

State of California
Business, Transportation and Housing Agency
Department of Transportation

MASS TRANS FINANCIAL MATTERS
Local STIP Rail/Transit Allocations
Resolution: MFP-00-21

Prepared By:
Rick Terry
Chief
Division of Budgets
(916) 654-4556

CTC Meeting: June 6-7, 2001

Agenda Item: 2.6a.(2)

Original Signed By

W. J. EVANS
Chief Financial Officer
June 1, 2001

FINANCIAL ALLOCATION
LOCAL STATE TRANSPORTATION IMPROVEMENT PROGRAM PROJECTS

RESOLUTION MFP-00-21

RECOMMENDATION

The Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve the following resolution, allocating a total of \$25,966,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds for fifteen (15) Local State Transportation Improvement Program (STIP) Rail/Transit projects on the attached Vote List.

FINANCIAL RESOLUTION

Resolved That:

A total of \$25,966,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds, programmed in the 1998 or 2000 STIP, be allocated to the Recipient(s) for the project(s) on the attached Vote List. The project(s), as component phases or in their entirety, appear on the necessary State capital projects funding list and are entitled to participate in this allocation.

The Recipient(s) is committed to providing any required local matching funds and to fully fund implementation of the project(s) in compliance with grant funding requirements and Commission policy. For acquisitions of rail right-of-way properties, the Recipient(s) has performed, with diligence, the process of identification and remediation of any hazardous waste in the right-of-way, easements and properties, in accordance with the Commission's established "Hazardous Waste Identification and Clean-up Policy" (Resolution G-91-2).

Reimbursement of eligible costs is subject to the policies, restrictions and assurances as set forth in the Commission's policy for allocating, monitoring, and auditing local assistance grant projects, and is governed by the terms and conditions of the Fund Transfer Agreement (FTA), and subsequent amendments to the same if required, as executed between the Recipient(s) and the Department of Transportation (Department).

The Recipient(s) shall provide the Department with quarterly expenditure plans by category including any proposed changes for the balance of all funded project allocations commencing with the first quarter.

In the event that the recipient(s), or successor public entities, fail or cease to utilize the Project's properties for the intended public transit purposes or sell or transfer title of the Project(s), this Commission shall be entitled to a then present value refund, or credit, at State's option, equivalent to the proportionate funding participation by the State towards the Project(s). The credit due the Commission in each instance, will be measured by the ratio of State and other public funding to that provided from Recipient(s). That ratio will be applied to the fair market value of the Project's property.

The Recipient(s) shall provide the Department with notification of contract award within twelve (12) months from the date of this allocation, and must complete the work to be reimbursed and the actual reimbursement within thirty-six (36) months from award of said contract, unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

