



**1.) WHAT IS THE PROPOSED CHANGE?**

Additional funding for construction capital and support. (Program Change)  
Document R/W Capital Cost Increase.  
This project has \$400K in local funding (Tulare County) for construction capital.

**2.) COMPLETE THE FOLLOWING REGARDING THE LATEST TWO COST ESTIMATES.**  
(\$'s in 1,000's.)

1. ESTIMATE DATE: <u>2/15 (MM/YY).</u>	Con Capital <u>\$2400.</u>	RW Capital <u>\$500.</u>
2. ESTIMATE DATE: <u>12/14 (MM/YY).</u>	Con Capital <u>\$1700.</u>	RW Capital <u>\$500.</u>

**3.) WHAT WAS THE REASON FOR THE CHANGE?**

A more detailed look at the workplan and a review of similar projects reveals that additional resources are required on these roundabout projects. This project was under-programmed in construction support. A recent change in the rate matrix now requires additional support. In order to avoid condemnation the design was adjusted requiring the utility relocation plan to be changed. The cost of the utility relocation is now higher than anticipated requiring more Right of Way Capital. The plans are in OE and the BEES now show a cost increase in Construction capital.

**4.) WHEN WAS THE CHANGE DISCOVERED?**

In reviewing the workplan and comparing to actual expenditures on a roundabout constructed during 2014 in the district. The February 2015 rate matrix change.

**5.) WHAT HAS BEEN DONE TO MINIMIZE ANY CHANGE?**

Lessons learned and best practices are being shared based upon recent trends of construction support costs on roundabout projects.

**6.) WHAT CAN BE CONSTRUCTED WITH THE PROGRAMMED FUNDS?**

The project can't be constructed without additional capital, and the inspection will require additional support.

**7.) IF THE SCOPE IS REDUCED OR SPLIT, WOULD THE REMOVED WORK NEED TO BE REPROGRAMMED OR ADDED TO ANOTHER PROJECT?**

There is no option of removing any work.

**8.) IS A SUPPLEMENTAL SCOPING DOCUMENT NEEDED? IF YES, STATUS?**

No

**9.) WAS A VALUE ANALYSIS STUDY CONDUCTED? EXPLAIN THE RESULTS OF THE STUDY OR WHY A STUDY WAS NOT CONDUCTED?**

No value analysis was done on this project.

**10.) COST - WHERE WILL THE REQUIRED FUNDS COME FROM?**

SHOPP.

**11.) PRIOR PCRs -- LIST OTHER PCRs PREVIOUSLY APPROVED.**

7/11 one year extension and PA&ED support increase  
6/12 one year extension and PA&ED support increase  
5/13 one year extension, PA&ED increase, PS&E increase, R/W Support increase, Construction capital and support increase .

**PROJECT CONCURRENCE**

**12.) (A) (STIP-RIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER AND THE RTPA OR COUNTY TRANSPORTATION COMMISSIONS STAFF? EXPLAIN THEIR REACTION.**

**(B) (STIP-IIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER? EXPLAIN THEIR REACTION.**

Kien Le was contacted and we discussed the project. Kien advises the District to continue to look for ways to streamline the project and save on construction support. Kien concurred on 1/30/15.

**(C) (SHOPP) WHEN DID THE DISTRICT DISCUSS THIS WITH THE HEADQUARTERS PROGRAM MANAGER? EXPLAIN THEIR REACTION.**

**13.) LESSONS LEARNED, NEW STRATEGIES (What new information pertaining to this project could be beneficial to others?)**

The initial PCR attempted to move the project multiple years and was approved for one year only and each subsequent PCR had moved an additional year. The support costs associated with the project have increased and continue to increase as the team learns more about roundabouts.

14.) District Project Manager Signature

  
 Victor Shaw  
 District Project Manager

1/29/15      (559) 243 - 3441  
 Date                      Phone Number

  
 Samer Shaath  
 Deputy District Director  
 Program/Project Management

1/30/15  
 Date

**APPROVAL - COMMENTS - CONCERNS**

- PD Concurrence
- PD Objections (detail concerns):

15.) Comments - Concerns:

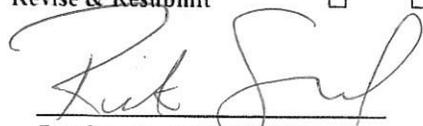
  
 Paul Gennaro  
 HQ Project Delivery Coordinator

Date 1/29/15

**APPROVAL**

		<u>Approve</u>	<u>Deny</u>	<u>No HQ Action</u>
	Cost	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Scope	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Schedule	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Split / Combine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Revise & Resubmit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<u>2/3/2015</u>		<u>3/15/15</u>
Sharri Bender Ehlert DISTRICT DIRECTOR	Date	RACHEL FALSETTI HQ DIVISION CHIEF TRANSPORTATION PROGRAMMING	Date

	<u>3/4/15</u>		
JAMES E. DAVIS HQ DIVISION CHIEF PROJECT MANAGEMENT	Date		

**REQUIRED ATTACHMENTS**

- (a) Attach 1 page copy (screenprint) of project workplan/status schedule.
- (b) Attach the current CTIPS project information.
- (c) PCR Data Worksheet, if applicable (for splits/combinations).
- (d) For STIP Projects, please attach the latest Project Programming Request (PPR)
- (e) Summary Cost Estimates, if/when needed.

