

# Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: May 12-13, 2004

Reference No.: 2.1a.(4)  
Action Item

From: ROBERT L. GARCIA  
Chief Financial Officer

Prepared by: Jim Nicholas  
Division Chief  
Transportation Programming

Ref: **STIP AMENDMENT 02S-123**

The San Joaquin Council of Governments (SJCOG) is requesting the California Transportation Commission (Commission) approve this State Transportation Improvement Program (STIP) amendment and AB 3090 authorization to advance construction for four programmed projects. This amendment was noticed at the February 2004 Commission meeting. There was a lengthy discussion on the noticed item. The discussion included a finding that the request was inconsistent with the Commission's AB 3090 Policy. The Commission asked SJCOG to meet with Commission and Department of Transportation (Department) staff to evaluate other options and resubmit the item for action or incorporate changes into the 2004 Regional Transportation Improvement Program (RTIP). Staff from SJCOG, the Commission and the Department met to discuss options. SJCOG has submitted this amended request for consideration by the Commission and action at the May 2004 Commission meeting.

**Action Update:** This Action item has been revised from the Notice. SJCOG requests AB 3090 approval for the following projects:

- Route 12 Kettleman Lane Gap Closure (PPNO 7398)
- Route 99 Widening in North Stockton (PPNO 7673)
- Route 99/Hammer Lane Interchange (PPNO 9841)
- Route 99/120East (Yosemite Avenue) Interchange (PPNO 7635)

The Tracy Widening, Stage 2 & 3 project (PPNO 7965B) and the Route 12 Bouldin Island project (PPNO 7350) have been withdrawn from this STIP amendment, and will be addressed in the 2004 RTIP submittal. SJCOG will recommend the Tracy Widening project as a candidate for future Grant Anticipation Revenue Vehicle (GARVEE) funding.

The total estimated cost of the four requested projects is nearly \$79,000,000, with a programmed STIP amount of \$35,134,000; the remainder of the funding will come from local and other sources. The AB 3090 approval request is for \$15,658,000 in Regional Improvement Program (RIP) funds for replacement projects in FY 2008-09 to be identified at a later date, and for \$19,476,000 in Interregional Improvement Program (IIP) funds for reimbursement in FY 2008-09.

**RECOMMENDATION:**

The Department recommends that the AB 3090 request be approved.

**BACKGROUND:**

This request follows AB 3090 Guidelines, which allows a Local Agency to use its own funds (non-state or non-federal) to complete a project component early. SJCOG is requesting approval of this STIP amendment with AB 3090 authorization for \$35,134,000, with \$15,658,000 for replacement STIP projects to be identified and programmed later, and \$19,476,000 for reimbursement in FY 2008-09.

SJCOG acknowledges that the Commission's AB 3090 Policy gives preference to replacement projects rather than direct reimbursements. Working with Commission and Department staff, SJCOG has amended the original request to be more consistent with the Commission's policy. SJCOG proposes to borrow against their sales tax measure (Measure K) to provide funds needed to advance construction for four projects. The SJCOG Measure K identified specific uses for available funds and they have aggressively pursued completion of those projects. Most of the capital work has been completed or is programmed. The remaining funds to be used for collateral are for operational needs and must be paid back. Consistent with the Commission's AB 3090 Policy, SJCOG has identified \$15,658,000 that is available for STIP replacement projects. The remaining \$19,476,000 requires direct reimbursement to pay back the Measure K account. SJCOG is requesting the direct reimbursement in FY 2008-09.

The projects will be ready to list for advertisement by July 2004, except for the Route 99/120 East (Yosemite Avenue) Interchange project is expected to be ready by September 2004.

The Tracy Widening, Stage 2 & 3 project (PPNO 7965B) on Route 205 identified in the STIP amendment noticed in February 2004 has been withdrawn. SJCOG has included this project in their 2004 RTIP submittal for consideration by the Commission for GARVEE funding.

