

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: March 28-29, 2012

Reference No.: 3.14
Information Item

From: Norma Ortega
Chief Financial Officer

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Local Assistance

Subject: **QUARTERLY REPORT ON LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR PERIOD ENDING DECEMBER 31, 2011**

SUMMARY:

For Federal Fiscal Year (FFY) 2012, the California Transportation Commission (Commission) allocated approximately \$842 million to the Department of Transportation (Department). Of the \$842 million allocated and administered by the Department, approximately \$128 million is state funds and \$713 million is federal funds.

As of December 31, 2011, about \$22 million, or 3 percent, of the \$842 million has been sub-allocated to 68 local projects. The majority of the sub-allocations (approximately \$18 million) are for 61 projects in the following categories:

- Regional Surface Transportation Program (RSTP) - 4 projects, \$3 million
- Congestion Mitigation and Air Quality Program (CMAQ) - 10 projects, \$1 million
- High Priority Projects/Demonstration Projects/Emergency Relief - 7 projects, \$3 million
- Highway Bridge Program - 18 projects, \$7 million
- Highway Safety Improvement Program (HSIP) - 22 projects, \$4 million

The remaining \$4 million was sub-allocated for 7 projects in other categories (as referenced with an asterisk on the attachment).

BACKGROUND:

The Department's Division of Local Assistance administers the local assistance subvention budget under delegated authority from the Commission. The Commission allocates an annual lump sum consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation.

Local Assistance Lump Sum Allocation Status for FFY 2011:

As of December 31, 2011, the end of the first quarter of FFY 2012, approximately \$22 million, or 3 percent of the \$842 million allocated, has been sub-allocated for 68 projects. Some programs have low sub-allocations; however, this is not unusual during the first quarter of the federal fiscal year. In comparison to the Local Assistance delivery report of federal formula funds for the period ending December 31, 2010, the Department had only sub-allocated \$113 million or 10 percent of the federal lump sum for FFY 2011. The Surface Transportation Program State Match and Exchange should occur after Congress authorizes the remaining federal expenditures for FFY 2012.

Freeway Service Patrol, Railroad Grade Crossing Protection, Railroad Grade Crossing Maintenance, and Railroad Grade Separation currently have no sub-allocations. These programs are not sub-allocated until the Department receives applicant projects, which does not occur until later in the federal fiscal year.

The sub-allocations for the federal Bridge Inspection Program exceed a 10 percent overrun of the Commission's June 2011 lump sum allocation. This program is a sub-program of the federal Highway Bridge Program (HBP). According to federal regulations, bridge sub-programs must stay within the HBP total budget, which includes the Bridge Inspection Program, Local Bridge Seismic Retrofit, and the HBP budgets. Sub-allocations have not exceeded the total budget.

Consistent with historical trends, the Department anticipates using all funds allocated by the Commission for FFY 2012.

Attachment

LOCAL ASSISTANCE FUNDS

**Period Ending
December 31, 2011
(Dollars in Thousands)**

Reference No.: 3.14
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated	Number of Projects
	State	Federal	Total	State	Federal	Total	State	Federal	Total	Total	Total
Local Administered & Miscellaneous Programs											
Regional Surface Transportation Program		207,821	207,821		3,068	3,068	0	204,753	204,753	1%	4
Surface Transportation Program State Match and Exchange	57,849		57,849	0		0 *	57,849	0	57,849	0%	1
Congestion Mitigation & Air Quality Program		215,062	215,062		1,192	1,192	0	213,870	213,870	1%	10
Freeway Service Patrol	25,479		25,479	0		0 *	25,479	0	25,479	0%	0
High Priority and Discretionary Projects		104,085	104,085		2,973	2,973	0	101,112	101,112	3%	7
Miscellaneous	3,000	850	3,850	0	0	0 *	3,000	850	3,850	0%	0
Bridge Programs											
Bridge Inspection	735	1,320	2,055		2,439	2,439 *	735	(1,119)	(384)	119%	1
Highway Bridge Program		53,581	53,581	0	6,629	6,629	0	46,952	46,952	12%	18
Local Bridge Seismic Retrofit		84,823	84,823		1,089	1,089 *	0	83,734	83,734	1%	2
Rail Programs											
Railroad Grade Crossing Protection		5,858	5,858		0	0 *	0	5,858	5,858	0%	0
Railroad Grade Crossing Maintenance	2,000		2,000	0		0 *	2,000	0	2,000	0%	0
Railroad Grade Separation	15,000		15,000	0		0 *	15,000	0	15,000	0%	0
Safety Programs											
Highway Safety Improvement Program		25,276	25,276		3,638	3,638	0	21,638	21,638	14%	22
High Risk Rural Roads		4,113	4,113		572	572 *	0	3,541	3,541	14%	2
Safe Routes to School	24,250	10,476	34,726	0	71	71	24,250	10,405	34,655	0%	1
Total Local Assistance Subvention Funds	128,313	713,265	841,578	0	21,671	21,671	128,313	691,594	819,907	3%	68
Federal Transit Administration (FTA) Transfers				0	0	0	0	0	0	0%	0
Total Local Assistance Including FTA Transfers	128,313	713,265	841,578	0	21,671	21,671	128,313	691,594	819,907	3%	68

Assumptions:

- * Allocations for state funds reflect the June 2011 Commission meeting vote, Item 2.5h.
- * Allocations for federal funds reflect the September 2011 Commission meeting vote, Item 2.5h.
- * The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.
- * Total Sub-Allocations data is from InfoAdvantage (accounting system).
- * In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.