2.6 Mass Transportation Financial Matters

Project # Allocation Amount Recipient RTPA/CTC District-County Legislative District	Location Project Description Project Funding	EA Dist-PPNO Program / Year Programmed: PA&E PS&E R/W CONST	Budget Year Item # Program Code	Allocation Amount State Federal Total Amount																														
2.6a(2) Local State Transportation Improvement Program (STIP) Rail/Transit Projects Resolution MFP-00-21																																		
1 \$999,000 Sacramento Regional Transit District SACOG 03-Sacramento Senate: 5,6 Assembly: 9,10	Swanston Transit Center-Pedestrian Overcrossing. Right of Way for utility relocation and construct pedestrian overcrossing .	B000BA 03-3R06 RIP / 00-01	2000-01 101-0042 101-0890 30.10.070.625	- \$999,000																														
	<table border="1"> <thead> <tr> <th>FUNDING</th> <th>PRIOR</th> <th>CURRENT</th> <th>FUTURE</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>State</td> <td>\$163,900</td> <td>0</td> <td>0</td> <td>\$163,900</td> </tr> <tr> <td>ST-Fed.</td> <td>\$22,100</td> <td>\$999,000</td> <td>0</td> <td>\$1,021,100</td> </tr> <tr> <td>Local</td> <td>\$124,000</td> <td>\$876,000</td> <td>0</td> <td>\$1,000,000</td> </tr> <tr> <td>L-Fed.</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>TOTAL</td> <td>\$310,000</td> <td>\$1,875,000</td> <td>0</td> <td>\$2,185,000</td> </tr> </tbody> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	\$163,900	0	0	\$163,900	ST-Fed.	\$22,100	\$999,000	0	\$1,021,100	Local	\$124,000	\$876,000	0	\$1,000,000	L-Fed.	0	0	0	0	TOTAL	\$310,000	\$1,875,000	0	\$2,185,000	\$0 \$0 \$30,000 \$969,000		\$999,000
FUNDING	PRIOR	CURRENT	FUTURE	TOTAL																														
State	\$163,900	0	0	\$163,900																														
ST-Fed.	\$22,100	\$999,000	0	\$1,021,100																														
Local	\$124,000	\$876,000	0	\$1,000,000																														
L-Fed.	0	0	0	0																														
TOTAL	\$310,000	\$1,875,000	0	\$2,185,000																														
2 \$2,769,000 Bay Area Rapid Transit District MTC 04-San Francisco Senate: 3,8 Assembly: 12,13	Automatic Fare Collection Modernization Upgrade Automatic Fare Collection equipment and implement Translink. (\$477,000 moved from PS&E to CONST. Local funds to be used for PS&E.)	R847SB 04-2008 RIP / 00-01	2000-01 101-0042 101-0890 30.10.070.625	\$319,000 \$2,450,000																														
	<table border="1"> <thead> <tr> <th>FUNDING</th> <th>PRIOR</th> <th>CURRENT</th> <th>FUTURE</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>State</td> <td>\$923,954</td> <td>\$319,000</td> <td>0</td> <td>\$1,241,954</td> </tr> <tr> <td>ST-Fed.</td> <td>\$1,551,046</td> <td>\$2,450,000</td> <td>0</td> <td>\$4,002,046</td> </tr> <tr> <td>Local</td> <td>\$11,936,000</td> <td>0</td> <td>0</td> <td>\$11,936,000</td> </tr> <tr> <td>L-Fed.</td> <td>0</td> <td>\$3,003,000</td> <td>0</td> <td>\$3,003,000</td> </tr> <tr> <td>TOTAL</td> <td>\$14,411,000</td> <td>\$5,772,000</td> <td>0</td> <td>\$20,183,000</td> </tr> </tbody> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	\$923,954	\$319,000	0	\$1,241,954	ST-Fed.	\$1,551,046	\$2,450,000	0	\$4,002,046	Local	\$11,936,000	0	0	\$11,936,000	L-Fed.	0	\$3,003,000	0	\$3,003,000	TOTAL	\$14,411,000	\$5,772,000	0	\$20,183,000	\$0 \$477,000 \$0 \$0 \$2,292,000 \$2,769,000		\$2,769,000
FUNDING	PRIOR	CURRENT	FUTURE	TOTAL																														
State	\$923,954	\$319,000	0	\$1,241,954																														
ST-Fed.	\$1,551,046	\$2,450,000	0	\$4,002,046																														
Local	\$11,936,000	0	0	\$11,936,000																														
L-Fed.	0	\$3,003,000	0	\$3,003,000																														
TOTAL	\$14,411,000	\$5,772,000	0	\$20,183,000																														
3 \$516,000 San Mateo County Transit District MTC 04-San Mateo Senate: 8,11 Assembly: 19,21	Sam Trans Bus Stop Rehabilitation Project Upgrade 50 bus stops, including installing shelters and benches, and making accessibility improvements.	T981SA 04-1038 RIP / 00-01	2000-01 101-0042 101-0890 30.10.070.625	\$516,000 -																														
