

PROJECT CHANGE REQUEST

PROJECT ID. 0613000157 20.075.600
 DISTRICT/EA 06-42471 PPNO 3645C PGM Doc. SHOPP PGM Del FY 2015 PROG CODE 20.201.310

Cty Rte PM Description

PROJECT (SCOPE) DESCRIPTION: Ker 119 R10.0 R13.3 Near Dustin Acres. from Elk Hills Road. Construct Truck Climbing Lanes and widen shoulders

DOES THIS PROJECT INVOLVE PROPOSITION 1B FUND(S)? NO YES , TYPE(S) (CMIA, Route 99, STIP, SHOPP, etc.) STIP/SHOPP

SCOPE, COST & SCHEDULE CHANGES

TYPE OF REQUEST: PGM COST PGM YEAR SCOPE SPLIT / COMBINE OTHER: PS&E Increase - Type C

COMPONENT Change (\$'s in 1,000's)

	EXISTING (PROGRAMMED)		PROPOSED		COST EXPENDED to Date % COMPLETE			COST CHANGE			
	Value	FY	Value	FY	Expended	% Expended	% Complete	Value	Value%	Yrs	Type
PA&ED	\$459*	Prior	\$459	Prior	\$455	99%	100%	\$ _____	_____ %	_____	NA
PS&E	\$900*	2013	\$1,550	2013	\$1,375	153%	90%	\$650	172%	0	C
R/W SUP	\$50*	2013	\$50	2013	\$9.4	18.8%	90%	\$ _____	_____ %	_____	NA
CON SUP	\$763	2015	\$1,121	2015	\$0	0%	0%	\$358	46.9%	0	A
R/W CAP	\$822*	2013	\$50	2013	\$16.3	2%	90%	\$ (772)	-93.9%	_____	B
CON CAP	\$5,800	2015	\$5,800	2015	\$0	0%	0%	\$ _____	_____ %	_____	NA

* STIP-RIP program

Total \$8,794 \$9,030 \$1,855.7 \$236.0 2.7%

WHAT PHASE IS THE PROJECT IN? PRE-PGM DELIVERY YR PGM DELIVERY YR & PRE VOTE POST VOTE

Cost Change Type	Description	Data Systems Changed	
	Cost Change Request Types	Programmed Budget	Approved Cost
A	Programming Cost Change	CTIPS	AMS Advantage
B	Headquarters Cost Approval		AMS Advantage
C	District Cost Documentation		
NA	No Change Proposed		
	Supplemental Funds Requests		
SFR	Supplemental Funds Request		AMS Advantage If Expenditures < 100%

Cty - Rte - PM - Description

New Project Description: _____
 (Only If Revised)

"010" Safety Project? Yes No

Project Performance	EXISTING (PROGRAMMED)		PROPOSED		PERFORMANCE CHANGE		
	Value	Units	Value	Units	Value	Units	%
	11,200	VH	11,200	VH	0	0	0%

(SHOPP PRIMARY PERFORMANCE OUTPUT BY PROGRAM CODE)

1.) WHAT IS THE PROPOSED CHANGE?

To document STIP-RIP PS&E increase – Type C.

To document STIP-RIP R/W capital savings.

To increase SHOPP Construction support budget – program change.

**2.) COMPLETE THE FOLLOWING REGARDING THE LATEST TWO COST ESTIMATES.
(\$'s in 1,000's.)**

1. ESTIMATE DATE: <u>(01/2015),</u>	Con Capital <u>\$6,204</u>	RW Capital <u>\$50.</u>
2. ESTIMATE DATE: <u>(04/2014),</u>	Con Capital <u>\$5,800,</u>	RW Capital <u>\$822.</u>

3.) WHAT WAS THE REASON FOR THE CHANGE?

PS&E expenditures included all the PS&E expenditures from the parent project (42470). Since the future Bypass project is not funded, all expenditure will need to be added to EA 42471.

R/W capital will be reduced due to the fact that this project is buying into a previously purchased mitigation bank. No mitigation expenses will be expended for this project.

Construction Support: The workplan was updated due to construction working days increasing from 90 working days (WD) to 130 WD. Construction support cost also changed due to increases in ICRP rates and labor rates. These updates increased the construction support cost by \$358,000.

4.) WHEN WAS THE CHANGE DISCOVERED?

The changes were discovered when the plans were being finalized and when Environmental were working at acquiring the regulatory environmental permits. Approximately Fall 2014.

5.) WHAT HAS BEEN DONE TO MINIMIZE ANY CHANGE?

Many focused meeting were conducted to try to keep these costs to a minimum.

6.) WHAT CAN BE CONSTRUCTED WITH THE PROGRAMMED FUNDS?

All items need to be constructed to conform to the need and purpose of the project.

7.) IF THE SCOPE IS REDUCED OR SPLIT, WOULD THE REMOVED WORK NEED TO BE REPROGRAMMED OR ADDED TO ANOTHER PROJECT?

N/A

8.) IS A SUPPLEMENTAL SCOPING DOCUMENT NEEDED? IF YES, STATUS?

