

State of California
Business, Transportation and Housing Agency
Department of Transportation

MASS TRANS FINANCIAL MATTERS
STIP Intercity Rail Allocations
Resolution: MFP-01-10

Prepared By:
Rick Terry
Chief
Division of Budgets
(916) 654-4556

CTC Meeting: January 23-24, 2002

Agenda Item: 2.6a.(2)

Original Signed By
ROBERT L. GARCIA
Chief Financial Officer
January 2, 2002

FINANCIAL ALLOCATION
STATE TRANSPORTATION IMPROVEMENT PROGRAM INTERCITY RAIL PROJECTS

RESOLUTION MFP-01-10

RECOMMENDATION

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve the following resolution, allocating a total of \$3,847,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds for three (3) State Transportation Improvement Program (STIP) Intercity Rail projects on the attached Vote List.

FINANCIAL RESOLUTION

Resolved That:

A total of \$3,847,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds, programmed in the 1998 or 2000 STIP, be allocated to the Recipient(s) for the project(s) on the attached Vote List. The project(s), as component phases or in their entirety, appear on the necessary State capital projects funding list and are entitled to participate in this allocation.

The Recipient(s) is committed to providing any required local matching funds and to fully fund implementation of the project(s) in compliance with grant funding requirements and Commission policy. For acquisitions of rail right-of-way properties, the Recipient(s) has performed, with diligence, the process of identification and remediation of any hazardous waste in the right-of-way, easements and properties, in accordance with the Commission's established "Hazardous Waste Identification and Clean-up Policy" (Resolution G-91-2).

Reimbursement of eligible costs is subject to the policies, restrictions and assurances as set forth in the Commission's policy for allocating, monitoring, and auditing local assistance grant projects, and is governed by the terms and conditions of the Fund Transfer Agreement (FTA), and subsequent amendments to the same if required, as executed between the Recipient(s) and the California Department of Transportation (Department).

The Recipient(s) shall provide the Department with quarterly expenditure plans by category including any proposed changes for the balance of all funded project allocations commencing with the first quarter.

In the event that the recipient(s), or successor public entities, fail or cease to utilize the Project's properties for the intended public transit purposes or sell or transfer title of the Project(s), this Commission shall be entitled to a then present value refund, or credit, at State's option, equivalent to the proportionate funding participation by the State towards the Project(s). The credit due the Commission in each instance, will be measured by the ratio of State and other public funding to that provided from Recipient(s). That ratio will be applied to the fair market value of the Project's property.

The Recipient(s) shall provide the Department with notification of contract award within twelve (12) months from the date of this allocation, and must complete the work to be reimbursed and the actual reimbursement within thirty-six (36) months from award of said contract, unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Or, Funds allocated for local project development or right of way costs must be expended by the end of the second year following the fiscal year in which the funds were allocated. For local grant projects, the local agency must invoice Caltrans for these costs no later than 180 days after the fiscal year in which the final expenditure occurred unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

2.6 Mass Transportation Financial Matters

Project # Allocation Amount Recipient RTPA/CTC District-County Legislative District	Location Project Description Project Funding	EA Dist-PPNO Program / Year Programmed: PA&ED PS&E R/W CONST	Budget Year Item # Program Code	Allocation Amount State Total Amount																														
2.6a(2) State Transportation Improvement Program (STIP) Intercity Rail Projects Resolution MFP-01-10																																		
1 \$827,000 CALTRANS PCTPA 03-Placer Senate: 1 Assembly: 4	Rocklin, Auburn, and Roseville Rail Station Capitol Corridor Construct Rocklin Rail Station. (Auburn and Roseville funded with prior allocation.) (20 month time extension granted, expires 2-28-02)	R668SA 03-3R01 IIP / 99-00	1999-00 301-0042 30.20.020.720	\$608,000																														
	<table border="1"> <thead> <tr> <th>FUNDING</th> <th>PRIOR</th> <th>CURRENT</th> <th>FUTURE</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>State</td> <td>\$790,000</td> <td>\$827,000</td> <td>0</td> <td>\$1,617,000</td> </tr> <tr> <td>ST-Fed.</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Local</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>L-Fed.</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>TOTAL</td> <td>\$790,000</td> <td>\$827,000</td> <td>0</td> <td>\$1,617,000</td> </tr> </tbody> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	\$790,000	\$827,000	0	\$1,617,000	ST-Fed.	0	0	0	0	Local	0	0	0	0	L-Fed.	0	0	0	0	TOTAL	\$790,000	\$827,000	0	\$1,617,000	\$0 \$0 \$608,000		
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2 \$520,000 Southern California Regional Rail Authority SCRRA 75-San Bernardino Senate: 17,31 Assembly: 34,65	Global Position System Pacific Surfliner Install Global Positioning System technology and CMS for San Bernadino County Metrolink. (Concurrent STIP amendment under 2.1a.)	R765SB 75-2019 RIP / 01-02	2001-02 301-0042 30.20.020.630	\$520,000																														
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3 \$2,500,000 CALTRANS SANDAG 75-San Diego Senate: 38 Assembly: 73	Santa Margarita Bridge Replacement and Double Track Pacific Surfliner Design and Environmental portion of Santa Margarita Bridge and double track.	R906SA 75-2006 IIP / 01-02	2001-02 301-0042 30.20.020.720	\$2,500,000																														
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