	<table border="1"> <thead> <tr> <th>FUNDING</th> <th>PRIOR</th> <th>CURRENT</th> <th>FUTURE</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>State</td> <td>0</td> <td>\$516,000</td> <td>0</td> <td>\$516,000</td> </tr> <tr> <td>ST-Fed.</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Local</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>L-Fed.</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>TOTAL</td> <td>0</td> <td>\$516,000</td> <td>0</td> <td>\$516,000</td> </tr> </tbody> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	0	\$516,000	0	\$516,000	ST-Fed.	0	0	0	0	Local	0	0	0	0	L-Fed.	0	0	0	0	TOTAL	0	\$516,000	0	\$516,000	\$0 \$52,000 \$0 \$464,000		\$516,000
FUNDING	PRIOR	CURRENT	FUTURE	TOTAL																														
State	0	\$516,000	0	\$516,000																														
ST-Fed.	0	0	0	0																														
Local	0	0	0	0																														
L-Fed.	0	0	0	0																														
TOTAL	0	\$516,000	0	\$516,000																														
4 \$996,000 Peninsula Corridor Joint Powers Board MTC 04-San Mateo Senate: 8,11 Assembly: 19,21	Caltrain Track Crossover Installation of a universal crossover.	R982SA 04-1012 RIP / 00-01	2000-01 101-0042 101-0890 30.10.070.625	\$115,000 \$881,000																														
	<table border="1"> <thead> <tr> <th>FUNDING</th> <th>PRIOR</th> <th>CURRENT</th> <th>FUTURE</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>State</td> <td>0</td> <td>\$115,000</td> <td>0</td> <td>\$115,000</td> </tr> <tr> <td>ST-Fed.</td> <td>0</td> <td>\$881,000</td> <td>0</td> <td>\$881,000</td> </tr> <tr> <td>Local</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>L-Fed.</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>TOTAL</td> <td>0</td> <td>\$996,000</td> <td>0</td> <td>\$996,000</td> </tr> </tbody> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	0	\$115,000	0	\$115,000	ST-Fed.	0	\$881,000	0	\$881,000	Local	0	0	0	0	L-Fed.	0	0	0	0	TOTAL	0	\$996,000	0	\$996,000	\$0 \$0 \$0 \$0		\$996,000
FUNDING	PRIOR	CURRENT	FUTURE	TOTAL																														
State	0	\$115,000	0	\$115,000																														
ST-Fed.	0	\$881,000	0	\$881,000																														
Local	0	0	0	0																														
L-Fed.	0	0	0	0																														
TOTAL	0	\$996,000	0	\$996,000																														
5 \$13,879,000 Peninsula Corridor Joint Powers Board MTC 04-Santa Clara, San Francisco, San Mateo Senate: 3,8,11,13 Assembly: 13,19,21,22,23	Caltrain Track, Station and Signal Rehab Project Rehabilitate the track, signal systems and stations.	R983SA 04-2256 RIP / 00-01	2000-01 101-0042 101-0890 30.10.070.625	\$57,000 \$437,000																														
	<table border="1"> <thead> <tr> <th>FUNDING</th> <th>PRIOR</th> <th>CURRENT</th> <th>FUTURE</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>State</td> <td>0</td> <td>\$1,593,000</td> <td>0</td> <td>\$2,029,000</td> </tr> <tr> <td>ST-Fed.</td> <td>0</td> <td>\$12,286,000</td> <td>0</td> <td>\$11,850,000</td> </tr> <tr> <td>Local</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>L-Fed.</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>TOTAL</td> <td>0</td> <td>\$13,879,000</td> <td>0</td> <td>\$13,879,000</td> </tr> </tbody> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	0	\$1,593,000	0	\$2,029,000	ST-Fed.	0	\$12,286,000	0	\$11,850,000	Local	0	0	0	0	L-Fed.	0	0	0	0	TOTAL	0	\$13,879,000	0	\$13,879,000	\$0 \$0 \$0 \$494,000		\$437,000
FUNDING	PRIOR	CURRENT	FUTURE	TOTAL																														
State	0	\$1,593,000	0	\$2,029,000																														
ST-Fed.	0	\$12,286,000	0	\$11,850,000																														
Local	0	0	0	0																														
L-Fed.	0	0	0	0																														
TOTAL	0	\$13,879,000	0	\$13,879,000																														
			2000-01 04-2020 RIP / 00-01 30.10.070.625	\$436,000 \$3,364,000																														
				\$0 \$950,000 \$0 \$2,850,000																														
			2000-01 04-1037 RIP / 00-01 30.10.070.625	\$1,100,000 \$8,485,000																														
				\$0 \$0 \$0																														
				\$9,585,000																														
				\$13,879,000																														

