

State of California  
Business, Transportation and Housing Agency  
Department of Transportation

PROGRAM AMENDMENTS  
Traffic Congestion Relief Applications  
Resolution TAA-02-04

Prepared By:  
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CTC Meeting: April 3-4, 2002

Reference No.: 2.1c.(2)

*Original Signed By*  
\_\_\_\_\_  
ROBERT L. GARCIA  
Chief Financial Officer  
April 2, 2002

**TRAFFIC CONGESTION RELIEF (TCR) PROGRAM**  
**APPLICATION AMENDMENT APPROVAL**

**RESOLUTION TAA-02-04**  
**Amending Resolutions TA-00-01, TA-01-09, and TA-01-11**

At the April 3-4, 2002, California Transportation Commission (Commission) meeting, the Commission will be asked to consider the following four Traffic Congestion Relief Program application amendments. The Department of Transportation (Department) recommends these project amendments be approved. A fact sheet describing the changes for each project is attached.

- Project #14 - CalTrain; extension to Salinas in Monterey County. Amend application to increase Phase 1 (Environmental Studies) allocation by \$200,000, for a revised allocation of \$565,000. A concurrent allocation and advance of \$200,000 is also being requested. Original TCR application approved under Resolution TA-01-11. The Transportation Agency for Monterey County (TAMC) is requesting this amendment.
- Project #34 - Blue Line to Los Angeles; new rail line Pasadena to Los Angeles in Los Angeles County. Amend application to update project schedule and redistribute funds per design-build contract. Original TCR application approved under Resolution TA-00-01. The Los Angeles to Pasadena Metro Blue Line Construction Authority (PMBLCA) is requesting this amendment.
- Project #70.2 - Route 22; add HOV lanes on Garden Grove Freeway, Route I-405 to Route 55 in Orange County. Amend application to designate this subproject for the construction of the HOV and auxiliary lanes. Amend application designating Orange County Transportation Authority (OCTA) as implementing agency for a design-build approach for HOV lanes. The Department will remain as the implementing agency for Phase 1 – Environmental and Permits (ENV) and preliminary engineering activities currently underway for Phase 2 – Plans, Specifications and Estimates (PS&E) and Phase 3 – Right of Way (ROW). As a result, TCR funds are to be redistributed between the Department and OCTA in accordance to a revised schedule and funding plan. The Department and OCTA are requesting this amendment.

- Project #70.3 - Route 22; add HOV lanes on Garden Grove Freeway, Route I-405 to Route 55 in Orange County. Amend application to designate this subproject for the replacement planting project. Original application approved under Resolution TA-01-09. The Department and Orange County Transportation Authority (OCTA) are requesting this amendment.

**TCR Program – Application Approval Request Project #14**

**Santa Clara / Monterey CalTrain; extension to Salinas in Monterey County.**

(\$ X 1,000)

<i>Estimated Project Cost:</i>	\$23,460	<i>TCRP Funds covered by the application:</i>	<b>\$200</b>
<i>Total TCRP Funds Available:</i>	\$20,000	<i>Phases(s) covered in application:</i>	1
<i>Lead Agency:</i>	Transportation Agency for Monterey County (TAMC)	<i>Implementing Agency:</i>	TAMC

<i>TCRP Allocations requested concurrently with application:</i>	<b>\$200</b>	<i>for Phase(s):</i>	1
<i>Advanced approved:</i>	\$0	<i>for Phase(s):</i>	N/A

**Project Summary:**

The project will extend Caltrain commuter rail service currently running between San Francisco and Gilroy south to Salinas in Monterey County, relieving congestion for commuters traveling between Monterey County and southern Santa Cruz County and the San Francisco Bay area. The rail extension will also provide transit connections to Sacramento and Stockton via the Capitol Corridor and Altamont Commuter express.

The project is located along 38 miles of existing Union Pacific Railroad mainline from Gilroy to Salinas. The rail extension will initially include two new commuter rail station stops - Pajaro and Salinas - and will add a third stop in Castroville in conjunction with the establishment of intercity rail service between San Francisco and Monterey / Seaside. The service will consist of two round trips per day and will increase to four or more within five years on inception of service. It is anticipated that implementation of the project requires rehabilitation and construction of stations, minor track improvements and some equipment acquisition.

