

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: May 21-22, 2003

Reference No.: 2.9b.
Action Item

From: ROBERT L. GARCIA
Chief Financial Officer

Prepared by: Robert Sertich
Chief
Division of Budgets

Ref: **TECHNICAL CHANGE TO PREVIOUSLY APPROVED RESOLUTION MFP-02-19**

ISSUE:

Resolution MFP-02-19, originally approved on April 3, 2003 in the amount of \$19,079,000 for three (3) local STIP Rail/Transit projects.

Project #2 for \$15,395,000 for the Los Angeles Metropolitan Authority (LACMTA) is the first allocation for a Light Rail Vehicle Acquisition that includes both the Pasadena and Eastside Gold Lines. This Technical Change correctly reflects the number of vehicles that LACMTA will purchase, and also correctly reflects the funding plan for the entire Light Rail Vehicle Acquisition. There is no change to the initial allocation amount.

RECOMMENDATION:

The Department of Transportation recommends the California Transportation Commission approve the preceding technical change.

Attachment(s)

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: April 3, 2003

Reference No.: 4.3a.
(Agenda Category 2.6a.(1))
Action Item

From: ROBERT L. GARCIA
Chief Financial Officer

Prepared by: Robert Sertich
Chief
Division of Budgets

Ref: **FINANCIAL ALLOCATION FOR LOCAL STATE TRANSPORTATION
IMPROVEMENT PROGRAM RAIL/TRANSIT PROJECTS, RESOLUTION MFP-02-19**

BACKGROUND:

At the April 3, 2003 California Transportation Commission (Commission) meeting, a list of 279 Highway/Rail and Transit Pending Project Allocations totaling \$372,306,703 was presented for allocation. Contained within the list were seven (7) project allocations for Local Transportation Improvement Program (STIP) Rail/Transit Projects considered pending before the Commission and added to the list during the months of December 2002, January 2003, February 2003 and April 2003.

The Commission considered the implications of Executive Order D-64-02 issued by the Governor on November 26, 2002, the special legislative session called by the Governor to address General Fund shortfalls, the uncertainty concerning Federal funding, and the monthly financial and cash forecast reports in evaluating the ability to fund pending projects.

At the April 3, 2003 Commission meeting, the Commission allocated the following Local State Transportation Improvement Program (STIP) Rail/Transit projects from the pending Highway/Rail and Transit Pending Project Allocations list:

- One (1) Local STIP Rail/Transit project from the two (2) projects added to the pending list in December 2002;
- One (1) Local STIP Rail/Transit project from one (1) project added to the pending list in January 2003;
- Zero (0) Local STIP Rail/Transit projects from one (1) project added to the pending list in February 2003; and

- One (1) Local STIP Rail/Transit project from the three (3) projects which were added to the Local STIP Rail/Transit pending list in April 2003.

In total the Commission allocated \$19,079,000 in State Highway Account and Federal Trust funds for three (3) Local State Transportation Improvement Program (STIP) Rail/Transit projects. The total cost for each project is shown on the attached list.

FINANCIAL RESOLUTION:

Resolved, that \$19,079,000 be allocated from Budget Act Items 2660-101-0042 and 2660-101-0890 of the Budget Acts of 2001 and 2002, for three (3) Local State Transportation Improvement Program (STIP) Rail/Transit projects.

The Recipients are committed to providing any required local matching funds and to fully fund implementation of the projects in compliance with grant funding requirements and Commission policy. For acquisitions of rail right-of-way properties, the Recipients have performed, with diligence, the process of identification and remediation of any hazardous waste in the right-of-way, easements and properties, in accordance with the Commission's established "Hazardous Waste Identification and Clean-up Policy" (Resolution G-91-2).

Reimbursement of eligible costs is subject to the policies, restrictions and assurances as set forth in the Commission's policy for allocating, monitoring, and auditing local assistance grant projects, and is governed by the terms and conditions for the Fund Transfer Agreement (FTA), and subsequent amendments to the same if required, as executed between the Recipients and the Department.

The Recipients shall provide the Department with quarterly expenditure plans by category including any proposed changes for the balance of all funded project allocations commencing with the first quarter.

In the event that the Recipients, or successor public entities, fail or cease to utilize the projects' properties for the intended public transit purposes or sell or transfer title of the projects, this Commission shall be entitled to a then present value refund, or credit, at State's option, equivalent to the proportionate funding participation by the State towards the projects. The credit due the Commission in each instance will be measured by the ration of State and other public funding to that provided from Recipients. That ration will be applied to the fair market value of the projects' property.

