

State of California
Business Transportation and Housing Agency
Department of Transportation

PROGRAM STATUS
AB 1012 Third Cycle Notification
Information Item

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Agenda Item: 3.8

Original Signed By:

ROBERT L. GARCIA
Chief Financial Officer
December 1, 2001

THIRD CYCLE NOTIFICATION
UNOBLIGATED CMAQ, RSTP, AND REGIONAL TEA FUNDS
PER AB 1012's "USE IT OR LOSE IT" PROVISIONS

ISSUE

Assembly Bill 1012 (Chapter 783 of the Statutes of 1999) was enacted October 10, 1999 with a goal of improving the delivery of transportation projects. The legislation states that regional agency Congestion Mitigation and Air Quality Improvement (CMAQ) and Regional Surface Transportation Program (RSTP) funds that are not obligated within the first three years of federal eligibility are subject to reprogramming by the California Transportation Commission in the fourth year. The statute is also applied to regional Transportation Enhancement Activities (TEA) funds.

BACKGROUND

The Department is required to notify the regional agencies annually of their CMAQ, RSTP, and regional TEA summary balances subject to potential reprogramming. The third annual notification was released on December 3, 2001. Funds noticed in the third cycle are subject to potential reprogramming on December 3, 2002, one year from the date of notification. Regional agencies have six months from the notification date to prepare and submit obligation plans that outlined expected obligation dates for use of the funds subject to potential reprogramming. Obligation plans are due to Caltrans district offices by June 3, 2002.

The third cycle notification reflects the balances of CMAQ, RSTP, and regional TEA funds that are entering their third year of fund availability. CMAQ, RSTP, and regional TEA funds older than three years are included in calculations for the second cycle which were subject to potential reprogramming December 5, 2001. The third cycle notification assumes that all second cycle funds subject to reprogramming will be obligated, extended, or reprogrammed. Therefore, no second cycle funds subject to potential reprogramming are included in the third cycle amounts.

As of September 30, 2001, the total balance of CMAQ, RSTP and regional TEA funds subject to reprogramming on December 3, 2002 is approximately \$184.5 million. The CMAQ balance subject to potential reprogramming on December 3, 2002, is approximately \$63 million. Twelve regions have CMAQ balances subject to potential reprogramming on December 3, 2002. The RSTP balance subject to potential reprogramming on December 3, 2002, is approximately \$84 million. Six regions and two rural agencies have RSTP balances subject to potential reprogramming on December 3, 2002. The regional TEA balance subject to potential reprogramming on December 3, 2002, is approximately \$37.5 million. Nearly every region has a regional TEA balance subject to potential reprogramming; only 17 agencies do not.

The agencies continue to improve delivery, as reflected by the total amount of this year's notification compared to the first two cycles. A comparison of the annual notification levels is shown below.

Cycle	Amount Noticed
1*	\$ 333.6 million
2	\$ 277.0 million
3	\$ 184.5 million

*Cycle 1 did not include regional TEA funds

The attached reports indicate the agencies and amounts noticed for the third cycle.

ATTACHMENTS

APPORTIONMENT STATUS REPORT
as of September 30, 2001
Congestion Mitigation and Air Quality (CMAQ)
Cycle Three

<u>Region</u>	<u>CMAQ Unobligated 9/30/2001 Delivery Balance ¹</u>	<u>CMAQ Amount Subject to AB 1012 Reprogramming 12/03/2002 ²</u>
Butte	1,413,070	503,534
Fresno	15,196,065	4,733,968
Kern	3,973,027	-
Kings	-	-
Los Angeles	3,324,803	-
Madera	-	-
Merced	413,718	-
Monterey	3,145,388	514,126
Orange	56,721,075	19,269,881
Riverside	42,146,662	16,698,581
Sacramento (SACOG)	(5,745,650)	-
San Benito	743,409	257,249
San Bernardino	36,133,117	14,765,114
San Diego	(5,720,427)	-
S.F. Bay Area (MTC)	(14,430,147)	-
San Joaquin	6,801,545	934,463
San Luis Obispo	-	-
Santa Barbara	5,784,136	1,682,456
Santa Cruz	591,235	-
Stanislaus	2,888,720	-
Tahoe	807,009	272,752
Tulare	7,620,768	3,279,843
Ventura	8,290,395	247,377
Rural Counties & SCAG	-	-
TOTAL	\$ 170,097,918	\$63,159,344

¹ Indicates all apportionments not yet obligated

² Reflects bal. 2-years old entering 3rd year.

