,147	\$220,782
Percent	73%	62%	64%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	56	69	76
80-100%	19	23	11
100-120%	6	7	3
>120%	1	1	<1

#### OVERALL PROJECT LEVEL

Project - includes four support and two capital cost components. Project costs include all associated direct costs of a project. While project costs are estimated and programmed into six separate components, the total amount programmed can be evaluated against the project total committed and expended .

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$105,201	\$298,185	\$403,386
Expenditures	\$95,490	\$184,112	\$279,602
Percent	91%	62%	69%

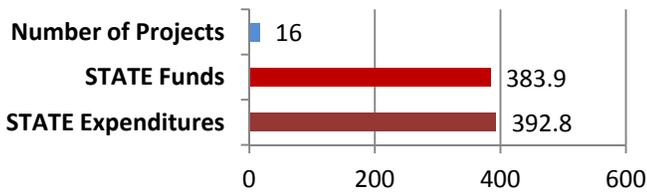
A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	45	55	72
80-100%	26	32	16
100-120%	9	11	4
>120%	2	2	<1

# Completed FY 2011-12 SHOPP Projects, Qtrs 1-2 (1 support component)

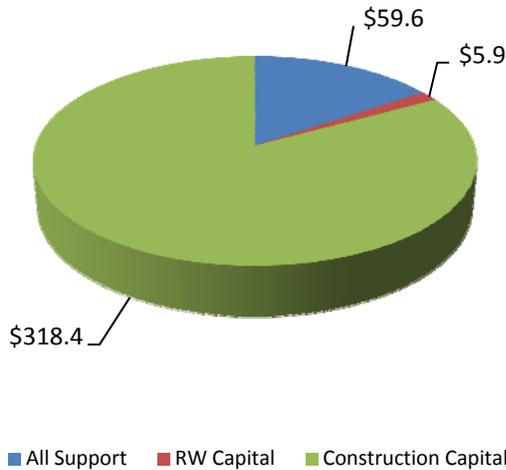
## SHOPP Program Level

### SHOPP Projects, State Funds Only



There were a total of 16 “Pre-2008” SHOPP projects that were completed through the second quarter of FY 2011-12 with one support component. The total amount of State funds<sup>(1)</sup> that were approved<sup>(2)</sup> by the commission for these projects was \$383.9 million. The actual costs of the projects completed was \$392.8 million which is 102 percent of the approved funds.

### Approved State Funded Budget



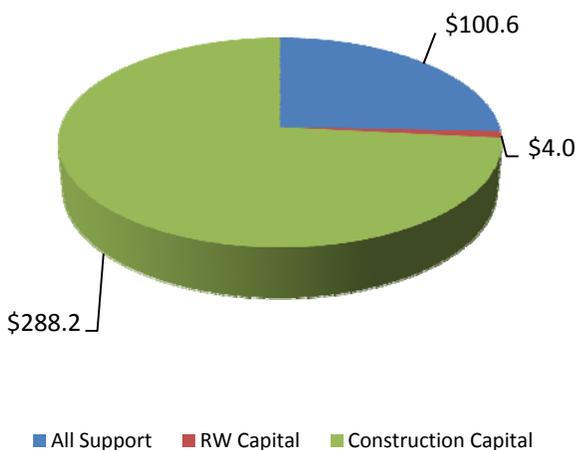
(1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.

(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.

## OVERALL PROJECT LEVEL

Project - includes all support and two capital cost components. Project costs include all associated direct costs of a project. While project costs are estimated and programmed into separate components, the total amount programmed can be evaluated against the project total committed and expended .

### State Funded Expenditures



(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$59,640	\$324,301	\$383,941
Expenditures	\$100,591	\$292,217	\$392,808
Percent	169%	90%	102%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	3	19	8
80-100%	3	19	16
100-100%	10	62	76
>120%	0	0	0

FY 2011-12 Completed STIP Projects, Quarters 1-2 (STATE Funds Only)