The AB 3090 request is for advancing construction for the following four projects:

**Route 12 Kettleman Lane Gap Closure (PPNO 7398)**

This project consists of widening Route 12 in the City of Lodi to four lanes, with auxiliary turning lanes and modifications to the existing Kettleman/Route 99 interchange, to relieve traffic congestion. The project is currently programmed with \$336,000 in RIP funds for Right of Way (R/W) in FY 2005-06 and \$2,293,000 in RIP funds for Construction (CON) in FY 2006-07.

SJCOG is requesting approval of AB 3090 Replacement for \$2,629,000 in RIP funds for CON in FY 2008-09 for replacement projects to be identified at a later time.

**Route 99 Widening in North Stockton (PPNO 7673)**

This project consists of widening Route 99 from a four-lane freeway to a six-lane freeway between Route 4 and Hammer Lane, and adding auxiliary lanes between Wilson Way and Hammer Lane. The widening will help alleviate traffic congestion, improve operations and accommodate additional traffic capacity along Route 99. The project is currently programmed with \$5,233,000 in RIP funds for CON in FY 2003-04; \$2,752,000 in IIP funds for Construction Support (CON SUP) and \$16,724,000 in IIP funds for CON in FY 2003-04.

SJCOG is requesting approval of AB 3090 Reimbursement for \$19,476,000 in IIP funds for CON in FY 2008-09, and AB 3090 Replacement for \$5,233,000 in RIP funds for CON in FY 2008-09 for replacement projects to be identified at a later time. SJCOG also requests to change the implementing agency from the Department to the City of Stockton for the CON component of the Route 99 Widening in North Stockton project.

**Route 99/Hammer Lane Interchange (PPNO 9841)**

This project consists of widening the overcrossing, realigning the frontage road and reconstructing the interchange ramps. It will improve the traffic capacity of the interchange. The project is currently programmed with \$2,467,000 in RIP funds for CON SUP and \$2,921,000 in RIP funds for CON in FY 2003-04.

SJCOG is requesting approval of AB 3090 Replacement for \$5,388,000 in RIP funds for CON in FY 2008-09 for replacement projects to be identified at a later time. SJCOG also requests to change the implementing agency from the Department to the City of Stockton for the CON component of the Route 99/Hammer Lane Interchange project.

**Route 99/120 East (Yosemite Avenue) Interchange (PPNO 7635)**

This project consists of widening Route 120 through the interchange, reconstructing the Route 99 overhead, reconstructing the interchange on-ramps and off-ramps and signaling the interchange ramps. The project will improve traffic operations and provide additional capacity through the interchange. The project is currently programmed with \$408,000 in RIP funds for CON SUP and \$2,000,000 in RIP funds for CON in FY 2003-04.

SJCOG is requesting approval of AB 3090 Replacement for \$2,408,000 in RIP funds for CON in FY 2008-09 for replacement projects to be identified at a later time. SJCOG also requests to change the implementing agency from the Department to the City of Manteca for the CON component of the Route 99/120 East (Yosemite Avenue) Interchange project.

**RESOLUTION:**

Resolved, that the California Transportation Commission (Commission) revise the 2002 State Transportation Improvement Program (STIP) at the end of the 30-day notice period as follows:

