

## PROJECT CHANGE REQUEST

PROJECT ID. 0613000022

DISTRICT/EA 06/0G980 PPNO 6349 PGM Doc. SHOPP PGM Del FY 15/16 PROG CODE 201.121

PROJECT (SCOPE) DESCRIPTION: Cty Rte. PM Description  
Tul 65 31.6/39.6 In Exeter, from Route 137 to Route 198. Rehabilitate pavement

DOES THIS PROJECT INVOLVE PROPOSITION 1B FUND(S)? NO  YES , TYPE(S) (CMIA, Route 99, STIP, SHOPP, etc.) SHOPP

### SCOPE, COST & SCHEDULE CHANGES

TYPE OF REQUEST:  PGM COST x PGM YEAR  SCOPE  SPLIT / COMBINE  OTHER: \_\_\_\_\_

COMPONENT Change (\$'s in 1,000's)

	EXISTING (PROGRAMMED)		PROPOSED		COST EXPENDED to Date % COMPLETE			COST CHANGE			
	Value	FY	Value	FY	Expended	% Expended	% Complete	Value	Value%	Yrs	Type
PA&ED	\$0		\$0		\$0	0 %	100%	\$0	___%	1	NA
PS&E	\$736	15/16	\$1,326	16/17	\$280	38 %	20 %	\$ 590	80%	1	A
R/W SUP	\$21	15/16	\$254	16/17	\$0	0 %	0 %	\$233	1,010%	1	A
CON SUP	\$537	15/16	\$720	16/17	\$0	0 %	0 %	\$183	34%	1	A
R/W CAP	\$1	15/16	\$115	16/17	\$0	0%	0 %	\$114	11,400%	1	A
CON CAP	\$5,245	15/16	\$5,507	16/17	\$0	0%	0 %	\$262	5%	1	A

Total      \$6,540                  \$7,922                  \$ 280                  \$1,382                  21%

WHAT PHASE IS THE PROJECT IN? PRE-PGM DELIVERY YR  PGM DELIVERY YR & PRE VOTE  POST VOTE

Cost Change Type	Description	Data Systems Changed	
	<b>Cost Change Request Types</b>	<b>Programmed Budget</b>	<b>Approved Cost</b>
A	Programming Cost Change	CTIPS	AMS Advantage
B	Headquarters Cost Approval		AMS Advantage
C	District Cost Documentation		
NA	No Change Proposed		
	<b>Supplemental Funds Requests</b>		
SFR	Supplemental Funds Request		AMS Advantage If Expenditures < 100%

Cty - Rte - PM - Description

New Project Description: \_\_\_\_\_  
 (Only If Revised)

"010" Safety Project? Yes  No

Project Performance	EXISTING (PROGRAMMED)		PROPOSED		PERFORMANCE CHANGE	
	Value	Units	Value	Units	Value	Units
	16	Lane Miles	16	Lane Miles	NA	___ %

(SHOPP PRIMARY PERFORMANCE OUTPUT BY PROGRAM CODE)

**1.) WHAT IS THE PROPOSED CHANGE?**

- A) Program Fiscal year delay from 15/16 to 16/17
- B) An increase in R/W Support and R/W Capital
- C) PS&E Increase
- D) Construction Support Increase
- E) Construction Capital Increase

**2.) COMPLETE THE FOLLOWING REGARDING THE LATEST TWO COST ESTIMATES.  
(\$'s in 1,000's.)**

- 1. ESTIMATE DATE: 01/15 Con Capital \$5,507 RW Capital \$ 115
- 2. ESTIMATE DATE: 01/13 Con Capital \$5,245 RW Capital \$ 1

**3.) WHAT WAS THE REASON FOR THE CHANGE?**

- A) Inadequacy of R/W and survey information during the PID phase when the project was programmed. During the PS&E phase, Survey was obtained which determined more right of way parcels are needed to construct ADA curb ramps to current standard. More time is needed for design to complete all right of way requirements and secure R/W. Rail Road R/W needs to be coordinated.
- B) Subsequent field survey and engineering determined that the five (5) out of forty (40) curb ramps would not be contained in the existing R/W. The PSSR did not incorporate all of the R/W Support cost and the R/W Capital cost to acquire the needed parcels to construct the ADA curb ramps. .
- C) Increase in Unit labor costs and ICRP have caused the PS&E amount to increase. Additional resources are required for new R/W requirements for ADA ramps.
- D) Increase in Unit labor costs and ICRP have caused the Construction support to increase. Increase in number of working days. Future wage escalation included.
- E) Construction Capital increase due to 1 year delay increase in escalation.

**4.) WHEN WAS THE CHANGE DISCOVERED?**

Although it was identified in the PID Risk Register, the need for additional R/W was not discovered until after field surveys and further engineering evaluation was conducted in January of 2015.

**5.) WHAT HAS BEEN DONE TO MINIMIZE ANY CHANGE?**

Design has been working with various functions to minimize the R/W requirements.

