

State of California  
Business Transportation and Housing Agency  
Department of Transportation

PROGRAM STATUS  
Local Assistance Subvention Budget  
Information Item

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CTC Meeting: February 21-22, 2000

Agenda Item: 3.4

Original Signed by

W. J. EVANS, Deputy Director

Finance

February 1, 2000

### **LOCAL ASSISTANCE SUBVENTION BUDGET ITEMS**

The Local Assistance Program administers the local assistance subvention budget under authority of the California Transportation Commission (Commission). The following status reports relate to the local assistance subvention budget.

#### **Updated Unobligated CMAQ and RSTP Balances from First Cycle**

Two types of local assistance subvention funds, Congestion Mitigation and Air Quality Improvement (CMAQ) and Regional Surface Transportation Program (RSTP), are subject to use it or lose it provisions of Assembly Bill 1012 (Chapter 783 of the Statutes of 1999). AB 1012 was enacted October 10, 1999 with a goal of improving the delivery of transportation projects. The legislation states that regional agency Congestion Mitigation and Air Quality Improvement (CMAQ) and Regional Surface Transportation Program (RSTP) funds that are not obligated within the first three years of federal eligibility are subject to reprogramming by the California Transportation Commission in the fourth year. The first cycle of use it or lose it began January 19, 2000, with the notification to regional agencies of the amount of funds subject to potential reprogramming based on unobligated apportionment balances. The agencies had until January 19, 2001 to obligate the federal CMAQ and RSTP funds in order to avoid potential reprogramming of the funds to other projects.

For the first cycle of implementation, which began on January 19, 2001, the balance of CMAQ and RSTP funds subject to potential reprogramming January 19, 2001, was approximately \$333 million. These figures were based on CMAQ and RSTP apportionment balances as of September 30, 1999.

As of **December 31, 2000**, there were four agencies with CMAQ balances subject to potential reprogramming in the amount of approximately \$4.2 million and two agencies with RSTP balances subject to potential reprogramming in the amount of approximately \$6 million, for a combined total of about \$10.2 million. Based on the statewide balances of CMAQ and RSTP funds, there are no year 1 funds subject to potential federal lapse on September 30, 2001. Attachment I includes information about the agencies with balances subject to potential reprogramming. Updated information about additional obligations for those agencies will be available at the February 21-22 Commission meeting.

**Local Assistance Lump Sum Allocation Status**

The Department was requested to provide information regarding the status of the local assistance subvention budget. The Commission provides an annual lump sum allocation consistent with the Budget Act. The Commission further delegates to the Department the authority to adjust allocations for local assistance and report to the Commission if any transfers in or out of an expenditure category exceed 10 percent of the total allocation.

In August 2000 the Commission passed Resolution FM-00-05, the allocation of funds for local assistance in the 2000-01 fiscal year. The allocation included approximately \$102 million in state funds and \$830 million in federal funds for a total of approximately \$932 million. As of February 1, 2001, approximately \$382 million (41%) of these funds have been expended. Attachment II provides the status of these funds by category.

Attachments

**Cycle 1 Apportionment Status Report  
For Agencies with Balances Subject to Potential Reprogramming  
on January 19, 2001 Based on Balances As of December 31, 2000**

The following agencies have balances subject to potential reprogramming on January 19, 2001, for the first cycle of AB 1012 use it or lose it provisions based on data through December 31, 2000. An update of additional obligations will be provided at the February 21-22 California Transportation Commission meeting.

<u>Agency</u>	Amount Subject to Potential Reprogramming January 19, 2001	
	<u>Congestion Mitigation and Air Quality Program (CMAQ)</u>	<u>Regional Surface Transportation Program (RSTP)</u>
Butte	\$372,712	
San Benito	\$515,049	
Santa Barbara	\$2,469,205	
Tulare	\$813,612	
Sacramento		\$4,820,816
Imperial		\$1,225,519
Total	\$4,170,578	\$6,046,335

LOCAL ASSISTANCE FUNDS  
 FY 2000-01  
 (As of February 1, 2001)

Attachment 2

(Dollars in 1,000)

Fund Description	CTC Allocation			Total Expenditures FY 2000/01 (as of 2/01/01)			Balance (as of 2/01/01)			Percent of Allocation Expended (as of 2/01/01)		
	State	Federal	Total	State	Federal	Total	State	Federal	Total	State	Federal	Total
STP		250,000	250,000		229,669	229,669		20,331	20,331		91.9%	91.9%
CMAQ		260,000	260,000		34,361	34,361		225,639	225,639		13.2%	13.2%
Bridge Seismic Retrofit State Match	20,000	75,000	95,000	9,482	34,233	43,715	10,518	40,767	51,285	47.4%	45.6%	46.0%
HBRR		70,000	70,000		21,842	21,842		48,158	48,158		31.2%	31.2%
Bridge Scour	900	3,300	4,200	0	0	0	900	3,300	4,200		0.0%	0.0%
Protection		12,000	12,000		3,902	3,902		8,098	8,098		32.5%	32.5%
Maintenance	4,250		4,250	0		0	4,250		4,250			0.0%
Railroad Grade Separations	15,000		15,000	0		0	15,000		15,000			0.0%
HES		12,000	12,000		2,978	2,978		9,022	9,022		24.8%	24.8%
Demo		112,000	112,000		33,028	33,028		78,972	78,972		29.5%	29.5%
Training: LTAP and CTAP	1,100	100	1,200	0	0	0	1,100	100	1,200		0.0%	0.0%
State Match for TEA	4,000		4,000	75		75	3,925	0	3,925	1.9%		1.9%
TEA Exchange	3,000		3,000	132		132	2,868	0	2,868	4.4%		4.4%
TEA, Regional Share	8,919	35,000	43,919	0	11,658	11,658	8,919	23,342	32,261	0.0%	33.3%	26.5%
State Match and Exchange	44,000		44,000	0		0	44,000	0	44,000	0.0%		0.0%
Misc.	1,000	1,000	2,000	515	309	824	485	691	1,176	51.5%	30.9%	41.2%
<b>Total</b>	<b>102,169</b>	<b>830,400</b>	<b>932,569</b>	<b>10,203</b>	<b>371,981</b>	<b>382,184</b>	<b>91,966</b>	<b>458,419</b>	<b>550,385</b>	<b>10.0%</b>	<b>44.8%</b>	<b>41.0%</b>