**Revises:**

County	District	PPNO	EA	Element	Const. Year	PM Back	PM Ahead	Route/Corridor					
San Joaquin	10	7398	0G570K	CO	2006-07	15.2	17.5	12					
Implementing Agency: (by component)		PA&ED	City of Lodi			PS&E	City of Lodi						
		R/W	City of Lodi			CON	City of Lodi						
RTPA/CTC:		San Joaquin Council of Governments											
Project Title:		SR-12 Kettleman Lane Gap Closure											
Location		In City of Lodi - On SR-12 from Tienda Drive to Ham Lane and Stockton Street to Cherokee Lane											
Description:		Widen to 4 lanes											
<b>(DOLLARS IN THOUSANDS)</b>													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		Prior	02/03	03/04	04/05	05/06	06/07	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
<b>RIP</b>													
Existing	2,629					336	2,293	336	2,293				
Change	(2,629)					(336)	(2,293)	(336)	(2,293)				
Proposed	0					0	0	0	0				
<b>Loc Funds</b>													
Existing	823	473	241	109				186	109	140	333	55	
Change	0	0	0	0				0	0	0	0	0	
Proposed	823	473	241	109				186	109	140	333	55	
<b>Total</b>													
Existing	3,452	473	241	109		336	2,293	522	2,402	140	333	55	
Change	(2,629)	0	0	0		(336)	(2,293)	(336)	(2,293)	0	0	0	
Proposed	823	473	241	109		0	0	186	109	140	333	55	

**And Adds:**

County	District	PPNO	EA	Element	Const. Year	PM Back	PM Ahead	Route/Corridor					
San Joaquin	10	7398C	--	LA	2008-09	--	--	--					
Implementing Agency: (by component)		PA&ED				PS&E							
		R/W				CON							
RTPA/CTC:		San Joaquin County Council of Governments											
Project Title:		AB 3090 Replacement Project (SR-12 Kettleman Lane Gap Closure)											
Location		AB 3090 Replacement Project											
Description:		AB 3090 Replacement Project											
<b>(DOLLARS IN THOUSANDS)</b>													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		03/04	04/05	05/06	06/07	07/08	08/09	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
<b>RIP</b>													
Existing	0						0		0				
Change	2,629						2,629		2,629				
Proposed	2,629						2,629		2,629				
<b>Total</b>													
Existing	0						0		0				
Change	2,629						2,629		2,629				
Proposed	2,629						2,629		2,629				

**And Revises:**

County	District	PPNO	EA	Element	Const. Year	PM Back	PM Ahead	Route/Corridor					
San Joaquin	10	7673	445400	CO	2003-04	18.6	22.9	99					
Implementing Agency: (by component)	PA&ED	Caltrans				PS&E	Caltrans						
	R/W	Caltrans				CON	Caltrans	City of Stockton	x	AB 3090			
RTPA/CTC:	San Joaquin County Council of Governments												
Project Title:	Route 99 Widening in North Stockton												
Location	Stockton - Route 4 West to Hammer Lane												
Description:	Widen four lane freeway to six lane freeway												
<b>(DOLLARS IN THOUSANDS)</b>													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		Prior	02/03	03/04	04/05	05/06	06/07	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
<b>RIP</b>													
Existing	5,233			5,233				5,233					
Change	(5,233)			(5,233)				(5,233)					
Proposed	0			0				0					
<b>IIP</b>													
Existing	19,476			19,476				16,724					2,752
Change	(19,476)			(19,476)				(16,724)					(2,752)
Proposed	0			0				0					0
<b>Loc Funds</b>													
Existing	9,520			9,520				9,520					0
Change	3,819			3,819				228					3,591
Proposed	13,339			13,339				9,748					3,591
<b>Local Funds (Measure K) AB 3090</b>													
Existing	0			0				0					
Change	24,709			24,709				24,709					
Proposed	24,709			24,709				24,709					
<b>Total</b>													
Existing	34,229			34,229				31,477					2,752
Change	3,819			3,819				2,980					839
Proposed	38,048			38,048				34,457					3,591

**And Adds:**

County	District	PPNO	EA	Element	Const. Year	PM Back	PM Ahead	Route/Corridor					
San Joaquin	10	7673C	--	LA	2008-09	--	--	--					
Implementing Agency: (by component)	PA&ED					PS&E							
	R/W					CON							
RTPA/CTC:	San Joaquin County Council of Governments												
Project Title:	AB 3090 Reimbursement (Route 99 Widening in North Stockton)												
Location	AB 3090 Reimbursement												
Description:	AB 3090 Reimbursement												
<b>(DOLLARS IN THOUSANDS)</b>													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		03/04	04/05	05/06	06/07	07/08	08/09	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
<b>IIP</b>													
Existing	0						0	0					
Change	19,476						19,476	19,476					
Proposed	19,476						19,476	19,476					
<b>Total</b>													
Existing	0						0	0					
Change	19,476						19,476	19,476					
Proposed	19,476						19,476	19,476					