**6.) WHAT CAN BE CONSTRUCTED WITH THE PROGRAMMED FUNDS?**

The R/W support component does not contain an amount that would allow R/W Engineering work and subsequent appraisal and acquisition work. Any ADA curb ramp improvement requiring R/W acquisition would have to be excluded from project.

**7.) IF THE SCOPE IS REDUCED OR SPLIT, WOULD THE REMOVED WORK NEED TO BE REPROGRAMMED OR ADDED TO ANOTHER PROJECT?**

N/A- Not reducing or splitting

**8.) IS A SUPPLEMENTAL SCOPING DOCUMENT NEEDED? IF YES, STATUS?**

Yes. A Supplemental Project Study Summary Report would be prepared at the time the environmental re-evaluation is complete (May 2015 Target) to document the additional R/W acquisition needed for the original proposed improvements.

9.) WAS A VALUE ANALYSIS STUDY CONDUCTED? EXPLAIN THE RESULTS OF THE STUDY OR WHY A STUDY WAS NOT CONDUCTED?

No. The total project costs (Capital and Support) does not meet the threshold needed to conduct a Value Analysis Study.

10.) COST - WHERE WILL THE REQUIRED FUNDS COME FROM?

SHOPP 201.121 program

11.) PRIOR PCRs – LIST OTHER PCRs PREVIOUSLY APPROVED.

None

**PROJECT CONCURRENCE**

12.) (A) (STIP-RIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER AND THE RTPA OR COUNTY TRANSPORTATION COMMISSIONS STAFF? EXPLAIN THEIR REACTION.

N/A

(B) (STIP-IIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER? EXPLAIN THEIR REACTION.

N/A

(C) (SHOPP) WHEN DID THE DISTRICT DISCUSS THIS WITH THE HEADQUARTERS PROGRAM MANAGER? EXPLAIN THEIR REACTION.

Ron Jones concurred on February 12, 2015.

13.) LESSONS LEARNED, NEW STRATEGIES (What new information pertaining to this project could be beneficial to others?)

ADA curb ramps have the potential to require right of way acquisition and should be assessed prior to programming.

14.) District Project Manager Signature

  
 JUDY AGUILAR  
 District Project Manager

2/27/15  
 Date

(559)243-3457  
 Phone Number

  
 SAMER A. SHAATH  
 Program/Project Management

3/2/15  
 Date

APPROVAL - COMMENTS - CONCERNS

- PD Concurrence
- PD Objections (detail concerns):

15.) Comments - Concerns:

  
 PAUL N. GENNARO  
 HQ Project Delivery Coordinator

2/27/15  
 Date

APPROVAL

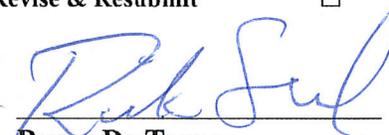
	Approve	Deny	No HQ Action
Cost	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Scope	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Schedule	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Split / Combine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Revise & Resubmit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

  
 SHARRI BENDER EHLERT  
 DISTRICT DIRECTOR

3/3/2015  
 Date

  
 JAMES E. DAVIS  
 HQ DIVISION CHIEF  
 PROJECT MANAGEMENT

4/14/15  
 Date

  
 Bruce De Terra  
 Acting HQ DIVISION CHIEF  
 TRANSPORTATION PROGRAMMING

4/16/15  
 Date

REQUIRED ATTACHMENTS

- (a) Attach 1 page copy (screenprint) of project workplan/status schedule.
- (b) Attach the current CTIPS project information.
- (c) PCR Data Worksheet, if applicable (for splits/comboines).
- (d) For STIP Projects, please attach the latest Project Programming Request (PPR).
- (e) Summary Cost Estimates, if/when needed.

PROJECT ID. 0613000022  
 DISTRICT/EA 06-0G980

PROJECT COST  
(Dollars in Thousands)

DIST: 06	PPNO: 6349	EA: 0G980	CTIPS ID: 115-0000-0288	TCRP NO.:	TITLE (DESCRIPTION): (In Exeter, from Route 137 to Route 198. Rehabilitate pavement.)	ELEMENT: SHOPP Major Const. SPONSOR: Caltrans MPO: Tulare County Association of Governments CORRIDOR: PRJ MGR: PHONE: EMAIL:	MPO ID: 15       LAW: 12
CT PROJECT ID: 06-1300-0022							
COUNTY: Tulare County		ROUTE: 65	PM: 31.6 / 39.6				

ASSEMBLY: 26	IMPLEMENTING	PAED	RW
SENATE: 14, 16	AGENCIES:	PSE	CON
CONGRESS: 22			

**PROJECT VERSION HISTORY** (Printed Version is Shaded) (Last 9 versions displayed)

Version	Status	Date	Updated By	Change Reason	Amend No.	Vote	Cum Award	Programmed Dollars in Thousands - Total for Project					
								Prog Con	Prog RW	PA & ED	PS & E	RW Sup	Con Sup
2	Official		ZBARAZI	Amendment - Other (Explain ==>)	14H-054		5,245	1	736	21	537		
1	Official		DBERRY	Approved - New Project			5,245	1	736	21	537		

