

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: May 28-29, 2008

Reference No.: 2.1c.(1f)
Action Item

From: CINDY McKIM
Chief Financial Officer

Prepared by: Ross Chittenden
Proposition 1B Program
Manager

Subject: **CMIA PROJECT BASELINE AMENDMENT**
RESOLUTION CMIA-PA-0708-010

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve a project baseline agreement amendment to the Corridor Mobility Improvement Account (CMIA) Program.

ISSUE:

The Department, in conjunction with the Sonoma County Transportation Authority, proposes the following CMIA project baseline agreement amendment to the Route 101 - HOV Wilfred Avenue to Santa Rosa Avenue Project (PPNO 0781E) in Sonoma County, as described on the following pages.

BACKGROUND:

On March 15, 2007, the Commission approved Resolution CMIA-P-0607-02 adopting the CMIA Program of Projects (amending Resolution CMIA-P-0607-01). A major component of this program is project baseline agreements signed by the Director of the affected regional agency, the Director of the California Department of Transportation, and Commission's Executive Director. The baseline agreements set forth the agreed upon project scope, schedule, cost and expected benefits, including the start and completion dates for the environmental, right of way, design, and construction phases of the project. Changes to projects require approval of the same entities that originally signed the project baseline agreement prior to presentation to the Commission.

The Department has worked diligently with affected project sponsors to review and affirm the need of this amendment request by considering all available options to mitigate the need for change. The Department has also worked cooperatively with Commission staff to review technical facts and specific project related issues surrounding the needed changes. The Director of the affected regional agency and the Department's Director have signed the CMIA Bond project baseline agreement amendment for this project and forwarded copies to the Commission's Executive Director for signature.

PROJECT INFORMATION:**Proposed Change:**

Exchange \$1.5 million CMIA Construction funds with \$1.5 million Regional Improvement Program (RIP) Construction funds from the Sonoma 101 Windsor HOV (PPNO 0749A).

Transfer \$3,577,000 STIP-RIP funds from Environmental to Design.

Transfer \$770,000 Local Measure M funds from Right of Way to Construction.

Increase Environmental to \$5,018,000 to account for all sunk costs.

Discussion:

The funding plan will be modified to transfer \$1.5 million CMIA funds to the Sonoma 101 Windsor HOV (PPNO 0749A) to provide advance funding for the Sonoma 101 Windsor HOV project to proceed to construction. The CMIA transfer from this project will be exchanged for \$1.5 million RIP funds from the Sonoma 101 Windsor HOV project. In addition, it is requested to move programmed funds between phases. The change also includes an increase to Environmental from \$4,320,000 to \$5,018,000 to account for all sunk costs. The change involves transferring non-grandfather RIP funds from Environmental to Design to reflect only grandfathered RIP for Environmental and correct the Design estimate; and transferring funds from Right of Way to Construction to incorporate the initially intended railroad service contract into Construction. The railroad reversed their position in January due to resource issues causing the change.

Scope:

No change

Benefits:

No change

Schedule:

No change

Cost:

The project is anticipating a five percent cost increase over the baseline agreement estimate. The Environmental cost increase was incurred prior to the execution of the baseline agreement amendment and the total expenditures were not reported. Project cost increases in design were anticipated when the additional programming was added to the Environmental component. Railroad issues have been a primary factor in PS&E cost increases.

RESOLUTION:

Be it Resolved, that the California Transportation Commission does hereby amend the Corridor Mobility Improvement Account Program to reflect the changes proposed in the project baseline agreement amendment described below.

PROJECT PROGRAMMING REQUEST

DTP-0001 (REV. 3/08)

General Instructions

<input type="checkbox"/> New Project		<input checked="" type="checkbox"/> Amendment (Existing Project)		Date:	05/02/08
Caltrans District	EA	PPNO	MPO ID	TCRP No.	
4	129650	0781E	SON950005		
County	Route/Corridor	Project Sponsor/Lead Agency		MPO	Element
SON	101	Caltrans		MTC	CO
Project Title					
US 101 HOV Lanes - Wilfred Ave to Santa Rosa Ave					
PM Bk	PM Ahd	Project Mgr/Contact	Phone	E-mail Address	
13.9	15.5	Rey Centeno	(510)286-5566	Rey_Centeno@dot.ca.gov	
Location, Project Limits, Description, Scope of Work, Legislative Description					
On U.S. 101 in Rohnert Park, south of Wilfred Avenue to Santa Rosa Avenue. The project proposes to widen U.S. from 4 to 6 lanes to extend high occupancy vehicle (HOV) lanes for about 1.6 miles just north of the Rohnert Park Expressway overcrossing to Santa Rosa Avenue overcrossing in Sonoma County, construct a new undercrossing underneath U.S. 101 to connect Wilfred Avenue to Golf Course Drive.					
Component	Implementing Agency		AB 3090	Letter of No Prejudice	
PA&ED	Caltrans		<input type="checkbox"/>	<input type="checkbox"/>	
PS&E	Caltrans		<input type="checkbox"/>	<input type="checkbox"/>	
Right of Way	Caltrans		<input type="checkbox"/>	<input type="checkbox"/>	
Construction	Caltrans		<input type="checkbox"/>	<input type="checkbox"/>	
Legislative Districts					
Assembly:	6		Senate:	3	
Congressional:	6				
Purpose and Need					
The 101 corridor is a lifeline for Northern California commuters and commerce, connecting the vital business center of San Francisco and the East Bay with Marin County and the rapidly growing Sonoma and Mendocino Counties. Along extensive portions of U.S. 101 in the North Bay, there are no parallel arterials that can meaningfully serve as alternatives to the highway for vehicle trips. As such, U.S. 101 is critical to the local distribution of goods and services throughout major portions of the North Bay.					
Project Benefits					
The project increases capacity to reduce current and projected congestion, extends HOV lanes to encourage carpooling and transit, and adds an auxiliary lane and new local connections to improve safety and operations.					
Project Milestone			Existing	Proposed	
Project Study Report Approved					
Begin Environmental (PA&ED) Phase			01/01/03	1/1/03A	
Circulate Draft Environmental Document		Document Type ND	07/01/04	7/1/04A	
Draft Project Report			08/01/04	8/1/04A	
End Environmental Phase (PA&ED Milestone)			12/01/06	11/30/06A	
Begin Design (PS&E) Phase			07/01/05	10/1/04A	
End Design Phase (Ready to List for Advertisement Milestone)			08/01/08	08/01/08	
Begin Right of Way Phase			12/01/06	11/30/06	
End Right of Way Phase (Right of Way Certification Milestone)			08/01/08	08/01/08	
Begin Construction Phase (Contract Award Milestone)			01/01/09	01/01/09	
End Construction Phase (Construction Contract Acceptance Milestone)			12/01/11	12/01/11	
Begin Closeout Phase			01/01/12	01/01/12	
End Closeout Phase (Closeout Report)			01/01/13	01/01/13	