													Total Overall Project												
													Project Development PJD				Right of Way Component				Construction Component				
													PAED		PSE		RW Support		RW Capital		CON Support		CON Capital		
D	CTY	RT	Description	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost						
☒ Costs > 120%				◆ Costs 100 - 120%				☑ Costs 80 - 100%				● Costs < 80%													
01	HUM	101	Construct Interchange And Frontag	\$ 2,290	\$ 1,833	\$ 1,003	\$ 2,111	◆	\$ 526	\$ 1,112	\$ 5,080	7,699	☒	\$ 2,100	\$ 3,052	\$ 17,180	\$ 16,755	◆	◆						
02	SHA	044	Widen Highway And Ramps	\$ 1,228	\$ 1,171	\$ 4,458	\$ 5,999	☒	\$ 333	\$ 232	\$ 207	262	☑	\$ 6,867	\$ 7,386	\$ 65,850	\$ 63,250	☑	☑						
02	TEH	099	Install Sidewalks, Curb, Gutters, De	\$ 499	\$ 458	\$ 746	\$ 490	●	\$ 271	\$ 113	\$ 487	204	●	\$ 748	\$ 536	\$ 1,864	\$ 1,678	☑	●						
03	SUT	070	Widen And Realign Roadway	\$ 2,000	\$ 869	\$ 10,000	\$ 11,296	◆	\$ 4,600	\$ 5,398	\$ 20,800	22,928	◆	\$ 13,000	\$ 13,334	\$ 87,630	\$ 83,137	☑	☑						
04	NAP	029	Planting And Irrigation	\$ 9	\$ 10	\$ 50	\$ 362	☒	\$ 9	\$ 1	\$ -	0	●	\$ 82	\$ 299	\$ 728	\$ 556	◆	☒						
04	ALA	024	Realign Westbound Route 24 To N	\$ 5	\$ 2	\$ 10	\$ 249	☒	\$ 5	\$ 6	\$ -	1	☒	\$ -	\$ 482	Local	Local	☒	☒						
05	SLO	101	Interchange Improvements	\$ 409	\$ 1,752	\$ 4,094	\$ 4,918	☒	\$ 282	\$ 1,106	\$ 6,362	3,561	●	\$ 1,621	\$ 2,015	\$ 17,800	\$ 16,829	☑	☑						
05	SLO	101	Construct Auxillary Lanes	\$ 549	\$ 486	\$ 2,102	\$ 2,247	◆	\$ 26	\$ 5	\$ 164	3	●	\$ 1,629	\$ 1,371	\$ 7,828	\$ 6,472	☑	☑						
06	FRE	180	Highway Planting And Irrigation	\$ -	\$ 43	\$ 270	\$ 262	◆	\$ -	\$ 2	\$ -	0	☒	\$ 596	\$ 593	\$ 4,056	\$ 3,819	☑	☑						
07	LA	060	Construct Hov Lane And Soundwal	\$ -	\$ 62	\$ 6,500	\$ 409	●	\$ 1,000	\$ 17	\$ 160	77	●	\$ 4,100	\$ 3,443	\$ 29,505	\$ 25,495	☑	●						
10	STA	099	Reconstruct Interchange	\$ 615	\$ 614	\$ 2,781	\$ 3,023	◆	\$ 2,181	\$ 2,126	\$ 14,299	13,263	☑	\$ 4,000	\$ 4,654	\$ 18,452	\$ 17,878	◆	☑						
11	SD	052	Construct Four-Lane Freeway	\$ -	\$ 370	\$ 16,394	\$ 14,134	☑	\$ 9,426	\$ 10,202	\$ 50,551	44,199	☑	\$ 15,518	\$ 11,294	\$ 64,960	\$ 64,599	☑	☑						
11	SD	905	Construct New Freeway - Phase 1A	\$ 2,771	\$ 6,310	\$ 18,850	\$ 24,916	☒	\$ 2,000	\$ 4,179	\$ 75,000	112,058	☒	\$ 8,749	\$ 15,831	\$ 76,580	\$ 59,699	☑	☒						
11	IMP	078	Construct Four-Lane Expressway A	\$ 4,297	\$ 2,107	\$ 9,894	\$ 9,954	☑	\$ 5,335	\$ 4,493	\$ 12,526	17,894	☒	\$ 11,333	\$ 10,882	\$ 80,819	\$ 61,600	●	☑						
11	SD	052	Construct Freeway And Interchang	\$ -	\$ 162	\$ 6,079	\$ 4,334	●	\$ 7,995	\$ 6,986	\$ 26,828	14,861	●	\$ 6,636	\$ 3,519	\$ 77,362	\$ 73,474	☑	☑						
11	SD	052	To Construct A Four Lane Divided F	\$ -	\$ 108	\$ 1,372	\$ 4,463	☒	\$ 1,400	\$ 4,658	\$ 14,400	9,907	☑	Local	Local	Local	Local		◆						
11	SD	015	Construct Managed Lanes - South	\$ -	\$ 26	\$ 4,000	\$ 243	●	\$ -	\$ 6	\$ -	0	☒	\$ 14,025	\$ 11,169	\$ 57,866	\$ 56,559	☑	☑						
12	ORA	055	Widen Roadway With Hot Mix Asp	\$ 166	\$ 364	\$ 716	\$ 498	☑	\$ -	\$ -	\$ -	0	☑	\$ 420	\$ 411	\$ 1,575	\$ 1,565	☑	☑						
12	ORA	005	Reconstruct And Widen Freeway	\$ 1,066	\$ 468	\$ 4,931	\$ 11,782	☒	\$ 980	\$ 3,033	\$ 2,827	1,540	☒	\$ 5,563	\$ 10,426	\$ 91,334	\$ 92,598	◆	◆						