PROJECT ID. 0600000188  
 DISTRICT/EA 06-0J5301

PROJECT DATA  
(Dollars in Thousands)

DIST: 06	PPNO: 6420	EA: 0J530	CTIPS ID: 115-0000-0230	TCRP NO.:	TITLE (DESCRIPTION): (Near Porterville, at Road 284, Intersection Improvement. (Additional \$400,000 from local contribution))	ELEMENT: SHOPP Major Const.	MPO ID: 15
CT PROJECT ID: 06-0000-0188						SPONSOR: Caltrans	
COUNTY: Tulare County						MPO: Tulare County Association of Governments	
ROUTE: 190						CORRIDOR:	
PM: 20.9 / 21.3						PRJ MGR:	
						PHONE:	LAW: 08
						EMAIL:	

ASSEMBLY: 32	IMPLEMENTING	PAED	RW
SENATE: 14	AGENCIES:	PSE	CON
CONGRESS: 21			

**PROJECT VERSION HISTORY** (Printed Version is Shaded) (Last 9 versions displayed)

Version	Status	Date	Updated By	Change Reason	Amend No.	Vote	Cum Award	Programmed Dollars in Thousands - Total for Project					
								Prog Con	Prog RW	PA & ED	PS & E	RW Sup	Con Sup
7	Official		DBERRY	Approved - Carry Over				1,700	370	555	585	340	290
6	Official		ZBARAZI	Amendment - Cost/Scope/Sch. Change	12H-252			1,700	370	555	585	340	290
5	Official		LSTOCKTO	Amendment - Cost/Scope/Sch. Change	12H-060			1,500	370	467	488	100	180
4	Official		DBERRY	Approved - Carry Over				1,500	370	300	250	100	180
3	Official		ZBARAZI	Amendment - Cost/Scope/Sch. Change	10H-383			1,500	370	300	250	100	180
2	Official		DBERRY	Approved - Carry Over				1,300	370	109	250	100	180
1	Official		ZBARAZI	Amendment - New Project	08H-407			1,300	370	109	250	100	180

**Fund Source 1 of 1 SHOPP - Collision Reduction**  
20.XX.201.010 - Safety Improvements

**Fund Type**  
Surface Transportation Program

Extension
-----------

**Funding Agency**

	PRIOR	14/15	15/16	16/17	17/18	18/19	19/20	FUTURE	TOTAL
PA&ED		565							565
PS&E		585							585
RW SUP		340							340
CON SUP		290							290
RW		370							370
CON		1,700							1,700
Total:		3,850							3,850

**HQ Comments:**

\*\*\*\*\* Version 7 - 03/26/2014 \*\*\*\*\* Carryover project from 2012 to 2014 SHOPP \*\*\*\*\* Version 6 - 07/03/2013 \*\*\*\*\*  
 Entered amendment #12H-252 - RW  
 7/11/12 Made amend 12H-060 official. - LS  
 \*\*\*\*\* Version 5 - 07/10/2012 \*\*\*\*\*  
 Entered amendment #12H-060 - DB  
 \*\*\*\*\* Version 4 - 04/12/2012 \*\*\*\*\*  
 Carryover project from 2010 to 2012 SHOPP  
 \*\*\*\*\* Version 3 - 07/26/2011 \*\*\*\*\*  
 Entered Amendment #10H-383 - RW  
 \*\*\*\*\* Version 2 - 06/03/2010 \*\*\*\*\*  
 Carryover project from 2008 to 2010 SHOPP  
 \*\*\*\*\* Version 1 - 12/16/2009 \*\*\*\*\*  
 Entered new SHOPP Amendment, mao

**Afhami, Reza@DOT**

---

**From:** Shaw, Victor@DOT  
**Sent:** Tuesday, March 03, 2015 12:07 PM  
**To:** Gennaro, Paul N@DOT  
**Cc:** Afhami, Reza@DOT; Shaath, Samer A@DOT  
**Subject:** RE: 06-0j530 PCR 4A  
**Attachments:** 06-0J530agreement1.pdf; 060j530 PCR4b.docx; 06-0J5301-BEEs-SEG-02-23.pdf

Here is revised PCR with the agreement showing 400K local money for Construction Capital.

**From:** Shaw, Victor@DOT  
**Sent:** Monday, February 23, 2015 3:43 PM  
**To:** Gennaro, Paul N@DOT  
**Cc:** Afhami, Reza@DOT  
**Subject:** 06-0j530 PCR 4A

Paul here are some of the answers...

YES I have \$400k CONSTRUCTION CAPITAL coming from the locals by way of HSIP grant \$350K and \$50K match. HSIP is solid and I have a signed COOP.

The new Construction Capital estimate is \$2,365,000 today.

Support went up with the new rate matrix.

R/W utilities believe 500K is enough for R/W Capital.

I have attached revised PCR for your review and comment