**Summary of Action:** This Application Amendment programs an additional \$200,000 for Phase 1 Environmental.

**Reason for Change:** Additional funds are needed to complete an Environmental Impact Report (EIR) for the project. Initially, TAMC had anticipated the rail extension would be categorically exempt under CEQA. To provide for the public hearing process and station activities, an EIR will be completed for the entire project.

**Cost and Schedule** (\$ x 1,000)

<i>Phase</i>	<i>Scope</i>	<i>Start</i>	<i>End</i>	<i>Cost</i>
1	Update Business Plan, Environmental Clearance, Conceptual Design, Track Access Rights	10/1/01	10/1/02	\$725
				<b>\$1,025</b>
2	Plans, Specifications & Estimates	11/1/02	11/1/03	\$3,335
3	Right of Way Acquisition	11/1/03	5/1/05	\$1,300
4	Construction	11/1/03	5/1/05	\$17,800
			<b>Total:</b>	\$23,160
				<b>\$23,460</b>

**Funding Plan** (\$ x 1,000)

<b>Source</b>	<b>Type</b>		<b>Phase 1</b>	<b>Phase 2</b>	<b>Phase 3</b>	<b>Phase 4</b>	<b>Total</b>
TCRP	State	Committed	\$365				\$365
			<b>\$565</b>				<b>\$565</b>
		Proposed		\$3,335	\$1,300	\$14,800	\$19,435
MCRDA TAMC	CMAQ RSTP Exch	Committed	\$250				\$250
			<b>\$285</b>				<b>\$285</b>
		Proposed					
City of Salinas	Local	Committed	\$110				\$110
		Proposed					
MBUAPCD	Local	Committed	\$65				\$65
		Proposed					
PROP116	State	Committed					
		Proposed				\$3,000	\$3,000
	<b>Totals:</b>	Committed	\$725				\$725
		<b>\$1,025</b>				<b>\$1,025</b>	
Proposed			\$3,335	\$1,300	\$17,800	\$22,435	
		<b>Totals:</b>	<b>\$725</b>	<b>\$3,335</b>	<b>\$1,300</b>	<b>\$17,800</b>	<b>\$23,460</b>

**Prior TCRP Action:** Original Application was approved on July 11, 2001 (Resolution TA-01-11) for Phase 1.

**Status of Conditions:** No conditions set for this project under Resolution TA-01-11.

**Discussion/Issues:** No issues. Recommend Approval.

**TCR Program – Application Amendment Approval Request Project #34**

April 3-4, 2002  
Reference No. 2.1c.(2)

**Los Angeles County Blue Line to Los Angeles; new rail line Pasadena to Los Angeles in Los Angeles County.**

(\$ X 1,000)

<i>Estimated Project Cost:</i>	\$731,500	<i>TCRP Funds covered by application:</i>	<b>\$0</b>
<i>Total TCRP Funds Available:</i>	\$40,000	<i>Phases(s) covered by application:</i>	All
<i>Lead Agency:</i>	Los Angeles to Pasadena Metro Blue Line Construction Authority (PMBLCA)	<i>Implementing Agency:</i>	PMBLCA

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<i>TCRP Allocations requested concurrently with application:</i>	<b>\$0</b>	<i>for Phase(s):</i>	N/A
<i>Advanced approved:</i>	\$0	<i>for Phase(s):</i>	N/A

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**Project Summary:** The project is a 13.7-mile conventional light rail project extending from Union Station to the eastern area of Pasadena. Beginning at Union Station where it connects to the Red Line and Metrolink trains, the double tracked line will travel through the City of Los Angeles, the community of High Land Park, and then extend through the cities of South Pasadena and Pasadena. The line will end at Sierra Madre Villa Blvd. and the Route 210 freeway. It will operate primarily on right of way (ROW) acquired from the Atchison Topeka & Santa Fe Railroad.

**Summary of Action:** This Application Amendment updates the project schedule and financial plan of the overall project.

**Reason for Change:** The amendment is needed to better reflect both the design-build nature of the project as well as the current schedule. At the time the project was transferred from the Metropolitan Transportation Authority (MTA) to the PMBLCA, design, plans and specifications were on the average 50% complete. The remaining design work is being managed and carried out under the design-build contracts.