FY 2011-12 Completed SHOPP Projects, Quarters 1-2 (STATE Fuds Only)

Post 2008 SHOPP projects with 4 programmed support components.

Description	Project	Total Overall Project												↓	↓	↓	
		Project Development PJD				Right of Way Component				Construction Component							
		PAED		PSE		RW Support		RW Capital		CON Support		CON Capital					
		Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost				
<input checked="" type="checkbox"/> Costs > 120%	Count	◆ Costs 100 - 120%				☑ Costs 80 - 100%				● Costs < 80%							
Emergency Response	23	\$ 344	\$ 298	\$ 2,147	\$ 2,302	◆	\$ 375	\$ 79	\$ 325	\$ 23	●	\$ 7,304	\$ 4,927	\$ 32,935	\$ 29,659	☑	☑
Collision Reduction	23	\$ 4,409	\$ 4,539	\$ 8,353	\$ 9,200	◆	\$ 1,366	\$ 1,305	\$ 2,007	\$ 689	●	\$ 6,819	\$ 5,691	\$ 25,258	\$ 14,190	●	●
Mandate	5	\$ 1,151	\$ 1,225	\$ 1,570	\$ 3,278	☒	\$ 89	\$ 80	\$ 165	\$ 3	●	\$ 1,195	\$ 664	\$ 2,419	\$ 1,601	●	◆
Bridge Preservation	10	\$ 343	\$ 168	\$ 5,055	\$ 4,085	●	\$ 158	\$ 1	\$ 342	\$ -	●	\$ 4,576	\$ 3,864	\$ 19,505	\$ 9,152	●	●
Roadway Preservation	7	\$ 1,090	\$ 1,470	\$ 10,737	\$ 11,385	◆	\$ 915	\$ 460	\$ 666	\$ 203	●	\$ 21,246	\$ 13,236	\$ 146,377	\$ 93,947	●	●
Mobility	8	\$ 3,012	\$ 2,702	\$ 6,460	\$ 7,853	◆	\$ 701	\$ 161	\$ 3,109	\$ 1,042	●	\$ 7,690	\$ 6,052	\$ 43,382	\$ 20,366	●	●
Roadside Protection	5	\$ 1,899	\$ 1,001	\$ 2,169	\$ 4,248	☒	\$ 32	\$ 9	\$ 6	\$ 5	●	\$ 3,096	\$ 3,651	\$ 20,261	\$ 11,964	●	●
Facilities	1	\$ -	\$ 213	\$ -	\$ 793	☒	\$ -	\$ -	\$ -	\$ -	☑	\$ 900	\$ 551	\$ 1,428	\$ 1,267	●	☒

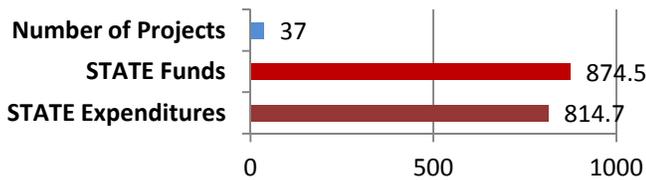
Pre 2008 SHOPP projects with 1 programmed support components.