The Recipients shall provide the Department with notification of contract award within twelve (12) months from the date of this allocation, and must complete the work to be reimbursed and the actual reimbursement within thirty-six (36) months from award of said contract, unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Or, funds allocated for local project development or right of way costs must be expended by the end of the second year following the fiscal year in which the funds were allocated. For local grant projects, the local agency must invoice the Department for these costs no later than 180 days after the fiscal year in which the final expenditure occurred unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Attachment(s)

Project # Allocation Amount Recipient RTPA/CTC District-County Legislative District	Location Project Description Project Funding	EA Dist-PPNO Program / Year Programmed: PA&ED PS&E R/W CONST	Budget Year Item # Program Code	Allocation Amount State Federal Total Amount	
2.6a.(1) Local State Transportation Improvement Program (STIP) Rail/Transit Projects (December 2002) Resolution: MFP-02-19					
2 \$15,395,000 Los Angeles County Metropolitan Transportation Authority LACMTA 07-Los Angeles Senate: 21, 22 Assembly: 44, 45	Light Rail Vehicle Acquisition MTA will procure 24 <u>50</u> Light Rail Vehicles for the Pasadena <u>and Eastside</u> Gold Lines <u>and systemwide</u> with 76 seats per vehicle.	B000BA 07-3225 RIP / 02-03	2002-03 101-0042 101-0890 30.10.070.626	- \$15,395,000	
	FUNDING				
	State	PRIOR	CURRENT	FUTURE	TOTAL
	\$0	\$0	\$0	\$0	\$0
	ST-Fed.	\$0	\$15,395,000	\$75,905,000	\$91,300,000
	Local	\$200,000	\$4,000,000	\$21,948,000	\$85,251,000
	L-Fed.	\$800,000	\$7,600,000	\$75,900,000	\$23,223,000
	TOTAL	\$1,000,000	\$26,995,000	\$173,753,000	\$191,223,000

Project # Allocation Amount Recipient RTPA/CTC District-County Legislative District	Location Project Description Project Funding	EA Dist-PPNO Program / Year Programmed: PA&ED PS&E R/W CONST	Budget Year Item # Program Code	Allocation Amount State Federal Total Amount	
2.6a.(1) Local State Transportation Improvement Program (STIP) Rail/Transit Projects (January 2003) Resolution: MFP-02-19					
1 \$3,000,000 North San Diego County Transit District SANDAG 11-San Diego Senate: 38 Assembly: 74	Oceanside - Escondido Rail Project Construct a 22-mile passenger rail line, including 15 stations, from Oceanside to Escondido. (An 11-month time extension was granted in May 2002 and expires April 2003.)	R599SC 11-8192A GF-RIP / 01-02	2001-02 101-0042 101-0890 30.10.070.625	\$3,000,000 -	
	FUNDING				
	State	PRIOR	CURRENT	FUTURE	TOTAL
	\$21,200,000	\$3,000,000	\$80,000,000	\$104,200,000	\$3,000,000
	ST-Fed.	\$0	\$0	\$0	\$0
	Local	\$25,800,000	\$8,348,000	\$56,172,000	\$90,320,000
	L-Fed.	\$7,930,000	\$36,342,000	\$112,728,000	\$157,000,000
	TOTAL	\$54,930,000	\$47,690,000	\$248,900,000	\$351,520,000

Project # Allocation Amount Recipient RTPA/CTC District-County Legislative District	Location Project Description Project Funding	EA Dist-PPNO Program / Year Programmed: PA&ED PS&E R/W CONST	Budget Year Item # Program Code	Allocation Amount State Federal Total Amount	
2.6a.(1) Local State Transportation Improvement Program (STIP) Rail/Transit Project (April 2003) Resolution: MFP-02-19					
2 \$684,000 San Diego Metropolitan Transit Development Board SANDAG 11-San Diego Senate: 39, 40 Assembly: 76, 79	East Village Intermodal Transit Station Four light rail transit stations in East Village area of downtown- platform improvements, passenger amenities, track realignment, lighting, and landscape.	R9247B 11-7413 RIP / 02-03	2002-03 101-0042 101-0890 30.10.070.625	\$78,000 \$606,000	
	FUNDING				
	State	PRIOR	CURRENT	FUTURE	TOTAL
	\$230	\$78,000	\$0	\$78,230	\$0
	ST-Fed.	\$1,771	\$606,000	\$0	\$607,771
	Local	\$2,681	\$0	\$1,583	\$4,264
	L-Fed.	\$4,029	\$0	\$3,247	\$7,276
	Other	\$15,000	\$0	\$0	\$15,000
	TOTAL	\$23,711	\$684,000	\$4,830	\$712,541