No.

9.) WAS A VALUE ANALYSIS STUDY CONDUCTED? EXPLAIN THE RESULTS OF THE STUDY OR WHY A STUDY WAS NOT CONDUCTED?

No. The project cost is below the limit that would justify a Value Analysis.

10.) COST - WHERE WILL THE REQUIRED FUNDS COME FROM?

STIP-RIP for PS&E support.

SHOPP for Construction support.

11.) PRIOR PCRs – LIST OTHER PCRs PREVIOUSLY APPROVED.

This project is a child project split from EA 42470. That PCR was approved 8/22/2013.

PROJECT CONCURRENCE

12.) (A) (STIP-RIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER AND THE RTPA OR COUNTY TRANSPORTATION COMMISSIONS STAFF? EXPLAIN THEIR REACTION.

I have discussed the increase to the PS&E support with Kern COG in December 2014

(B) (STIP-IIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER? EXPLAIN THEIR REACTION.

N/A

(C) (SHOPP) WHEN DID THE DISTRICT DISCUSS THIS WITH THE HEADQUARTERS PROGRAM MANAGER? EXPLAIN THEIR REACTION.

I have discussed the increase to construction support with John Holzhauser, the SHOPP program advisor, on February 17, 2015 and he concurs with the increase.

13.) LESSONS LEARNED, NEW STRATEGIES (What new information pertaining to this project could be beneficial to others?)

Make sure to include environmental inspection cost when a project is located in a high environmentally sensitive area.

14.) District Project Manager Signature

Steven Milton
 Steven Milton
 District Project Manager

2/26/15
 Date

(559) 243-3451
 Phone Number

Samer Shaath
 Samer Shaath
 Deputy District Director
 Program/Project Management

3/3/15
 Date

APPROVAL - COMMENTS - CONCERNS

- PD Concurrence
- PD Objections (detail concerns):

15.) Comments - Concerns:

Paul Gennaro
 Paul Gennaro
 HQ Project Delivery Coordinator

2/26/15
 Date

APPROVAL

	Approve	Deny	No HQ Action
Cost	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Scope	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Schedule	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Split / Combine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Revise & Resubmit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Sherri Bender Ehlert
 Sherri Bender Ehlert
 DISTRICT DIRECTOR

3/3/2015
 Date

James E. Davis for
 JAMES E. DAVIS
 HQ DIVISION CHIEF
 PROJECT MANAGEMENT

3/2/15
 Date

Bruce De Terra
 BRUCE DE TERRA
 ACTING HQ DIVISION CHIEF
 TRANSPORTATION PROGRAMMING

4/1/15
 Date

REQUIRED ATTACHMENTS

- (a) Attach 1 page copy (screenprint) of project workplan/status schedule.
- (b) Attach the current CTIPS project information.
- (c) PCR Data Worksheet, if applicable (for splits/combinations).
- (d) For STIP Projects, please attach the latest Project Programming Request (PPR).
- (e) Summary Cost Estimates, if/when needed.

PROJECT ID. 0613000157
 DISTRICT/EA 06-42471



SHOPP AMENDMENT REQUEST FORM
PRG-0002 (REV 1/2014)

AMENDMENT REQUEST for the 2012 SHOPP 2014 SHOPP

Submittal Date 04/28/2014

District	County	Route	Prefix	PM	Prefix	PM	EA	PPNO	Project ID
06	Kern	119	R	10.0	R	13.3	42471	3645A	06-1300-0157

Program Code	Performance Measure		RTL	PAED	Project in PID Work Plan Y/N	PID Approval Date *	K-Phase Expenditures (\$1,000)
	Num	Unit Type					
201.310	11.2	1,000 Vehicle Hours	02/19/2015	05/28/2013	No	05/28/2013	\$0

Project Location/Description (Include the nearest city, town or landmark) _____ MPO: KCOG

In Kern County near Dustin Acres on State Route 119 from Elk Hills Road (PM 10.04) to Tupman Road. Construct truck climbing lanes and widen shoulders.

Need for Project and Proposed Improvements (Elaborate using PID language)

This project proposes to reduce congestion and to increase operational capacity by constructing a truck climbing lane in each direction of SR 119. The proposed truck climbing lanes would improve LOS from E to D in the eastbound direction. In the westbound direction LOS remained at D after proposed improvements but it provides operational improvements. This project will use STIP RIP funding for PA&ED, PS&E, R/W support and R/W capital.

Requested Program FY		Support (\$1,000)		Legislative District Numbers (Separate multiple Districts with a comma)	
2014/15		PA & ED	\$0	State Assembly	34
Capital (\$1,000) (Escalated to FY of Programming)		PS & E	\$0	State Senate	16
R/W	\$0	R/W	\$0	Congressional	23
Construction	\$5,800	Construction	\$763	CAPITAL CONSTRUCTION COST ESCALATION RATE	
Total Capital	\$5,800	Total Support	\$763	3%	

(For Programming purposes, all support cost will be placed in the same FY as Capital Construction)

Project Manager (Print) Steven Milton Project Manager (Sign) _____ Phone (559) 243-3451

Single Focal Point (Print) Samer Shaath Single Focal Point (Sign) _____ Phone (559) 243-3421

* Email a scanned copy of the signed Amendment Request form and approved PID to: SHOPP.Amendment.Requests@dot.ca.gov.