Description	Project	Total Overall Project												↓	↓	↓
		Project Development PJD				Right of Way Component				Construction Component						
		All Support						RW Capital				CON Capital				
		Bud	Cost					Bud	Cost			Bud	Cost			
<input checked="" type="checkbox"/> Costs > 120%	Count	◆ Costs 100 - 120%				☑ Costs 80 - 100%				● Costs < 80%						
Emergency Response	1	\$ 3,889	\$ 2,571					\$ -	\$ 10					\$ 9,000	\$ 6,253	●
Collision Reduction	1	\$ 9,270	\$ 12,626					\$ 3,200	\$ 3,346					\$ 8,482	\$ 8,407	◆
Mandate	1	\$ 5,122	\$ 3,160					\$ -	\$ 2					\$ 10,397	\$ 3,960	●
Bridge Preservation	1	\$ 2,824	\$ 4,587					\$ -	\$ -					\$ 5,311	\$ 3,775	◆
Roadway Preservation	7	\$ 31,345	\$ 66,062					\$ 2,695	\$ 644					\$ 264,192	\$ 246,110	◆
Roadside Protection	3	\$ 4,306	\$ 7,124					\$ -	\$ -					\$ 13,753	\$ 12,544	◆
Facilities	2	\$ 2,884	\$ 4,461					\$ 8	\$ -					\$ 7,263	\$ 7,166	◆

# Completed STIP Projects – FY 2010-11, Qtrs 1-4

## STIP Program Level

### STIP Projects, State Funds Only

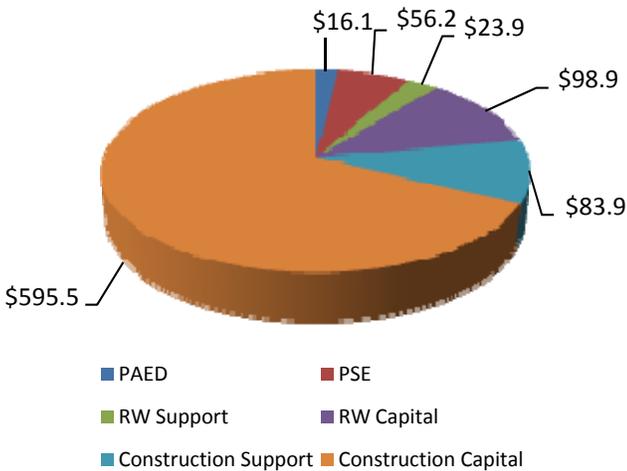


There were a total of 37 STIP projects that were completed in FY 2010-11. The total amount of State funds<sup>(1)</sup> that were approved<sup>(2)</sup> by the commission for these projects was \$874.5 million. The actual costs of the projects Completed was \$814.7 million which is 93 percent of the approved funds.

(1) Funds approved by Commission, STIP, TCRP, SHOPP, and Bond.

(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.

### Approved State Funded Budget



## STIP Component Level

### Project Development

Approved	Expended	Percent
\$72,287	\$74,888	104

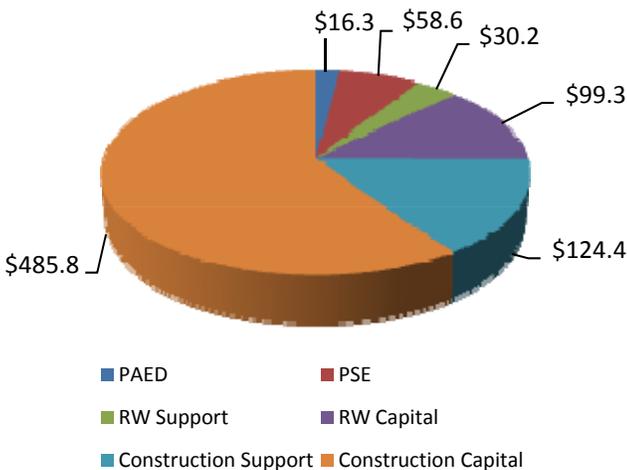
### Right of Way

Approved	Expended	Percent
\$122,807	\$129,560	105

### Construction

Approved	Expended	Percent
\$679,400	\$610,244	90

### State Funded Expenditures



### Overall Project Level – All Components

Approved	Expended	Percent
\$874,494	\$814,692	93

At the STIP component level, project development and right of way expenditures are slightly above the approved funds. Construction expenditures is under the approved funds. Overall, due to bid results and award savings, the overall project expenditures are below the total funds approved for these projects.

