

# Memorandum

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: August 11-12, 2010

Reference No.: 3.4  
Information Item

From: NORMA ORTEGA  
Chief Financial Officer

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Program Manager  
Recovery Act

Subject: **UPDATE ON IMPLEMENTATION OF RECOVERY ACT OF 2009**

## **SUMMARY:**

The California Department of Transportation (Department) is implementing the American Recovery and Reinvestment Act of 2009 (Recovery Act) and has committed to report to the California Transportation Commission (Commission) as to the status of the implementation. This includes reporting on the amount of Recovery Act funds certified, obligated and awarded for state and local highway and transit projects to date. Attached is the current status for state and regional agency projects as of June 30, 2010 or as noted.

## **BACKGROUND:**

The Recovery Act is a job and economic stimulus bill intended to help restart the nation's economy and stimulate employment during the worst economic downturn in over 70 years. In drafting this bill, President Obama and Congress recognized that investment in transportation infrastructure is one of the best ways to create and sustain jobs, stimulate economic development, and leave a legacy to support the financial well-being of the generations to come. The state departments of transportation and other transportation stakeholders have been tasked to quickly move forward with mobility projects that bring real value to the local, state, and national economy.

Nationally, the bill provides more than \$48 billion for transportation infrastructure. The Recovery Act apportions, in formula programs, approximately \$2.57 billion for highways, local streets and roads in California. These funds are segregated by federal and state law to provide approximately \$1.6 billion to the regions (including \$48 million for TE) and \$964 million to the state (including \$29 million for TE). California has received apportionments for transit formula grants of \$1.068 billion for urban (Section 5307), non-urban (Section 5311) and fixed guideway (Section 5309) projects. The Recovery Act also provides \$8 billion nationally for Capital Assistance for High Speed Rail Corridors. In late January of 2010, the Federal Railroad Administration announced that the San Diego-Los Angeles-San Luis Obispo (Surfliner), Oakland-Sacramento (Capital) corridors and the statewide upgrade of emissions control for locomotives received \$100.2 million in funding for specific projects. In addition, California airports have directly received to date \$100.7 million in aviation grants for 24 projects at 19 airports. The Department was also awarded \$950,000 by the US Environmental Protection Agency from the National Clean Diesel Grant Program to retrofit 46 non-road engines with emission control devices. Lastly, the Recovery Act provides \$1.5 billion available nationally under the Transportation Investment Generating Economic Recovery (TIGER) program for competitive discretionary grants for highway, public transportation, rail, and port infrastructure

projects. On February 17, 2010 the US Department of Transportation announced that California will receive awards for four projects that total \$130 million in TIGER funds, which leverage \$1.76 billion in total funds. These projects and TIGER awards are the Doyle Drive Replacement project in the City of San Francisco, \$46 million; the State Route 905 project near Otay Mesa in San Diego County, \$20.2 million; the Alameda Corridor East – Colton Crossing project \$33.8 million; and the Green Trade Corridor Marine Highway project at the Ports of Oakland, Stockton, and West Sacramento, \$30 million.

Attachments

California Recovery Act Program Status  
Recovery Act Program Status as of June 30, 2010.