**Fund Source 1 of 1 SHOPP - Roadway Preservation**  
20.XX.201.121 - Pavement Rehabilitation

Fund Type	Extension	PA&ED	PS&E	RW SUP	CON SUP	RW	CON	Total:	PRIOR	14/15	15/16	16/17	17/18	18/19	19/20	FUTURE	TOTAL		
National Hwy System											736	21	537				736	21	537
						1					1							1	
							5,245				5,245							5,245	
								6,540			6,540							6,540	

**HQ Comments:**

\*\*\*\*\* Version 2 - 06/02/2014 \*\*\*\*\*  
Entered amendment #14H-054 - RW  
\*\*\*\*\* Version 1 - 03/26/2014 \*\*\*\*\*  
New 2014 SHOPP project

## Afhami, Reza@DOT

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**From:** Sanchez, Marco A@DOT  
**Sent:** Thursday, April 09, 2015 3:25 PM  
**To:** Afhami, Reza@DOT  
**Cc:** Aguilar-Luna, Judy T@DOT; Jones, Ron D@DOT; Massey, Susan C@DOT  
**Subject:** RE: March 13, 2015 PCR Committee Meeting - 06-0G980

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Reza,  
Can you move forward with the approval of the PCR? Based on the e-mails below the Pavement Group has already concurred with the PCR, and Marcella has indicated that this is no part of her program.

Thanks!  
Marco A. Sanchez, PE  
District 6 SHOPP Manager  
Maintenance and Operations  
Office (559) 444-2559  
Cell (559) 285-0693

**From:** Jones, Ron D@DOT  
**Sent:** Thursday, April 09, 2015 12:31 PM  
**To:** Sanchez, Marco A@DOT; Massey, Susan C@DOT  
**Cc:** Aguilar-Luna, Judy T@DOT  
**Subject:** RE: March 13, 2015 PCR Committee Meeting - 06-0G980

Hello Marco and Judy  
I have reviewed and concur with the attached PCR.  
Ron  
916-221-0909

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**From:** Sanchez, Marco A@DOT  
**Sent:** Thursday, April 09, 2015 11:03 AM  
**To:** Massey, Susan C@DOT  
**Cc:** Jones, Ron D@DOT  
**Subject:** FW: March 13, 2015 PCR Committee Meeting - 06-0G980

FYI.... Can you send your concurrence?

Marco A. Sanchez, PE  
District 6 SHOPP Manager  
Maintenance and Operations  
Office (559) 444-2559  
Cell (559) 285-0693

**From:** Wiebke, Marcella J@DOT  
**Sent:** Thursday, April 09, 2015 10:55 AM  
**To:** Afhami, Reza@DOT

**Cc:** Sanchez, Marco A@DOT; Aguilar-Luna, Judy T@DOT; Sabzehzar, Habib@DOT; Gonzalez, Frank@DOT

**Subject:** RE: March 13, 2015 PCR Committee Meeting - 06-0G980

Reza, apparently this PCR is pending awaiting concurrence from HQ ADA. This is not a 361 or 378 project. If the district needs more time and money to complete the necessary work for ADA compliance, then it is what it is and is an appropriate action dropping the locations. But the manager for the 121 program has the final say. Am I missing something?

*Marcella Wiebke*

*HQ ADA Infrastructure Program*

*(916) 654-5442*

*(916) 531-5328 (cell)*



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**From:** Gonzalez, Frank@DOT

**Sent:** Thursday, April 09, 2015 10:43 AM

**To:** Wiebke, Marcella J@DOT

**Cc:** Sanchez, Marco A@DOT; Aguilar-Luna, Judy T@DOT; Sabzehzar, Habib@DOT

**Subject:** FW: March 13, 2015 PCR Committee Meeting - 06-0G980

Can you help us with this. Thanks.

**From:** Aguilar-Luna, Judy T@DOT

**Sent:** Wednesday, April 08, 2015 2:26 PM

**To:** Gonzalez, Frank@DOT

**Cc:** Sabzehzar, Habib@DOT

**Subject:** FW: March 13, 2015 PCR Committee Meeting - 06-0G980

Hi Frank,

Apparently our PCR for 06-0G980 is pending/on hold in Headquarters. Anything you can do to coordinate with HQ ADA Division? See attachment.

Your speedy response is appreciated since the PCR Meeting is next Friday and it needs to get on the agenda ASAP.

Thank you,  
Judy

**From:** Nason, Andrea S@DOT

**Sent:** Wednesday, April 08, 2015 2:16 PM

**To:** Aguilar-Luna, Judy T@DOT

**Subject:** FW: March 13, 2015 PCR Committee Meeting - 06-0G980

**From:** Afhami, Reza@DOT

**Sent:** Friday, March 13, 2015 3:18 PM

**To:** Floyd, Kim R@DOT; Baker, Phil R@DOT; Harvey, Chris S@DOT; Bajwa, Winder S@DOT; Holder, John C@DOT; Singh, Inderpreet@DOT; Perez, Richelle P@DOT; Rasmussen, David@DOT; Dagher, Mirna G@DOT; Ko, Bruce C@DOT; Zhang,