

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: December 11-12, 2002

Reference No.: 3.6
Information Item

From: ROBERT L. GARCIA
Chief Financial Officer

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Local Assistance

Ref: **THIRD CYCLE AB 1012 UNOBLIGATED RSTP, CMAQ, AND REGIONAL TEA “USE IT OR LOSE IT” BALANCE REPORT**

SUMMARY:

The annual notice to regional agencies for the third cycle of AB 1012 “Use it or Lose It” provisions was released on December 3, 2001. At that time, third cycle Regional Surface Transportation Program (RSTP), Congestion Mitigation and Air Quality (CMAQ), and Regional Transportation Enhancement Activity (R-TEA) funds subject to potential reprogramming on December 3, 2002 totaled approximately \$184.5 million.

The September 30, 2002 balance reports show total funds subject to reprogramming for the third cycle of AB 1012 are approximately \$16.2 million, a reduction of more than \$168 million. Draft October numbers reduce this balance to \$15.7 million. An additional \$8.6 million in obligations are currently being processed. Extension requests have been received totaling \$3.5 million. These extension requests are being submitted to the California Transportation Commission (Commission) for approval under item 2.8(f). Many of the local agencies with the remaining \$3.6 million subject to reprogramming expect to obligate the funds by the December 3 deadline. If the remaining funds are not obligated by the deadline, additional extension requests may be presented at the January 2003 Commission meeting

BACKGROUND:

AB 1012 was enacted October 10, 1999 with a goal of improving the delivery of transportation projects. A section of the legislation states that regional agency CMAQ and RSTP funds that are not obligated within the first three years of federal eligibility are subject to reprogramming by the Commission in the fourth year. The Department is including R-TEA funds under the AB 1012 use it or lose it requirements. In addition, the Department is required to submit quarterly progress reports on impacted fund balances to the Commission.

Regions with Cycle 3 balances subject to reprogramming were required to submit obligation plans to the Department by June 3, 2002. Obligation plans were received by all agencies having Cycle 3 balances with the exception of Inyo and Mono Counties. At that time, the obligation plans indicated all balances would be obligated by the December 3, 2002 deadline.

Attachments

APPORTIONMENT STATUS REPORT
as of September 30, 2002
Congestion Mitigation and Air Quality (CMAQ)

<u>Region</u>	CMAQ Unobligated 9/30/2002 Delivery <u>Balance</u> ¹	CMAQ Amount Subject to AB 1012 Reprogramming Cycle 3 <u>12/03/2002</u> ²
Butte	1,532,188	481,646
Fresno	10,780,287	-
Kern	4,661,451	-
Kings	-	-
Los Angeles	14,204,950	-
Madera	-	-
Merced	2,171,872	-
Monterey	1,955,766	-
Orange	74,035,132	-
Riverside	44,004,131	9,165,291
Sacramento (SACOG)	2,835,029	-
San Benito	778,004	241,298
San Bernardino	42,944,810	703,623
San Diego	15,119,283	-
S.F. Bay Area (MTC)	(37,781,418)	-
San Joaquin	(1,395,637)	-
San Luis Obispo	-	-
Santa Barbara	9,586,034	1,477,657
Santa Cruz	2,482,485	-
Stanislaus	3,458,127	-
Tahoe	932,403	272,752
Tulare	6,521,716	-
Ventura	13,885,927	-
Rural Counties & SCAG	-	-
TOTAL	\$ 212,712,540	\$12,342,267

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

All Cycle 2 balances except Tahoe were cleared

Tahoe Cycle 2 balance was reprogrammed by the CTC at the July 2002 meeting. This amount is not included in the Cycle

APPORTIONMENT STATUS REPORT
as of September 30, 2002
Regional Surface Transportation Program (RSTP)

<u>Region</u>	RSTP Unobligated 9/30/2002 Delivery Balance ¹	RSTP Amount Subject to AB 1012 Reprogramming Cycle 3 12/03/2002 ²
Butte	-	-
Fresno	10,286,436	-
Kern	1,166,571	-
Kings	-	-
Los Angeles	153,413,921	-
Madera	6,295	-
Merced	1,376,593	-
Monterey	1,979,859	-
Orange	54,218,444	-
Riverside	22,436,314	-
Sacramento (SACOG)	7,724,035	-
San Benito	-	-
San Bernardino	14,561,622	-
San Diego	31,977,383	-
S.F. Bay Area (MTC)	(569,829)	-
San Joaquin	1,778,776	-
San Luis Obispo	1,495,741	-
Santa Barbara	2,921,011	-
Santa Cruz	-	-
Stanislaus	3,647,559	-
Tahoe	-	-
Tulare	(177,060)	-
Ventura	4,937,497	-
Rural Counties & SCAG	3,978,330	1,062,778
TOTAL	\$ 317,159,498	\$ 1,062,778