## Caltrans Recovery Act Program Status

Reference No.: 3.4  
August 11-12, 2010  
Attachment 1

HIGHWAYS - Formula Distribution, FHWA <i>(Status of dates shown below)</i>												
Appropriation <sup>1</sup>	Obligations <sup>2</sup> <i>(As of June 30, 2010)</i>				Awards <sup>3</sup> <i>(As of June 30, 2010)</i>			Outlays <sup>5</sup> <i>(As of June 30, 2010)</i>		Forecast of Inactive Obligations <sup>6</sup> <i>(As of July 9, 2010)</i>		
	Recovery Dollars	Appropriation Source	Projects	Recovery Dollars	Projects	Recovery Dollars	Total Leveraged Dollars <sup>4</sup>	Projects	Recovery Dollars	Identified Projects	Projects w/Invoice Submitted	Recovery Dollars
<b>State</b>	<b>\$972,275,620</b>	<b>State Highway System Projects</b>										
		State	91	\$657,303,490	84	\$630,798,745	\$729,340,647	80	\$189,576,161			
		State & Region (State S) <sup>7</sup>	7	\$275,728,050	6	\$549,648,199	\$1,345,456,803	4	\$138,367,543			
		State & Region (Region S) <sup>7</sup>		\$303,287,360								
		Region (State Administered, Region S)	16	\$304,372,325	13	\$237,447,275	\$284,216,197	12	\$47,790,969			
		Region <sup>8</sup> (Region S)	20	\$77,135,275	19	\$71,617,775	\$167,955,155	8	\$2,088,855			
		<b>Subtotal</b>	<b>134</b>	<b>\$1,617,826,500</b>	<b>122</b>	<b>\$1,489,511,994</b>	<b>\$2,526,968,802</b>	<b>104</b>	<b>\$377,823,528</b>	<b>0</b>	<b>0</b>	<b>\$0</b>
<b>Region<sup>9</sup></b>	<b>\$1,597,292,700</b>	<b>Local Highway System Projects<sup>8</sup></b>										
		Region	796	\$848,709,932	679	\$781,323,264	\$1,016,345,790	428	\$214,997,506	28	9	\$34,749,053
		State & Region (Region S)	2	\$1,478,800	2	\$2,501,985	\$3,282,370	1	\$28,020			
		State & Region (State S)		\$1,023,185								
		State	1	\$1,200,000	1	\$1,200,000	\$1,200,000	1	\$56,093			
		<b>Subtotal</b>	<b>799</b>	<b>\$852,411,917</b>	<b>682</b>	<b>\$785,025,249</b>	<b>\$1,020,828,160</b>	<b>430</b>	<b>\$215,081,619</b>	<b>28</b>	<b>9</b>	<b>\$34,749,053</b>
<b>Flex<sup>10</sup></b>	<b>(\$27,418,195)</b>											
<b>Total</b>	<b>\$2,542,150,125</b>	<b>Total<sup>11</sup></b>	<b>933</b>	<b>\$2,470,238,417</b>	<b>804</b>	<b>\$2,274,537,243</b>	<b>\$3,547,796,962</b>	<b>534</b>	<b>\$ 592,905,147</b>	<b>28</b>	<b>9</b>	<b>\$ 34,749,053</b>
		<b>Total State Obligation<sup>11</sup></b>		<b>\$935,254,725</b>								
		<b>Total Region Obligation<sup>11</sup></b>		<b>\$1,534,983,692</b>								

<sup>1</sup> Total funds apportioned to state by FHWA and as distributed by California law AB 3X-20.

<sup>2</sup> Commitment by FHWA to reimburse eligible project expenditures.

<sup>3</sup> Construction contracts awarded to contractors.

<sup>4</sup> Total Leveraged Dollars include all fund sources.

<sup>5</sup> Outlays are eligible project expenditures where Caltrans has been reimbursed by FHWA.

<sup>6</sup> Forecasted of Inactive Obligations are projects are Tier 1 projects identified by the FHWA with obligations that occurred 270 days or earlier and do not have a federal expenditure. "Projects w/Invoice Submitted" are those projects with invoices submitted to Caltrans and are pending review & payment. Projects will be removed from this summary once the reimbursement is made by the FHWA.

<sup>7</sup> Projects administered by Caltrans, Region, or Local agency.

<sup>8</sup> Projects administered by Region or Local agency

<sup>9</sup> Appropriation is reduced by FHWA Flex Funds transferred to FTA for transit projects.

<sup>10</sup> FHWA Funds transferred by regions from FHWA to FTA for transit projects.

<sup>11</sup> Obligated amounts will increase or decrease to the maximum of the total apportionment amount to ensure that federal regulations are met and any unobligated funds are used by the September 30, 2010 deadline.