**Individual Project Component Level**

**PROJECT DEVELOPMENT (PJD) COSTS**

Project Development (PJD) - includes PAED and PS&E cost components which are evaluated for adjustments together as a single component. . PAED is the preliminary engineering effort up to completion of the project report and environmental document. PS&E is the final design to prepare a project ready for construction

(\$1,000'S)	PAED	PSE	TOTAL
Approved Budget	\$16,133	\$56,154	\$72,287
Expenditures	\$16,333	\$58,555	\$74,888
Percent	101%	104%	104%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	3	9	21
80-100%	9	28	24
100-120%	12	38	40
>120%	8	25	15

**RIGHT OF WAY (RW) COSTS**

Right of Way (RW) - includes support and capital cost components which are evaluated for adjustments together as a single component. . Right of Way is the effort necessary to secure right of way necessary to construct a project. It can include utility relocation work, acquisition of property and coordination with railroads.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$23,868	\$98,939	\$122,807
Expenditures	\$30,227	\$99,333	\$129,560
Percent	127%	100%	105%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	12	34	29
80-100%	13	37	29
100-120%	3	9	8
>120%	7	20	34

**CONSTRUCTION COSTS**

Construction - includes support and capital cost components which are evaluated for adjustments together as a single component.. Construction is the effort to administer a construction contract and make payments to the contractor.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$83,856	\$595,544	\$679,400
Expenditures	\$124,391	\$485,833	\$610,224
Percent	148%	82%	90%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	8	28	44
80-100%	10	34	22
100-120%	9	31	34
>120%	2	7	<1

**OVERALL PROJECT LEVEL**

Project - includes four support and two capital cost components. Project costs include all associated direct costs of a project. While project costs are estimated and programmed into six separate components, the total amount programmed can be evaluated against the project total committed and expended .

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$180,010	\$694,483	\$874,494
Expenditures	\$229,506	\$585,167	\$814,692
Percent	127%	84%	93%

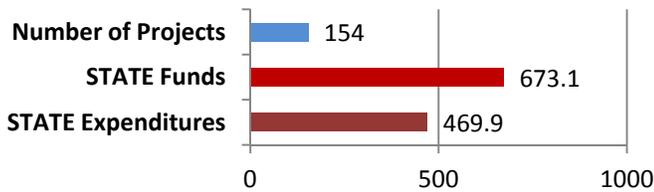
A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	7	19	36
80-100%	13	34	30
100-120%	12	31	17
>120%	5	16	17

# Completed FY 2010-11 SHOPP Projects, Qtrs 1-4 (4 support components – 2008 SHOPP)

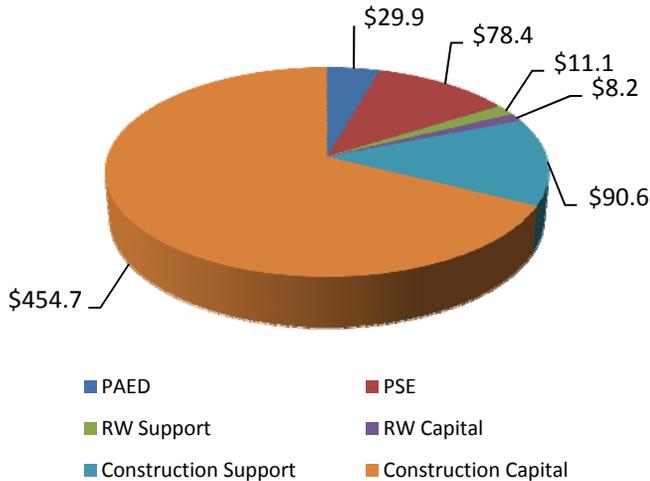
## SHOPP Program Level

### SHOPP Projects, State Funds Only



There were a total of 154 SHOPP projects with four programmed support components that were completed in FY 2010-11. The total amount of State funds<sup>(1)</sup> that were approved<sup>(2)</sup> by the commission for these projects was \$673.1 million. The actual costs of the projects completed was \$469.9 million which is 70 percent of the approved funds.

### Approved State Funded Budget



(1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.

(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.