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

PROJECT PROGRAMMING REQUEST

DTP-0001 (REV. 3/08)

Date: 05/02/08

County	CT District	PPNO	TCRP Project No.	EA
SON	4	0781E		129650
Project Title: US 101 HOV Lanes - Wilfred Ave to Santa Rosa Ave				

Existing Total Project Cost									Implementing Agency
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	
E&P (PA&ED)	4,320							4,320	Caltrans
PS&E	3,180							3,180	Caltrans
R/W SUP (CT)	840							840	
CON SUP (CT)		6,600						6,600	
R/W	8,000							8,000	Caltrans
CON		62,500						62,500	Caltrans
TOTAL	16,340	69,100						85,440	
Proposed Total Project Cost									
E&P (PA&ED)	5,018							5,018	
PS&E	6,757							6,757	
R/W SUP (CT)	840							840	
CON SUP (CT)		6,600						6,600	
R/W	7,230							7,230	
CON		63,270						63,270	
TOTAL	19,845	69,870						89,715	

Fund No. 1:	State Bond - Corridor Mobility Program (CMIA)								Program Code
Existing Funding									20.XX.721.000
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency
E&P (PA&ED)									Caltrans
PS&E									
R/W SUP (CT)									
CON SUP (CT)		1,600						1,600	
R/W									
CON		43,200						43,200	
TOTAL		44,800						44,800	
Proposed Funding									Notes
E&P (PA&ED)									Transfer \$1.5M CON (CMIA) to the Sonoma 101 North HOV (PPNO 0749A)
PS&E									
R/W SUP (CT)									
CON SUP (CT)		1,600						1,600	
R/W									
CON		41,700						41,700	
TOTAL		43,300						43,300	

Fund No. 2:	GF RIP - State Cash (ST-CASH)								Program Code
Existing Funding									20.XX.075.400
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency
E&P (PA&ED)	743							743	Sonoma County
PS&E	250							250	
R/W SUP (CT)	140							140	
CON SUP (CT)									
R/W	1,200							1,200	
CON									
TOTAL	2,333							2,333	
Proposed Funding									Notes
E&P (PA&ED)	5,018							5,018	Increase funding for PA&ED phase from \$743K to \$5.018M to account for all sunk costs. Transfer \$1.2M R/W GF-RIP to R/W RIP.
PS&E	250							250	
R/W SUP (CT)	140							140	
CON SUP (CT)									
R/W									
CON									
TOTAL	5,408							5,408	

PROJECT PROGRAMMING REQUEST

DTP-0001 (REV. 3/08)

Date: 05/02/08

County	CT District	PPNO	TCRP Project No.	EA
SON	4	0781E		129650
Project Title: US 101 HOV Lanes - Wilfred Ave to Santa Rosa Ave				

Fund No. 3: RIP - State Cash (ST-CASH)									Program Code	
Existing Funding									20.XX.075.600	
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency	
E&P (PA&ED)	3,577							3,577	Sonoma County Transportation Aut	
PS&E	2,930							2,930		
R/W SUP (CT)	700							700		
CON SUP (CT)		5,000						5,000		
R/W	1,100							1,100		
CON		5,000						5,000		
TOTAL	8,307	10,000						18,307		
Proposed Funding									Notes	
E&P (PA&ED)									Transfer total of \$1.5M CON	
PS&E	6,507							6,507	(RIP) from the Sonoma 101	
R/W SUP (CT)	700							700	North HOV (PPNO 0749A).	
CON SUP (CT)		5,000						5,000	Transfer \$3,577K STIP-RIP	
R/W	2,300							2,300	funds from PA&ED to	
CON		6,500						6,500	PS&E.	
TOTAL	9,507	11,500						21,007		

Fund No. 4: Loc Funds - Measure M (MEAM)									Program Code	
Existing Funding									LOCAL FUNDS	
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency	
E&P (PA&ED)									Sonoma County Transportation Aut	
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W	5,700							5,700		
CON		14,300						14,300		
TOTAL	5,700	14,300						20,000		
Proposed Funding									Notes	
E&P (PA&ED)									Transfer \$770 K from R/W	
PS&E									to CON (Railroad crossing	
R/W SUP (CT)									contract).	
CON SUP (CT)										
R/W	4,930							4,930		
CON		15,070						15,070		
TOTAL	4,930	15,070						20,000		

Fund No. 5:									Program Code	
Existing Funding										
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency	
E&P (PA&ED)										
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON										
TOTAL										
Proposed Funding									Notes	
E&P (PA&ED)										
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON										
TOTAL										