**And Adds:**

County	District	PPNO	EA	Element	Const. Year	PM Back	PM Ahead	Route/Corridor					
San Joaquin	10	7673D	--	LA	2008-09	--	--	--					
Implementing Agency: (by component)	PA&ED					PS&E							
	R/W					CON							
RTPA/CTC:	San Joaquin County Council of Governments												
Project Title:	AB 3090 Replacement Project (Route 99 Widening in North Stockton)												
Location	AB 3090 Replacement Project												
Description:	AB 3090 Replacement Project												
<b>(DOLLARS IN THOUSANDS)</b>													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		03/04	04/05	05/06	06/07	07/08	08/09	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
<b>RIP</b>													
Existing	0						0	0					
Change	5,233						5,233	5,233					
Proposed	5,233						5,233	5,233					
<b>Total</b>													
Existing	0						0	0					
Change	5,233						5,233	5,233					
Proposed	5,233						5,233	5,233					

**And Revises:**

County	District	PPNO	EA	Element	Const. Year	PM Back	PM Ahead	Route/Corridor					
San Joaquin	10	9841	445600	CO	2003-04	22.8	23	99					
Implementing Agency: (by component)	PA&ED	Caltrans				PS&E	Caltrans						
	R/W	Caltrans				CON	Caltrans-City of Stockton		X AB 3090				
RTPA/CTC:	San Joaquin Council of Governments												
Project Title:	Route 99/Hammer Lane Interchange												
Location	Stockton - Hammer Lane												
Description:	Modify interchange												
<b>(DOLLARS IN THOUSANDS)</b>													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		Prior	02/03	03/04	04/05	05/06	06/07	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
<b>RIP</b>													
Existing	5,388			5,388				2,921					2,467
Change	(5,388)			(5,388)				(2,921)					(2,467)
Proposed	0			0				0					0
<b>Local Funds - (Measure K) AB 3090</b>													
Existing	0			0				0					
Change	5,388			5,388				5,388					
Proposed	5,388			5,388				5,388					
<b>Local Funds (Measure K)</b>													
Existing	14,239			14,239				14,239					0
Change	2,695			2,695				0					2,695
Proposed	16,934			16,934				14,239					2,695
<b>RSTP</b>													
Existing	404			404				404					
Change	0			0				0					
Proposed	404			404				404					
<b>Local Funds (City of Stockton)</b>													
Existing	4,037			4,037				4,037					
Change	0			0				0					
Proposed	4,037			4,037				4,037					
<b>Total</b>													
Existing	24,068			24,068				21,601					2,467
Change	2,695			2,695				2,467					228
Proposed	26,763			26,763				24,068					2,695

**And Adds:**

County	District	PPNO	EA	Element	Const. Year	PM Back	PM Ahead	Route/Corridor					
San Joaquin	10	9841C	--	LA	2008-09	--	--	--					
Implementing Agency: (by component)	PA&ED					PS&E							
	R/W					CON							
RTPA/CTC:	San Joaquin County Council of Governments												
Project Title:	AB 3090 Replacement (Route 99/Hammer Lane Interchange)												
Location	AB 3090 Replacement												
Description:	AB 3090 Replacement												
<b>(DOLLARS IN THOUSANDS)</b>													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		03/04	04/05	05/06	06/07	07/08	08/09	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
<b>RIP</b>													
Existing	0					0		0					
Change	5,388					5,388		5,388					
Proposed	5,388					5,388		5,388					
<b>Total</b>													
Existing	0					0		0					
Change	5,388					5,388		5,388					
Proposed	5,388					5,388		5,388					