APPORTIONMENT STATUS REPORT
as of September 30, 2001
Regional Surface Transportation Program (RSTP)
Cycle Three

<u>Region</u>	<u>RSTP Unobligated 9/30/2001 Delivery Balance ¹</u>	<u>RSTP Amount Subject to AB 1012 Reprogramming 12/03/2002 ²</u>
Butte	66,792	-
Fresno	10,910,254	3,564,880
Kern	3,064,097	-
Kings	-	-
Los Angeles	116,061,183	18,526,738
Madera	-	-
Merced	1,376,593	-
Monterey	1,979,858	-
Orange	68,803,924	17,515,744
Riverside	17,978,323	5,098,550
Sacramento (SACOG)	18,268,793	3,091,027
San Benito	227,951	-
San Bernardino	33,827	-
San Diego	66,014,034	34,791,750
S.F. Bay Area (MTC)	(1,662,893)	-
San Joaquin	1,398,024	-
San Luis Obispo	1,474,063	-
Santa Barbara	2,921,012	-
Santa Cruz	(239,999)	-
Stanislaus	3,230,395	-
Tahoe	307,872	-
Tulare	-	-
Ventura	6,730,113	-
Rural Counties & SCAG	3,645,268	1,261,468
TOTAL	322,589,484	\$83,850,157

APPORTIONMENT STATUS REPORT
as of September 30, 2001
Regional Transportation Enhancement Activities (TEA)
Cycle Three

<u>Region</u>	<u>R-TEA Unobligated 9/30/2001 Delivery Balance ¹</u>	<u>R-TEA Amount Subject to AB 1012 Reprogramming 12/03/2002 ²</u>
Butte	-	-
Fresno	2,128,623	934,308
Kern	1,467,221	-
Kings	123,719	-
Los Angeles	27,458,719	11,137,454
Madera	-	-
Merced	-	-
Monterey	1,553,333	622,060
Orange	5,228,404	2,099,605
Riverside	5,777,473	2,075,996
Sacramento (SACOG)	3,167,524	1,111,366
San Benito	278,892	103,892
San Bernardino	5,628,683	2,661,668
San Diego	6,291,269	2,683,542
S.F. Bay Area (MTC)	18,137,544	8,557,281
San Joaquin	2,173,944	767,658
San Luis Obispo	821,838	176,694
Santa Barbara	2,053,053	736,018
Santa Cruz	571,272	193,642
Stanislaus	471,081	-
Tahoe	322,980	87,070
Tulare	2,427,342	719,642
Ventura	702,449	-
Rural Counties & SCAG	8,204,806	2,843,904
TOTAL	\$94,990,169	\$37,511,800

¹ Indicates all apportionments not yet obligated

² Reflects bal. 2-years old or older.

APPORTIONMENT STATUS REPORT
as of September 30, 2001
Regional Surface Transportation Program (RSTP) for Rural Counties
Cycle Three

<u>Region</u>	<u>RSTP Unobligated 9/30/2001 Delivery Balance ¹</u>	<u>RSTP Amount Subject to AB 1012 Reprogramming 12/03/2002 ²</u>
Rural County Information:		
Del Norte	-	-
Humboldt	-	-
Lake	-	-
Mendocino	-	-
Lassen	-	-
Modoc	-	-
Plumas	-	-
Shasta	59,042	-
Siskiyou	-	-
Tehama	-	-
Trinity	-	-
Colusa	11,064	-
El Dorado	1,300,186	239,982
Glenn	51,439	-
Nevada	-	-
Placer	-	-
Sierra	(771)	-
Inyo	-	-
Mono	-	-
Alpine	-	-
Amador	-	-
Calaveras	-	-
Mariposa	-	-
Tuolumne	-	-
Imperial (SCAG)	2,224,308	1,021,486
Rural Combined Totals:	\$3,645,268	\$1,261,468

¹ Indicates all apportionments not yet obligated

² Reflects bal. 2-years old entering 3rd year.

APPORTIONMENT STATUS REPORT

as of September 30, 2001

Regional Transportation Enhancement Activities (TEA) for Rural Counties Cycle Three

<u>Region</u>	<u>R-TEA Unobligated 9/30/2001 Delivery Balance ¹</u>	<u>R-TEA Amount Subject to AB 1012 Reprogramming 12/03/2002 ²</u>
Rural County Information:		
Del Norte	284,474	83,047
Humboldt	1,136,345	346,760
Lake	42,946	-
Mendocino	(108,026)	-
Lassen	369,230	153,203
Modoc	-	-
Plumas	384,775	130,263
Shasta	30,683	-
Siskiyou	888,608	257,124
Tehama	-	-
Trinity	(381,330)	-
Colusa	135,377	45,578
El Dorado	265,219	57,980
Glenn	-	-
Nevada	33,735	-
Placer	231,389	-
Sierra	163,563	60,446
Inyo	1,682,499	456,111
Mono	930,241	334,171
Alpine	99,671	49,036
Amador	246,988	111,673
Calaveras	-	-
Mariposa	190,715	70,418
Tuolumne	304,998	149,363
Imperial (SCAG)	1,272,706	538,731
Rural Combined Totals:	\$8,204,806	\$2,843,904

¹ Indicates all apportionments not yet obligated

² Reflects bal. 2-years old or older.