**Caltrans Recovery Act Program**  
**Status as of June 30, 2010**

Reference No.: 3.4  
 August 11-12, 2010  
 Attachment 2

**(Non-Highway Funds)**

<b>JOB TRAINING (Discretionary) - FHWA</b>					
On-the-Job Training /Supportive Services <sup>12</sup>	Appropriation <sup>1</sup>	Obligations <sup>3</sup>		Awards <sup>4</sup>	
	Recovery Dollars	Projects	Recovery Dollars	Projects	Recovery Dollars
	<b>\$408,217</b>	<b>5</b>	<b>\$408,217</b>	<b>-</b>	<b>-</b>

<b>TRANSIT (Formula Distribution) - FTA</b>			
Program	Appropriation <sup>1</sup>	Certifications <sup>2</sup>	Grant Awards (Obligation) <sup>3</sup>
	Recovery Dollars	Recovery Dollars	Recovery Dollars
5307 <sup>5</sup>	\$968,313,640	\$1,005,700,724	\$968,313,640
5307 Flex <sup>10</sup>	\$24,214,179	\$24,214,179	\$24,214,179
5309 <sup>5</sup>	\$66,171,889	\$66,171,889	\$66,171,889
5309 Flex <sup>10</sup>	\$3,200,000	\$3,200,000	\$3,200,000
5311	\$33,963,166	\$32,265,008	\$33,963,166
5311 Flex <sup>10</sup>	\$1,977,134	\$1,977,134	\$1,977,134
<b>Total</b>	<b>\$1,097,840,008</b>	<b>\$1,133,528,934</b>	<b>\$1,097,840,008</b>

<b>TIGER (Discretionary) - USDOT</b>		
Nationally Available Grants		<b>\$1,500,000,000</b>
Grant Awards Announced <sup>7</sup>		
Project	TIGER Dollars	Total Leveraged Dollars
Doyle Drive Replacement (US-101)	\$46,000,000	\$1,045,000,000
Otay Mesa POE (805/905 Interchange)	\$20,200,000	\$198,300,000
Alameda Corridor East - Colton Crossing	\$33,800,000	\$449,000,000
CA Green Trade Corridor Marine Highway	\$30,000,000	\$69,300,000
<b>Total</b>	<b>\$130,000,000</b>	<b>\$1,761,600,000</b>

<b>NATIONAL CLEAN DIESEL GRANT PROGRAM (Discretionary) - USEPA</b>	
Division of Equipment Grant from US EPA for Engine Emission Retrofit	
Non-Road Engines	Awarded Recovery Dollars
<b>46</b>	<b>\$951,431</b>

<b>AVIATION (Discretionary) - FAA</b>			
Nationally Available Grants	Grant Awards <sup>6</sup>		
	Projects	Airports	Recovery Dollars
<b>\$1,300,000,000</b>	<b>24</b>	<b>19</b>	<b>\$100,693,428</b>

<b>HIGH SPEED &amp; INTERCITY PASSENGER RAIL (Discretionary) - FRA</b>				
Nationally Available Grants (All Tracks) <sup>8</sup>		<b>\$8,000,000,000</b>	Awards Announced	
Track	Applications		Projects	Recovery Dollars
	Applications	Requested Recovery Dollars		
1	38	<b>\$1,149,322,000</b>	TBD	<b>TBD</b>
2	0	<b>\$0</b>	0	<b>\$0</b>
3	4	<b>\$3,033,000</b>	TBD	<b>TBD</b>
4	1	<b>\$6,200,000</b>	1	<b>\$6,200,000</b>
<b>Total</b>	<b>42<sup>9</sup></b>	<b>\$1,158,555,000</b>	TBD	<b>\$100,200,000</b>

<sup>1</sup> Total funds apportioned to state by FTA.

<sup>2</sup> Section 1511 certification may exceed appropriation due to the certification of State and/or Region funds and the capturing of cost savings on previously certified projects. Certifications as of February 2010

<sup>3</sup> Commitment by FHWA/FTA to reimburse eligible project expenditures.

<sup>5</sup> Grants awarded by FTA directly to transit agencies.

<sup>6</sup> Grants awarded by FAA directly to airports.

<sup>7</sup> Grants will be awarded by the USDOT to the agency best suited to administer the project.

<sup>8</sup> Specific allocations by Track have not been made by the FRA.

<sup>9</sup> One application was submitted concurrently for Tracks 1 and 4.

<sup>10</sup> FHWA Funds transferred by regions from FHWA to FTA for transit projects. Amount totals \$27,418,195.

<sup>12</sup> On-the-Job Training - Support Services Grant with Civil Rights for training centers.