

REQUEST FOR RISK ADVERTISING AND RISK VOTE

Date: _____

Check appropriate box(es):

Risk Advertisement Request:

Risk Vote Request:

Refer to the attached Risk Vote/Advertisement Checklist for processing procedure.

If you have any questions or require further information, please contact the Project Management Division Coordinator or District Program/Project Management Office.

Risk vote and risk advertisement include projects advertised using a Right-of-Way Certification 3 or 3W.

Attach approved Request for Risk Advertising and Risk Vote Form with the submittal of the Request for Funds.

PROJECT IDENTIFICATION

Dist.-Co.-Rte-PM: _____ EA: _____ PPNo: _____

Location Description: _____

Work Description: _____

Program: _____

Current Estimate: _____ Programmed Amount: _____

Proposed Submittal of Request for Funds (“cutoff date”):

Proposed CTC Vote Date:

Proposed Advertisement Date:

Proposed Bid Opening Date:

SPECIFIC CONSTRAINTS NOT CLEARED:

(District commits to clearing constraint three weeks prior to bid opening)

Constraint

Target Clearance Date

Why must the Department assume this risk? (describe business case, e.g. benefit of accelerated delivery, public safety issues, coordination of this work with other contracts, etc.)

RISK MANAGEMENT PLAN:

Provide a Risk Management Plan following the guidance in the Project Risk Management Handbook :http://www.dot.ca.gov/hq/projmgmt/guidance_prmhb.htm

(Some examples of adverse consequences are misallocation of State Highway Account funds, loss of federal funds, loss of Departmental staff time, cost of materials, increased project costs due to loss of bidder time and resources, exposure of subcontractor bids, project delays if project needs to be re-advertised.)

REQUESTED BY:

DISTRICT DIRECTOR Date

DIVISION CHIEF CONCURRENCE(S) TO GO FORWARD WITH RISK
(May be an attached e-mail from the Division Chief or his/her documented designee)

Constraint Division Chief Name Division Chief Signature Date

APPROVALS

Risk Advertisement

Risk Vote

RICK LAND Date

CINDY MCKIM Date

RISK ADVERTISING AND RISK VOTE STATUS INFORMATION

(To be completed by District Project Management and submitted to District Office Engineer and District CTC Liaison after all constraints have been cleared)

<u>Constraint</u>	<u>Signature</u>	<u>Date Cleared</u>
-------------------	------------------	---------------------

[] All Constraints Clear (Forward to District Office Engineer and CTC Liaison)