**And Revises:**

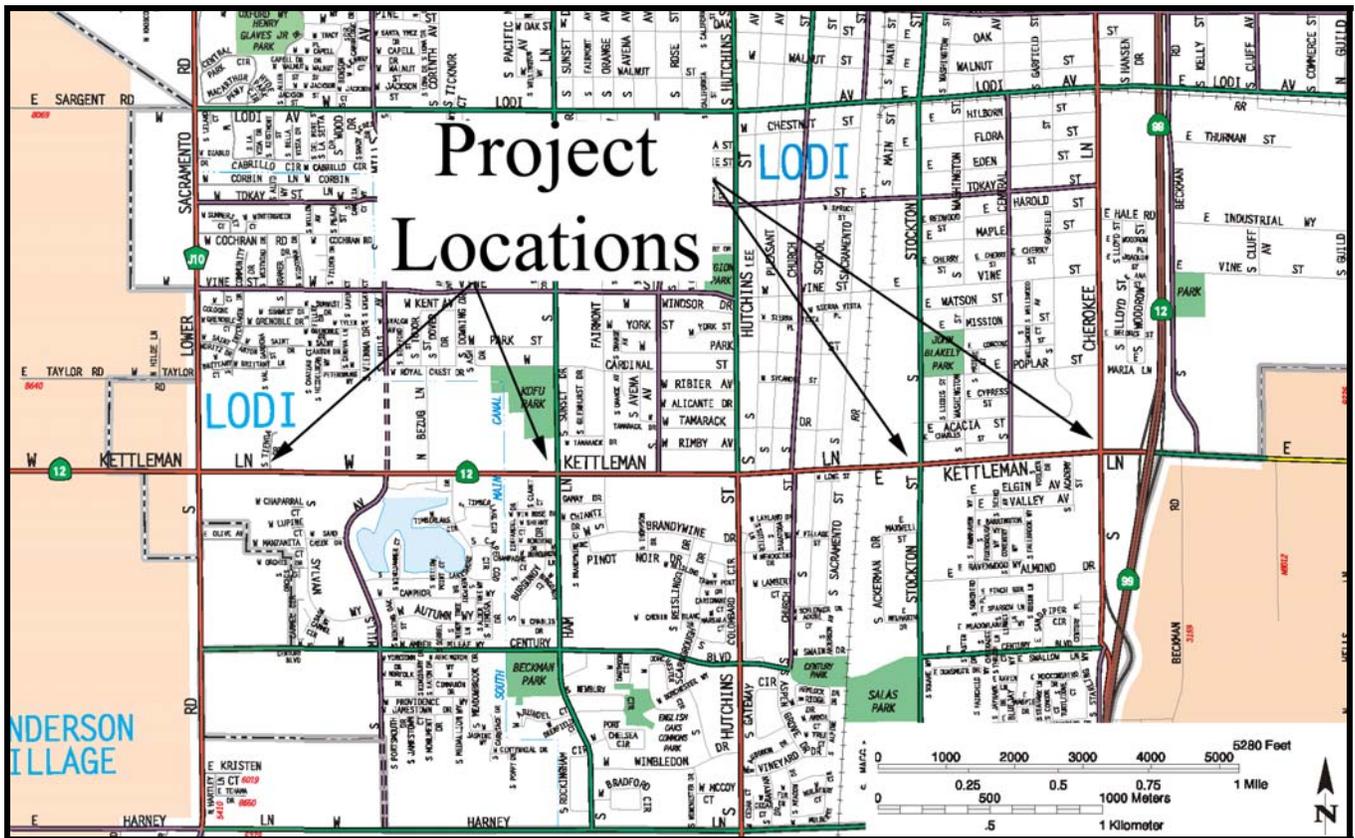
County	District	PPNO	EA	Element	Const. Year	PM Back	PM Ahead	Route/Corridor					
San Joaquin	10	7635	3A090K	CO	2003-04	6.4	7	99					
<b>Implementing Agency: (by component)</b>		PA&ED	Caltrans			PS&E	Caltrans						
		R/W	Caltrans			CON	Caltrans-City of Manteca	X AB 3090					
<b>RTPA/CTC:</b>	San Joaquin Council of Governments												
<b>Project Title:</b>	Route 99/120 East (Yosemite Avenue) Interchange												
<b>Location</b>	Manteca - at the Route 99/Route 120 (Yosemite Avenue) Interchange												
<b>Description:</b>	Widen Route 120 through the interchange area, including reconstructing the Route 99 overhead and on/off ramps												
<b>(DOLLARS IN THOUSANDS)</b>													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		Prior	02/03	03/04	04/05	05/06	06/07	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
<b>RIP</b>													
Existing	2,408			2,408				2,000					408
Change	(2,408)			(2,408)				(2,000)					(408)
Proposed	0			0				0					0
<b>Local Funds - (Measure K) AB 3090</b>													
Existing	0			0				0					0
Change	2,408			2,408				2,408					0
Proposed	2,408			2,408				2,408					0
<b>Demo</b>													
Existing	6,000			6,000				5,741					259
Change	0			0				0					0
Proposed	6,000			6,000				5,741					259
<b>Local Funds (City of Manteca)</b>													
Existing	1,064			1,064				515	549				
Change	0			0				0	0				
Proposed	1,064			1,064				515	549				
<b>Local Funds (Measure K)</b>													
Existing	3,563			3,563				740	2,010			211	602
Change	0			0				0	(408)			0	408
Proposed	3,563			3,563				740	1,602			211	1,010
<b>Total</b>													
Existing	13,035			13,035				1,255	10,300			211	1,269
Change	0			0				0	0			0	0
Proposed	13,035			13,035				1,255	10,300			211	1,269