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

³ Orange County's balance was reduced to account for a pending FTA. No Cycle 3 Balance is shown for September 30, 2002

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

APPORTIONMENT STATUS REPORT
as of September 30, 2002
Regional Transportation Enhancement Activities (TEA)

<u>Region</u>	R-TEA Unobligated 9/30/2002 Delivery <u>Balance</u> ¹	R-TEA Amount Subject to AB 1012 Reprogramming Cycle 3 <u>12/03/2002</u> ²
Butte	-	-
Fresno	2,150,159	-
Kern	58,419	-
Kings	367,329	-
Los Angeles	19,504,347	-
Madera	-	-
Merced	-	-
Monterey	953,477	-
Orange	6,581,835	189,208
Riverside	4,343,390	-
Sacramento (SACOG)	1,848,189	-
San Benito	107,281	-
San Bernardino	5,508,628	-
San Diego	4,183,642	-
S.F. Bay Area (MTC)	11,564,257	-
San Joaquin	446,703	-
San Luis Obispo	1,276,605	-
Santa Barbara	2,100,753	547,905
Santa Cruz	965,199	193,642
Stanislaus	534,369	-
Tahoe	146,000	-
Tulare	1,000,893	-
Ventura	1,205,235	-
Rural Counties & SCAG	7,883,816	1,852,411
TOTAL	\$72,730,526	\$2,783,166

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

All Cycle 2 balances cleared with the exception of Inyo and Mono

APPORTIONMENT STATUS REPORT
as of September 30, 2002
Regional Surface Transportation Program (RSTP) for Rural Counties

<u>Region</u>	RSTP Unobligated 9/30/2002 Delivery <u>Balance</u> ¹	RSTP Amount Subject to AB 1012 Reprogramming Cycle 3 <u>12/03/2002</u> ²
Rural County Information:		
Del Norte	-	-
Humboldt	282,200	-
Lake	-	-
Mendocino	-	-
Lassen	-	-
Modoc	-	-
Plumas	-	-
Shasta	59,033	-
Siskiyou	-	-
Tehama	-	-
Trinity	-	-
Colusa	13,926	-
El Dorado	42,496	-
Glenn	51,439	-
Nevada	-	-
Placer	-	-
Sierra	-	-
Inyo	8,004	-
Mono	-	-
Alpine	-	-
Amador	-	-
Calaveras	-	-
Mariposa	-	-
Tuolumne	-	-
Imperial (SCAG)	3,521,232	1,062,778
Rural Combined Totals:	\$3,978,330	\$1,062,778

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

All Cycle 2 Rural Agency balances have been cleared

APPORTIONMENT STATUS REPORT
as of September 30, 2002
Regional Transportation Enhancement Activities (TEA) for Rural Counties

<u>Region</u>	R-TEA Unobligated 9/30/2002 Delivery Balance ¹	R-TEA Amount Subject to AB 1012 Reprogramming Cycle 3 12/03/2002 ²
Rural County Information:		
Del Norte	153,258	-
Humboldt	1,069,324	337,732
Lake	362,520	60,771
Mendocino	191,005	-
Lassen	650,580	209,203
Modoc	-	-
Plumas	373,533	98,704
Shasta	30,683	-
Siskiyou	215,572	-
Tehama	-	-
Trinity	(238,530)	-
Colusa	-	-
El Dorado	94,823	-
Glenn	-	-
Nevada	133,295	-
Placer	37,375	-
Sierra	-	-
Inyo	1,602,130	456,111
Mono	1,169,389	334,171
Alpine	-	-
Amador	235,607	-
Calaveras	-	-
Mariposa	193,853	45,285
Tuolumne	162,352	-
Imperial (SCAG)	1,447,047	310,434
Rural Combined Totals:	\$7,883,816	\$1,852,411

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

Cycle 2 balances for Inyo and Mono were reprogrammed by the CTC at the July 2002 meeting.

APPORTIONMENT STATUS REPORT

as of September 30, 2002

Congestion Mitigation and Air Quality (CMAQ) for Rural Counties

<u>Region</u>	CMAQ Unobligated 9/30/2002 Delivery Balance ¹	CMAQ Amount Subject to AB 1012 Reprogramming Cycle 3 <u>12/03/2002</u> ²
Rural County Information:		
Del Norte	-	-
Humboldt	-	-
Lake	-	-
Mendocino	-	-
Lassen	-	-
Modoc	-	-
Plumas	-	-
Shasta	-	-
Siskiyou	-	-
Tehama	-	-
Trinity	-	-
Colusa	-	-
El Dorado	-	-
Glenn	-	-
Nevada	-	-
Placer	-	-
Sierra	-	-
Inyo	-	-
Mono	-	-
Alpine	-	-
Amador	-	-
Calaveras	-	-
Mariposa	-	-
Tuolumne	-	-
Imperial (SCAG)	-	-
Rural Combined Totals:	\$0	\$0

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.