**Cost and Schedule** (\$ x 1,000)

<i>Phase</i>	<i>Scope</i>	<i>Start</i>	<i>End</i>	<i>Cost</i>
1	<b>Environmental Services</b>	1/1/00	11/1/01	<b>\$700</b>
				<del>\$14,700</del>
2	Value engineering, Legal Services - Design/Build	9/1/99	2/1/03	<b>\$1,200</b>
	<del>Design support services</del>			<del>\$9,300</del>
3	Real Estate program services, ROW Acquisition, legal services	1/1/00	<b>10/1/04</b>	<b>\$11,900</b>
			<del>6/1/04</del>	<del>\$10,200</del>
4	Design Support/Final Design PUC Applications, construction and procurement	4/1/00	<b>10/1/04</b>	<b>\$443,400</b>
			<del>6/1/04</del>	<del>\$389,900</del>
	<i>MTA Expended Prior to Transfer to PMBLCA</i>			\$274,300
			<b>Total:</b>	<b>\$731,500</b>
				<del>\$698,400</del>

**Funding Plan** (\$ x 1,000)

<b>Source</b>	<b>Type</b>		<b>Phase 1</b>	<b>Phase 2</b>	<b>Phase 3</b>	<b>Phase 4</b>	<b>Total</b>
TCRP	State	Committed	\$300	\$600	\$800	\$38,300	\$40,000
		Proposed	\$5,100	\$6,700	\$4,000	\$24,200	
MTA - Expended	Local	Committed		\$34,100	\$13,400	\$226,800	\$274,300
		Proposed					
PTA	State	Committed				\$500	
		Proposed					
Intermodal	Federal	Committed					
		Proposed				\$7,000	\$7,000
SHA - Passenger	State	Committed				\$6,600	\$6,600
		Proposed					
Authority	Local	Committed				\$7,800	\$7,800
		Proposed	\$1,400	\$400	\$900	\$19,000	\$21,700
SHA/TPD	State	Committed				\$284,600	\$284,600
		Proposed				\$280,000	\$280,000
Prop C - MTA	Measure	Committed				\$71,700	\$71,700
		Proposed	\$8,200	\$2,200	\$5,300	\$54,300	\$70,000
<b>Totals:</b>		Committed	\$300	\$34,700	\$14,200	\$629,700	\$678,900
		Proposed	\$14,700	\$43,400	\$23,600	\$611,400	\$693,100
		<b>Totals:</b>	\$300	\$34,700	\$14,200	\$682,800	\$732,000
			\$14,700	\$43,400	\$23,600	\$611,400	\$693,100

**Prior TCRP Action:** Original application was approved on September 28, 2000 (Resolution TA-00-01).

**Status of Conditions:** No conditions set for this project under Resolution TA-00-01.

**Discussion/Issues:** Regional Transportation Plan documentation on file.

**TCR Program – Application Amendment Approval Request for Project #70.2**

**Orange**                      **Route 22; add HOV lanes on Garden Grove Freeway, Route I-405 to Route 55 in Orange County.**

(\$ X 1,000)

<i>Estimated Project Cost:</i>	<b>\$382,900</b>	<i>TCRP Funds covered by application:</i>	<b>\$0</b>
	<del>\$393,700</del>		
<i>Total TCRP Funds Available:</i>	\$206,500	<i>Phases(s) covered by application:</i>	All
<i>Lead Agency:</i>	Caltrans/Orange County Transportation Authority	<i>Implementing Agency:</i>	Caltrans/Orange County Transportation Authority

*TCRP funds Allocation requested concurrently with application:*    **\$29,400**                      *for Phase(s):*            2,3  
*Advance requested:*            **\$0**

**Project Summary:** The overall project consists of adding High Occupancy Vehicle (HOV) lanes in each direction of Route 22, from I-405 to SR-55, adding auxiliary lanes where needed, related structural and soundwall construction and improvements, and replacement planting.

**Amendment Summary:** This major amendment creates three sub-projects, and updates project schedules and financial plans for two of the sub-projects (#70.2 and #70.3):

- Sub-Project #70.1 – Construction of soundwalls at various locations along the corridor (Total Project = \$44,300,000, including \$22,300,000 TCRP and \$22,000,000 from other sources).
- Sub-Project #70.2 – Construction of the HOV widening and auxiliary lanes. (Total Project = \$382,900,000, including \$173,400,000 TCRP and \$209,500,000 from other sources).
- Sub-Project #70.3 – Replacement Planting (Total Project = \$10,800,000, 100% TCRP).