**And Adds:**

County	District	PPNO	EA	Element	Const. Year	PM Back	PM Ahead	Route/Corridor					
San Joaquin	10	7635C	--	LA	2008-09	--	--	--					
<b>Implementing Agency: (by component)</b>		PA&ED				PS&E							
		R/W				CON							
<b>RTPA/CTC:</b>	San Joaquin County Council of Governments												
<b>Project Title:</b>	AB 3090 Replacement (Route 99/120 (Yosemite Avenue) Interchange)												
<b>Location</b>	AB 3090 Replacement												
<b>Description:</b>	AB 3090 Replacement												
<b>(DOLLARS IN THOUSANDS)</b>													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		03/04	04/05	05/06	06/07	07/08	08/09	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
<b>RIP</b>													
Existing	0						0	0					
Change	2,408						2,408	2,408					
Proposed	2,408						2,408	2,408					
<b>Total</b>													
Existing	0						0	0					
Change	2,408						2,408	2,408					
Proposed	2,408						2,408	2,408					

**STIP AMENDMENT 02S-123**

Location Map



10-San Joaquin

PPNO 7398

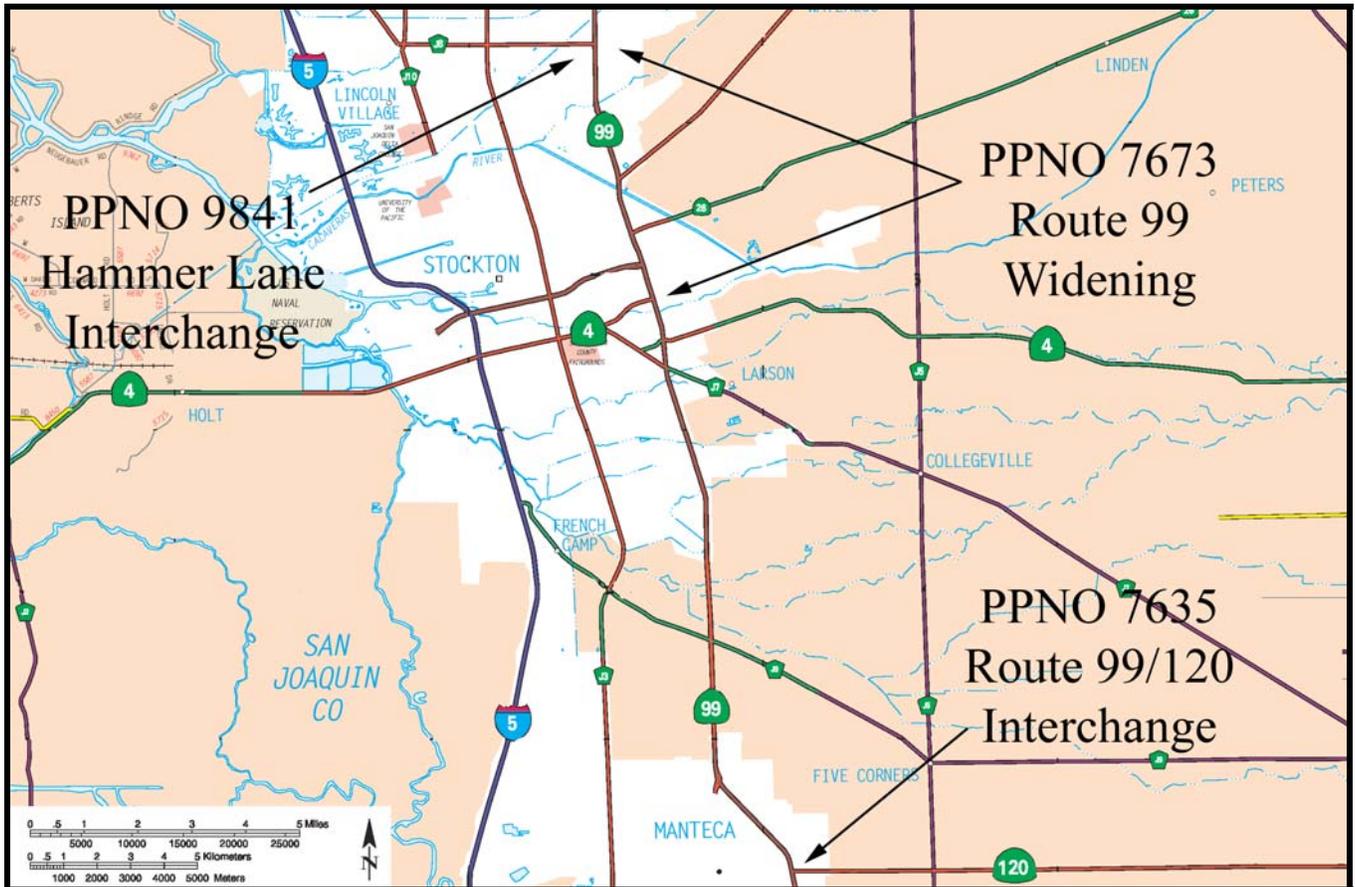
Location: Lodi, Route 12 from Tienda Drive to Ham Lane and from Stockton Street to Cherokee Lane.

Description: Widen to four lanes.



**STIP AMENDMENT 02S-123**

Location Map



10-San Joaquin

PPNO 7673, PPNO 9841, PPNO 7635

Location: PPNO 7673: Stockton, Route 99 from Route 4 West to Hammer Lane.  
 PPNO 9841: Stockton, Route 99 at Hammer Lane.  
 PPNO 7635: Manteca, Route 99 at Route 120.

Description: PPNO 7673: Widen from four to six lanes.  
 PPNO 9841: Reconstruct Interchange.  
 PPNO 7635: Reconstruct Interchange.