## SHOPP Component Level

### Project Development

Approved	Expended	Percent
\$108.4	\$108.9	100

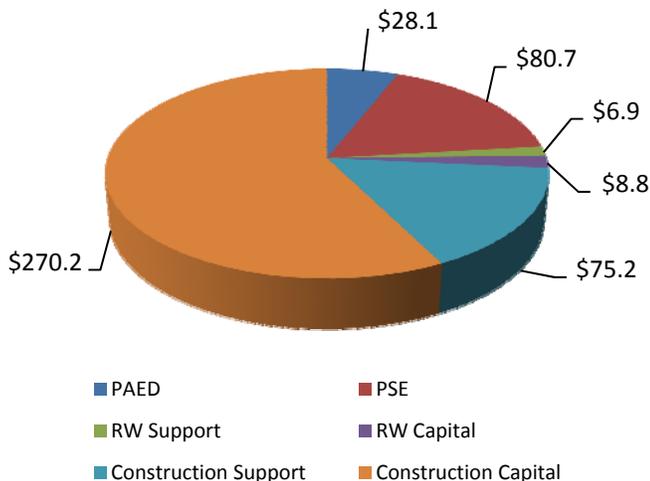
### Right of Way

Approved	Expended	Percent
\$19.3	\$15.6	81

### Construction

Approved	Expended	Percent
\$545.4	\$345.3	63

### State Funded Expenditures



### Overall Project Level – All Components

Approved	Expended	Percent
\$673.1	\$469.9	70

At the SHOPP component level, all components are within budget. Due to bid results and award savings, the overall project expenditures were significantly below the total funds approved for these projects.

### Individual Project Component Level

#### PROJECT DEVELOPMENT (PJD) COSTS

Project Development (PJD) - includes PAED and PS&E cost components which are evaluated for adjustments together as a single component. . PAED is the preliminary engineering effort up to completion of the project report and environmental document. PS&E is the final design to prepare a project ready for construction

(\$1,000'S)	PAED	PSE	TOTAL
Approved Budget	\$29,928	\$78,442	\$108,370
Expenditures	\$28,127	\$80,749	\$108,875
Percent	94%	103%	100%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	38	25	26
80-100%	32	21	25
100-120%	29	19	26
>120%	54	35	23

#### RIGHT OF WAY (RW) COSTS

Right of Way (RW) - includes support and capital cost components which are evaluated for adjustments together as a single component. . Right of Way is the effort necessary to secure right of way necessary to construct a project. It can include utility relocation work, acquisition of property and coordination with railroads.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$11,063	\$8,214	\$19,277
Expenditures	\$ 6,859	\$8,788	\$15,647
Percent	62%	107%	81%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	127	82	62
80-100%	18	13	20
100-120%	3	2	2
>120%	5	3	16

#### CONSTRUCTION COSTS

Construction - includes support and capital cost components which are evaluated for adjustments together as a single component.. Construction is the effort to administer a construction contract and make payments to the contractor.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$90,662	\$454,747	\$545,409
Expenditures	\$75,177	\$270,154	\$345,332
Percent	83%	59%	63%

A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	111	72	90
80-100%	29	19	8
100-120%	6	4	1
>120%	5	3	1

#### OVERALL PROJECT LEVEL

Project - includes four support and two capital cost components. Project costs include all associated direct costs of a project. While project costs are estimated and programmed into six separate components, the total amount programmed can be evaluated against the project total committed and expended .

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$210,095	\$462,961	\$673,056
Expenditures	\$190,911	\$278,943	\$469,854
Percent	91%	60%	70%

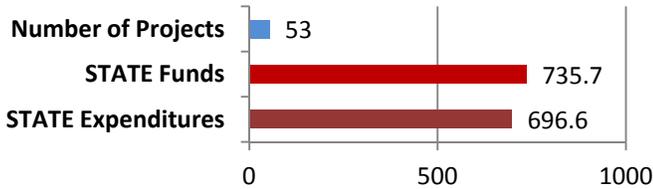
A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	90	58	74
80-100%	40	26	18
100-120%	15	10	4
>120%	9	6	4