This application covers Sub-Project #70.2 – Construction of the HOV widening and auxiliary lanes. On October 11, 2001, the Orange County Transportation Authority (OCTA) Board of Directors approved the design-build process to deliver the project. This amendment will segment-out the detailed design, right of way activities, and construction to OCTA to implement a design-build approach to deliver the project. The Department will retain the following elements:

- \$1,900,000 for the environmental work.
- \$7,400,000 for early Phase 2 for preparations of geometric approval drawings and some technical studies.
- \$300,000 for Phase 3 to establish existing right of way lines.
- \$10,800,000 for design and construction of replacement planting for the HOV project (TCRP Project #70.3).

The schedule is updated based on the decision to utilize the design-build approach. Construction of the HOV lanes is anticipated to be completed 17 months earlier than through the traditional design-bid-build approach. Phase 1 schedule completion is extended from February 2002 to July 2002.

**Reason for Change:** This amendment is required to segment elements the Department will retain from those transferred to the Orange County Transportation Authority to utilize the design-build approach. The schedule and costs changes are due to the design-build process and required Quality Assurance by the Department. The delay to Phase 1 is due to the large number of comments submitted and being responded to during the public review process and additional studies conducted after the public review process to adequately respond to comments. The delay in completing Phase 1 does not delay any subsequent phases.

**TCR Program – Application Amendment Approval Request for Project #70.2**

April 3-4, 2002  
Reference Item 2.1c(2)

**Cost and Schedule** (\$ x 1,000)

Phase	Scope	Start	End	Cost
1	Prepare MIS, Project Report, and Environmental Document (EIS/EIR) - Full Corridor	1/1/98	<del>7/1/02</del> 2/1/02	\$1,900
2	Preliminary Design Activities: Geometric Approval Drawings, Technical Reports	3/1/01	7/1/02	<del>\$7,400</del> \$25,900
2	Detailed PS&E	3/1/02	<del>7/1/06</del> 5/1/04	<del>\$47,900</del>
3	Prepare Right of Way Surveys, Establish Existing Right of Way Lines	3/1/01	7/1/02	<del>\$300</del>
3	Right of Way Acquisition	4/1/02	<del>7/1/06</del> 5/1/04	<del>\$41,100</del> \$44,200
4	Construction of HOV Lanes	8/1/03	<del>7/1/06</del> 12/1/07	<del>\$284,300</del> \$321,700
<b>Total:</b>				<del>\$382,900</del> \$393,700

**Funding Plan** (\$ x 1,000)

Source	Type		Phase 1	Phase 2	Phase 3	Phase 4	Total
TCRP -Caltrans	State	Committed	\$1,900	<del>\$7,400</del>	<del>\$300</del>		<del>\$9,600</del>
		Proposed		\$25,900	\$44,200	\$112,200	\$184,200
TCRP - OCTA	State	Committed		<del>\$47,900</del>	<del>\$41,100</del>	<del>\$74,800</del>	<del>\$163,800</del>
		Proposed					
City of Orange	Local	Committed				\$3,500	\$3,500
		Proposed					
City of Garden Grove	Local	Committed					
		Proposed				\$3,000	\$3,000
Measure M	Measure	Committed				\$203,000	\$203,000
		Proposed					
Other	TBD	Committed					
		Proposed				\$206,000	\$206,000
	<b>Totals:</b>	Committed	\$1,900	<del>\$55,300</del>	<del>\$41,400</del>	<del>\$281,300</del>	<del>\$379,900</del>
		Proposed		\$25,900	\$44,200	\$115,700	\$187,700
		<b>Totals:</b>	\$1,900	<del>\$55,300</del> \$25,900	<del>\$41,400</del> \$44,200	<del>\$284,300</del> \$321,700	<del>\$382,900</del> \$393,700

**Prior TCRP Action:** Prior to segmenting this project into sub-projects, all TCRP funds were approved under Project #70 as follows: Original application was approved on December 5, 2000 (TA-00-03) for \$1,900,000 for Phase 1. Subsequent application was approved March 28, 2001 (TA-01-06) for \$7,400,000 for Phase 2. Subsequent application was approved June 6, 2001 (TA-01-09) for \$174,900,000 for Phases 2, 3, and 4.

