

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROJECT BUSINESS MATTERS
Extension Request – Contract Award
Action Item

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CTC Meeting: September 28-29, 2000

Agenda Item: 2.8b.(3)

Original Signed By _____
W.J. EVANS, Deputy Director
Finance
September 1, 2000

EXTENSION REQUEST
CTC STIP GUIDELINES - RESOLUTION G-00-20
SECTION 65 – TIMELY USE OF FUNDS

LOCAL STREETS AND ROADS PROJECTS
WAIVER 00-54

ISSUE

Resolution G-00-20, *STIP Guidelines*, adopted by the California Transportation Commission (Commission) on July 19,2000, stipulates that funds allocated for the construction phase of local grant projects are available for contract award for a period of 12 months from the date of allocation.

The projects listed on the attached chart, totaling \$4,599,000, received allocations for construction during the 1998-1999 or 1999-2000 fiscal years. San Joaquin County awarded the contracts at amounts less than the allocation amounts. The total savings on these projects is \$614,374. Five of the projects, with cost savings totaling \$381,237, do not meet STIP Guidelines for extension requests since they are already four months beyond the one-year award period. The remaining six projects, with costs savings totaling \$236,137, are still within the one-year award period. These six projects also do not meet STIP Guidelines since there was no delay in the project due to “unforeseen or extraordinary circumstances.” The San Joaquin Council of Governments and San Joaquin County are requesting 20-month extensions of the contract award period for these projects in order to scope new rehabilitation projects and award them using the cost savings.

The agencies are basing the request on the flexibility of the STIP Guidelines as amended at the July 2000 California Transportation Commission meeting. The amended guidelines provide greater flexibility in project scope, allowing agencies to define the scope of local road rehabilitation projects to include adjacent and nearby streets and roads.

RECOMMENDATION

The Department recommends approval of only those projects that meet the amended STIP Guidelines. These projects do not meet the extension requirements of the STIP Guidelines. The STIP Guidelines are clear that “All requests for project delivery deadline extensions should be submitted directly to the appropriate Caltrans district at least 60 days prior to the specific deadline for which the particular extension is requested.” The STIP Guidelines are also clear regarding timely use of funds and extending deadlines. “The Commission may extend the deadlines for...award of a contract...only if it finds that an unforeseen and extraordinary circumstance beyond the control of the responsible agency has occurred that justifies the extension.”

SUMMARY AND CONCLUSIONS

The Commission allocated \$4,599,000 in FYs 1998-1999 and 1999-2000 for projects on the attached list. To date, the agencies have awarded contracts totaling \$3,984,626 against the allocations. The attachment shows the details of the projects and the cost savings, totaling \$614,374, that resulted in the extension requests.

Attachment

**Time Extension – Contract Award Deadline
Item 2.8b.(3) – Local Street and Road Projects
San Joaquin County**

Extension Request (# of months)	Project/ PPNO	Alloc. Date Construction Phase	Amount Allocated (thousands)	Award Deadline	Award Date	Amount of Cost Savings	Local Agency Extension Request
20	Peltier Rd Rehab PPNO 9865	5/17/99	\$ 305	5/17/00	8/3/99	\$ 17,700	1/17/02
	Alpine Rd Rehab PPNO 9863	5/17/99	\$ 305	5/17/00	8/10/99	\$ 108,724	1/17/02
	Lone Tree Rd Rehab PPNO 9857	5/17/99	\$ 750	5/17/00	8/17/99	\$ 65,817	1/17/02
	Linne Rd, Chrisman Rd Rehab PPNO 9858	5/17/99	\$ 705	5/17/00	7/20/99	\$ 114,502	1/17/02
	Jack Tone Rd Rehab PPNO 9859	10/29/99	\$ 340	10/29/00	2/1/00	\$ 27,878	6/29/02
	Clements Rd Rehab PPNO 9860	10/29/99	\$ 307	10/29/00	2/1/00	\$ 35,900	6/29/02
	Liberty Rd Rehab PPNO 9861	10/29/99	\$ 575	10/29/00	2/15/00	\$ 11,735	6/29/02
	North 99 Frontage Rd (W) Rehab PPNO 9862	5/17/99	\$ 370	5/17/00	8/24/99	\$ 74,494	1/17/02
	Walnut Grove Rd Rehab PPNO 9864	10/29/99	\$ 419	10/29/00	1/25/00	\$ 65,372	6/29/02
	Cherokee Rd Rehab PPNO 9866	10/29/99	\$ 341	10/29/00	2/15/00	\$ 70,481	6/29/02
	Airport Wy, Roth-Fr Camp Rehab PPNO 9867	10/29/99	\$ 182	10/29/00	1/25/00	\$ 24,771	6/29/02