# Completed FY 2010-11 SHOPP Projects, Qtrs 1-4 (1 support component)

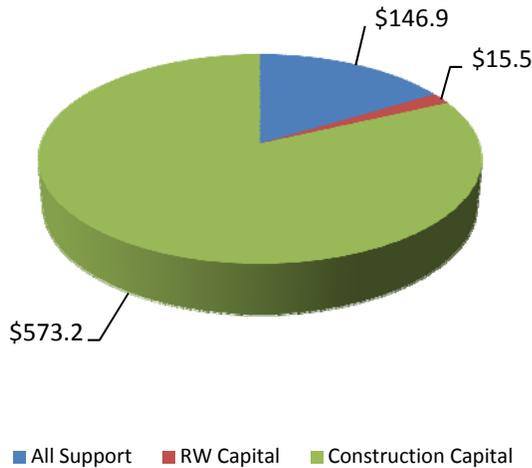
## SHOPP Program Level

### SHOPP Projects, State Funds Only



There were a total of 53 “Pre-2008” SHOPP projects that were completed in FY 2010-11 with one support component. The total amount of State funds<sup>(1)</sup> that were approved<sup>(2)</sup> by the commission for these projects was \$735.7 million. The actual costs of the projects completed was \$696.6 million which is 95 percent of the approved funds.

### Approved State Funded Budget



(1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.

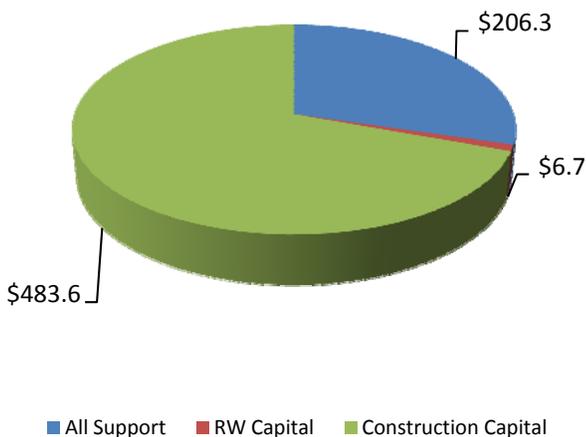
(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.

## OVERALL PROJECT LEVEL

Project - includes all support and two capital cost components. Project costs include all associated direct costs of a project. While project costs are estimated and programmed into separate components, the total amount programmed can be evaluated against the project total committed and expended .

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$146,943	\$588,757	\$735,700
Expenditures	\$206,303	\$490,322	\$696,624
Percent	140%	83%	95%

### State Funded Expenditures



A breakdown of project changes by percent is shown below:

	Projects	Percent Projects	Percent Value
< 80%	8	15	17
80-100%	21	40	46
100-120%	15	28	34
>120%	9	17	3



D	Co	Rt	Description	Project Development PJD					Right of Way Component					Construction Component					↓
				PAED		PSE			RW Support		RW Capital			CON Support		CON Capital			
				Bud	Cost	Bud	Cost		Bud	Cost	Bud	Cost		Bud	Cost	Bud	Cost		
09	MNO	395	Wildlife Crossing	\$719	\$725	\$345	\$350	◆	\$118	\$122	\$160	\$20	●	Deleted	Deleted	Deleted	Deleted		☑
10	AMA	049	Sutter Creek Mitigation	\$39	\$81	\$471	\$540	☒	\$15	\$1	\$0	\$0	●	\$231	\$824	\$2,418	\$1,988	◆	◆
10	MER	099	Atwater Freeway	\$899	\$661	\$3,200	\$3,478	◆	\$1,500	\$1,994	\$15,100	\$14,429	☑	\$6,500	\$5,077	\$43,069	\$32,231	●	☑
10	SJ	205	205 Widening Stage 2 & 3	\$1,643	\$1,946	\$4,548	\$4,224	☑	\$110	\$194	\$552	\$231	●	\$5,004	\$10,794	\$28,000	\$27,054	◆	◆
10	STA	219	SR 219 Expwy Phase 1	\$1,648	\$1,621	\$2,828	\$2,891	◆	\$3,260	\$3,814	\$28,000	\$15,790	●	\$2,000	\$1,864	\$7,844	\$6,601	☑	●
11	IMP	086	SR 86 Bio Mitigation	\$143	\$142	\$5	\$5	☑	\$71	\$70	\$537	\$654	◆	RW Only	RW Only	RW Only	RW Only		◆
11	SD	005	Landscaping	\$126	\$809	\$964	\$287	◆	\$3	\$2	Deleted	Deleted	☑	Deleted	Deleted	Deleted	Deleted		◆
11	SD	005	Lomas Santa Fe IC, HOV Lns	\$0	\$0	\$0	\$0	☑	\$0	\$0	\$0	\$0	☑	\$6,000	\$7,469	\$24,500	\$20,079	☑	☑
12	ORA	090	Imperial Hwy Grade Sep	\$2,000	\$434	\$6,280	\$5,916	●	\$4,387	\$6,806	\$12,239	\$14,768	☒	\$5,842	\$7,873	\$39,120	\$33,961	☑	☑

FY 2010-11 Completed SHOPP Projects, Quarters 1-4 (STATE Fuds Only)

Post 2008 SHOPP projects with 4 programmed support components.