**Status of Conditions:** No previous conditions set.

**TCR Program – Application Amendment Approval Request for Project #70.2**

*April 3-4, 2002  
Reference Item 2.1c(2)*

**Discussion/Issues:** Recommend Approval. Project is not fully funded. Funding in the amount of \$3 million remains to be identified. Potential funding sources include local funds.

Recommended Resolution Language: “An allocation of capital funds is contingent upon review and approval for future consideration of funding of the final environmental document by the CTC.”

Recommended Resolution Language: “Prior to an allocation of funds for construction, OCTA shall provide a plan that demonstrates full funding of the phase.”

OCTA will be the Lead Agency for the design-build approach of the HOV widening. The Department will be the Lead Agency for the environmental and preliminary design and right-of-way activities.

A prior allocation of \$31,100,000 was to the Department for Phases 1, 2 and 3. \$9,600,000 of the allocation will remain with the Department for the elements it retains. \$21,500,000 of the allocation will be transferred to OCTA. With the concurrent allocation of \$29,400,000, the total allocation to OCTA will be \$50,900,000.

**TCR Program – Application Amendment Approval Request for Project #70.3**

**Orange**                      **Route 22; add HOV lanes on Garden Grove Freeway, Route I-405 to Route 55 in Orange County.**

(\$ X 1,000)

<i>Estimated Project Cost:</i>	<b>\$10,800</b>	<i>TCRP Funds covered by application:</i>	<b>\$0</b>
<i>Total TCRP Funds Available:</i>	\$206,500	<i>Phases(s) covered by application:</i>	2,4
<i>Lead Agency:</i>	Caltrans/ Orange County Transportation Authority	<i>Implementing Agency:</i>	Caltrans

*TCRP funds Allocation requested concurrently with application:*    **\$0**                      *for Phase(s):*    N/A  
*Advance requested:*    **\$0**

**Project Summary:** The overall project consists of adding High Occupancy Vehicle (HOV) lanes in each direction of Route 22, from I-405 to SR-55, adding auxiliary lanes where needed, related structural and soundwall construction and improvements, and replacement planting.

**Amendment Summary:** This major amendment creates three sub-projects, and updates project schedules and financial plans for two of the sub-projects (#70.2 and #70.3):

- Sub-Project #70.1 – Construction of soundwalls at various locations along the corridor (Total Project = \$44,300,000; including \$22,300,000 TCRP and \$22,000,000 from other sources)
- Sub-Project #70.2 – Construction of the HOV widening and auxiliary lanes. (Total Project = \$382,900,000; including \$173,400,000 TCRP and \$209,500,000 from other sources).
- Sub-Project #70.3 – Replacement planting (Total Project = \$10,800,000, 100% TCRP).

This application covers Sub-Project #70.3 – Replacement planting.

**Reason for Change:** This work is removed from the design-build implementation of the HOV widening and auxiliary lane project (TCRP Project #70.2).

**Cost and Schedule** (\$ x 1,000)

<i>Phase</i>	<i>Scope</i>	<i>Start</i>	<i>End</i>	<i>Cost</i>
1				
2	PS&E	7/1/05	7/1/06	\$800
3				
4	Construction - Including 3-Year Plant Establishment	7/1/06	12/1/09	\$10,000
<b>Total:</b>				<b>\$10,800</b>

**Funding Plan** (\$ x 1,000)

<i>Source</i>	<i>Type</i>		<i>Phase 1</i>	<i>Phase 2</i>	<i>Phase 3</i>	<i>Phase 4</i>	<i>Total</i>
TCRP -Caltrans	State	Committed		\$800		\$10,000	\$10,800
		Proposed					
	<b>Totals:</b>	Committed		\$800		\$10,000	\$10,800
		Proposed					
		<b>Totals:</b>		\$800		\$10,000	\$10,800

**Prior TCRP Action:** Prior to segmenting this project into sub-projects, all TCRP funds were approved under Project #70 as follows: Original application was approved on December 5, 2000 (TA-00-03) for \$1,900,000 for Phase 1. Subsequent application was approved March 28, 2001 (TA-01-06) for \$7,400,000 for Phase 2. Subsequent application was approved June 6, 2001 (TA-01-09) for \$174,900,000 for Phases 2, 3, and 4.