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 854-6410, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

Afhami, Reza@DOT

From: Guevel, Rick L@DOT
Sent: Friday, March 27, 2015 12:45 PM
To: Milton, Steven@DOT
Cc: Saucedo, Ralph J@DOT; Gennaro, Paul N@DOT; Holzhauser, John F@DOT; Sanchez, Marco A@DOT; Afhami, Reza@DOT; Berry, Donna M@DOT; Afhami, Reza@DOT
Subject: RE: EA 06-42471 Cherry Ave Truck Climbing lanes - PCR

Hello Steven – the other issue wasn't addressed. Table says district is requesting an increase of \$358k for con COS and text says \$258k.

Please fix either the table or the text so they match and send the corrected copy to Reza.

Thanks.

Rick.

SCOPE, COST & SCHEDULE CHANGES

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Construction Support: The workplan was updated due to construction working days increasing working days (WD) to 130 WD. Construction support cost also changed due to increases in labor rates. These updates increased the construction support cost by \$258,000.

From: Milton, Steven@DOT
Sent: Friday, March 27, 2015 11:54 AM
To: Guevel, Rick L@DOT
Cc: Saucedo, Ralph J@DOT; Gennaro, Paul N@DOT; Holzhauser, John F@DOT; Sanchez, Marco A@DOT; Afhami, Reza@DOT
Subject: RE: EA 06-42471 Cherry Ave Truck Climbing lanes - PCR

Rick,

A PCR for this project was submitted for this project. It went to the PCR committee March 13, 2015. The PCR committee would like concurrence from the SHOPP coordinator that they are good with the SHOPP paying for the Construction components of the project. We already received concurrence from the SHOPP mobility advisor.

Please provide concurrence to Reza on this PCR.

Thank you,

Steven Milton PE, PMP
Caltrans Project Manager
(559) 243-3451 - Work
(559) 907-5957 - Mobile
2015 E. Shields Ave Ste 100
Fresno, CA 93726

From: Holzhauser, John F@DOT
Sent: Tuesday, February 17, 2015 2:01 PM
To: Milton, Steven@DOT; Schriber, Thomas M@DOT
Cc: Guevel, Rick L@DOT; Saucedo, Ralph J@DOT; Gennaro, Paul N@DOT
Subject: RE: EA 06-42471 Cherry Ave Truck Climbing lanes - PCR

Steven,

I concur with this project change request for 06-42471.

Thank you,

John F. Holzhauser P.E., T.E.
Highway Safety and Operations Improvement Program
Division of Traffic Operations
CA Department of Transportation
(916) 654-3748

From: Milton, Steven@DOT
Sent: Wednesday, February 04, 2015 1:23 PM
To: Schriber, Thomas M@DOT; Holzhauser, John F@DOT
Cc: Guevel, Rick L@DOT; Saucedo, Ralph J@DOT; Gennaro, Paul N@DOT
Subject: RE: EA 06-42471 Cherry Ave Truck Climbing lanes - PCR

Tom/John,

I have a PCR that needs concurrence from the SHOPP coordinator. This project is a STIP/SHOPP split. The SHOPP is funding construction.

Please review the PCR and let me know if you concur.

Thank you,

Steven Milton PE, PMP
Caltrans Project Manager
(559) 243-3451 - Work
(559) 907-5957 - Mobile
2015 E. Shields Ave Ste 100
Fresno, CA 93726

From: Berry, Donna M@DOT
Sent: Wednesday, February 04, 2015 11:47 AM
To: Milton, Steven@DOT
Cc: Guevel, Rick L@DOT; Saucedo, Ralph J@DOT; Gennaro, Paul N@DOT
Subject: RE: EA 06-42471 Cherry Ave Truck Climbing lanes - PCR

Hi Steven,

I am not the mobility SHOPP Program Advisor. Please contact the mobility (201.310) SHOPP Program advisor John Holzhauser or Thomas Schriber for concurrence.

Thanks,

--

Donna M. Berry
Statewide SHOPP Program Development
Division of Transportation Programming
916-654-6722

From: Milton, Steven@DOT
Sent: Tuesday, February 03, 2015 3:14 PM
To: Berry, Donna M@DOT
Cc: Guevel, Rick L@DOT; Saucedo, Ralph J@DOT; Gennaro, Paul N@DOT
Subject: EA 06-42471 Cherry Ave Truck Climbing lanes - PCR

Donna,

I have a PCR that needs concurrence from the SHOPP coordinator. This project is a STIP/SHOPP split. The SHOPP is funding construction.

Please review the PCR and let me know if you concur.

Thank you,

Steven Milton PE, PMP
Caltrans Project Manager
(559) 243-3451 - Work
(559) 907-5957 - Mobile
2015 E. Shields Ave Ste 100
Fresno, CA 93726