Description	Project	Total Overall Project												↓			
		Project Development PJD				Right of Way Component				Construction Component					↓		
		PAED		PSE		RW Support		RW Capital		CON Support		CON Capital					
Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	↓					
<input checked="" type="checkbox"/> Costs > 120%	Count	◆ Costs 100 - 120%				☑ Costs 80 - 100%				● Costs < 80%				↓			
Emergency Response	42	\$ 9,011	\$ 9,508	\$ 17,314	\$ 19,381	◆	\$ 2,451	\$ 1,141	\$ 1,400	\$ 305	●	\$ 16,461	\$ 11,471	\$ 62,134	\$ 40,881	●	●
Collision Reduction	62	\$ 9,339	\$ 9,142	\$ 23,955	\$ 27,428	◆	\$ 3,192	\$ 2,408	\$ 4,495	\$ 1,932	●	\$ 20,873	\$ 18,589	\$ 99,814	\$ 61,362	●	●
Mandate	10	\$ 1,701	\$ 2,133	\$ 4,390	\$ 5,416	<input checked="" type="checkbox"/>	\$ 1,350	\$ 872	\$ 1,005	\$ 752	●	\$ 3,718	\$ 3,421	\$ 14,416	\$ 7,912	●	●
Bridge Preservation	15	\$ 1,620	\$ 1,538	\$ 6,910	\$ 5,207	●	\$ 529	\$ 201	\$ 35	\$ 26	●	\$ 6,096	\$ 5,640	\$ 25,858	\$ 13,041	●	●
Roadway Preservation	13	\$ 6,953	\$ 4,729	\$ 21,981	\$ 18,093	●	\$ 3,365	\$ 2,226	\$ 1,163	\$ 5,771	<input checked="" type="checkbox"/>	\$ 39,824	\$ 32,799	\$ 234,655	\$ 138,061	●	●
Mobility	6	\$ 689	\$ 560	\$ 2,161	\$ 2,788	◆	\$ 117	\$ 6	\$ 85	\$ -	●	\$ 1,995	\$ 1,751	\$ 7,709	\$ 5,063	●	●
Roadside Protection	6	\$ 615	\$ 516	\$ 1,731	\$ 2,436	<input checked="" type="checkbox"/>	\$ 59	\$ 6	\$ 31	\$ 2	●	\$ 1,695	\$ 1,507	\$ 10,162	\$ 3,835	●	●

Pre 2008 SHOPP projects with 1 programmed support components.

Description	Project	Total Overall Project												↓	
		Project Development PJD				Right of Way Component				Construction Component					↓
		All Support						RW Capital				CON Capital			
Bud	Cost					Bud	Cost			Bud	Cost	↓			
<input checked="" type="checkbox"/> Costs > 120%	Count	◆ Costs 100 - 120%				☑ Costs 80 - 100%				● Costs < 80%				↓	
Emergency Response	5	\$ 40,794	\$ 42,783					\$ 2,339	\$ 1,118			\$ 142,828	\$ 134,722	☑	
Collision Reduction	9	\$ 29,833	\$ 52,391					\$ 5,875	\$ 3,040			\$ 171,934	\$ 140,723	☑	
Mandate	4	\$ 18,759	\$ 18,783					\$ 208	\$ 184			\$ 48,356	\$ 31,673	●	
Bridge Preservation	9	\$ 13,430	\$ 25,030					\$ 2,352	\$ 588			\$ 31,376	\$ 27,406	◆	
Roadway Preservation	7	\$ 20,033	\$ 24,275					\$ 2,082	\$ 448			\$ 80,775	\$ 67,288	☑	
Mobility	5	\$ 6,762	\$ 13,433					\$ 40	\$ 31			\$ 38,222	\$ 28,731	☑	
Roadside Protection	10	\$ 8,625	\$ 14,262					\$ -	\$ 4			\$ 31,380	\$ 26,620	◆	
Facilities	4	\$ 8,707	\$ 15,348					\$ 2,580	\$ 1,319			\$ 28,411	\$ 26,426	◆	