**Status of Conditions:** No previous conditions set.

**Discussion/Issues:** No Issues. Recommend Approval. Project is fully-funded.

**CALIFORNIA TRANSPORTATION COMMISSION**  
**Approval of Traffic Congestion Relief Program**  
**Project Application Amendment**

**RESOLUTION TAA-02-04**  
**Amending Resolutions TA-00-01, TA-01-09, and TA-01-11**

- 1.1 WHEREAS the Traffic Congestion Relief Act of 2000 (herein after referred to as “statute”), which was established by Chapters 91 (AB 2928) and 656 (SB 1662) of the Statutes of 2000, establishes the Traffic Congestion Relief Program, providing \$5.39 billion for projects throughout the State of California to reduce traffic congestion, provide for safe and efficient movement of goods, and provide system connectivity; and
- 1.2 WHEREAS in accordance with Government Code Section 14556.11 the California Transportation Commission (Commission) has adopted guidelines, in consultation with the Department of Transportation (Department) and regional agencies, to implement the Traffic Congestion Relief Program (TCRP); and
- 1.3 WHEREAS the statute and guidelines require applicants to specify full and complete project applications, including scope, cost and schedule, financial plans and funding sources; and
- 1.4 WHEREAS on July 11, 2001, the Commission passed Resolution TA-01-11 approving TCRP Project #14 – CalTrain Extension to Salinas, for \$365,000 to the Transportation Agency for Monterey County (TAMC) for Phase 1 – Environmental and Permits (ENV); and
- 1.5 WHEREAS the TAMC has determined that additional funds are needed to complete the Environmental Impact Report (EIR) as part of Phase 1 - ENV; and
- 1.6 WHEREAS the TAMC has submitted a revised application to increase the funding for Phase 1 - ENV by \$200,000 to complete the EIR, increasing the cost of Phase 1 - ENV to \$565,000; and
- 1.7 WHEREAS on September 28, 2000, the Commission passed Resolution TA-00-01 approving TCRP Project #34 – Pasadena ~~Blue~~ **Gold** Line Extension, for \$40,000,000 to the Los Angeles to Pasadena Metro Blue Line Construction Authority (PMBLCA); and
- 1.8 WHEREAS the PMBLCA has submitted a revised application to update the project schedule and financial plan to be consistent with the design-build contract for this project, including the redistribution of TCRP funds as follows:
  - Transfer \$4,800,000 from Phase 1 - ENV to Phase 4 – Construction (CON), reducing Phase 1 - ENV from \$5,100,000 to \$300,000;

