

# Memorandum

**To: Chairman and Commissioners**

**Date: March 14, 2001**

**From: Robert I. Remen**

**File No:  
Book Item 2.1e(1)  
Action**

**Ref: Project Application Amendment for San Joaquin County Council of Governments' - Stockton-Livermore Rail Corridor Economic Analysis and Preliminary Engineering Study**

**Issue:**

Should the Commission approve the San Joaquin County Council of Governments (SJCCOG) amended Proposition 116 application?

The original amount approved for two elements was \$300,000; the SJCCOG is requesting the following as part of its amended application:

- 1) Reduce the original amount of \$139,200 for the SJCCOG's Stockton-Livermore Corridor, Preliminary Engineering and Economic Study project by \$12,859 for a new total of \$126,341, this project had a cost savings; and
- 2) Delete \$160,800 for the SJCCOG's Altamont Pass Rail Corridor Study that has been completed with other funds.

The following are the total revised amounts for the SJCCOG Proposition 116 funds by project element breakdown:

- \$126,341 – Preliminary Engineering and Economic Study Project;
- \$0 - SJCCOG, Altamont Pass Rail Corridor Study Project;

A cost savings of \$12,859 in conjunction with the \$160,800 no longer needed for the study provides a total of \$173,659 available for programming to another project within the San Joaquin Region. (See Item 2.1e(2))

**Recommendation:**

Commission staff has reviewed the amended Proposition 116 application, as well as subsequent clarifications that appear to meet all basic requirements specified in Proposition 116, as well as the Commission's policies and guidelines. Staff recommends that the Commission approve the attached resolution, which would grant approval of the San Joaquin County Council of Governments amended application to reduce funds from the Stockton-Livermore Corridor Preliminary Engineering and Economic Study project.

**Background:**

Proposition 116 in PUC Section 99644 authorizes \$14,000,000 to the San Joaquin Council of Governments for expenditure for rail projects along the Stockton-Manteca-Tracy corridor to the Alameda County line, including \$300,000 for a preliminary engineering and economic study of passenger rail service along the Stockton-Livermore (Altamont) corridor.

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In September 1991, the Commission approved PA-91-0B for the San Joaquin County Council of Governments in the amount of \$300,000 for the Stockton-Livermore Preliminary Engineering and Economic Study project, which included \$139,200 for preliminary engineering and an economic study, and \$160,800 for an Altamont corridor study. Both elements of the project have been completed either with local funds or with a cost savings resulting in a remaining balance of \$173,659.

The San Joaquin County Council of Governments has requested a change in applicant status to the San Joaquin Regional Rail Commission (SJRRRC) and the SJRRRC has accepted the change in applicant status to expend the remaining \$173,659 on San Joaquin county projects along the Altamont Corridor.

The approval of the attached resolution would reduce the original amount of \$300,000 by \$173,659 for a new total of \$126,341 for San Joaquin County Council of Government's Stockton-Livermore Preliminary Engineering and Economic Study Project.

CALIFORNIA TRANSPORTATION COMMISSION

Commission Project Application Approval Amendment  
San Joaquin County Council of Governments  
Proposition 116 Application for the  
Stockton-Livermore Rail Corridor Economic Analysis and Preliminary Engineering Study

Resolution PA-00-

Amending PA-91-0B

- 1.1 WHEREAS, in June 1990 the voters approved Proposition 116, the Clean Air and Transportation Improvement Act, for \$1.99 billion for rail and mass transportation purposes; and
- 1.2 WHEREAS, the California Transportation Commission is designated in Proposition 116 to oversee the five grant programs over the 20-year term of the Proposition; and
- 1.3 WHEREAS, Proposition 116 calls for the Commission to establish an application process and to develop and adopt guidelines to implement those programs; and
- 1.4 WHEREAS, Proposition 116 establishes as a purpose of the application process that it "facilitate implementation of improved cost-effective transit service to the maximum number of Californians and to prevent the funds provided for by this part from being spent on needlessly costly features"; and
- 1.5 WHEREAS, Proposition 116 requires applications to specify full and complete capital plans, financial plans, and operating plans, including schedules and funding sources; and
- 1.6 WHEREAS, the financial plans include a back-up funding plan, should the project exceed the cost approved by the Commission the increased cost shall be covered by funds other than Proposition 116; and
- 1.7 WHEREAS, the Commission has established a Hazardous Waste Identification and Clean-up Policy (#G-91-2) that requires the local agency to have performed full due diligence in identifying the hazardous waste in the right-of-way and easements and properties as well as clean-up, and that the state has been indemnified from clean-up liability of damages, both present and future; and
- 1.8 WHEREAS, in December 1990 the Commission adopted policy and application guidelines (#G-90-23) for the Proposition 116 rail program; and
- 1.9 WHEREAS, Proposition 116 (PUC Section 99644) authorizes \$14,000,000 to the San Joaquin County Council of Governments for expenditure for rail projects along the Stockton-Manteca-Tracy corridor to the Alameda County line, including \$300,000 for a preliminary engineering and economic study of passenger rail service along the Stockton-Livermore (Altamont) corridor; and
- 1.10 WHEREAS, in September 1991, the Commission approved PA-91-0B for the San Joaquin County Council of Governments' Proposition 116 application for the Stockton-Livermore Rail Corridor

Economic Analysis and Preliminary Engineering Study for a total of \$300,000, which included \$139,200 for the Stockton-Livermore Corridor preliminary engineering and economic study and \$160,800 for the Altamont Pass Rail Corridor study; and

1.11 WHEREAS, on February 8, 2001, San Joaquin County Council of Governments (SJCCOG) submitted an amended Proposition 116 application requesting the following:

- reduce the original amount of \$139,200 for the SJCCOG's Stockton-Livermore Corridor, Preliminary Engineering and Economic Study project by \$12,859 for a new total of \$126,341, this project had a cost savings;
- delete \$160,800 for the SJCCOG's Altamont Pass Rail Corridor Study that has been completed with other funds; and

2.1 BE IT THEREFORE RESOLVED, that the Commission hereby approves the Proposition 116 amended application from the San Joaquin County Council of Governments for the Stockton-Livermore Rail Corridor Economic Analysis and Preliminary Engineering Study, as follows:

- reduce the original amount of \$139,200 for the SJCCOG's Stockton-Livermore Corridor, Preliminary Engineering and Economic Study project by \$12,859 for a new total of \$126,341, this project had a cost savings;
- delete \$160,800 for the SJCCOG's Altamont Pass Rail Corridor Study that has been completed with other funds; and;

for a total project cost of \$126,341; and

2.2 BE IT FURTHER RESOLVED, that a Proposition 116 project approval by the Commission reserves the State funding within the Program, and allows project development work to be undertaken by the applicant agency, which will be subject to state reimbursement pursuant to the Commission's "Guidelines for Allocating, Monitoring, and Auditing Funds for Local Assistance Projects" and subsequent fund transfer agreement.