- Transfer \$6,100,000 from Phase 2 – Plans, Specifications and Estimates (PS&E) to Phase 4 - CON, reducing Phase 2 - PS&E from \$6,700,000 to \$600,000;
  - Transfer \$3,200,000 from Phase 3 – Right of Way (ROW) to Phase 4 - CON, reducing Phase 3 - ROW from \$4,000,000 to \$800,000;
  - Increase Phase 4 - CON from \$24,200,000 to \$38,300,000; and
- 1.9 WHEREAS on December 6, 2000, the Commission passed Resolution TA-00-03 approving TCRP Project #70 - Route 22 HOV Lanes, for \$1,900,000, to the Department for Phase 1 - ENV, with subsequent applications approved under Resolution TA-01-06 for \$7,400,000 for Phase 2 - PS&E, and under Resolution TA-01-09 for \$174,900,000 for Phase 2 - PS&E, Phase 3 - ROW, and Phase 4 - CON; and
- 1.10 WHEREAS the Department and the Orange County Transportation Authority (OCTA) have determined that the construction activities originally approved under TCRP Project #70 will be best implemented in three sub-projects; Sub-project #70.1 - Construction of soundwalls at various locations along the corridor, Sub-Project #70.2 - Construction of the HOV widening and auxiliary lanes, and Sub-Project #70.3 - Replacement Planting Project; and
- 1.11 WHEREAS on October 11, 2001, the Orange County Transportation Authority (OCTA) Board of Directors approved the Design-Build approach to deliver Sub-Project #70.2 - Construction of the HOV widening and auxiliary lanes, with OCTA as the implementing agency for the Design-Build approach; and
- 1.12 WHEREAS the Department and the Orange County Transportation Authority (OCTA) have determined that the Department shall continue to be the implementing agency for completion of Phase 1 -ENV, and preliminary engineering activities currently underway for Phase 2 - PS&E and Phase 3 - ROW for Sub-Project #70.2 – Construction of the HOV widening and auxiliary lanes; and
- 1.13 WHEREAS the Department and the Orange County Transportation Authority (OCTA) have submitted revised applications for TCRP Sub-Project #70.2 - Construction of the HOV widening and auxiliary lanes, and TCRP Sub-Project #70.3 - Replacement Planting Project, to reflect the revised implementation strategy, schedule, and funding plan.
- 2.1 NOW THEREFORE BE IT RESOLVED the Commission does hereby approve the following four TCRP project application amendments totaling \$200,000 in new funding, as submitted, with subsequent clarifications and revisions:
- Project #14 - CalTrain; extension to Salinas in Monterey County. Amend application to increase Phase 1 - ENV allocation by \$200,000, for a revised allocation of \$565,000. An advance of \$200,000 is also being requested. Original TCR application approval under Resolution TA-01-11. The Transportation Agency for Monterey County (TAMC) is requesting this amendment.  
Lead Agency: TAMC  
Implementing Agency: TAMC

- Project #34 - Blue Line to Los Angeles; new rail line Pasadena to Los Angeles in Los Angeles County. Amend application to update project schedule and to redistribute funds per design-build contract, with no net change to the amount previously approved. Original TCR application approval under Resolution TA-00-01.  
Lead Agency: Los Angeles to Pasadena Metro Blue Line Construction Authority (PMBLCA)  
Implementing Agency: PMBLCA
- Project #70.2 - Route 22; add HOV lanes on Garden Grove Freeway, Route I-405 to Route 55 in Orange County. Amend application designating Orange County Transportation Authority (OCTA) as the implementing agency for the Design-Build approach for HOV lanes. The Department will remain as the implementing agency for all other elements of this project. As a result, TCR funds are to be redistributed between the Department and OCTA in accordance to a revised Schedule and Funding plan.  
Lead Agency: California Department of Transportation (Department)/ OCTA  
Implementing Agency: Department / OCTA
- Project #70.3 - Route 22; add HOV lanes on Garden Grove Freeway, Route I-405 to Route 55 in Orange County. Amend application to designate this subproject as Replacement Planting. Original application approval under Resolution TA-01-09.  
Lead Agency: California Department of Transportation (Department)/ Orange County Transportation Authority (OCTA)  
Implementing Agency: Department;

and

- 2.2 BE IT FURTHER RESOLVED for TCRP Project #14, an advance of \$200,000 is approved for the Transportation Agency for Monterey County to complete the EIR for the CalTrain Extension to Salinas project; and
- 2.3 BE IT FURTHER RESOLVED for TCRP Project #70.2, OCTA will be designated the Implementing Agency for the Design-Build element while the Department remains the Implementing Agency for all other elements of this sub-project; and
- 2.4 BE IT FURTHER RESOLVED that for TCRP Project #70.2, an allocation of capital funds is contingent upon review and approval for future consideration of funding of the final environmental document by the CTC; and
- 2.5 BE IT FURTHER RESOLVED that for TCRP Project #70.2, Prior to an allocation of funds for construction, OCTA shall provide a plan that demonstrates full funding of the phase; and

- 2.6 BE IT FURTHER RESOLVED that this TCRP project application approval by the Commission reserves the State funding for the projects as specified by the statute, and allows the applicant agencies to incur costs in accordance with the approved project application, statute and guidelines; and
- 2.7 BE IT FURTHER RESOLVED that all lead agencies shall provide the Commission and the Department with a progress report on April 1<sup>st</sup> and October 1<sup>st</sup> of each year on actual expenditures and status of work, until the projects have been completed; and
- 2.8 BE IT FURTHER RESOLVED that TA-00-01, TA-01-09, and TA-01-11 are hereby amended.